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ORIGINAL RESEARCH Glucocorticoid Receptor α Mediates Roflumilast's Ability to Restore Dexamethasone Sensitivity in COPD

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Background: Glucocorticoids are commonly prescribed to treat inflammation of the respiratory system; however, they are mostly ineffective for controlling chronic obstructive pulmonary disease (COPD)-associated inflammation. This study aimed to elucidate the molecular mechanisms responsible for such glucocorticoid inefficacy in COPD, which may be instrumental to providing better patient outcomes. Roflumilast is a selective phosphodiesterase-4 (PDE4) inhibitor with antiinflammatory properties in severe COPD patients who have a history of exacerbations. Roflumilast has a suggested ability to mitigate glucocorticoid resistance, but the mechanism is unknown.

Methods: To understand the mechanism that mediates roflumilast-induced restoration of glucocorticoid sensitivity in COPD, we tested the role of glucocorticoid receptor α (GR α). Roflumilast's effects on GR α expression and transcriptional activity were assessed in bronchial epithelial cells from COPD patients.

Results: We found that both $GR\alpha$ expression and activity are downregulated in bronchial epithelial cells from COPD patients and that roflumilast stimulates both GRa mRNA synthesis and GR α 's transcriptional activity in COPD bronchial epithelial cells. We also demonstrate that roflumilast enhances dexamethasone's ability to suppress pro-inflammatory mediator production, in a GRa-dependent manner.

Discussion: Our findings highlight the significance of roflumilast-induced GR α upregulation for COPD therapeutic strategies by revealing that roflumilast restores glucocorticoid sensitivity by sustaining GRa expression.

Keywords: nuclear hormone receptor, glucocorticoid resistance, phosphodiesterase-4 inhibitor; PDE4 inhibitor, airway inflammation, NF-KB, cAMP response element binding protein;CREB

Introduction

Chronic obstructive pulmonary disease (COPD) is a progressive lung disease characterized by persistent airflow limitation and impaired gas exchange and associated with chronic inflammation and tissue remodeling in the airways.¹ It affects millions of people, and morbidity and mortality of COPD are expected to rise in the coming years, with its increasing prevalence.² Current therapies are insufficient in preventing disease progression and exacerbations.³ Notably, glucocorticoids that effectively treat many inflammatory diseases including those of the respiratory system have proven inadequate in blocking COPD progression.⁴⁻⁶

Understanding of glucocorticoids' failure to suppress inflammation in COPD⁴ remains largely incomplete. Among the mechanisms that could potentially account

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for such glucocorticoid resistance in COPD,^{7,8} a potential role of glucocorticoid receptor α (GR α) downregulation has yet to be assessed. Supporting this idea, chronic cigarette smoke exposure downregulated GR α expression in mice,⁹ and COPD patients who were smokers exhibited greater decrements in GR α expression vs. non-smokers than did smokers vs. non-smokers without COPD.⁹ These findings imply that restoring glucocorticoid receptor expression/function may recover glucocorticoid sensitivity and help enable effective COPD treatment.

Toward achieving this, we explored the effects and mechanism of action of roflumilast (Rofl), a drug indicated for treating severe COPD patients with a history of exacerbations, especially in those with chronic bronchitis.^{10–12} It is a selective phosphodiesterase-4 (PDE4) inhibitor that provides anti-inflammatory benefits in COPD. Rofl reduced LPSinduced release of various chemokines (CCL2, CCL3, CCL4, CXCL10) and tumor necrosis factor alpha (TNF α) from human lung macrophages in a concentration-dependent manner.¹³ It also decreased the numbers of pro-inflammatory cells and mediators in sputum of COPD patients in a placebocontrolled study.¹⁴ A study examining neutrophils from COPD patients ex vivo suggested that Rofl may restore glucocorticoid sensitivity, as measured by several glucocorticoid resistance markers.¹⁵ Post-hoc analysis of two clinical trials also revealed that COPD patients on inhaled corticosteroid were among those who benefited from the addition of Rofl and experienced a reduction in exacerbations.¹⁶

In this study, we investigated the relationship between GR α and glucocorticoid resistance in COPD, using human bronchial epithelial (HBE) cells. We found that GR α expression and activity were downregulated in HBE cells from COPD patients (COPD HBE cells), whereas proinflammatory NF- κ B p65 activity was increased. We also observed that, in addition to stimulating GR α mRNA synthesis and inducing GR α transcriptional activity in COPD HBE cells, Rofl exerted a GR α -dependent additive enhancement of dexamethasone's (Dex's) anti-inflammatory action. Together, the results point to a molecular mechanism underlying glucocorticoid resistance, supporting a therapeutic strategy utilizing Rofl and informing the mechanism of Rofl-GR α interaction for effective glucocorticoid-based COPD therapy.

Materials and Methods

Cells and Treatments

HBE cells (<u>Supplemental Table S1</u>; normal HBE [NHBE] and diseased HBE [DHBE; also described as COPD

HBE]) obtained from Lonza (Walkersville, MD, USA) were grown and maintained in bronchial epithelial cell medium (Lonza) supplemented with growth factors and hormones, according to the manufacturer's instructions. These cells were cultured at 37° C in a humidified atmosphere of 5% CO₂. Monolayer cultures at 90% confluence were deprived of growth factors prior to treatment.

Treatment with Dex (D4902; Sigma-Aldrich, St. Louis, MO, USA) and Rofl (15141; Cayman Chemical, Ann Arbor, MI, USA), GR α siRNA (SC35505; Santa Cruz Biotechnology, Santa Cruz, CA, USA) transfection, ELISA-based cytokine and chemokine measurement (DTA00D [TNF α] and D8000C [interleukin 8; IL-8]; R&D Systems, Minneapolis, MN, USA), and ELISAbased transcription factor-DNA binding assay (40096 [NF κ B p65] and 45496 [GR α]; Active Motif, Carlsbad, CA, USA) have been described previously.¹⁷

Western Blotting

Protein concentrations were determined using the BCA Protein Assay kit (Pierce, Rockford, IL, USA). Western blotting was then performed as described.¹⁸ Primary antibodies against GR α (8992), Lamin B1 (20682), and β -Actin (1616) were purchased from Santa Cruz Biotechnology. The secondary antibodies, donkey antigoat IRDye 680RD (926-68074) and goat anti-rabbit IRDye 800CW (925-32211), were obtained from LI-COR Biosciences (Lincoln, NE, USA). The infrared signal was detected with an Odyssey Infrared Imager (LI-COR Biosciences) and quantified by densitometric analysis.

RNA Isolation, Nascent RNA Capturing, and Real-Time PCR

RNA isolation, nascent RNA capturing, and real-time PCR were performed as described.¹⁹ Briefly, total RNA was isolated using the RNeasy Plus Mini Kit (Qiagen, Valencia, CA, USA) and nascent RNA transcripts were captured by the Click-iT Nascent RNA Capture Kit (Invitrogen, Carlsbad, CA, USA) according to the manufacturer's instructions. A click reaction was performed using 5-EU-labelled mRNA and biotin azide; the mixture was incubated at room temperature for 30 min. Following overnight precipitation at -70° C, a biotin-labeled EU–mRNA-binding pull-down assay was performed using Dynabeads MyOne Streptavidin beads. The bead-bound mRNA was washed and used as a template for reverse transcription using iScript Advanced cDNA Synthesis Kit (Bio-Rad, Hercules, CA, USA). Quantitative real-time PCR was

DNA Affinity Precipitation

DNA affinity precipitation was performed as described.¹⁸ Briefly, Dynabeads M-280 Streptavidin (Invitrogen, Carlsbad, CA, USA) were mixed with biotinylated oligonucleotides containing the indicated cAMP response elements (CREs) (Supplemental Table S2) and incubated at room temperature for 30 min. Next, the biotinylated oligonucleotidecoupled beads were added to nuclear proteins and incubated for 1 h at room temperature with rotation. Samples were washed three times with cold binding and washing buffer. Bead-bound biotinylated oligonucleotide-protein complexes were eluted and subjected to Western blotting as described above with specific antibodies as indicated. An aliquot of the nuclear sample was set aside without streptavidin bead incubation to be used as an input control.

GRa Reporter Assay

To determine the activation of GR α by test compounds, we performed a GR α -specific luciferase reporter assay, using the GR α Reporter Assay System (IB00201; Indigo Biosciences, State College, PA, USA) according to the manufacturer's instructions. Briefly, GR α reporter cells were cultured in Cell Recovery Medium and treated with various concentrations of Rofl, Dex, or combination of both (0–3 nM) for 24 h. At the end of the treatment, the culture medium was discarded, and Luciferase Detection Reagent was added to each well of the assay plate. The plate was then incubated for 5 min at room temperature, and luminescence was quantified with a luminometer.

Statistical Analysis

Data are presented as the mean \pm SD. New England Journal of Medicine style was used to report *P* values. We determined the differences between experimental groups using an unpaired *t*-test or two-way analysis of variance followed by a Bonferroni multiple-comparison correction. To determine the EC₅₀ from dose-response curves and drug additivity with the Bliss independence model, we used curve fitting with non-linear regression. For statistical analyses, we used GraphPad Prism 8.1.2 (GraphPad Software, La Jolla, CA, USA); differences with *P* values <0.05 were considered significant.

Results COPD HBE Cells Show Decreased GRα Expression and Activity

To test the potential role of GR α in COPD pathophysiology, we determined its expression and activity levels in COPD patients. We found GR α protein expression was reduced in COPD HBE cells compared with NHBE cells (Figure 1A). Consistent with this decreased expression, GR α 's DNA-binding activity was lower in COPD HBE cells than in NHBE cells (Figure 1B), whereas the activity of pro-inflammatory NF- κ B p65 was elevated (Figure 1B), pointing to a link between GR α downregulation and COPD-associated inflammation.

Rofl Induces GR α Gene Transcription in COPD HBE Cells

Rofl is an anti-inflammatory drug indicated for treatment to control disease exacerbations in severe COPD patients.¹⁰⁻¹² It was suggested to restore glucocorticoid sensitivity in COPD patients,^{15,16} by unknown mechanisms. To explore the mechanism, we tested Rofl's effects on GRa expression. Rofl enhanced GRa protein expression in a dose- and timedependent manner (Figure 2A-B). We then tested the hypothesis that Rofl-induced upregulation of GRa expression reflects increased transcription by analyzing the dynamics of RNA synthesis and processing. Specifically, we measured nascent mRNA levels in COPD HBE cells treated with and without Rofl. We found that Rofl treatment increased the synthesis of nascent GRa mRNA (NR3C1 mRNA, which encodes GR α) at all observed time points (Figure 2C). Because enhanced mRNA stability could also increase protein expression, we tested whether Rofl altered decay of GRa mRNA by EU pulse-chase assay. Beyond the initial period, Rofl treatment failed to significantly alter GRa mRNA stability (Figure 2D). Together, these results indicate that Rofl promotes GRa expression at the transcriptional level, specifically by stimulating mRNA synthesis.

Rofl Induces $GR\alpha$ Promoter Activation and $GR\alpha$ Transcriptional Activity

The enhanced GR α expression we observed might reflect recruitment of a transcription factor to the GR α promoter, such as cAMP response element binding protein (CREB) that has been suggested to regulate GR α transcription in other cell types via direct binding to the GR α promoter.^{20,21} We thus tested whether Rofl promotes CREB binding to the CRE

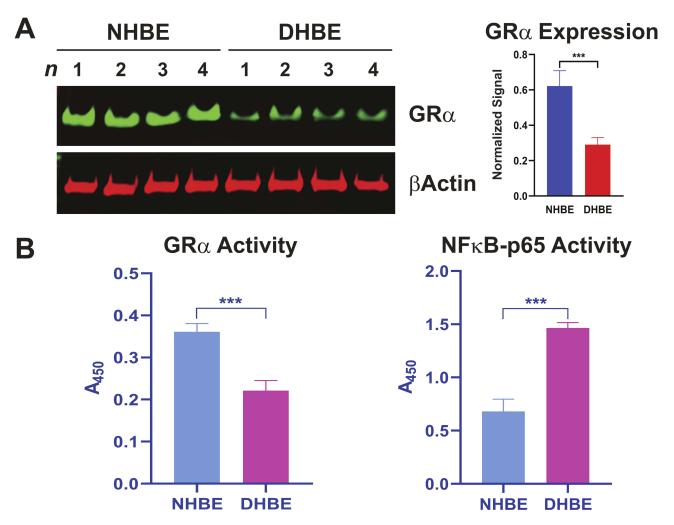


Figure I Reduced GR α expression and activity in COPD HBE cells. (A) GR α expression in DHBE (also described as COPD HBE in the text) and NHBE cells, determined by Western blotting and quantified by densitometric analysis. β -Actin served as a loading control. (B) DNA-binding activities of GR α and NF- κ B p65 in NHBE and DHBE cells. Data are expressed as means \pm SD. n = 4; ***P < 0.001.

Abbreviations: DHBE, diseased human bronchial epithelial; GRa, glucocorticoid receptor a; HBE, human bronchial epithelial; NHBE, normal human bronchial epithelial.

within the GR α promoter in COPD HBE cells by DNAprotein affinity assay. Rofl treatment increased the GR α CRE's association with cellular CREB-containing complexes compared with those in vehicle (Veh)-treated controls (Figure 3A). As expected, the consensus CRE we used as a positive control recovered CREB, whereas non-specific oligonucleotides did not (Figure 3A). Mutations of the GR α CRE (Supplemental Table S2) abolished CREB binding (Figure 3A), indicating the specificity of GR α CRE-CREB interaction. These data suggest that Rofl promotes GR α expression by recruiting a CREB-dependent transcription complex that acts on the *NR3C1* promoter.

To assess the functional consequence of increased GR α expression, we compared GR α transcriptional activity in GR α reporter cells treated with Dex, Rofl, and both drugs combined. While having no effect on GR α transcriptional activity alone, Rolf significantly sensitized the transcriptional response to Dex, reducing its EC50 from 0.75 ± 0.16 to 0.18 ± 0.04 nM (Figure 3B). It also significantly increased the response of transcriptional activity to the maximal concentration of Rofl tested (Figure 3B).

Rofl Inhibits IL-8 and TNF α Production in COPD HBE Cells Additively with Dex in a GR α -Dependent Manner

Decreased cytokine and chemokine secretion is a key mechanism contributing to the anti-inflammatory actions of both Rofl and Dex.^{10,22,23} We tested for such actions in the HBE system and found concentration-dependent inhibition of the levels produced of the key inflammatory chemokine and cytokine, IL-8 and TNF α (Figure 4A–B), in Rofl- and Dex-treated COPD HBE cells. Consistent with its enhancing effects seen on GR α transcriptional activity (Figure 3B), the combination

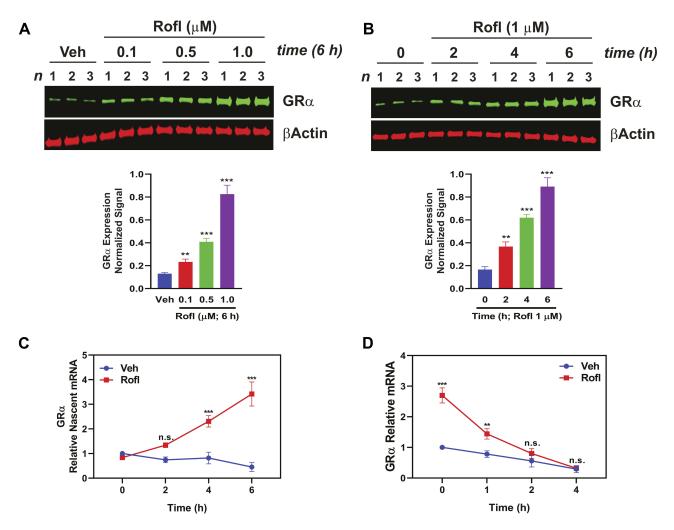


Figure 2 Rofl induces GR α expression in COPD HBE cells. (**A-B**) COPD HBE cells were treated with the indicated concentrations of Rofl for 6 h (**A**) or with 1 μ M of Rofl for the indicated periods (**B**) and GR α levels determined by Western blotting in whole-cell extracts and quantified by densitometric analysis. β -Actin served as a loading control. (**C**) Time course of transcriptional response to Rofl. After COPD HBE cells were treated with 1 μ M Rofl or Veh control for 6 h, nascent mRNA captured by Click-iT Nascent RNA Capture Kit. Relative mRNA levels of GR α were measured by real-time PCR. (**D**) Effects of Rofl vs. Veh control on GR α mRNA stability in COPD HBE cells were determined by incubation in growth medium containing 5-EU followed by incubation in growth medium without 5-EU for the indicated periods. After total mRNA isolation, labeled mRNA was captured and analyzed with Click-iT Nascent RNA Capture Kit. Data are expressed as means ± SD; n = 3. **P < 0.01, ***P < 0.001. **Abbreviations:** GR α , glucocorticoid receptor α ; HBE, human bronchial epithelial; n.s., non-significant; Rofl, roflumilast; Veh, vehicle.

of Rofl and Dex gave greater suppression of IL-8 (Figure 4A) and TNF α (Figure 4B) release than either drug alone. Furthermore, we found that siRNA-mediated GR α knock-down (Figure 4E), but not scrambled control siRNA, blocked Rofl- and Dex-induced suppression of IL-8 and TNF α production (Figure 4C–D). Together, the results indicate that Rofl and Dex additively inhibited inflammatory cytokine and chemokine secretion in COPD HBE cells in a GR α -dependent fashion.

Discussion

Despite their mainstay role in management of COPDassociated exacerbations, glucocorticoids provide only modest clinical benefits for most patients.^{24–26} New strategies to enhance their efficacy to control lung inflammation in COPD could thus aid clinical management and outcomes. Here we provide the first evidence, to our knowledge, that GR α down-regulation contributes to glucocorticoid resistance in COPD HBE cells. Furthermore, we found that Rofl stimulates GR α mRNA synthesis and thereby augments Dex's antiinflammatory effects. These findings show that increased GR α expression can reverse glucocorticoid resistance and also clearly reveal a new mechanistic basis of Rofl's therapeutic actions in COPD,^{15,16,27} as GR α knockdown blocked the cytokine-inhibitory action of Rofl combined with Dex.

Consistent with our data, Marwick et al found $GR\alpha$ protein expression was decreased in peripheral lung parenchyma of COPD patients compared to that in non-

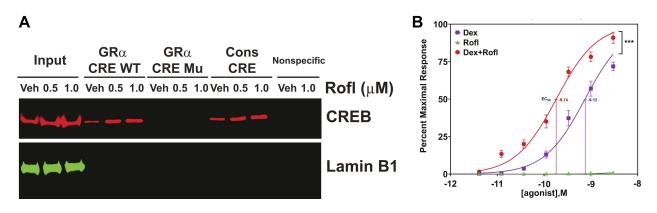


Figure 3 Rofl stimulates GR α promoter activity and induces GR α transcriptional activity. (A) Promoter activity. COPD HBE cells were treated with Rofl (0.5 or 1 μ M) or Veh for 6 h, and then nuclear extracts were obtained and incubated with the indicated biotinylated double-stranded oligonucleotides corresponding to the following: WT or Mu GR α -CRE, the consensus CRE (positive control), or a nonspecific sequence (negative control). Bead-bound oligonucleotide-protein complexes were eluted and subjected to Western blotting to identify the presence of CREB. Western blotting for Lamin B1 was used as a control for non-specific interaction. Nuclear extracts without added nucleotides were loaded as input. (B) Transcriptional activity. GR α reporter cells were treated with the indicated concentrations (0–3 nM) of Rofl, Dex, or a combination of both for 24 h. GR α transcriptional activity, shown as the percent maximal response, was then measured using a GR α -specific reporter assay. Concentration-response curve fitting was performed by non-linear regression. Results were reproduced independently at least twice. Data are expressed as means \pm SD; n = 3, ***P < 0.001.

Abbreviations: CRE, cAMP response element; CREB, cAMP response element binding protein; Dex, dexamethasone; GR α , glucocorticoid receptor α ; HBE, human bronchial epithelial; Mu, mutated; Rofl, roflumilast; Veh, vehicle; WT, wildtype.

COPD patients (smokers and non-smokers).⁹ Similarly, HBE cells of COPD patients exhibited lower GR α transcript levels than those from non-COPD individuals.²⁸ Extending those findings, we found that Rofl enhances GR α mRNA synthesis to yield sustained elevation of GR α protein expression and thereby sensitizes and enhances HBE cells' responses to the anti-inflammatory actions of Dex. Our finding that Rofl upregulates GR α expression by increasing transcription rate, and not by stabilizing mRNA, agrees with prior findings in other cell types.^{29,30} Our data strongly indicate that altered GR α expression and function contribute mechanistically to glucocorticoid sensitivity/resistance in COPD.

Our findings that Rofl restores glucocorticoid sensitivity support Rofl's therapeutic value for treating glucocorticoid-refractory patients. Prior findings agree, as Rofl's active metabolite Rofl N-oxide and Dex were found to work synergistically/additively to suppress release of certain inflammation markers from neutrophils¹⁵ and HBE cells²⁷ of COPD patients. Combined Rofl N-oxide and Dex also reduced corticosteroid resistance biomarkers.¹⁵ Our mechanistic findings that Rofl action is GRa mediated in the COPD context merits assessment in clinical trials, as certain other pathway-specific compounds found capable of reversing glucocorticoid resistance are being investigated or in clinical trials.⁷ This is important, since, although inhaled corticosteroids are well-tolerated, most COPD patients are insensitive to their ameliorative effects.²⁴⁻²⁶ Rofl is effective in reducing exacerbations, but associated with several significant adverse effects.^{31–}

³³ Thus, combination therapy may yield the greatest therapeutic outcome; it may enhance patients' responsiveness to glucocorticoids while minimizing or avoiding undesired off-target effects of Rofl treatment by using the minimal sensitizing dose. Such strategy should be comprehensively assessed in future studies.

Airway epithelium is the immediate target of inhaled toxicants, including those in cigarette smoke, and therefore plays a central role in COPD development and progression. It is also the primary site of glucocorticoids' anti-inflammatory actions. Thus, our findings that GRa expression in HBE cells is required for Rofl-mediated recovery of glucocorticoid sensitivity highlight the potential of airway epithelial GRa not only as an attractive therapeutic target but also as a useful biomarker for glucocorticoid resistance in COPD. In this connection, the development of a diagnostic genomic tool to predict clinical response to glucocorticoid therapy in asthma has been proposed.³⁴ A similar approach that assesses GRa expression level to identify subsets of patients who would likely benefit from glucocorticoid treatment as well as the addition of Rofl can be applied to COPD. Rofl is currently indicated for patients suffering severe COPD with chronic bronchitis and a high risk of severe exacerbations.²³ Rofl, administered in combination with standard therapies, reduced incidence of moderate/severe exacerbations and hospitalization in this patient population.²³ Extending our present study and assessing GRa expression in a clinical trial with COPD patients with and without chronic bronchitis may

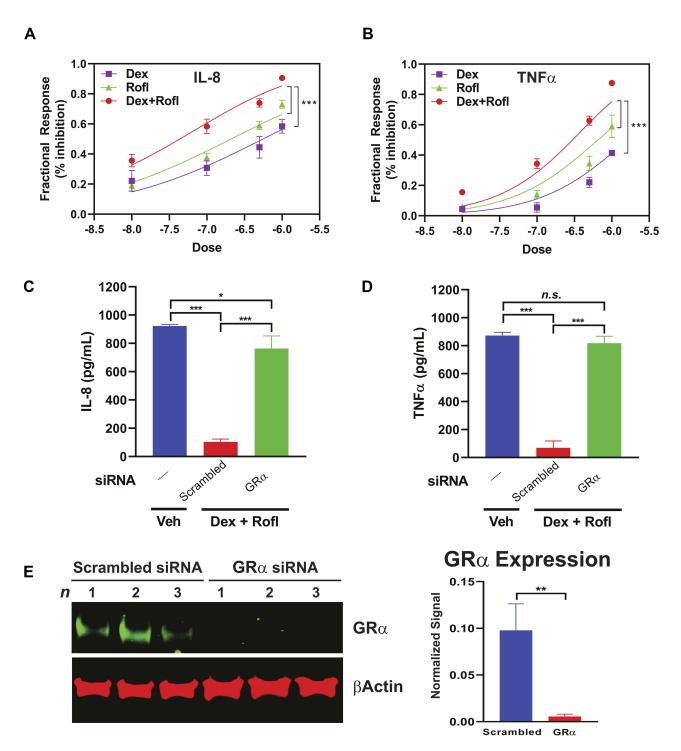


Figure 4 Rofl inhibits IL-8 and TNF α production in COPD HBE cells additively with Dex in a GR α -dependent manner. (**A-B**) COPD HBE cells were treated with Rofl, Dex, or combined Rofl and Dex at indicated concentrations for 6 h. Culture medium was then collected, and IL-8 (**A**) and TNF α (**B**) levels in medium were determined by ELISA. Inhibitory effects of treatments are shown as fractional response. Curves were fitted by non-linear regression and the Bliss independence model. (**C-D**) COPD HBE cells received 24 h-transfection with scrambled or GR α siRNA and then were treated with Veh or combined Rofl and Dex (1 μ M each) for 6 h. IL-8 (**C**) and TNF α (**D**) levels in culture medium were determined by ELISA. Data are expressed as means ± SD; n = 3. **P* < 0.05, ****P* < 0.001. (**E**) GR α expression in siRNA-transfected COPD HBE cells was determined by Western blotting and quantified by densitometric analysis. β -Actin served as a loading control. ***P* < 0.01.

Abbreviations: Dex, dexamethasone; GR α , glucocorticoid receptor α ; HBE, human bronchial epithelial; IL-8, interleukin 8; n.s., non-significant; Rofl, roflumilast; TNF α , tumor necrosis factor alpha.

provide further evidence for Rofl's therapeutic benefits in COPD.

Previously, glucocorticoid treatment was found to reverse the reduction in GRa mRNA levels seen in COPD patients.²⁸ In contrast, others found that Dex downregulated GRa mRNA and GRa protein expression in BEAS-2B HBE cell line, implying secondary glucocorticoid resistance.³⁵ These apparent discrepancies may be attributable to different experimental system/conditions; the former finding concerned GRa expression in primary bronchial epithelial cells from COPD patients receiving glucocorticoids, whereas the latter observation was made using a cell line treated in vitro with glucocorticoids. It is currently unknown when glucocorticoid resistance evolves during the course of COPD pathogenesis, a question beyond the scope of this study that warrants investigation. Nevertheless, clear understanding of such timing, including whether or not glucocorticoid insensitivity is induced by glucocorticoid treatment itself, will be key to designing and developing the most efficacious and potent therapies. Clinical tests of GRa levels, if developed, could also serve as an assessment tool to monitor glucocorticoids' efficacy and patients' responses during their therapy.

By inhibiting PDE4 hydrolytic activity, Rofl treatment increases intracellular cAMP and cAMP signaling, leading to various cellular and physiological outcomes.^{10,23} In alveolar macrophages from COPD patients ex vivo, Rofl induced CREB activation and its nuclear localization.³⁶ In ovarian cancer cells, Rofl augmented the cAMP/PKA/CREB pathway and thereby restored their cisplatin sensitivity.37,38 Our finding that Rofl promoted CREB binding to the CRE within the GRa promoter are consistent with those results. Further assessment of the role of the cAMP/PKA/CREB axis in Rofl's actions, including whether and how such signaling is altered, will inform about the molecular mechanism of GRa downregulation and thereby glucocorticoid resistance in COPD. CREB expression and activation in lungs are impaired with age, as they were reduced in adult compared to young mice, and further decreased in old vs. adult animals.³⁹ As COPD is considered a disease of accelerated lung aging,^{40,41} our findings imply that any such impairment may also conceivably contribute to COPD-associated GRa downregulation.

Glucocorticoid resistance occurs in inflammatory conditions other than COPD.^{7,42} Thus, our findings on GR α 's role in glucocorticoid resistance and Roff's ability to restore glucocorticoid sensitivity in COPD may prove relevant to other diseases associated with chronic inflammation, a worthy topic for future study.

Conclusion

In conclusion, our study highlights Rofl's therapeutic value for the treatment of glucocorticoid-refractory patients by showing that decreased GR α expression mediates glucocorticoid resistance and that Rofl blocks such GR α downregulation and thereby restores glucocorticoid sensitivity.

Abbreviations

COPD, chronic obstructive pulmonary disease; CRE, cAMP response element; CREB, cAMP response element binding protein; Dex, dexamethasone; DHBE, diseased human bronchial epithelial; GR α , glucocorticoid receptor α ; HBE, human bronchial epithelial; IL-8, interleukin 8; Mu, mutated; NHBE, normal human bronchial epithelial; PDE4, phosphodiesterase-4; Rofl, roflumilast; TNF α , tumor necrosis factor alpha; Veh, vehicle; WT, wildtype.

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Disclaimer

The contents in this article do not represent the views of the United States Department of Veterans Affairs or the United States Government.

Disclosure

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