

# Drug delivery to solid tumors: the predictive value of the multicellular tumor spheroid model for nanomedicine screening

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**Abstract:** The increasing number of publications on the subject shows that nanomedicine is an attractive field for investigations aiming to considerably improve anticancer chemotherapy. Based on selective tumor targeting while sparing healthy tissue, carrier-mediated drug delivery has been expected to provide significant benefits to patients. However, despite reduced systemic toxicity, most nanodrugs approved for clinical use have been less effective than previously anticipated. The gap between experimental results and clinical outcomes demonstrates the necessity to perform comprehensive drug screening by using powerful preclinical models. In this context, in vitro three-dimensional models can provide key information on drug behavior inside the tumor tissue. The multicellular tumor spheroid (MCTS) model closely mimics a small avascular tumor with the presence of proliferative cells surrounding quiescent cells and a necrotic core. Oxygen, pH and nutrient gradients are similar to those of solid tumor. Furthermore, extracellular matrix (ECM) components and stromal cells can be embedded in the most sophisticated spheroid design. All these elements together with the physicochemical properties of nanoparticles (NPs) play a key role in drug transport, and therefore, the MCTS model is appropriate to assess the ability of NP to penetrate the tumor tissue. This review presents recent developments in MCTS models for a better comprehension of the interactions between NPs and tumor components that affect tumor drug delivery. MCTS is particularly suitable for the high-throughput screening of new nanodrugs.

**Keywords:** nanodrug, tridimensional model, distribution, accumulation, cytotoxicity

## Introduction

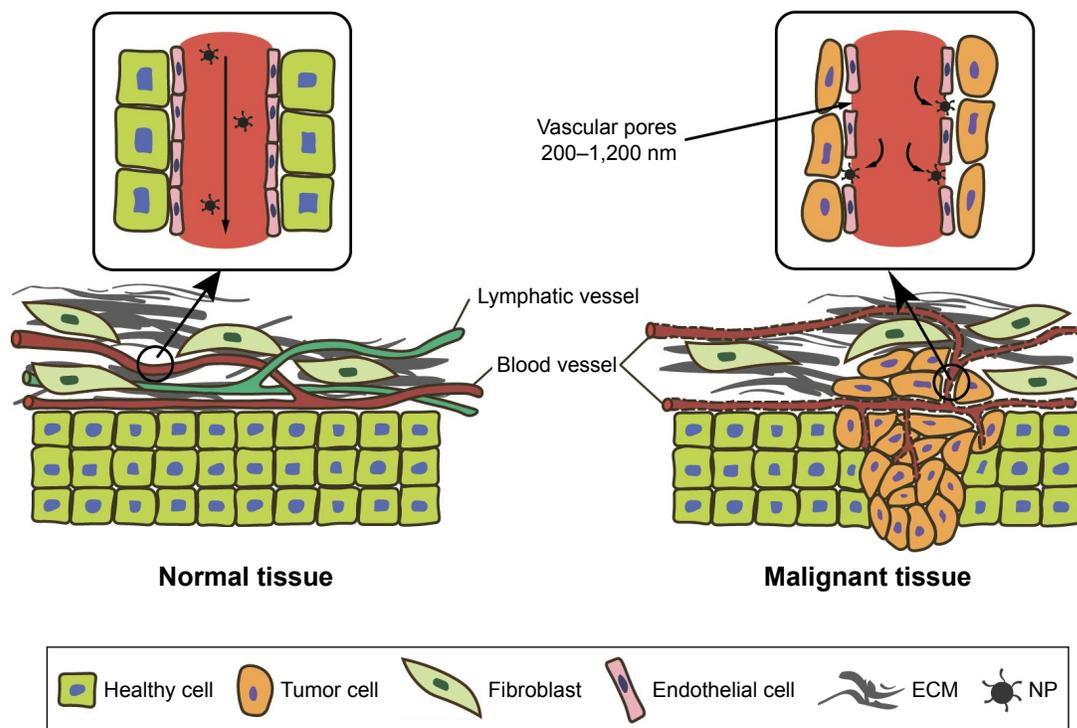
The complex biology of cancer highlights the urgent need of specific and effective treatments. Low-weight molecules that have been developed as antineoplastic agents since several decades have enabled progress in cancer management, gradually placing chemotherapy at a crucial position in cancer care. However, poor water solubility, unfavorable pharmacokinetics and adverse side effects of many molecules with potential antineoplastic properties have hindered their clinical applications.<sup>1</sup> The lack of selective drug delivery to neoplastic tissues is the main reason for both systemic drug toxicity and poor efficiency. Therefore, tumor targeting is becoming a major issue in cancer therapy since it would allow to overcome side effects and to ensure full effectiveness of antineoplastic agents. In this context, nanomedicine, based on passive and/or active (or receptor-mediated) tumor targeting, yielding improved drug delivery has been considered promising.<sup>2</sup> Recent developments in the field have succeeded in placing a significant number of anticancer nanotherapeutics in the pipeline; some of them are now investigated in advanced clinical settings.<sup>3</sup> Despite this progress,

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it must be conceded that until now, few nanodrugs have been included in the arsenal of chemotherapeutics used for clinical cancer care management. Nanotherapeutics issued from promising preclinical studies often failed to achieve the expected results in patients, and as such, nanomedicine is still considered challenging for cancer therapy.<sup>4</sup> To be efficiently delivered to the tumor, nanoparticles (NPs) must overcome different biological barriers that influence the extent of tissue penetration. Molecule diffusion through the interstitial space strongly depends on the structure and composition of the interstitial compartment. The dense extracellular matrix (ECM) mainly composed of loosely, poorly organized and interconnected collagen lattices together with polysaccharides like glycosaminoglycans (GAGs) to ensure the structural integrity of the network regulates the movement of NPs in the interstitium.<sup>5</sup> In addition, host stromal cells including fibroblasts contribute to the production of ECM or to the capture of extravasated compounds (mononuclear phagocytes).<sup>6,7</sup> Size of interstitial spaces along with the reduction in cell density is another feature that influences the movement of molecules in tumors.<sup>8,9</sup> Molecule transport through vascular space is closely related to vessel morphology and to vascular organization.<sup>10,11</sup> With the tumor growth, vessel

compressions due to the development of proliferating cells or other stromal components contribute to the enhancement of blood flow and intravascular pressure irregularities.<sup>12,13</sup> These parameters strongly disrupt oxygen, nutrient and blood-borne molecule delivery to tumor tissues.<sup>10</sup>

Extravasation of NPs >40 kDa is facilitated by the high permeability of tumor vessels. In the presence of vascular pores, from 200 to 1,200 nm in size,<sup>14</sup> larger than those in normal blood vessels (range 50–150 nm), the fenestrated neovascular wall enhances vascular permeability with, however, important variability both spatially and temporally within a tumor or from one tumor to another.<sup>15</sup> This particularity of tumor vessels was first described by Matsumura and Maeda in 1986. Attributed to the enhanced permeability of blood vessels along with the low clearance rate of poor lymphatic system developed by tumor tissues, this phenomenon was called enhanced permeability and retention (EPR) effect (Figure 1).<sup>16</sup> Although the limitations of EPR effect have been extensively discussed,<sup>17</sup> it remains the main process of passive tumor targeting by NPs, resulting in an increased drug accumulation in tumor while sparing healthy tissues.<sup>18</sup> This selective accumulation and retention of NPs in solid tumor by EPR effect, contrary to small molecules



**Figure 1** Schematic representation of NP EPR effect in tumor tissue.

**Note:** Tumor tissues show a disorganized vascular network with fenestrated blood vessels involving an improvement in NP extravasation and a lack of lymphatic vessels compared to normal tissues.

**Abbreviations:** ECM, extracellular matrix; EPR, enhanced permeability and retention; NP, nanoparticle.

that get out of the tumor vessels, makes the use of spherical tridimensional (3D) model highly appropriate.<sup>19,20</sup> In fact, 3D models have expanded in the recent years reproducing better the complexity of the tumor than 2D cell culture and therefore being more representative of clinical situation.<sup>21</sup> Among them, multicellular tumor spheroids (MCTSs) are of great interest in cancer research since some of their physiological features are close to those of avascular cancer metastases.<sup>22,23</sup> MCTS models have been recognized suitable for studies of cell survival, cell proliferation, resistance to drug therapy, cell migration and hypoxia phenomena.<sup>24</sup> MCTS models are also appropriate to estimate the ability of nanotherapeutics to penetrate and distribute inside tumor tissues.<sup>19,25</sup> This review provides a comprehensive overview of the MCTS model focusing on the evaluation of nanodrugs along with the possibility to better predict its *in vivo* translation.

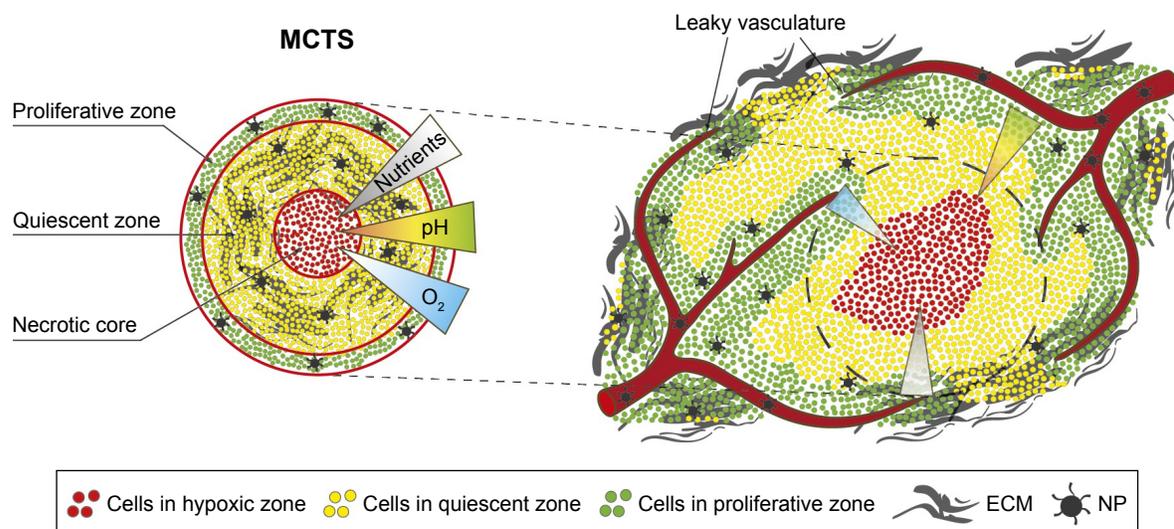
## MCTS model

Although 3D models cannot fully reflect the extent of tumor complexity and heterogeneity, they can be finely handled to meet specific tumor aspects. The recent review of Katt et al<sup>23</sup> on the subject gave a valuable insight into different *in vitro* tumor models, with their particularities and specific use. Among them, spheroid-based models are the most widely used. Most 3D models have spherical shape, and the word “sphere” is commonly used to name any cellular aggregate. Spherical models can be obtained from fragments

of tumors (tissue-derived tumor spheres and organotypic multicellular spheroids), from cancer stem cell culture (tumorspheres) or from single cancer cell suspension culture (MCTSs). All models have strengths and weaknesses. For example, fragments of tumor are suitable to study both tumor heterogeneity and the interaction between tumor and stroma, whereas tumorspheres are more appropriate for studying cancer stemness and *in vivo* tumorigenicity. The MCTS model is probably the most convenient to evaluate posttreatment tumor growth and cell survival, and it is therefore well adapted for high-throughput drug screening.<sup>19,25,26</sup>

## Characteristics of MCTS model

Described for the first time in the early 1970s, Sutherland applied MCTS with the aim to evaluate tumor cell survival after chemotherapy. The MCTS model is an intermediate between 2D cell culture and *in vivo* tumor models (Figure 2). Derived from cancer cell lines known to be easily maintained in culture conditions, the 3D structure of MCTS mimics nonvascularized microtumors, which are similar to micro-metastases observed in patients.<sup>27</sup> Indeed, MCTS shares three fundamental characteristics with *in vivo* tumors: 1) a heterogeneous cellular growth with the presence of proliferating cells at the periphery surrounding a ring of quiescent cells and a necrosis core; 2) pH, nutrients and oxygen gradients from the periphery to the center and 3) more or less complex ECM that depends on the cell lines and the technique used for MCTS production.



**Figure 2** Schematic representation of similarity between tumor and MCTS.

**Notes:** MCTS (left panel) displays similarities with *in vivo* tumor (right panel). MCTS is composed of proliferative cells in periphery, quiescent cells in the intermediate zone and a necrotic core. Three concentration gradients (nutrients, pH and oxygen) are comparable to the situation in avascular tumor regions.

**Abbreviations:** ECM, extracellular matrix; MCTS, multicellular tumor spheroid; NP, nanoparticle.

1. MCTS growth is similar to the one observed in solid tumors since the latter grows in 3D from the center to the periphery. The organization of the MCTS in three distinct layers, the outer one composed of proliferating cells and the inner one composed of quiescent cells and necrotic core, depends strongly on MCTS volume and growth rate. Below 120  $\mu\text{m}$  in diameter, MCTS is well oxygenated while oxygen concentration and cell viability decrease rapidly with the spheroid growth.<sup>28,29</sup>
2. The thickness of viable cell layer decreases in MCTS with low doubling time, and the fastest growing spheroids tend to have both higher oxygen consumption and pH decrease although anoxia is not necessary to obtain low pH values. These characteristics make MCTS model particularly well adapted for the evaluation of oxygen-dependent treatments such as ionizing radiation and photodynamic therapy (PDT).<sup>30–32</sup>
3. Together with hypoxia, the ECM represents a crucial determinant in the tumor progression via epithelial–mesenchymal transition (EMT), tumor migration, invasion and metastasis processes. Many ECM proteins (fibronectin, laminin, collagen and GAGs) can be found in MCTS in similar proportions to those expressed in tumor *in vivo*.<sup>33</sup> As a matter of fact, numerous studies reported the utility of MCTS taking into consideration the mechanisms of tumor cells' migration and invasiveness as well as the treatments applied to prevent them.<sup>26,34</sup>

## MCTS production techniques

MCTS is the 3D *in vitro* model that is most widely used for different purposes, such as cell proliferation, tumor growth, immune interactions, drug screening and in the case of the ECM-embedded MCTS, for cell invasion and matrix remodeling.<sup>23</sup> The reason for this widespread use is the relative simplicity of MCTS production even though methods are becoming more and more complex with technological advances.<sup>19,26</sup> Methodology for two commonly used techniques (liquid-overlay technique and gyratory rotation system) is based on the promotion of cell aggregates obtained from single tumor cell placed in agarose (or other inert substance)-coated flasks to prevent cell adhesion to the substrate. After 3–4 days growth, the aggregates are transferred into appropriate containers (coated petri dishes, culture plates, spinner or gyratory rotation system) where MCTS development is maintained until reaching the suitable size.<sup>35</sup> The liquid-overlay technique offers the collection of few but more homogeneous in size MCTS, whereas spinner

flask or gyratory rotation system is usually used for mass production (Table 1).<sup>23,26</sup> The third method named hanging drop technique consists of the formation of cell droplets hanging on the underside of the lid of tissue culture dish. Cell clusters induced by gravity at the bottom of the drop grow into spheroids with a more regular size.<sup>19,23</sup> Recently, innovative but sophisticated solutions have taken advantage of new technologies, such as microfluidics, to improve the control of size and composition of MCTS while maintaining high throughput (Table 1).<sup>19,36</sup>

To be more representative of tumor complexity, coculture of tumor cells with immune, endothelial cells or fibroblasts helps to better understand a crosstalk between cancer and stromal cells as well as the mechanisms of metastatic invasiveness.<sup>19,33,37</sup> For example, the migration of endothelial cells into MCTS or the formation of vascular networks within spheroids is often used to assess the potential of tumor vascularization. Thus, by means of this spheroid coculture technique, factors that induce or inhibit angiogenesis can be studied.<sup>38</sup> Scaffold-based techniques using natural (Matrigel<sup>®</sup>, hydrogels or alginate gels) or synthetic polymers as a semisolid matrix provide an excellent means to study the influence of external physical factors on the spheroid growth. Manipulating scaffolds to mimic particular physical properties of the tumor environment, such as stiffness and porosity, contributes to significant diversification of MCTS application for different and well-defined purposes.<sup>39</sup>

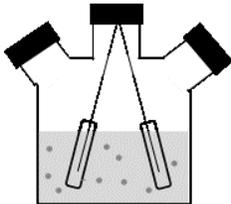
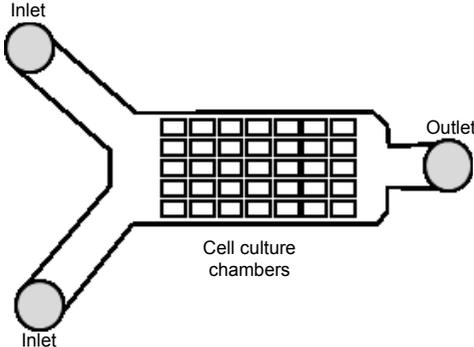
## MCTS as a predictive model for nanodrug screening

### Evaluation of drug sensitivity

Nowadays, MCTS rather than 2D cell culture-based assays are considered reliable enough for high-throughput drug screening. This model enables the selection of negative and/or positive new drug candidates, especially nanotherapeutics, thus reducing animal testing.<sup>19,40,41</sup> Moreover, the development of MCTS-based biosensor devices is becoming widespread.<sup>36,42</sup> Recently, Patra et al demonstrated the advantage to couple microfluidics with flow cytometry for the rapid production and viability analysis of a great number of MCTS with well-defined size, which were submitted to different drugs and their combination.<sup>36</sup>

Drug screening typically involves several steps. After spheroid formation (Table 1) and incubation with the drug for various periods of time, measurements of spheroid growth kinetics (ie, spheroid integrity, growth inhibition and regrowth after treatment) are performed.<sup>43</sup> They are followed

**Table 1** Comparison of MCTS fabrication methods for drug screening purpose

Methods	Advantages	Disadvantages
Spinner flask 	Simple Mass production Long-term culture High throughput	Nonhomogeneous size and cell composition High shear force ± Expensive (special equipment required)
Liquid overlay technique 	Simple ± Homogeneous spheroid size ± Coculture Inexpensive ± High throughput	No control of spheroid size Tedious medium replacement Long-term culture difficult
Hanging drop technique 	Simple Control of spheroid size Homogeneous spheroid size Coculture with defined cell types Inexpensive	Tedious handling Time consuming Long-term culture difficult Low throughput
Microfluidic 	Control of cell microenvironment Homogeneous size and cell composition Faster spheroid production and handling Analysis may be integrated into the device High throughput	Spheroid recovery difficult Special devices required High complexity Expensive

**Abbreviation:** MCTS, multicellular tumor spheroid.

by the determination of cell viability (eg, acid phosphatase or lactate dehydrogenase release assays)<sup>43,44</sup> and/or cell survival by testing the ability of a single cell to grow into a colony by clonogenic assay.<sup>40,45</sup> Overall, MCTS is more resistant to the treatment than 2D cell culture and can recapitulate the drug resistance, observed in solid tumors.<sup>41,42,46</sup>

It must be noted that MCTS with both hypoxic and necrotic areas closely mimic specific gene expression profiles of tumors *in vivo*.<sup>47</sup> Hypoxia and necrosis play key roles in the complex mechanisms (stress response, gene expression, signal transduction) of anticancer drug resistance. Spheroids have contributed to better understanding of the altered responsiveness of hypoxic tumor cells and the importance of cell–cell and cell–matrix interactions in therapeutic resistance.<sup>48</sup> Desoize and Jardillier<sup>49</sup> in 2000 showed that A549 human lung cancer cells, which have established contact with their microenvironment, become much less sensitive to anticancer drugs (ie, 27-fold decrease for cisplatin and 6,625 times lower sensitivity for vinblastine). In another

study, it has been shown that acquisition of drug resistance by ovarian carcinoma spheroids, after treatment with Taxol, was related to culture conditions of spheroids. The use of compact spheroids, with an important E-cadherin expression, involves a low incorporation and poor diffusion of chemotherapeutic drugs. This result revealed the impact of adhesion molecule in drug resistance.<sup>48,50</sup> Thus, MCTS is recognized to be a powerful model to assess tumor sensitivity to anticancer agents.<sup>19,25,40,41</sup>

The tumor-immune response assessed by MCTS coculturing between tumor and immune cells in order to observe the migration, interactions and cytotoxic effects of immune cells within spheroids is another contribution of MCTS to the development of new therapeutic strategies. It is important to note that immune response can be stimulated by promoting infiltration and cytotoxicity of leukocytes in MCTS.<sup>51</sup> For example, it was demonstrated that the blocking of lactic acid secreted by tumor cells increased the migration of monocytes into MCTS.<sup>52</sup>

## Evaluation of drug content and distribution in MCTS

Considering the complex tumor environment, the heterogeneous and limited dissemination of conventional anticancer drugs in tumors has been regarded as a crucial issue in cancer treatment.<sup>53–55</sup> In comparison with their low-weight molecular payload, nanocarriers have increased size and other specific characteristics listed in the “Impact of physicochemical properties of NPs on their penetration” section below, which increase the risk of impaired distribution within the tumor. In this regard, 3D *in vitro* models and above all MCTS have been applied for evaluating the NP potential to accumulate and penetrate in depth the tumor tissue.<sup>20,34,56–59</sup>

Determination of free or drug-loaded NPs’ distribution and concentration in spheroids can be performed by various techniques. The distribution of gold NPs in MCTS could be precisely assessed with transmission electronic microscopy (TEM),<sup>60,61</sup> while fluorescence microscopy was successfully applied for the detection of dye-labeled NPs. In this case, the NPs are either labeled with fluorescent probes,<sup>34,57,62–64</sup> specific dyes or loaded with fluorescent drugs such as doxorubicin (DOX),<sup>58,65–68</sup> Oregon Green 488 Taxol,<sup>20</sup> or porphyrin-based photosensitizers (PSs).<sup>59,69</sup> Estimation of drug concentration in MCTS could be done by high-performance liquid chromatography (HPLC),<sup>20</sup> flow cytometry or chemical extraction with successive fluorescence measurements.<sup>43,44,57,64</sup>

After incubation, MCTS is collected and embedded in special medium as tissue-Tek before being cut in thin sections (~5  $\mu\text{m}$ ).<sup>32,57</sup> The next step consists of examining spheroid sections by fluorescence microscopy to determine the extent of fluorescence intensity toward the spheroid center.<sup>20,34,58,59,62,64,70</sup> NP penetration can also be evaluated in intact fixed MCTS<sup>64–66</sup> or in intact living MCTS by using confocal laser scanning microscopy.<sup>34,71</sup> An important lesson to draw from these experiments has been the excellence of the MCTS model to determine the main factors hindering or favoring NP penetration. Two major factors can modulate accumulation and distribution of NPs in MCTS. The first one is specific characteristics of cell lines and/or methods used to form spheroids. MCTS with lower cell density and larger extracellular space enable NP migration, whereas stroma-embedded MCTS turns out to be an appropriate model to demonstrate the barrier effect of stromal components on NP penetration.<sup>34,43</sup>

The second main factor is a design of NPs that takes into account various parameters such as size,<sup>20,60–62</sup> composition,<sup>72</sup> surface charge and coating of the nanocarriers.<sup>20,64</sup> These properties are valuable indications for the optimal design of nanocarriers, allowing improved penetration in MCTS and

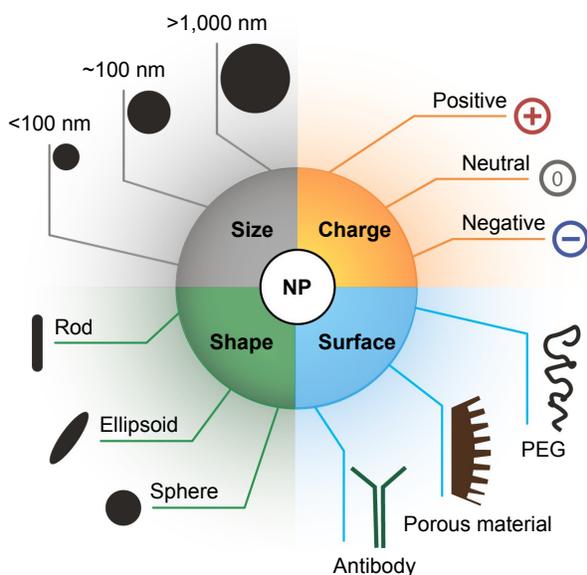
treatment effectiveness. Surface of NPs plays a key role in its intra-spheroid repartition. Here, an attractive approach is the addition of collagenase on the NP surface to trigger ECM degradation, increasing the accessible space for NP transport and therefore improving its penetration.<sup>73</sup> Likewise, gold NPs present an added benefit in drug delivery, thanks to the ease of fabrication and controllable surface functionality.<sup>60</sup>

Recent studies have shown that functionalization of gold NPs with tiopronin on NP surface considerably increases accumulation of NPs in MCTS and *in vivo* in solid xenografted tumors.<sup>61,74</sup> Another interesting approach is to design an NP with regulated drug release to control the localization of NP inside MCTS or solid tumor. For example, Sims et al designed a PEGylated NP to target center areas, thus achieving deeper penetration into spheroids. In the same paper, the authors successfully designed the NPs coated with a cell-penetrating peptide (MPG) to target proliferative regions strongly confining NPs to periphery layers.<sup>64</sup> Gold NP surface functionalization using multilayer formulation was also tested in 3D cells.<sup>72</sup> Two-layer particles were synthesized with an inner layer of alkanethiol and an outer layer of phosphatidylcholine (a major component of biological membranes). Three-layer nanoshells were additionally coated with high-density lipoprotein. Both types of NPs demonstrated considerably enhanced penetration and diffusion into 3D cells compared to standard polyethylene glycol (PEG)-coated nanoshells.<sup>72</sup>

## Impact of physicochemical properties of NPs on their penetration

Physicochemical parameters assigned to a specific compound are important for its transport and distribution in biological media, playing a critical role in NP penetration and accumulation in solid tumor. Albeit many reviews provided insightful information about this complex issue, the contribution of each parameter is not easy to evaluate.<sup>3,17,26,75</sup> Study of NPs’ structural properties such as size, shape, charge or surface (Figure 3) in the MCTS model provides information for understanding and predicting drug delivery to solid tumors.

1. Many studies demonstrated improved penetration in MCTS for NPs with small size (<100 nm).<sup>20</sup> For example, 50 nm-sized lipid NPs showed a considerably deeper penetration and homogeneous distribution as compared to 120 nm-sized ones.<sup>76</sup> NPs >100 nm are too large to cross ECM interstitial spaces in spheroid<sup>73,77</sup> and are confined to the outer layers as demonstrated with gelatin NPs (210 nm) that only penetrated ~40  $\mu\text{m}$  deep.<sup>20</sup> Micelles are generally smaller than liposomes and penetrate deeply and



**Figure 3** NP properties affecting drug penetration in MCTS. **Abbreviations:** MCTS, multicellular tumor spheroid; NP, nanoparticle; PEG, polyethylene glycol.

homogeneously in MCTS.<sup>20,62</sup> For example, it was shown that very small-sized micelles (15 nm) penetrated faster and deeper in spheroids but over time failed to be retained and thus accumulated to the same extent than their larger counterparts (55 nm).<sup>62</sup> Huang et al evaluated how the size of ultrasmall gold NPs could influence localization and penetration in MCTS. The authors highlighted that ultrasmall gold NPs (2 and 6 nm in diameter) cannot be observed in MCTS due to their small size.<sup>61</sup> The penetration behavior of NPs in MCTS was revealed to be comparable to solid tumor.<sup>60</sup> Several reports in tumor-bearing rodents demonstrated that NPs with  $\sim 100$  nm in diameter are considered optimal to escape extensive clearance and to achieve vascular extravasation by the EPR effect.<sup>75,78</sup> In fact, NPs  $<50$  nm interact with liver cells and are poorly retained in tumor tissues.<sup>75</sup> The same holds for NPs  $>300$  nm, which are massively captured by RES.<sup>79</sup>

- An equally important factor is the surface charge of NPs.<sup>80</sup> The penetration of NPs into MCTS depends strongly on their charges as shown for negatively charged quantum dots that penetrated deeper into spheroids than their positive counterparts, the latter being confined to superficial layers of spheroids.<sup>81</sup> Other examples were given by liposomes similar in sizes but different in chemical composition that strongly influenced their surface charge. Neutral liposomes displayed important penetration capacity into spheroids, but they accumulated less than their cationic DOTAP (N-[1-(2,3(dioleoyloxy)propyl]-N,N,N-trimethylammonium methyl-sulfate) counterparts. Neutral

DMPC (dimyristoyl-phosphatidylcholine):cholesterol liposomes ( $\sim -9$  mV) exhibited at  $100\ \mu\text{m}$  from the spheroid rim 49.2% diffusion compared to 2.3% and 21.4% for cationic DMPC:DOPE (dioleoylphosphatidylcholine) liposomes ( $\sim 50$  mV) with respectively DC-chol (3b-[N-(N',N'-dimethylaminoethane)-carbamoyl]cholesterol) and DOTAP components.<sup>57</sup> In another study, the limited penetration of cationic DOTAP liposomes into spheroids was attributed to electrostatic interactions of this type of liposomes with spheroid cells.<sup>20,57</sup> However, in the same study, DOTAP liposomes accumulated to a higher extent than neutral (DOPE) or anionic (DOPS) ones, suggesting that accumulation of NPs is not fully related to their ability to penetrate in depth into spheroid. A similar pattern of NPs' diffusion in tumor tissue was observed in vivo.<sup>75</sup> It must be noted that cationic liposomes targeted tumor vasculature by electrostatic binding and displayed lower ability to disseminate at distance from the vessel area in the tumor.<sup>82</sup> It was also shown that both anionic and cationic NPs ( $<-20$  mV and  $>+10$  mV) are retained by tumor ECM, probably because local patches of either negative or positive charge of heparan sulfate chains are attached to the laminin/collagen IV network.<sup>83</sup>

- The shape of the nanomaterial chosen for drug delivery also plays a critical role in NP penetration and accumulation in MCTS. The aspect ratio height/diameter ( $H/D$ ) of NPs impacts its transport through interstitial spaces of MCTS. For example, experimental findings showed that decreasing the aspect ratio from 0.45 to 0.30 improved NP accumulation and produced homogeneous penetration into spheroids.<sup>77</sup> Short nanorods (400 nm in length) accumulate more rapidly and are better internalized into spheroids as compared to long nanorods ( $<2,000$  nm in length).<sup>84</sup> In addition, nanorods compared to nanospheres seem to diffuse more rapidly in spheroids.<sup>85</sup> This result is in agreement with in vivo observations, showing that elongated shapes, such as rods and filaments, favor extravasation and accumulation of NPs. Distribution kinetics given for both shapes (elongated vs spherical) indicate that flexible nanorods accumulated more rapidly and penetrated more deeply in tumors as compared to nanospheres with identical hydrodynamic radius.<sup>86</sup>
- Modification of the surface coating is another factor affecting penetration of NPs. The inert PEG placed on the NP surface in order to minimize the interactions with the RES prevents cell interactions and therefore limits NP penetration into MCTS.<sup>20,57,75</sup> Conversely, enhancement of NP penetration by using ECM-degrading enzymes

was suggested. This approach was verified by using collagenase-coated NPs that produced a fourfold increase in the amount of 100 nm NPs delivered in MCTS.<sup>73</sup> NP diffusion in MCTS could also be improved by addition of cell-penetrating peptides on the NP surface such as RDG,<sup>87,88</sup> TAT or MPG.<sup>64,65</sup> It has been demonstrated that MPG-modified poly(D,L-lactic-co-glycolic acid) (PLGA) NPs exhibited a greater fluorescence intensity and a higher incorporation and diffusion in HeLa spheroids compared to unmodified PLGA NPs.<sup>64</sup>

Another modification affecting the carrier surface is the addition of a targeting moiety to address the cargo to specific tissue. The addition of a ligand at the NP surface, directed against receptors exposed at the outer cell surface, is indeed a mean to improve uptake and distribution of NPs in the tumor despite the NPs' complicated tumor microenvironment and complex surface chemistry.<sup>67</sup> In addition, drug delivery mediated by immunotargeting was reported to result from drug internalization into the targeted cells rather than the NPs' increased localization in the tumor.<sup>89</sup> Therefore, the risk of early internalization into the cells of the outer rim of the spheroid is not negligible.<sup>90</sup> Targeting approaches are particularly justified for brain cancer since >98% of drug molecules do not reach the tumor, mainly as a result of the blood-brain barrier (BBB). An attractive possibility to increase NPs' abilities to cross the BBB and to penetrate the glioma tissue consists of the development of dual-targeting delivery systems.<sup>91</sup> The first approach is to place at the surface of the carrier a unique ligand that targets the corresponding receptor overexpressed at the surface of both endothelial and/or cancer cells like angiopep-2, a specific ligand of low-density lipoprotein receptor-related protein (LRP).<sup>63</sup> Another solution is to modify the carrier surface with two kinds of ligands, one could target the endothelium and the other could target tumor tissue. The third possibility is to use two kinds of ligands, the first one specific for both BBB and the cancer cells and another ligand could promote the NP penetration into the tumor parenchyma. This strategy was investigated by Zong et al with DOX liposome decorated with a transferrin (Tf) fragment to target the Tf receptor overexpressed at the surface of both endothelial and tumor cells and at the same time with cell-penetrating peptide to facilitate intracellular drug delivery in tumors. The authors demonstrated a deeper NP penetration into the cell spheroid and an improved therapeutic effect of glioma tumors in animals.<sup>65</sup>

Another possibility to achieve a higher NP penetration into MCTS was assessed by coadministration of the NP with the peptide iRGD, thus facilitating the NP extravasation and

distribution.<sup>88</sup> The coadministration of a basic liposomal formulation of Taxol together with the iRGD peptide produced a threefold increase in drug accumulation and penetration into MCTS as compared with other liposomes or micelles especially designed to improve drug delivery in MCTS.<sup>20</sup>

## Drug efficacy depends on NP behavior in MCTS

The relationship that exists between the ability of NPs to accumulate/to penetrate the spheroid and drug cytotoxicity is taken more into consideration when evaluating the potential of NPs to be efficient *in vivo*.<sup>20,60</sup> In this context, Table 2 resumes recent studies that used the MCTS model to evaluate drug accumulation, penetration and cytotoxicity with the aim to demonstrate the anticancer potential of new nanotherapeutics.

In general terms, the highest cytotoxic effect in MCTS was obtained with NPs exhibiting both high penetration and accumulation. Solomon et al<sup>20</sup> showed recently that drug accumulation is associated with greater cytotoxicity even if NPs are restricted to the spheroid periphery. Formulations that penetrate deeper are also cytotoxic, but better effects are obtained when both high accumulation and penetration can be achieved.<sup>20</sup> As was already mentioned, drug penetration into the tumor tissue is a major concern in brain cancer management, and many studies with the aim to evaluate the capacity of new nanoformulations to penetrate the tumor tissue used MCTS from brain cancer cell lines (Table 2).

DOX, one of the most commonly used drugs in oncology, has occupied a key place in nanomedicine with several nanoformulations, which were either approved for treatment of patients or subjected to clinical investigations.<sup>92</sup> In fact, the serious DOX-induced adverse effects in patients are a major argument to improve its selective delivery to tumors.<sup>92</sup> The fluorescent properties of DOX molecule rend the DOX tracing quite easy in organs and cells, and therefore, DOX is considered as an excellent tool for evaluation of NPs *in vivo* and *in vitro*.<sup>93</sup> PEGylated liposomal formulations of DOX (Doxil<sup>®</sup>/Caelyx<sup>®</sup>) were already applied in patients with satisfying results in terms of reduced cardiotoxicity, albeit no significant enhancement of therapeutic efficacy has been achieved.<sup>4</sup> The limited tumor distribution of DOX, which was shown to be restricted to the vascular region *in vivo* and to the outer rim in spheroids, is detrimental to its cytotoxic potential and fully justifies the investigations aiming to increase DOX efficiency, especially by the enhancement of both DOX accumulation and distribution in cancer tissues.<sup>53,67</sup> This issue is particularly crucial in the context of multidrug

**Table 2** NPs evaluated with MCTS for drug penetration, accumulation and cytotoxicity

Drug	NPs	MCTS	Drug resistance	Target/ligand	In vivo study	Reference
DOX	Liposomes	Brain cancer C6	–	Tf receptors/Tf TAT	+	65
	Triblock polymeric micelles	Cervical cancer SiHa	–	–	+	58
	PLGA NPs	Lung cancer A549	–	Tf receptors/Tf	+	66
	Chitosan NPs	Brain cancer SH-SY5Y	–	Sialic acid groups/CPBA	+	67
DOX + CUR	Micelles	Brain cancer U87MG	+	GLUT1/GLUT1 scFv	–	98
DOX + DHA	Nanostructured lipid carrier	Breast cancer MCF7/Adr	+	–	–	71
DOX + $\alpha$ -tocopherol	Solid lipid NPs	Breast cancer MCF7/Adr	+	–	–	96
DOX + CQ	Polyphosphazene vesicles	Breast cancer MCF7/Adr	+	–	–	95
Oregon Green PTX	Liposomes/micelles	Lung cancer LLC	–	Integrin/iRGD peptide	–	20
PTX + coumarin-6	PLA NPs	Brain cancer C6	–	MTI MMP/MTI-AF7p	+	88
				Integrin/iRDG peptide	–	–
PTX + rhodamine	PEG NPs	Brain cancer U87MG	–	LRP receptor/angioprep	+	63
PTX + rhodamine + CUR	PEG-phosphatidyl ethanolamine micelles	Ovarian cancer	+	Tf receptors/Tf	+	97
		NCI-ADR-RES	–	–	–	–
DTX + coumarin-6	PLGA NPs	Brain cancer C6	–	Integrin/RGD peptide	+	87
DTX + FITC	VES-g- $\epsilon$ -PLL NPs	Brain cancer C6	–	–	+	100
mTHPC	Liposomes	Epidermoid cancer HeLa	–	–	–	59
Liposomal phtalocyanine	Natural MVs	Epidermoid cancer HeLa	–	–	–	69
Proapoptotic drug NCL-240 + rhodamine	Liposomes/micelles	Ovarian cancer NCI-ADR-RES	+	Tf receptors/Tf	–	70

**Abbreviations:** CPBA, 4-carboxyphenylboronic acid; CQ, chloroquine phosphate; CUR, curcumin; DHA, docosahexaenoic acid; DOX, doxorubicin; DTX, docetaxel; FITC, fluorescein isothiocyanate; LLC, Lewis lung carcinoma; LRP, lipoprotein receptor-related protein; MCTS, multicellular tumor spheroid; MMP, matrix metalloproteinase; MTI, membrane type-1 metalloproteinase; mTHPC, meta-tetra(hydroxyphenyl)chlorine; MV, membrane vesicle; NA, not applicable; NP, nanoparticle; PEG, polyethylene glycol; PLGA, poly(D,L-lactic-co-glycolic acid); PTX, paclitaxel; GLUT1, glucose transporter-1 antibody; GLUT1 scFv, glucose transporter-1 antibody single-chain fragment variable; SiHa, human cervical carcinoma cells; TAT, cell penetrating peptide; Tf, transferrin; VES-g- $\epsilon$ -PLL, RRR- $\alpha$ -tocopheryl succinate-grafted- $\epsilon$ -polylysine conjugate.

resistance (MDR) that counteracts DOX effects and implies the necessity to administrate DOX together with other chemotherapeutic drugs or MDR modulators.<sup>94</sup> In this regard, MCTS from MDR cell lines such as breast cancer MCF7/Adr or ovarian cancer NCI-ADR-RES are useful tools to evaluate innovative solutions to restore DOX cytotoxicity as was shown with intrinsic drug-resistant glioblastoma U87MG cells.<sup>70,71,95–98</sup> DOX-loaded nanoplatforms (targeted or nontargeted) that allow the simultaneous administration of several anticancer agents were evaluated in MCTS based on three criteria: accumulation, penetration and cytotoxic effects (Table 2).<sup>70,71,95–98</sup> An excellent example of what can be done was the coloaded of DOX with the MDR modulator curcumin (CUR) that inhibits different resistance-related pathways.<sup>94,98</sup> Polymeric micelles loaded with DOX and/or CUR (zeta potential of  $-4.4$  mV, 15 nm in size) were decorated with a single-chain fragment variable (scFv), smaller, more stable and less immunogenic than IgG antibody, and were addressed against the GLUT1 protein in overexpressed glioblastoma cells. The greater synergism of both drugs when coloaded in the GLUT1 scFv micelles was attributed to the increased drug delivery to cells. By using U87MG spheroids, deeper penetration of DOX into the spheroids was

observed and significant cytotoxic effects were measured from 48 h to 5 days after treatment. Since much less DOX was required in monolayer cells to obtain similar cytotoxic effects, the authors pointed out the necessity to use such 3D in vitro model to evaluate the efficacy of nanoformulations.<sup>98</sup> A similar approach was previously reported by the same authors with Tf-targeted paclitaxel (PTX) and CUR-coloaded micelles assessed in monolayer and spheroids from MDR ovarian cancer cells and thereafter in xenografted tumors.<sup>97</sup> PTX, one of the most effective anticancer agents, is another anticancer drug for which nanovectorization has been considered of major importance. The poor water solubility of the drug significantly limited the development of intravenous PTX formulations and the use of Cremophor® EL (CrEL) as a vehicle generated serious side effects in patients. Therefore, PTX nanoformulations have been designed to improve PTX solubilization, to avoid the use of CrEL and to ensure the stability of the NPs in the blood flow. Abraxane, a PTX albumin-based natural polymer, has been approved for clinical use, and other nanoformulations continue to be developed, some of them being evaluated in ongoing clinical trials.<sup>4,99</sup> Docetaxel (DTX), another taxane used as an anti-cancer drug, is in theory fourfold more potent than PTX but

suffered from all disadvantages of chemotherapeutic drugs, such as low water solubility, poor ability to cross the BBB, rapid clearance from the blood and short retention time in tumor.<sup>100</sup> For all the reasons given earlier, PTX and DTX are good candidates for nanovectorization even if, unlike DOX, they are not fluorescent molecules. To estimate their distribution in spheroids, Oregon Green PTX was used.<sup>20</sup> More generally, fluorescent dyes such as rhodamine,<sup>63,70,97</sup> coumarin<sup>87,88</sup> or fluorescein isothiocyanate (FITC) are appropriate for this purpose.<sup>95</sup>

Table 2 indicates that a great variety of NPs were designed to improve the anticancer drug delivery to tumors. The production of biogenic membrane vesicles (MVs) as a drug carrier constituted a particular case. Exosomes and microvesicles, both named extracellular vesicles (EVs), are endogenous particles excreted by a great variety of cell types. EVs play an important role in intercellular communication and cancer development by delivering their biological material to target cells. Biocompatibility, low immunogenicity and high stability in circulation are attractive properties of EVs, and among the potential therapeutic applications of EVs, drug delivery received more and more attention.<sup>101</sup> By using EVs charged with fusogenic liposomes (MVs), Lee et al<sup>69</sup> showed a special way for the payload to disseminate into the spheroid due to the capacity of liposomes to fuse with the cell membrane.<sup>66</sup> It seems that successive rounds of MV uptake, liposome delivery and de novo MV production by the cells contributed to deeper distribution of the liposomes into spheroids.<sup>66</sup> MVs charged with the hydrophobic PS Zn phthalocyanine (ZnPc) embedded in such liposomes were tested for PDT applications. In spheroids, increased penetration and higher cell content of PS were found, suggesting that MVs delivered the fusogenic liposomes to adjacent cells from the outer rim toward the spheroid center. Taken together, these findings can explain the higher efficacy of the photodynamic treatment obtained in spheroids and in vivo as compared with those obtained with nonfusogenic liposomes.<sup>69</sup>

Because most effective PSs are lipophilic molecules with a high propensity to aggregate, encapsulation in nanocarriers can highly influence their performance in PDT. In addition, nanocarriers have the advantage to improve tumor selectivity, resulting in an increase in photochemical efficacy while sparing healthy tissues. The PS *meta*-tetra(hydroxyphenyl)chlorine (mTHPC; Foscan<sup>®</sup>) is a potent PS approved for the treatment of head and neck carcinoma, but its poor pharmacokinetics properties leading to skin photosensitivity considerably limited its clinical application.<sup>4</sup> Liposomal formulations of mTHPC (Foslip<sup>®</sup>, Fospeg<sup>®</sup>) provided some improvements with regard to pharmacokinetics in

tumor-bearing mice, but the capacity of the mTHPC-loaded liposomes to penetrate the tumor tissue remained unknown until recently.<sup>4,102</sup> The high fluorescence quantum yield of mTHPC allows the accurate tracing of PS penetration into the MCTS.<sup>32,103</sup> It was shown that irrespective of formulation (free mTHPC, Foslip<sup>®</sup> or Fospeg<sup>®</sup>), the PS fluorescence was limited to the outer rim of the spheroid with a slightly higher drug content for liposomal mTHPC formulations. The photocytotoxicity was not significantly different between formulations. Despite the external localization of the PS, photodamage were observed in the internal region of spheroids, suggesting the diffusion of the singlet oxygen, a highly cytotoxic form of reactive oxygen species produced by the photodynamic reaction.<sup>59</sup>

Of big interest is the consistency of the results obtained in spheroids with tumors established from the same cell type. On the basis of in vivo imaging in tumor-bearing mice, a strong parallel exists in the capacity of NPs to accumulate and to penetrate in cell spheroids as compared with tumor tissue.<sup>65,66,87,88</sup> For example, England et al<sup>72</sup> have shown that smaller citrate gold NPs exhibit enhancement of diffusion and accumulation in metastatic pancreatic adenocarcinoma spheroids (S2-VP10) compared to larger silica gold NPs. These results were also obtained in S2-VP10 orthotopic xenograft tumor and showed the predictive value of MCTS.<sup>104</sup> The same statement holds for growth inhibition effects measured in MCTS and in vivo tumors subjected to NPs' treatment. The similarity between the evolution of spheroid volume and tumor growth after treatment constitutes a great advantage with respect to 2D cell models.<sup>63,87,88,95</sup>

## Predictive response of MCTS by mathematical models

Nowadays, mathematical modeling is an invaluable tool for understanding the impact of cellular interactions on drug movement within MCTS. Indeed, the physical–chemical parameters of drug accumulation and distribution in tumor tissues can be determined by means of computational modeling. Along with experimental studies, mathematical models provide pertinent perspectives for understanding mechanisms controlling drug penetration in spheroids and their consequences on treatment efficacy. Owing to their spherical symmetry, spheroids can be easily defined mathematically in radial dimensions. Equations obtained are of first order that greatly facilitate the analytical resolution. Many of mathematical models consist of systems of ordinary differential equations that describe the diffusional drug transport coupled with the specific cell binding. The tumor growth velocity is usually implemented through a generalized

Darcy's law,<sup>105</sup> while Fick's laws and Michaelis–Menten equations provide the description of NP diffusion transport and drug-cell binding.

Modeling macromolecule delivery to tumors was based either on finite element models to predict cell culture response to mitotic cell targeting drugs or on numerical solutions to simulate antibody delivery to tumor spheroids,<sup>106</sup> thereby assuming their structural uniformity and the heterogeneous distribution of binding sites in MCTS.<sup>107,108</sup> All these models focused mainly on the penetration of macromolecules (ie, dextrans and antibodies) in MCTS and showed strong evidence of transport barriers that impair macromolecule diffusion in MCTS. Currently, there is an increasing interest in the use of large NPs such as liposomes and polymeric NPs for drug delivery. Several groups used mathematical models to estimate diffusional and binding characteristics of cationic liposomes with variable charges and sizes.<sup>109,110</sup> They separated parameters affecting drug transport in the MCTS into two groups: the parameters depending on liposome to cell interactions (binding constants, uptake and retention rates, termed NP–cell biointerface parameters) and the diffusivity parameters related to MCTS environment (cell and ECM density) and NP characteristics (size, shape, etc.). Gao et al<sup>109</sup> successfully simulated diffusion of negatively charged polystyrene beads, near-neutral liposomes and positively charged liposomes with respective diameters of 20, 110 and 130 nm in 3D pharynx FaDu tumor spheroids, while Wientjes et al<sup>110</sup> achieved excellent precision in the prediction of the diffusion of NPs with various sizes (20–135 nm) and surface charges (–49 to +44 mV) in MCTS. Finally, very recently, Curtis et al examined the cytotoxicity of novel two- and three-layer gold NPs in heterogeneously vascularized tumors for future in vivo evaluation. They established a complicated mathematical model involving mass equations describing tissue growth combined with diffusion of small molecules (drug, oxygen and cell nutrients) and parameters of drug release from NPs. Moreover, the authors summarized the experimentally estimated coefficients with known literature data to complete the precise simulation of tumor nanotherapy response.<sup>111</sup>

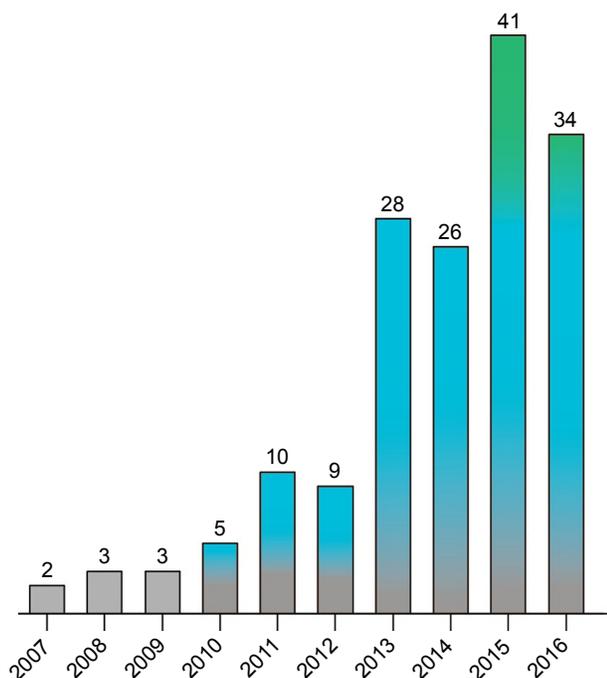
Two major advantages of the modeling of NPs' penetration into MCTS could be outlined. On one hand, it provides insight into the factors that affect the distribution of particles in avascular regions of tumors, and on the other hand, modeling helps to delineate the factors related to the improvement of the design of nanotherapeutic agents. By achieving these goals, mathematical models have the potential to provide valuable information to predict therapeutic efficacy of macromolecules or nanotherapeutics.<sup>19</sup>

## Conclusion

Nanomedicine constitutes a rich area of investigations designated to revolutionize anticancer therapy. Progresses achieved in the last 10 years offer new perspectives on key issues for drug delivery optimization and control, selective targeting of cancer cells and early detection of cancer molecular pathways. However, despite attractive concepts already highlighted in many studies, a lot of work should be done to benefit fully from the great potential of nanomedicine. It is of utmost importance to integrate all relevant disciplines, including mathematics, physics, chemistry, biology, pharmacology and clinical oncology, to break through the limits of monodisciplinary knowledge and to connect the huge potential of nanotechnology to the practical needs of medical oncology. It is obvious that a gap remains between in vitro evaluation and clinical translation. Several weaknesses have been identified and require now increased investment by the international scientific community to facilitate nanomedicine translation. Notably, the toxicity of nanomaterials toward human cells and tissues needs further development. Although NPs are designed for specific tumor targeting while avoiding systemic toxicity, NP degradation inside the body, accumulation in internal organs and potential interactions with biological processes remain major concerns. Efforts must also be made to adjust clinical trials to nanomedicine specificities by the careful recruitment of patients with the adequate response to this type of treatment.

In this context, there is an urgent need to expand the available set of tools for screening high-potential nanodrugs before taking the ultimate step of clinical investigations. The key issue of relevant preclinical models to assess the progress obtained with new nanotherapeutic concepts and guide or stop the project before significant and costly investments is highly timely.<sup>112</sup> In vitro, ex vivo and in vivo preclinical models are fundamental requirements to achieve these goals. In addition to in vitro platforms like MCTS, ex vivo organoid models of cancer or microtumors and tissue slices can be integrated into the early phase of nanodrug development.<sup>21</sup> In vivo, non-murine models (invertebrates, nematodes or zebrafish) can also be useful for initial triage in drug screening.<sup>113</sup> For the respect of vascularity, the in vivo model developed from the chorioallantoic membrane (CAM) of the chicken egg can be considered as an alternative to mammalian models. Grafting a tumor on the CAM is a relatively simple, rapid and inexpensive procedure, which may be used to evaluate nanodrug transport from the vessel compartment to the tumor.<sup>114</sup> Finally, new technologies like the most recent innovation in genome engineering are promising for enriching the catalog of animal models with

tumor that fully mimics clinical cancer. The advantage of such technology is to obtain spontaneous or induced tumor growth with similar microenvironment, including vascular network, stromal cells and immune reactions.<sup>21</sup> In fact, none of the single model can provide rapid and specific information needed for clinical translation, and 2D or 3D in vitro models are still required to provide basic data on the behavior of nanodrugs in contact with the cell components. MCTS constitutes a classical in vitro 3D model, which raises nowadays a renewed interest in the field of nanodrug delivery. A bibliographic search on MEDLINE for the terms “nanoparticles” and “tumor cell spheroids” would reveal ~160 papers published over 10 years, most of them (138) over the last 5 years (Figure 4). Undoubtedly, the constant evolution of spheroid design should contribute to more and more widespread use of this in vitro model for drug screening purpose. In fact, development of scaffold-based techniques or coculture with fibroblast has allowed to closely mimic in vivo situation and microfluidic devices along with the high-yield production of homogeneous MCTS. Another crucial characteristic is the ability to produce MCTS from various cancer cell lines such as breast, colon, ovarian, brain or prostate cancers. Using MCTS to determine tumor penetration, accumulation and antitumor activity of newly designed nanotherapeutics is becoming an essential step to demonstrate their potential value for cancer treatment.



**Figure 4** Number of publications by year.

**Note:** In all, 160 publications were found on the subject of NPs and MCTS.

**Abbreviations:** MCTS, multicellular tumor spheroid; NP, nanoparticle.

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## Disclosure

The authors report no conflicts of interest in this work.

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