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ORIGINAL RESEARCH

Medication adherence in patients with psychotic disorders: an observational survey involving patients before they switch to long-acting injectable risperidone

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Background: Maintaining antipsychotic therapy in psychosis is important in preventing relapse. Long-acting depot preparations can prevent covert non-adherence and thus potentially contribute to better patient outcomes. In this observational survey the main objective is to evaluate medication adherence and its determinants for oral treatment in a large sample of patients with psychosis.

Methods: In this cross-sectional survey medication adherence for oral treatment was assessed by patients using the patient-rated Medication Adherence Questionnaire (MAQ). Data were collected by physicians on patients with a recent acute psychotic episode before switching to long-acting injectable risperidone. Other evaluations included disease severity (Clinical Global Impression - Severity), patients' insight (Positive and Negative Syndrome Scale item G12), treatment acceptance (clinician-rated Compliance Rating Scale), and therapeutic alliance (patient-rated 4-Point ordinal Alliance Scale).

Results: A total of 399 psychiatrists enrolled 1,887 patients (mean age 36.8±11.9 years; 61.6% had schizophrenia). Adherence to oral medication was "low" in 53.2% of patients, "medium" in 29.5%, and "high" in 17.3%. Of patients with psychiatrist-rated active acceptance of treatment, 70% had "medium" or "high" MAQ scores (P<0.0001). Medication adherence was significantly associated with the rapeutic alliance (4-Point ordinal Alliance Scale score; P < 0.0001). Patient age was significantly associated with adherence: mean age increased with greater adherence (35.6, 36.7, and 38.6 years for patients with "low", "medium", and "high" levels of adherence, respectively; P=0.0007), while age <40 years was associated with "low" MAQ classification (P=0.0003). Poor adherence was also associated with a diagnosis of schizophrenia (P=0.0083), more severe disease (Clinical Global Impression – Severity ≥ 4 ; P < 0.0001), and lower insight (Positive and Negative Syndrome Scale-G12 \geq 4; P < 0.0001).

Conclusion: Self-reported adherence was low in most patients, with a strong positive association between self-reported adherence and psychiatrists' assessment of treatment acceptance. Understanding factors associated with poor medication adherence may help physicians to better manage their patients, thereby improving outcomes.

Keywords: schizophrenia, long-acting antipsychotic, medication adherence, therapeutic alliance

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Background

Schizophrenia is a chronic, severe, and disabling disorder. Although most patients recover symptomatically from their first episode, the majority will experience subsequent psychotic relapses. Disease progression typically involves multiple cycles of exacerbation

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and remission, and patients may have incomplete recovery from psychotic relapses, thereby accumulating morbidity;¹ progressive functional deterioration can thus occur.

Long-term continuous treatment is required to achieve and sustain remission.² Early therapeutic intervention and long-term maintenance of medication are predictive of better outcomes and fewer relapses.^{1,3} Furthermore, the early phase of psychosis may present important opportunities for secondary prevention – critical psychosocial influences, including psychological reactions to psychiatric services, develop during this period.⁴

One of the main barriers to successful management of schizophrenia, which is relatively common among patients with this condition, is poor adherence to medication. ^{2,5–8} This is a major (yet potentially modifiable) risk factor for relapse, hospitalization, and even suicide attempts. ^{2,6,7,9,10}

Medication adherence is a complex issue affected by many factors, and adherence problems are multi-determined.^{8,11} Prediction of risk factors for these problems may help to facilitate strategies for improved adherence.⁹ Poor insight/lack of illness awareness has been reported as a key factor for non-/partial adherence or negative attitudes toward antipsychotic medication.^{5,8,12–14} In addition to lack of insight, the clinician's style of communication and therapeutic alliance (patient–clinician relationship) are important.^{7,15–18} Indeed, weak therapeutic alliance and low patient insight have been shown to be significantly associated with poor adherence in patients with schizophrenia or schizoaffective disorder.⁷ Consequently, to improve adherence and outcomes, psychoeducational programs aiming to improve therapeutic alliance and insight should be implemented.⁷

Because maintaining antipsychotic therapy is also a key element in preventing relapse, long-acting depot preparations offer a useful therapeutic option;^{19,20} these can prevent covert non-adherence and thus potentially contribute to better patient outcomes.^{21–23} In this cross-sectional survey the main objective was to evaluate medication adherence and its determinants for oral treatment in a large sample of patients with psychosis after an acute episode and just before switching to long-acting injectable risperidone. Understanding factors associated with poor medication adherence may help physicians to better manage their patients, thereby improving outcomes.

Methods

Study design and patients

This study was an observational survey, being performed under "real-life" conditions in accordance with applicable guidelines. The study received approval from the local human research committee. All patients provided written informed consent before data collection. While the initial data collection took place between April 2007 and June 2008, the full statistical analysis described in the current manuscript was competed in October 2012.

From an initial pool of 5,500 psychiatrists working in public or private clinics throughout France, a representative sub-sample of 1,500 individuals was selected, weighted by geographic region. The psychiatrists routinely treated both hospitalized individuals and outpatients for psychotic disorders. By randomization, a total of 500 psychiatrists from the diverse regions were then asked to systematically collect observations for at least the first four patients assessed who met the inclusion criteria. Of these 500 psychiatrists, 399 actively participated in the survey and included 1,887 patients, representing a mean of 4.7 patients per psychiatrist. To be included in this survey, patients were required to be hospitalized or community-dwelling individuals with psychosis (International Classification of Diseases [ICD]-10), diagnosed with a recent acute psychotic episode that had been stabilized using oral risperidone before a subsequent switch to long-acting injectable risperidone was initiated. Additional patient inclusion criteria included age ≥18 years and fluency in the French language with the ability to understand the study protocol. Exclusion criteria included traumatic head injury, any past or present major medical or neurological illness, and mental retardation.

The treating psychiatrist, using a standardized semistructured questionnaire, collected baseline sociodemographic and clinical information. Disease severity, patients' levels of insight into their condition, and treatment acceptance were evaluated by the treating psychiatrist as described below, together with a self-report patient questionnaire which assessed medication adherence and therapeutic alliance. All the participants were evaluated after the acute phase in a single interview of approximately 45 minutes.

Outcome measures

Disease severity

Disease severity was assessed by the psychiatrist using the "severity of illness" section of the Clinical Global Impression Scale (CGI-S).²⁴ The CGI-S scale is a well-known and relatively straightforward single-item instrument used to assess the overall (global) severity of illness as a graded measure of increasing psychopathology from 1 to 7. The original description of the CGI-S provided the progressive seven-point range of scores but did not offer scoring anchors to standardize scoring between raters. Recently, published

scoring guidelines have improved both inter-rater reliability and the precision of CGI scoring (r=0.79).²⁵ The CGI-S scale offers a readily understood, practical measurement tool that can be easily administered by a clinician in a busy clinical practice setting.

Insight

The Positive and Negative Syndrome Scale (PANSS) is a 30-item rating scale for use by clinically trained research staff.²⁶ In this study, insight was evaluated using the G12 item of the PANSS ("lack of judgment and insight"). This is rated from 1 to 7, representing increasing levels of psychopathology: 1= absent; 2= minimal; 3= mild; 4= moderate; 5= moderately severe; 6= severe; 7= extremely severe. PANSS-G12 scores reflect global awareness of symptoms, treatment need, and consequences of illness, with higher scores indicating lower insight.

Medication adherence

Medication adherence was evaluated using the Medication Adherence Questionnaire (MAQ), which considers the patient's medication-taking behavior over the previous week.²⁷ For the purpose of the current study, adherence for outpatients was based on the week before interview whereas inpatients were asked to respond by referring to adherence behavior before hospitalization. Completed by the patient, this is a short, simple tool comprising four items:

- 1) Do you ever forget to take your medication?
- 2) Are you sometimes careless about taking medication?
- 3) When you feel better, do you sometimes stop taking medication?
- 4) Sometimes when you feel worse, do you stop taking your medication?

Each question is answered "Yes" or "No", and each "Yes" answer receives a score of 1, with the total scoring ranging from 0 to 4. The total score permits classification of level of adherence into three discrete categories: "low" (three or four "Yes" answers), "medium" (one or two "Yes" answers), or "high" (no "Yes" answers).

Treatment acceptance

Each patient's level of treatment acceptance was assessed by his/her treating psychiatrist using a clinician-rated 7-point ordinal scale (Compliance Rating Scale [CRS]) as described by Kemp et al.²⁸ Patients who completely refused treatment were assigned a score of 1; patients who partially refused treatment were assigned a score of 2; reluctant, occasional or passive acceptance of treatment were scored as 3, 4 or 5, respectively; moderate or active participation in treatment

acceptance received scores of 6 and 7, respectively. With this outcome measure, a score \geq 5 was regarded as indicative of adherence.

Therapeutic alliance

The 4-Point ordinal Alliance Scale (4PAS) was used to assess therapeutic alliance;¹⁷ it includes elements relating to a patient's relationship with his/her clinician on a day-to-day basis and to medication. Completed by the patient, the 4PAS is amenable for use in clinical psychiatric practice. It comprises an 11-item questionnaire, which is scored using a 4-point Likert scale ranging from 1 ("strongly disagree") to 4 ("strongly agree"). The total score ranges from 11 to 44, with a higher 4PAS score indicating better therapeutic alliance.

Statistical methods

Results were recorded anonymously for subsequent data processing, which was conducted using SAS software, version 8.2 (SAS Institute Inc., Cary, NC, USA).²⁹

Sociodemographic and clinical variables are presented descriptively. Quantitative parameters are described with means, medians, standard deviations (SDs), and ranges. Qualitative parameters are presented in terms of frequencies and percentages.

The Kolmogorov–Smirnov one-sample test was used to verify the distribution of the data. Differences between groups were investigated with tests applicable to the variables under consideration; these included the Student's *t*-test and Mann–Whitney–Wilcoxon tests for normally and non-normally distributed continuous variables, respectively, and the χ^2 or Kruskal–Wallis test, as appropriate, for categorical variables, as shown in the "Results" section. Multivariate logistic regression analyses were performed on clinical variables with *P*-values <0.05 in the univariate analysis and quantified with odds ratios and their 95% confidence intervals.

Results

Sociodemographic and clinical characteristics of the study participants

In total, 399 psychiatrists participated in this survey (mean \pm SD age of 44.7 \pm 8.2 years; median 45 years, range 28–64 years), the majority of whom (57.9%) were male.

The survey also involved 1,887 patients (mean \pm SD age of 36.8 \pm 11.9 years; median 35 years, range 18–90 years), and the majority of these (64.3%) were also male (Table 1). Most of the patients had been diagnosed with schizophrenia (61.6%), although schizoaffective disorder (19.3%) and other psychotic disorders (19.1%) had been identified. More than half the patients (57.8%) were hospitalized, 42.2% were

Table I Demographic characteristics of the patients

Characteristic	
Age in years, mean ± SD	36.8±11.9*
Sex, n (%) patients	
Male	1,210 (64.3)
Female	673 (35.7)
Marital status, n (%) patients	
Single	1,279 (68.0)
Married or in partnership	335 (17.8)
Divorced, separated, or widowed	266 (14.1)
Living arrangements, n (%) patients	
Living independently	1,014 (54.5)
Institutionalized	729 (39.2)
No fixed residence	61 (3.3)
Other	55 (3.0)
Occupational status, n (%) patients	
Unemployed	1,440 (76.9)
Employed	433 (23.1)

Note: *n=1,872.

Abbreviation: SD, standard deviation.

outpatients, and 38.8% of the sample had a monthly consultation with a psychiatrist.

The two main reasons to start the long-acting injectable risperidone were related to non-compliance with oral antipsychotic treatment (92.4%) and intention to improve efficacy (86.4%). Maintenance of a good therapeutic alliance (70.3%) and treatment tolerability (54.6%) were also often cited. According to the CGI-S, 67.7% of the patients were "moderately ill" or "markedly ill", and 21.1% were "severely ill" or "among the most extremely ill".

Insight (PANSS-G12 scores) was considered "normal" in 36.6% of patients, "moderate" in 34.8%, and "low" in 28.6%.

Medication adherence (MAQ data)

Medication adherence was evaluated in a total of 1,610 patients (85.3%) who completed the MAQ. Adherence to oral medication, before initiating long-acting injectable risperidone, was "low" in 53.2% of patients, "medium" in 29.5%, and "high" in 17.3%.

The MAQ results also showed that 64.0% of patients occasionally forgot to take their medication (Item 1), 63.6% neglected the correct time for taking the medication (Item 2), and 60.7% sometimes interrupted their medication when feeling better (Item 3).

Medication adherence (MAQ) and treatment acceptance (CRS)

As assessed by the treating psychiatrist, 41.6% of patients demonstrated some degree of reticence or reluctance toward

receiving treatment, while 58.4% had a passive, moderately active, or active acceptance of treatment (CRS data).

A strong positive association was apparent between medication adherence as reported by patients ("medium" or "high" MAQ scores) and treatment acceptance as assessed by the psychiatrists (P<0.0001, χ^2 test): 70% of patients with active acceptance of treatment had "medium" or "high" MAQ scores.

Medication adherence (MAQ) and therapeutic alliance (4PAS)

Evaluating therapeutic alliance using the 4PAS, the mean score was 35.8 \pm 5.9 (median 36, range 11–44). The internal consistency of this scale was excellent (Cronbach's α =0.92).

Medication adherence, "low", "medium", or "high" as evaluated in terms of the MAQ, was significantly associated with the apeutic alliance, as measured by 4PAS score (P < 0.0001) (Figure 1).

Demographic factors associated with medication adherence

Considering levels of adherence in terms of "low", "medium", and "high" MAQ classes, a statistically significant association was apparent with regard to patient age, with the mean age increasing with higher levels of adherence (35.6, 36.7, and 38.6 years for patients with "low", "medium", and "high" levels of adherence, respectively; P=0.0007). No relationship was found with regard to patient sex, marital status and living arrangements or occupation. Neither the age nor the sex of the

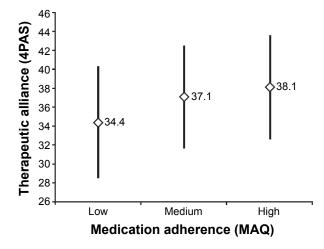


Figure I Medication adherence (MAQ) according to therapeutic alliance (4PAS). **Notes:** Medication adherence (MAQ data) was significantly associated with therapeutic alliance (P<0.0001). Data are presented as mean \pm standard deviation. **Abbreviations:** MAQ, Medication Adherence Questionnaire; 4PAS, 4-Point ordinal Alliance Scale.

treating psychiatrists influenced patients' adherence levels as measured in terms of these three MAQ classes.

Other clinical variables

Poor medication adherence was strongly associated with a diagnosis of schizophrenia (P=0.0083, χ^2 test), more severe disease (CGI-S \geq 4; P<0.0001, χ^2 test), and lower insight (PANSS-G12 \geq 4; P<0.0001, χ^2 test). Multivariate analyses confirmed that patients with the best adherence ("medium + high" MAQ) were significantly older (P<0.001) whereas bad compliers ("low" MAQ) had a more severe disease (P<0.0001), and poorer insight (P<0.0001) (Table 2).

Factors associated with low therapeutic alliance (4PAS)

Therapeutic alliance was lower in "severely ill" or "among the most extremely ill" patients (P<0.001, Kruskal–Wallis test), and in those with a lack of insight (P<0.001, Kruskal–Wallis test) (Figure 2).

Discussion

This observational study evaluated 1,887 patients with psychotic disorders treated by 399 psychiatrists. Patients were required to be switching from oral treatment to long-acting

injectable risperidone, a switch that for around 90% of the treating psychiatrists had been prompted by their patients' non-adherence to oral therapy and an intention to improve efficacy. Long-acting, injectable preparations have been developed in efforts to enhance adherence and to stabilize drug plasma levels.³⁰

The perceptions of psychiatrists switching their patients to long-acting injectable risperidone were borne out by additional study data: self-reported adherence to oral risperidone was low in the majority of patients (53.0%). Furthermore, a strong positive association was apparent between patients' self-reported adherence (MAQ data) and their psychiatrists' assessment of treatment acceptance (CRS data). However, these data were obtained from a selective sample population in which clinicians had frequently decided to switch treatment to long-acting injectable risperidone to prevent non-adherence to oral medication; they contrast other published results whereby agreement between patients' and physicians' evaluations of adherence to antipsychotic medication has been low.31 Treatment non-adherence is often underestimated by psychiatrists.³² Kikkert et al³³ used concept mapping to explore the patients', professionals', and carers' views of medication adherence in schizophrenia, identifying five clinically relevant factors that affected medication adherence (efficacy of medication, external factors, insight,

Table 2 Characteristics statistically significantly associated with poor medication adherence ("low" MAQ classification)

V ariables	Univariate model			Multivariate model			
	Low MAQ n (%)	Medium + high MAQ n (%)	P-value	aOR	95% CI		P-value
Sex							
Male	547 (52.6)	492 (47.4)	0.5654				
Female	307 (54.1)	260 (45.9)					
Age							
<40 years	587 (56.5)	452 (43.5)	0.0003	1.566	1.313	1.869	0.0002
≥40 years	264 (47.1)	296 (52.9)					
No	761 (54.7)	629 (45.3)					
Disease severity							
CGI-S ≥4	785 (55.8)	623 (44.2)	< 0.000 I	1.986	1.518	2.598	< 0.0001
CGI-S <4	69 (35.8)	124 (64.2)					
Psychotic disorder							
Schizophrenia	555 (56.3)	431 (43.7)	0.0083				
Schizoaffective disorder	165 (52.2)	151 (47.8)					
Persistent delusions	71 (45.8)	84 (54.2)					
Acute psychotic episode	47 (44.8)	58 (55.2)					
Other	15 (38.5)	24 (61.5)					
Insight							
PANSS-G12 ≥4	572 (57.8)	417 (42.2)	< 0.000 I	1.459	1.225	1.738	0.0003
PANSS-G12 <4	279 (46.2)	325 (53.8)					

Abbreviations: MAQ, Medication Adherence Questionnaire; CGI-S, Clinical Global Impression Scale-Severity; PANSS-G12, Positive and Negative Syndrome Scale (lack of judgment and insight); aOR, adjusted odds ratio; CI, confidence interval.

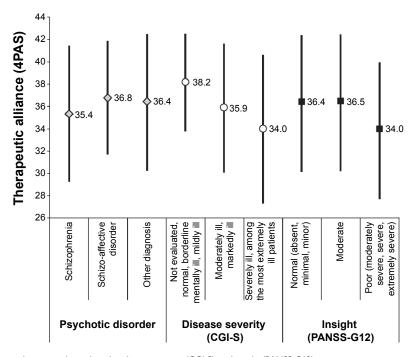


Figure 2 Therapeutic alliance according to psychotic disorder, disease severity (CGI-S), and insight (PANSS-G12).

Notes: Therapeutic alliance was lower in "severely ill" or "among the most extremely ill" patients (P<0.001), and in patients with poor insight (P<0.001). Data are presented as mean ± standard deviation.

Abbreviations: 4PAS, 4-Point ordinal Alliance Scale; CGI-S, Clinical Global Impression Scale-Severity; PANSS-G12, Positive and Negative Syndrome Scale (lack of judgment and insight).

side effects, and medication attitudes), but the three groups did not have a shared understanding of which factors were important. In light of such information it is important to realize that physicians can positively influence adherence by fostering therapeutic alliance. This is considered in more detail below.

Adherence can be assessed by three methods: auto or hetero-questionnaires, pill counts (MEMS®), and biological assay of the active substance or its metabolites.³⁴ Selfreported measures are generally considered as the best cost-effective and time-efficient way to assess medication adherence, while it has been reported to sometimes overestimate adherence.8 With the pill count, a direct measure of adherence may be obtained but the tablet removal from container cannot be considered as equivalent of a drug intake. Plasma levels are limited by pharmacokinetic biases, and by a phenomenon known as "white-coat adherence", in which patients improve their medication-taking behavior in the 5 days before and after an appointment with the health care provider.³⁴ Moreover, this method could be costly and difficult to use in current practice or in large cohorts studied in clinical settings. Finally therapeutic adherence is a dynamic process with no measurement gold standard.8 The fact that the sample was comprised of both inpatient and outpatient participants renders the assessment of adherence by self-report more complex as hospitalized patients are more closely monitored than outpatients. However, while no gold standard exists for this issue, the MAQ self-report questionnaire was selected as the most cost-effective instrument for large samples, although it can overestimate adherence or increase recall biases. To reduce this risk, adherence behavior for outpatients was assessed over the week prior to interview whereas inpatients were asked to describe adherence behavior just before hospitalization.

Consideration of factors affecting medication adherence

Evaluating factors affecting adherence for the treatment of an acute psychotic episode in the current patient population has shown a significant association with therapeutic alliance. Indeed, research has repeatedly demonstrated the importance of the therapeutic alliance in achieving adherence to medication. 7,17,35,36 Moreover, Wittorf et al³⁷ found therapeutic alliance in the treatment of schizophrenia spectrum disorders to be affected by both insight and symptoms, with greater insight and fewer positive and negative symptoms being significant predictors of a higher alliance. In our survey, patient-reported therapeutic alliance was lower in "severely ill" or "among the most extremely ill" patients, and for those with a lack of insight (which, as described below, is

also in line with the observed associations with medication adherence). Interestingly, Wittorf et al³⁷ also reported that patients' and therapists' perceptions of the alliance did not appear to demonstrate much convergence – patients' ratings were significantly higher.

Psychiatrists can influence therapeutic alliance, which may be expected to improve medication adherence, and hence outcomes, in patients with schizophrenia. In relation to this, it should be noted that regular monitoring of patients also helps to foster a positive therapeutic relationship, contributing to therapeutic alliance, and long-acting injectable preparations may help in this regard (beyond considerations relating directly to adherence and efficacy).²⁰ Indeed, Rossi et al³⁸ consider that the use of long-acting injectable medication may help to establish therapeutic alliance via improved adherence.

As mentioned above, more than half the patients in this study were estimated to have poor adherence to oral medication (MAQ data). The reasons for this are likely to be complex and numerous. As reported elsewhere, patient age appears to be a factor, ^{39,40} with younger patients being less adherent, as we have found. We also found patients' poor insight into their disorder to be associated with poor adherence, which concurs with other published results. 35,41-43 Indeed, it has been reported that lack of insight is linked to greater severity of psychopathology, 44,45 as well as to poor medication adherence, which in turn is associated with poor clinical outcomes, such as frequent relapses and hospitalizations.^{2,5,8} A recent naturalistic, longitudinal study of patients with first-episode psychosis suggested that, as better insight improved medication adherence, with consequent benefits on clinical course and functional outcome, insight could be a specific target of early intervention.46

Our data have also shown a significant association between increasing disease severity and decreasing adherence to medication, although the cross-sectional nature of our study design does not allow any definitive conclusions on causality to be drawn from these results. Others have also shown disease severity to impact on patients' adherence to their antipsychotic medication, 9,47 but the degree of correlation between these variables remains to be clearly established. Indirect evidence relating to this is provided by studies in which patients demonstrated better adherence following improvement in psychopathological symptoms. 17,48 However, Staring et al 49 have reported that while adherence to antipsychotic medication may reduce symptoms in patients with schizophrenia, it may also increase side effects, thereby having opposing effects on perceived quality of life.

Yang et al⁵⁰ have recently concluded that both disease severity and attitudes toward medication are related to adherence, and that further studies are needed.

It is appropriate to consider the limitations of the study and the external validity of the results. No data were recorded about the number of patients (and the reason) who refused to participate. The variability of the sample with in- and outpatient condition, undermine the adherence assessment with self-report. However, with no gold standard to date the reliable self-report questionnaire MAQ was retained as the best cost-effective tool even it can overestimate adherence or generate a recall bias. To reduce this risk of variability, adherence for outpatients was based on the week before interview whereas inpatients were asked to respond by referring to adherence behavior before hospitalization. Definition of acute episode was based on psychiatrists' positive answers to declarative questions, and it could be argued that more rigorous criteria could have been used here. As previously mentioned, the data were obtained from a selective sample population, and the nature of cross-sectional studies precludes inferences of causality. Although 500 psychiatrists were selected for the study and agreed to participate, 101 did not include patients and no information is available concerning the reasons for these recruitment limitations. The survey design may have influenced these missing data and it should be considered as a selection bias, potentially affecting the results. However, this limitation could be counterbalanced by the large sample size that included 1,887 inpatients and outpatients in naturalistic conditions, recruited by 399 psychiatrists in daily practice. With more than 1,000 patients being included in the survey, this is likely to have provided a sample of sufficient size to expect that the results may be generalizable to similar populations.

Conclusion

Treatment of psychotic disorders aims to obtain functional remission and minimize relapse. Non-adherence to medication is a major risk factor for relapse, and in this study, non-adherence to oral antipsychotic treatment prompted many psychiatrists to switch their patients to long-acting injectable risperidone. Understanding factors associated with poor medication adherence may help physicians to better manage their patients, thereby improving outcomes. Evaluating medication adherence and therapeutic alliance with the MAQ and 4PAS questionnaires, respectively, is straightforward and can provide valuable information in this regard. Given the positive associations between good medication adherence and both therapeutic alliance and

insight, through the regular monitoring associated with its use, long-acting injectable risperidone can help to improve outcomes by fostering the therapeutic alliance between patients and physicians, particularly in patients with lower insight into their condition.²⁰

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Disclosure

FJB and AT have no conflicts of interest in this study. SB is an employee of Janssen-Cilag, France. DM received funding from Janssen-Cilag, France, to develop methodological aspects of this study.

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