# Airway dysfunction in elite swimmers: prevalence, impact, and challenges

#### Mitch Lomax

Department of Sport and Exercise Science, University of Portsmouth, Portsmouth, UK **Abstract:** The prevalence of airway dysfunction in elite swimmers is among the highest in elite athletes. The traditional view that swimmers naturally gravitate toward swimming because of preexisting respiratory disorders has been challenged. There is now sufficient evidence that the higher prevalence of bronchial tone disorders in elite swimmers is not the result of a natural selection bias. Rather, the combined effects of repeated chlorine by-product exposure and chronic endurance training can lead to airway dysfunction and atopy. This review will detail the underpinning causes of airway dysfunction observed in elite swimmers. It will also show that airway dysfunction does not prevent success in elite level swimming. Neither does it inhibit lung growth and might be partially reversible when elite swimmers retire from competition.

**Keywords:** exercise, aquatic athletes, bronchoconstriction

#### Introduction

Athletes born free from airway disease, such as asthma, are not exempt from developing respiratory disorders over the course of their competitive careers. The International Olympic Committee recognizes that endurance exercise itself is capable of increasing the risk of airway dysfunction.<sup>1</sup> While this is true of elite endurance athletes in general,<sup>2</sup> it is also true that the sport of swimming displays a comparatively high rate of airway dysfunction.<sup>3,4</sup>

The traditional explanation for this higher prevalence rate is one of selection bias. The suggestion that swimming is a more suitable activity compared to both cycling and running in asthmatics<sup>5</sup> is not surprising. For example, the hydrostatic compression effect of water can reduce the effort required to generate expiratory flow, while the natural hypoventilation and increase in central blood volume reduce inspiratory tract heat loss<sup>6</sup>; however, the hydrostatic pressure also increases the resistance during exhalation when exhaling into the air. On top of this, the aquatic environment is associated with a lower pollen count.<sup>6</sup> Coupled with the inhalation of warm humid air, this reduces the risk of asthma exacerbation.<sup>5,6</sup> It is therefore unsurprising that swimming does not adversely affect the control of asthma in children or adolescents.<sup>7,8</sup>

Despite these aquatic environment advantages, a recent Cochrane review concluded that there is insufficient evidence to suggest that aquatic-based exercise is superior to comparative nonaquatic exercise in asthmatics. Moreover, it has been shown that adolescent elite swimmers do not necessarily begin their competitive careers with airway dysfunction. There is now sufficient evidence that repeated exposure to chlorinated swimming pool water predisposes swimmers to develop airway dysfunction. 11-17 This

Correspondence: Mitch Lomax Department of Sport and Exercise Science, University of Portsmouth, Spinnaker Building, Cambridge Road, Portsmouth, PO I 2ER, UK Tel +44 23 9284 5297 Email mitch.lomax@port.ac.uk

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**Table I**  $\dot{V}O_2$  peak,  $\dot{V}_E$ , breathing frequency, and tidal volume measured during all-out front crawl, back stroke, or breast stroke swimming in trained swimmers

Parameter	Range	References
Breathing frequency (breaths/min)	39–57	21 <sup>b</sup> , 22 <sup>b</sup> , 37 <sup>a</sup> , 74 <sup>a</sup>
Tidal volume (L)	1.43-3.53	21b, 22b, 23c, 37a, 74a
$\dot{V}_{_{\rm F}}$ (L/min)	68-152	20 <sup>a</sup> , 21 <sup>b</sup> , 22 <sup>b</sup> , 23 <sup>c</sup> , 37 <sup>a</sup> , 74 <sup>a</sup>
VO <sub>2</sub> peak (L/min)	2.49-5.08	19 <sup>a</sup> , 20 <sup>a</sup> , 21 <sup>b</sup> , 22 <sup>b</sup> , 23 <sup>c</sup> , 37 <sup>a</sup> ,
		$74^{a}$ , $75^{c}$ , $76^{a}$ , $77^{a}$ , $78^{a}$ , $79^{a}$
VO <sub>2</sub> peak (mL/kg/min)	49.8-69.9	$19^a,23^c,24^a,37^a,76^a,78^a,79^a$

**Notes:** Measured during: \*progressive intensity swimming; \*ball-out swimming until exhaustion; \*fall-out 400 m or 400 yard swim.

**Abbreviations:**  $\dot{V}O_2$ , oxygen uptake;  $\dot{V}_E$ , minute ventilation.

implies that the exercise environment leads to bronchial tone disorders<sup>10</sup> and contradicts the traditional view that asthmatic individuals naturally gravitate toward the sport of swimming. Consequently, the high prevalence of airway dysfunction in elite swimmers can no longer be attributed to a selection bias.<sup>10,16</sup>

The aim of this review is to examine 1) the reasons why elite swimmers are particularly susceptible to the development of airway dysfunction and 2) the impact and challenges of diagnosing airway dysfunction. It is appropriate to begin by reviewing how the uniqueness of an aquatic environment challenges the lung.

### The trouble with water

The most obvious difference between swimming and exercise of a terrestrial nature is that the former takes place with the body horizontal while immersed in water. As the density of water is ~800 times greater than that of air, 18 these factors collectively have a marked effect on the cardio-pulmonary responses to exercise. For example, maximum heart rate, 19-24 maximum cardiac output, and maximum oxygen uptake  $(\dot{V}O_2)$  19-22,24 are lower during swimming compared with walking, running, or cycling, although this also reflects the smaller muscle mass recruited in swimming.

The hydrostatic load created by the water limits the expansion of the chest wall and lungs<sup>25</sup> pushing the chest wall inwards<sup>26</sup> and reducing residual gas volume.<sup>27</sup> It also narrows airways larger than 2 mm in diameter, which is where 90% of flow resistance occurs.<sup>28</sup> Because of the hydrostatic compression and the horizontal body position,<sup>29</sup> blood flow is shifted from the extremities into the chest.<sup>26,30</sup> The increased hydrostatic load (which counteracts inspiratory muscle force) and pulmonary engorgement (which reduces lung compliance by filling spaces in the thoracic cavity with blood rather

than air) reduces total lung capacity, <sup>31</sup> vital capacity, and the expiratory reserve volume. <sup>26,30,32,33</sup> Nonetheless, trained swimmers have a higher forced vital capacity, forced expired volume in the first second of exhalation, total lung capacity, vital capacity, inspiratory capacity, and pulmonary diffusion capacity and chest surface area compared with runners. <sup>34–36</sup>

Additionally, tidal volume tends to be higher and breathing frequency lower and with a more rapid inhalation phase<sup>34</sup> in swimming (Table 1) compared with spontaneously breathing submaximal<sup>19,22</sup> and maximal<sup>22,23</sup> walking, running, and cycling exercise. This is because breathing must be coordinated with stroke mechanics, meaning that it cannot occur ad libitum.<sup>22,34,37</sup> The one exception to this is back stroke. As the face is not immersed in water during back stroke, breathing is not physically impeded. However, this also means that the mouth and nose spend more time exposed to the water—air interface compared with front crawl, breast stroke, and butterfly. Importantly, chlorine by-products reside here.<sup>38</sup>

Chlorination is the most widely used method to disinfect pool water.<sup>39</sup> It involves adding small amounts of chlorine to water in various forms, all of which release weak hypochlorous acid.<sup>38</sup> The most common disinfectant used in public pools in the UK is hypochlorite.<sup>40</sup> The World Health Organization<sup>39</sup> suggests that the concentration of free (available to disinfect) chlorine should not exceed 3 mg/L in public pools. In the UK where hypochlorite is typically used, a minimum of 0.5 mg/L and maximum of 1 mg/L is recommended.<sup>40</sup>

When reacting with organic or inorganic matter, a number of chlorinated oxidants or derivatives (by-products) are produced which can be inhaled, ingested, or absorbed via the skin.<sup>38,39</sup> The concentration of chlorine by-products at or above the water surface is not simply a matter of the chlorine dosage. By-product level will vary with factors, such as chlorine dosing, air temperature, adequacy of pool area ventilation, and swimmer/bather load.<sup>38</sup> Swimmers are exposed to such by-products (eg, trichloramine, hypochlorous acid, and mono- and dichloramine) when inhaling air and aerosols floating just above the water surface. This is particularly problematic during exercise because of the shift from nasal breathing at rest to oral nasal breathing during exercise. Consequently, the air inhaled undergoes less filtration by the nasal cavity and chlorinated by-products are able to travel further into the lungs.38

A shift from nasal to mouth breathing occurs at ventilations as low as 22 L/min,<sup>41</sup> which is substantially lower than the minute ventilation ( $\dot{V}_{\rm E}$ ) observed during maximal swimming (see Table 1). Such a ventilation is exceeded

when swimming below 50% of maximal (max) or peak  $\dot{V}$ O<sub>2</sub>. Considering that an economical technique may require a pace equivalent to at least 40% of  $\dot{V}$ O<sub>2max</sub>, <sup>22</sup> swimmers do not need to be exercising particularly hard before their airway epithelium is subjected to increased oxidant stress arising from less air filtration. This raises an interesting and unexamined question. Are back stroke swimmers more at risk from airway dysfunction? First, because the mouth and nose spend longer at the water—air interface per stroke cycle and second, because  $\dot{V}_{\rm E}$  tends to be higher (because breathing frequency is greater) compared with the other strokes.<sup>20,37</sup>

# Airway injury and dysfunction The problem with endurance exercise

The greater prevalence of airway dysfunction in nonaquatic elite endurance athletes compared with nonaquatic elite nonendurance athletes<sup>2-4</sup> suggests that it is not just chlorine by-products that are responsible for increasing the susceptibility of elite swimmers to airway dysfunction. For example, Bonsignore et al<sup>42</sup> found that high weekly swimming volumes (32±15 km) completed in an outdoor heated (typically 27°C) pool were associated with airway neutrophilia, indicating increased airway inflammation. In the absence of any detectable chlorine irritants, the observed neutrophilia likely reflected the impact of chronic endurance exercise on airway health. In support of this, Martin et al<sup>43</sup> were unable to find any difference in the level of eosinophilic inflammation between 118 aquatic-based (swimmers, water polo players, and triathletes) and nonaquatic-based (rowers, cyclists, runners, and football players) elite athletes. They found more epithelial cells in sputum and greater eosinophilic airway inflammation in athletes demonstrating a positive eucapnic voluntary hyperpnea (EVH) test compared with those who did not. Whether or not the athlete was pool-based proved irrelevant.

Sustaining high flow rates and hence high levels of ventilation during exercise cause dehydration and cooling of the airways. To reason that when  $\dot{V}_{\rm E}$  increases from 7.5 to 60 L/min, total water loss from the airways per minute increases from 0.164 to 1.205 mL, and heat loss increases from 0.0160 to 0.0567 kcal. Airway dehydration and cooling will lead to the release of inflammatory mediators and the accompanying hyperpnea changes the viscosity, tonicity, and volume of airway surface lining. Hyperpnea will therefore expose the airway epithelium to increased sheer stress and transmural pressure gradients, health which in adolescents may not yet be fully matured. This in turn can lead to sloughing or total detachment of the dehy-

drated epithelial airway cells. 46-48 As the airway epithelium provides a physical barrier between inhaled air and internal body structures protecting the sensory nerves and smooth muscle cells from inhaled irritants, 44,49 these cells are now at risk of penetration by pathogens. 46 Additionally, disruption to the airway epithelium will lead to an increase in vascular leakage of inflammatory cells, for example, eosinophils and neutrophils, into the airways. 50

The airway epithelium does repair itself.<sup>47,48</sup> In the absence of heavy training loads, it is estimated that the upper respiratory epithelium is replenished every 30–50 days.<sup>49</sup> However, the repeated injury–repair process induced by chronic endurance training will over time cause structural and functional changes termed airway remodeling.<sup>47,48</sup> This in turn can lead to airway hyperresponsiveness (AHR).<sup>44,51,52</sup> Table 2 presents an overview of airway disorders experienced by endurance athletes.

There are a number of airway changes contributing to the negative remodeling. They include epithelial metaplasia, proliferation of airway smooth muscle cells, thickened reticular basement membrane, goblet cell hyperplasia, and the deposition of plasma-derived adhesive proteins. 15,52,53 Moreover, if the airways experience sufficient exposure to allergens (including chlorine by-products), allergic diseases may develop as the airways undergo remodeling. 16

Importantly, environmental stressors will add to the hyperpnea-induced epithelial stress. For example, cold environments require the inspired air to be subjected to extra conditioning leading to an increase in airway dehydration. Postexercise, rewarming of the airway adds a thermal stress<sup>47</sup> resulting in mucosal edema and bronchoconstriction. This may be more relevant to swimmers who train or compete in cold temperatures and, in the case of sea swimmers, are exposed to a hypertonic environment. Of greater relevance to this review, however, is the impact of chlorine and its by-products.

## Biomarkers of airway dysfunction in swimmers

It has been found that induced sputum of elite swimmers contains increased proportions of eosinophils and neutrophils (markers of airway inflammation) and increased concentrations of soluble cell markers compared with the sputum of healthy controls.<sup>54</sup> In addition, in well-trained swimmers (mean age of 20–21 years), epithelial desquamation,<sup>44</sup> subepithelial fibrosis and mucin secretion, and goblet cell hyperplasia<sup>15</sup> have been observed following an average of 10–11 years of training. Additionally, sputum bronchoprotective

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Table 2 Overview of respiratory disorders observed in endurance-based athletes

Disorder	Explanation
Bronchial tone disorders	5
Asthma	Reversible narrowing of the airways. Manifested by inflammation and widespread narrowing.
AHR	Allergen exposure enhances the response to exercise in asthmatics and increases the responsiveness to
	pharmacological agents. The airways over-respond to various stimuli causing reversible airway obstruction.
BHR	Positive response to direct (eg, methacholine or histamine) and indirect (eg, exercise, EVH, or hypertonic aerosols) stimuli.
EIA	Occurs in individuals who have underlying asthma and exercise is an exacerbation trigger.
EIB	Transient narrowing of the airways (bronchospasm) that follows vigorous exercise in individuals with no history of asthma.
Other disorders	
EFL	Occurs in nonasthmatics when the tidal flow-to-volume envelope loop encroaches on the maximal loop. A $\dot{V}_E$ in excess of 120–130 L/min is required. More prevalent in females.
Rhinitis	Prevalence of rhinitis in competitive swimmers is high and thought to reflect irritation of nasal mucosa by chlorinated water. Symptoms include nasal obstruction, rhinorrhea, nasal burning, sneezing, and nasal itching.
Swimming-induced pulmona	ry Occurs in response to a single heavy swimming session. More prevalent than nonaquatic pulmonary edema.
edema	Reflects pulmonary capillary stress failure brought about by immersion.
VCD	Associated with inspiratory wheeze. Occurs during maximal exercise. Symptoms disappear once hyperpnea or
	hyperventilation stops. Often misdiagnosed as asthma or EIB. More prevalent in females.

Note: Adapted from references. 2,48,51,52,65,80-86

**Abbreviations:** AHR, airway hyperresponsiveness; BHR, bronchial hyperresponsiveness; EIA, exercise-induced asthma; EIB, exercise-induced bronchoconstriction; EFL, expiratory flow limitation; EVH, eucapnic voluntary hyperpnea;  $\dot{V}_E$ , minute ventilation; VCD, vocal cord dysfunction.

prostaglandin  $E_2$ , which inhibits mast cell activation and induces relaxation of airway smooth muscle,<sup>49</sup> is higher in elite pool-based aquatic athletes than nonaquatic athletes following an EVH test.<sup>43</sup> The increased concentration of sputum prostaglandin  $E_2$  was thought to reflect the added stress placed on the airways by exposure to chlorine by-products.<sup>43</sup>

Attendance at chlorinated pools during childhood has been associated with a decrease in Club cell protein 16 (CC16) <sup>16,55</sup> and the CC16/surfactant-associated protein D ratio. <sup>16</sup> CC16 is an anti-inflammatory protein secreted exclusively by the Clara cells in the bronchioles. <sup>16,49,56</sup> Surfactant-associated protein D is a marker of epithelial permeability <sup>16,56</sup> and it has been shown that 11.9% of the variance observed in serum surfactant-associated protein D in swimmers can be explained by pool attendance. <sup>56</sup>

An increase in CC16 to a bronchial challenge is indicative of respiratory epithelial stress. Elevated urinary CC16 levels have been observed in adolescent and young adult (mean age of 16 years, range of 12–23 years) swimmers following an exercise challenge.<sup>57</sup> Romberg et al<sup>57</sup> found that out of 101 competitive swimmers with a weekly training duration of 10–30 hours, urinary CC16 was greater following a 6–8 minute swim challenge in 90% of those sampled. Additionally, baseline CC16 was weakly correlated with the fraction of exhaled nitric oxide (FeNO) (r=0.26, P<0.010). As FeNO is a surrogate biomarker of eosinophilic inflammation, this suggests a role of CC16 in airway inflammation.<sup>57</sup>

In contrast, a reduction in baseline CC16 likely indicates epithelial dysfunction.<sup>55,57</sup> A fall in the production of CC16 could also mask an increase in the intravascular leakage of

CC16 into the airways.<sup>55</sup> Such a leakage has been reported in elite asthmatic and elite nonasthmatic swimmers undertaking high training volumes (12±15 and 15±8 hours per week, respectively) with 6±5.5 years of competitive experience.<sup>50</sup> Interestingly, Moreira et al<sup>50</sup> found that the proportion of individuals demonstrating increased vascular permeability was similar between asthmatic and nonasthmatic elite swimmers and asthmatic control subjects. They also observed a high number of epithelial cells in the sputum of nonasthmatic swimmers. This may suggest developing of airway dysfunction in the nonasthmatic swimmers.

Belda et al<sup>14</sup> observed a correlation between the duration of training and sputum neutrophil counts (r=0.36, P=0.04) in elite aquatic-based, but not nonaquatic-based (r=-0.16, P=0.45), athletes. They suggested that the increased airway inflammatory response is likely caused by exposure to chlorine by-products. This supports the idea that cumulative life time exposure to chlorine by-products is important for the development of airway dysfunction. 10,54,58,59 For example, Helenius et al<sup>54</sup> reported that out of 29 elite Finnish swimmers, 28 were nonasthmatic at the start of their competitive careers. Over the course of their competitive careers, which lasted on average 9 years, 17% were diagnosed with asthma. Similarly, in a group of 34 Italian swimmers (age range 7-20 years) who had been competing for at least 4 years and obtained a cumulative lifetime pool attendance of over 400 hours, 68% reported at least one asthma-like symptom, 74% exhibited allergic sensitization, and 53% demonstrated a positive response to a methacholine challenge. The proportion of swimmers with allergic sensitization and AHR was

also greater than that expected for the general population. Importantly, none of the swimmers had selected swimming because of preexisting respiratory problems.<sup>59</sup>

Evidence available suggests that it may take at least 4 years of competitive swim training before airway dysfunction develops in swimmers, and this is typically preceded by several years of noncompetitive swimming. 10,58,59 For example, Pedersen et al 10,58 found that adolescent swimmers (aged 12–16 years) with 2 to 3 years of competitive experience showed no evidence of raised baseline airway inflammation even when the total swimming training exceeded 9 years. 58

Similarly, Carraro et al<sup>60</sup> found that intermittent exposure to chlorine by-products failed to negatively impact airway inflammation in response to recreational swimming. FeNO concentrations were similar among 241 children (aged 7 to 10 years) regardless of whether or not they undertook 1–2 hours of weekly swimming for 6 months. In a survey of 5,738 British school children, Font-Ribera et al<sup>7</sup> found that swimming infrequently, once per month, once per week, or more than once a week up to the age of 7 years was not associated with the development of asthma or atopy (susceptibility to allergic reactions). On the contrary, they found that lung function was improved and the prevalence of asthma symptoms in those with respiratory conditions was improved with swimming. However, none of the swimmers in the studies by Font-Ribera et al<sup>7</sup> and Carraro et al<sup>60</sup> were reported to be well trained or competitive swimmers. In contrast, competitive swimmers aged 8 to 23 years are reported to spend between 10 and 30 hours in the pool weekly<sup>10,57-59</sup> and therefore subject their airway epithelium to a much greater cumulative stress.

### Prevalence of airway dysfunction in elite swimmers

The proportion of elite and highly trained swimmers suffering from some form of bronchial tone respiratory disorder is high, with reports indicating it may approach<sup>54,61</sup> or exceed<sup>44,59,62</sup> 50%. A greater prevalence of asthma, AHR, and bronchial hyperresponsiveness has been reported in swimmers compared with healthy individuals,<sup>54,63</sup> cold-weather athletes,<sup>3,44</sup> and nonaquatic-based athletes.<sup>3,4,14,43</sup> Furthermore, the relative risk of asthma in atopic swimmers has been shown to be greater (97-fold) than that of atopic long distance runners (42-fold) and speed and power athletes (25-fold).<sup>64</sup>

During the Olympic Games of 2004 (Athens) and 2008 (Beijing), the number of aquatic athletes with asthma was greater than nonaquatic athletes.<sup>4</sup> When looking at the mean percentage of athletes approved to use  $\beta_2$ -agonists during the 2004 and 2008 Olympic Games, only cycling and modern

pentathlon had a similar prevalence (17.2% and 17.1%, respectively) to swimming (16.8%) with triathlon exhibiting the largest prevalence (24.9%).<sup>3</sup> Focusing on aquatic disciplines specifically, Mountjoy et al4 extended their assessment to include data from the World Championships and Olympic Games from 2004 until 2009. They found that divers typically had the lowest prevalence of asthma compared with swimmers, water polo players, and synchronization swimmers. In contrast, swimmers typically had the greatest prevalence, ~12% to 25%. Mountjoy et al<sup>4</sup> also observed that the prevalence of asthma/AHR was greater in elite aquatic athletes in Europe, North America, and Oceania than Africa, Asia, and South America. Although the authors were unable to ascertain why, they suggested that racial or genetic differences and/or geographical differences in diagnostic evaluations of bronchial tone disorders, chlorination usage and regulation, and participation rates could be possible explanations.

### Impact of airway dysfunction on swimmers

Exposure to chlorine by-products does not negatively impact lung growth. For example, baseline forced expired volume in the first second of exhalation is around 10%-19% higher in elite swimmers than predicted, while baseline forced vital capacity is ~15%-34% greater. 10,15,43,50,57,58,61,65 There is also no evidence that airway dysfunction is a barrier to success in swimming. For example, in the 2004 Athens Olympic Games, 56 athletes met the International Olympic Committee Medical Commission's criteria for asthma, and went on to win a total of 17 medals (seven gold, seven silver, and three bronze). 66 Of the 19% of swimmers who met the International Olympic Committee-Medical Commission's criteria for using  $\beta_3$ -agonists in the 2008 Beijing Olympic Games, 33% went on to win medals.<sup>3,67</sup> This is similar to the percentage of cyclists approved to use  $\beta_2$ -agonists who went on to win medals at the same Olympic Games (29%) and is greater than the percentage of medals won by all asthmatic athletes (5%-16%) during the Salt Lake City, Athens, Torino, and Vancouver Olympic Games of 2002 to 2010.3

Before an elite swimmer is permitted to use a medical treatment for AHR, they must provide evidence of a positive bronchial provocation test. Any medication (eg, inhaled corticosteroids, inhaled  $\beta_2$ -agonists) must comply with the World Anti-Doping Agency regulations and swimmers can only use medications in accordance with Therapeutic Use Exemption.  $^{1.68}$ 

Bronchial provocation tests can be categorized as direct or indirect tests. Direct tests act on the smooth muscle cell Lomax Dovepress

Table 3 Positive test criteria for some common bronchial provocation tests: WADA guidelines

Test	Positive criteria
EVH	≥10% fall of FEV <sub>1</sub>
Exercise challenge	≥10% fall of FEV
Histamine challenge	≥20% fall of FEV, at a histamine concentration of 8 mg/mL or less during a graded test of 2 minutes
Mannitol inhalation	≥15% fall in FEV, after challenge
Methacholine challenge	≥20% fall in FEV <sub>1</sub> . If steroid naïve PC <sub>20</sub> should be <4 mg/mL. If taking inhaled glucocorticoids for more than 1 month,
	PD <sub>20</sub> should be ≤1,600 μg or PC <sub>20</sub> ≤16.0 mg/mL

Note: WADA guidelines.68

**Abbreviations:** WADA, World Anti-Doping Agency; EVH, eucapnic voluntary hyperpnea; FEV<sub>1</sub>, forced expired volume in the first second of exhalation; PC<sub>20</sub>, concentration of the agonist in the inhaled aerosol leading to a fall in FEV<sub>1</sub> of 20%; PD<sub>30</sub>, administered dose of an inhaled aerosol which causes FEV<sub>1</sub> to fall by 20%.

receptors, whereas indirect tests act through intermediate pathways and commonly via the release of mediators from inflammatory cells.<sup>52</sup> Those used in studies of elite swimmers include methacholine challenge,<sup>10,44,50,61,65</sup> EVH,<sup>15,43,61,65</sup> histamine,<sup>44,54,64,69</sup> exercise challenge,<sup>57,61,70</sup> and mannitol.<sup>57</sup> Table 3 contains an overview of the current World Anti-Doping Agency (2015) criteria for a positive bronchial provocation test.

Methacholine challenge and EVH have proved to be the most popular bronchial provocation tests used in elite swimmers. Pedersen et al61 found that EVH was more sensitive than a methacholine challenge, a laboratory-based treadmill exercise provocation test (incremental test to exhaustion), or a swimming-based exercise challenge (minimum of 200 m at the fastest possible speed). Of the 21 elite female swimmers they tested, 16 had at least one positive test to exercise hyperpnea or EVH. Ten (63%) could be identified with EVH, eight (50%) with a swimming challenge, and eight (50%) with a laboratory-based test. Only six (38%) could be identified with a methacholine challenge indicating that this test is the one that has the least sensitive bronchial provocation in elite swimmers. It is therefore not surprising that EVH has been proposed as the preferred test to identify exercise-induced bronchoconstriction (EIB) in these athletes. 10,61

As a disconnect has been observed between symptoms suggestive of EIB and positive EIB test results, it is prudent to screen all elite swimmers for EIB.<sup>43,61,70</sup> For example, Clearie et al<sup>70</sup> found that less than half of the 36 elite adolescent Scottish swimmers who exhibited positive EIB results reported symptoms suggestive of EIB. However, if a positive EVH test is not observed, it should be followed up with a strenuous laboratory-based test.<sup>61</sup> But, the timing of any such test must be given serious consideration. Bougault et al<sup>65</sup> found that AHR and EIB can be transient during intense training. Specifically, 12 out of 19 well-trained swimmers exhibited AHR in response to either EVH or a methacholine challenge when tested during a period of intense training. When tested

again following at least 15 days of light or no training, airway responsiveness to a methacholine challenge or EVH had normalized in 67% (eight) of the swimmers.

It is also relevant to note that symptoms indicative of mild asthma can be partially reversed when elite swimmers retire from competition. Over a 5-year period, Helenius et al<sup>69</sup> monitored 42 elite Finnish swimmers, which accounted for 88% of the Finnish National swimming teams. In all, 62% retired from competition during this period while 38% continued their competitive careers. In those who continued to compete, the differential cell counts of eosinophils and lymphocytes significantly increased and the occurrence of asthma increased by 80% (from five swimmers to nine). Conversely, in swimmers who retired from competition, the eosinophil and lymphocyte differential cell counts fell and asthma decreased by 15% (from seven swimmers to six). However, the proportion of the reversal that could be attributed to reduced chlorine exposure versus reduced exercise volume was not determined.

Chlorine alternative pool water disinfection methods should also be considered in an attempt to reduce the prevalence of airway dysfunction in elite swimmers. Alternatives such as ozone, ultraviolet radiation, and copper/silver<sup>39,40,71–73</sup> are available. However, disinfection by ozone (which itself is a major respiratory irritant) and ultraviolet radiation only purify the water as it passes through the plant room. The water that remains in the pool therefore contains no residual disinfectant. Consequently, ozone or ultraviolet radiation disinfection methods need to be used in conjunction with chlorine or bromine-based disinfectants.<sup>39</sup>

Nonetheless, the small number of studies examining chlorine alternative disinfection methods reveal promising results. For example, Carbonnelle et al<sup>72</sup> found that a single swimming session of 1,300 m (45 minutes in duration) was associated with a 34% increase in FeNO when completed in a pool disinfected by the copper/silver method (ambient nitrogen trichloride concentration of  $<20 \,\mu\text{g/m}^3$ ). Conversely,

the expected exercise-induced increase in FeNO was inhibited when undertaken in a chlorine disinfected pool (ambient nitrogen trichloride concentration of  $160{\text -}280~\mu\text{g/m}^3$ ). In a similar study, Carbonnelle et al<sup>71</sup> observed an increase in the serum concentrations of surfactant-associated protein A and surfactant-associated protein B following 1,500 m swimming (45 minutes in duration) in a chlorinated pool (mean ambient nitrogen trichloride concentration of 355  $\mu\text{g/m}^3$ ) but observed no such changes in response to swimming in a copper/silver disinfected pool (nitrogen trichloride levels were too low for detection). These findings indicate that a single swimming session in a pool disinfected by the copper/silver method does not increase lung hyperpermeability.<sup>71</sup>

Furthermore, Fernández-Luna et al<sup>73</sup> found that regular low volume training in a pool disinfected by ozone can reduce lung epithelial damage when compared with training in a chlorinated pool. Daily training volumes of 500±300 m (50 minutes) two to three times per week for 3 months in a chlorinated pool (free chlorine 1.1±0.3 mg/L) increased basal plasma CC16 by 55% in healthy adults. However, in those training in an ozone disinfected pool (total bromine 1.8±0.3 mg/L), CC16 was unaffected.

Although these studies suggest that airway health may be better protected in the short term when chlorine alternative disinfection methods are used, there is a paucity of longitudinal data. Clearly, more studies are needed to examine if such methods offer long-term protection against the magnitude of airway dysfunction that may develop over time. Similarly, training outdoors, for example, outdoor swimming pools, seas, lakes, or rivers, may reduce the exposure to chlorine by-products. However, climate may make this impractical and other dangers to health may exist.

### **Conclusion**

There is now compelling evidence that the combined effects of chronic endurance exercise and exposure to chlorine by-products can lead to airway dysfunction in elite swimmers, even in those with no prior history of respiratory disorders. However, airway dysfunction does not appear to be a barrier to success in elite swimmers nor does it appear to negatively impact lung growth.

The traditional view that the relatively high prevalence of airway dysfunction observed in swimming is reflective of a selection bias can now be refuted. What is unclear is what the critical cumulative chronic endurance exercise and chlorine by-product exposure are. Given the complexity and number of factors that can impact airway remodeling and dysfunction, this will not be a simple question to answer. Based on the lim-

ited evidence available, a best estimate is that a minimum of 4 years of competitive swimming consisting of several (>10) training hours per week is required for dysfunction to develop in healthy swimmers. However, this estimation is made cautiously, especially as competitive swimming will naturally be preceded by a period of noncompetitive swimming during which appropriate skill and fitness levels are developed.

Given the independent effect of chronic endurance exercise on airway remodeling, it is unlikely that changing swimming pool disinfection methods will prevent the development of airway dysfunction in elite swimmers. However, findings from studies comparing the impact of chlorine versus nonchlorine water disinfection methods suggest that chlorine alternatives (such as copper/silver and ozone) subject the airways to less stress. If the results of such studies, which have focused on short-term airway responses, translate into long-term benefits, the prevalence of airway dysfunction in elite swimmers could be reduced by adopting chlorine alternative disinfection methods. Longitudinal studies are required to investigate this possibility.

### **Disclosure**

The author reports no conflicts of interest in this work.

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