

# Real-world treatment patterns and opioid use in chronic low back pain patients initiating duloxetine versus standard of care

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**Abstract:** To describe the use of pain medications in patients with chronic low back pain (CLBP) after initiating duloxetine or standard of care (SOC [muscle relaxants, gabapentin, pregabalin, venlafaxine, and tricyclic antidepressants]) for pain management, pharmacy and medical claims from Surveillance Data, Inc (SDI) Health were analyzed. Adult patients with CLBP who initiated duloxetine or SOC between November 2010 and April 2011 were identified. Treatment initiation was defined as no pill coverage for duloxetine or SOC in the previous 90 days. Included patients had no opioid use in the 90 days before initiation. Propensity score matching was used to select patients with similar baseline demographic and clinical characteristics for duloxetine and SOC cohorts. Compliance with index medication was assessed via medication possession ratio (MPR) and proportion of days covered (PDC) for 6 months after initiation. The proportion of patients receiving opioids and days on opioids after index date were assessed, and regression models were estimated to compare opioid use between cohorts. A total of 766 patients initiated duloxetine and 6,206 patients initiated SOC. After matching, 743 patients were selected for the duloxetine (mean age 57 years; female 74%) and SOC (mean age 57 years; female 75%) cohorts, respectively. Of the duloxetine cohort, 92% started on or below recommended daily dose ( $\leq 60$  mg). The duloxetine cohort had significantly higher MPR (0.78 versus [vs] 0.60) and PDC (0.50 vs 0.31), were less likely to use opioids (45% vs 61%), and had fewer days on opioids (median 0 vs 7 days) than the SOC cohort (all  $P < 0.001$ ). After adjusting for demographic and clinical characteristics, the duloxetine cohort initiated opioids later than the SOC cohort (hazard ratio 0.77, 95% confidence interval 0.66–0.89). CLBP patients initiating duloxetine had better compliance with initiated medication and were less likely to use opioids than those initiating SOC.

**Keywords:** medications, duloxetine, low back pain, opioid

## Introduction and background

Chronic low back pain (CLBP) is defined as low back pain (LBP) lasting longer than 3 months or occurring episodically within a 6-month time period.<sup>1</sup> LBP affects a reported 5.6% of US adults each day, with lifetime prevalence estimated to be at least 60%–70%.<sup>2–4</sup> The burden associated with treating back pain is significant, representing the third most common reason for surgical procedures and fifth most common reason for hospitalization.<sup>5</sup> Americans spend at least \$US100 billion on LBP each year.<sup>6,7</sup> CLBP accounts for 75%–90% of these costs.<sup>8</sup>

The treatment goal of CLBP is to reduce pain, maintain function, and prevent future exacerbation.<sup>9,10</sup> Guidelines from the American College of Physicians and the American Pain Society recommend the use of medications with proven benefits in

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conjunction with patient education, self-care, and behavioral therapy.<sup>1,11-13</sup> A variety of non-pharmacological interventions are recommended for patients who do not improve with self-care, including spinal manipulation, exercise therapy, massage, acupuncture, yoga, cognitive-behavioral therapy, progressive relaxation, and intensive interdisciplinary rehabilitation.<sup>10</sup> Invasive procedures can be considered in the case of significant nerve root impingement or spinal stenosis and are recommended for those who fail to receive adequate relief from non-surgical treatments.<sup>1,11-14</sup>

In addition, a wide range of medications are suggested for the management of CLBP.<sup>11</sup> However, evidence on the effectiveness and risks associated with their long-term use is limited. There is a need for additional long-term, real-world evidence to further describe the treatment patterns and effectiveness measures, such as treatment compliance, associated with medications commonly used in the management of CLBP. Common pharmacologic agents recognized in treatment guidelines for the management of CLBP include acetaminophen, non-steroidal anti-inflammatory drugs (NSAIDs), muscle relaxants, corticosteroids, and opioid analgesics. Additionally, antidepressants and anticonvulsants are also prescribed for the management of CLBP.<sup>1,11,13</sup> Although acetaminophen and NSAIDs are considered first-line medications for CLBP, the benefits and risks associated with long-term use in the management of chronic pain are not well studied.<sup>11,15</sup> Long-term use at high doses may increase the risk of experiencing adverse events, such as liver toxicity, renal and gastrointestinal damage, and cardiovascular events.<sup>16</sup> Muscle relaxants are usually added to the treatment regimen when CLBP cannot be adequately controlled via the first-line treatments. However, clinical trials have shown that muscle relaxants are not more effective in reducing pain than NSAIDs.<sup>17</sup> Evidence derived from clinical trials has been inconsistent on the efficacy of benzodiazepines, anticonvulsant drugs, and antidepressants on pain or functional outcomes among patients with LBP, and no clinically significant benefit has been found in clinical trials assessing systemic corticosteroids on the management of LBP.<sup>18</sup>

Another growing problem in the management of CLBP is the increasing use of opioids despite a lack of clinical evidence demonstrating the effectiveness of long-term opioid use in the management of chronic non-cancer pain.<sup>19-21</sup> Increased use of opioids has been associated with higher levels of health care costs, emergency room visits, and death due to opioid overdose.<sup>19,22-24</sup> A national survey revealed that the opioid prescriptions for spinal disorders increased by 108% between 1997 and 2004.<sup>19,22</sup> There is a need for medications

that can effectively manage pain while minimizing the use of opioids in individuals with chronic pain.

Duloxetine was approved in November 2010 by the US Food and Drug Administration for the management of chronic musculoskeletal pain, including CLBP.<sup>25</sup> Unlike other common medications prescribed for CLBP, duloxetine works by inhibiting the uptake of 5-hydroxytryptamine (serotonin) and norepinephrine, which in turn results in the attenuation of persistent and chronic pain.<sup>26</sup> Compared with placebo-treated patients, duloxetine-treated patients reported significantly less pain and maintained improvement in other health outcomes, such as physical function and quality of life, with a safety profile similar to that found in previous duloxetine trials.<sup>27-29</sup> However, no studies have assessed the long-term effectiveness of duloxetine in CLBP patients in real-world settings.

The objectives of this retrospective database study were to characterize patients with CLBP who initiated duloxetine or standard of care (SOC), describe real-world treatment patterns, and compare subsequent opioid utilization among matched cohorts.

## Methods

### Data source and sample selection

In this study, the Surveillance Data, Inc (SDI) database from November 2009 to October 2011 was analyzed. SDI, part of IMS Health, maintains a data warehouse that contains billing records and claims of health care services, goods, and procedures provided in all associated health care providers including hospitals, private practitioners, and pharmacies. Data components in the SDI were abstracted directly from billing forms submitted by health care providers to SDI, such as CMS-1500 Private Practitioner Medical Claims completed for physician office visits, National Council for Prescription Drug Programs prescription claims submitted for prescriptions dispensed via retail pharmacy, and Hospital Charge Description Master for generating a patient's bill in hospital settings. SDI also maintains a separate file that documents the volume of claims or billing records submitted by each provider over time, which can be used to assess whether providers consistently submit data to IMS.

Patients with CLBP who initiated duloxetine or another second-line treatment prescribed for the management of CLBP between November 2010 and April 2011 were selected. The SOC group included muscle relaxants, gabapentin, pregabalin, venlafaxine, and tricyclic antidepressants (TCAs), and was based on common pain medications prescribed for the management of CLBP in real-world practice.<sup>18</sup>

Acetaminophen and NSAIDs were not included in the SOC because they are recognized in treatment guidelines as first-line treatments and many of them are available over the counter and are not captured in administrative health claims records. Treatment initiation was defined as no index medication coverage in the prior 90 days, and the date of treatment initiation was set as the index date. CLBP was defined as patients with at least two claims with associated diagnosis suggesting LBP (*International Classification of Diseases, Ninth Revision, Clinical Modification [ICD-9-CM]*<sup>30</sup> codes 722.10, 722.83, 722.93, 724.02, 724.2x, 724.3x, 724.5x, 724.8x) that were at least 90 days apart during the 12 months prior to the index date. Multiple claims were required over this defined time frame to effectively reflect the chronic nature associated with the LBP. Patients were excluded if they were aged less than 18 years on the index date, had received an opioid prescription within 90 days prior to the index date, or had medical claims for other indications of duloxetine and the SOC during the 6 months prior to the index date, where other indications include major depressive disorder, generalized anxiety disorder, epilepsy, diabetic peripheral neuropathic pain (DPNP), and post-herpetic neuralgia (PHN). Patients receiving care from providers that did not consistently submit data to SDI in the 6 months before and the 6 months after the index date were also excluded. Unlike typical administrative claims databases from insurance plans, which track enrollees' medical encounters across settings, SDI data consist of claims or billing records submitted by health care providers. This exclusion criterion requiring consistent data submission from providers minimizes the likelihood of selecting patients with incomplete records of medical encounters during the 12-month study period. Selected patients were assigned to duloxetine or SOC cohorts based on the medication initiated on the index date. Patients who initiated multiple medications were excluded.

Propensity score (PS) matching was used to select patients with similar baseline demographic and clinical characteristics from eligible duloxetine and SOC initiators. PS was the probability that patients initiated duloxetine during the study period; it was estimated from a logistic regression model with whether patients initiated duloxetine as the outcome. Potential predictors of initiating duloxetine were selected based on literature review, clinical reasoning, and descriptive analysis of pre-matched sample, and entered into the model in groups. The model with the best performance included age, gender, geographic region of residence, primary payment source of the patient, indicators of comorbidities, indicators of pain medication use before the index date,

and whether patients had emergency room visits, outpatient hospital visits, or durable medical equipment use before the index date. PS was estimated as the predicted probability of initiating duloxetine given the patient's values for predictors. To assess whether the PS matching minimized the imbalance of patients' baseline characteristics between cohorts, demographics, comorbidities, and medication use in the 6-month period before the index date were summarized and compared

**Table 1** Pre- and post-matching demographics and comorbidities 6 months before index date

	Pre-match		Post-match	
	Duloxetine	SOC	Duloxetine	SOC
Number of patients	766	6,206	743	743
Age (mean, SD)	57.2 (14.9)	58.9 (15.4)*	57.3 (14.9)	57.1 (14.7)
Age categories (%)				
18–44	19.7	18.4	19.5	19.8
45–64	48.2	44.3	48.2	48.6
65–74	17.9	19	17.9	18.4
75–79	6.9	8.3	7	6.1
80+	7.3	10	7.4	7.1
Female (%)	74.2	66.9*	74.0	74.7
Region (%)		**		
Northeast	28.5	24.0	27.9	26.5
Midwest	16.1	16.8	16.3	15.1
South	36.7	39.8	36.7	40.5
West	18.8	19.4	19.1	17.9
Insurance type (%)		**		
Commercial	52.5	52.4	53.3	53.3
Medicaid	10.2	9.2	10.1	9.7
Medicare	30.4	29.1	29.7	29.7
Other/unknown	6.9	9.3	6.9	7.3
Comorbidities (%)				
Hypertension	28.6	36.7*	28.5	27.6
Diabetes	17.5	16.0	17.6	17.9
Chronic respiratory disease	12.1	11.3	12.2	13.2
Depression	6.7	2.2*	6.1	5.7
Cerebrovascular disease	4.4	4.0	4.4	4.3
Skin ulcers/cellulitis	3.8	3.4	3.6	3.9
Neuropathic pain	4.0	2.5*	4.0	3.5
Any malignancy	3.4	4.7	3.4	3.6
Peripheral vascular disease	3.3	3.0	3.4	3.0
Congestive heart failure	2.1	2.2	2.2	1.5
Renal disease	2.0	3.1	1.9	1.1
Peptic ulcer	0.9	0.8	0.8	1.2
Rheumatologic disease	0.9	0.7	0.9	0.8
Myocardial infarction	0.4	0.8	0.4	0.3

**Notes:** \*Statistically significant at  $P < 0.05$  (reference: duloxetine). \*\*Distribution of region and insurance type were significantly different between two cohorts. SOC included gabapentin, pregabalin, venlafaxine, muscle relaxants, or tricyclic antidepressants.

**Abbreviations:** SD, standard deviation; SOC, standard of care.

between the duloxetine and SOC cohorts for unmatched and matched cohorts, respectively (Table 1).

Patient baseline characteristics, including demographic characteristics, comorbid conditions, and medication use in the 6 months prior to the index date were summarized for all patients who met the inclusion/exclusion criteria and for matched control patients. The initial daily dose of duloxetine was assessed for the duloxetine cohort and compared with the recommended dose for CLBP (ie, 60 mg per day), and the proportion of patients starting above, below, or on the recommended dose was estimated. The proportion of patients who adjusted the daily dose during the 6-month follow-up period was also estimated.

Patient adherence to the index medication was assessed using several methods. Medication possession ratio (MPR) was calculated as the days' supply of medication dispensed during the specified follow-up period divided by the number of days between the first and last prescription refill. Proportion of days covered (PDC) was calculated as total days supplied over a 6-month follow-up period, divided by 183. Discontinuation of therapy was defined as a gap of 60+ days in treatment. The date of therapy discontinuation was defined as the last day with access to the index medication before the 60-day treatment gap. Opioid use in the 6 months following the index date was also examined by assessing the proportion of patients using opioids, days on opioid, morphine-equivalent dosage, and time to opioid initiation. In addition, separate analyses were conducted for short-acting and long-acting opioids. Short-acting opioids are characterized as having a quick onset of action and short duration of analgesic activity and included immediate-release codeine, fentanyl, hydrocodone, hydromorphone, meperidine, morphine, oxycodone, oxymorphone, pentazocine, propoxyphene, and tramadol.<sup>31</sup> Long-acting opioids included methadone, and levorphanol and extended-release or controlled-release forms of opioids previously listed. Opioids not targeting pain (eg, codeine for coughing) were removed from the analysis.

## Statistical analysis

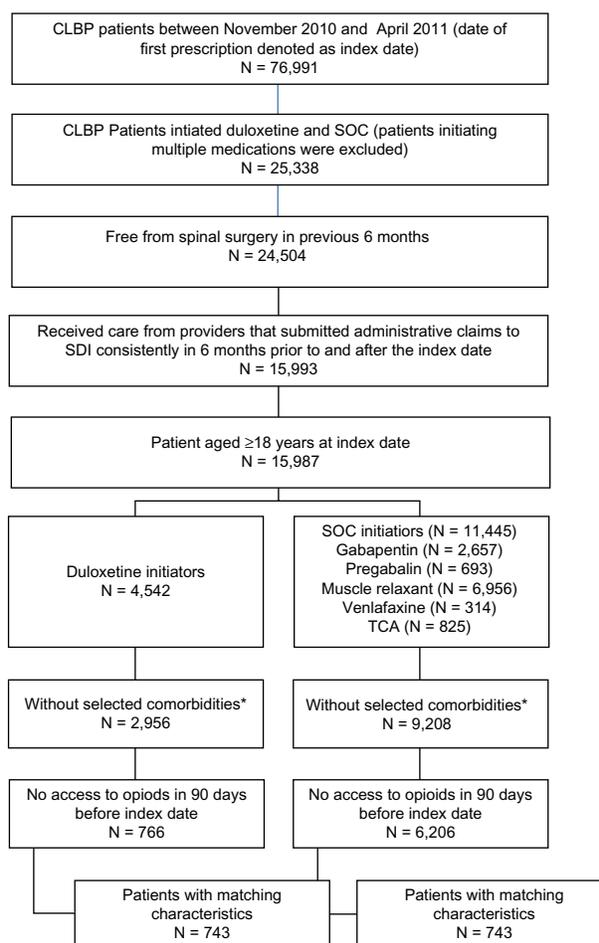
Study outcomes assessed after the index date were only reported for the matched sample. To detect statistically significant differences between cohorts, the chi-square test was used for categorical variables, the Student's *t*-test for continuous variables, and the non-parametric Wilcoxon test for count variables. Kaplan–Meier curves were plotted and Cox proportional hazard models were estimated to compare

the relative risk of opioid initiation and the relative risk of discontinuation of the index medication between cohorts. All analyses were conducted using SAS 9.2 (SAS Institute, Inc, Cary, NC, USA). Differences were considered statistically significant if  $P < 0.05$ .

## Results

### Sample selection

Of the 76,991 patients with CLBP identified from the SDI data, 25,338 initiated duloxetine or SOC between November 2010 and April 2011. After applying the selection criteria, 766 duloxetine initiators and 6,206 SOC initiators (muscle relaxants 61.8%, gabapentin 23.4%, pregabalin 5.4%, venlafaxine 2.5%, and TCAs 6.9%) were identified based on inclusion/exclusion criteria. After PS matching, 743 patients were selected from each cohort (Figure 1).



**Figure 1** Sample selection flowchart.

**Notes:** \*Patients with the following comorbidities were excluded: major depressive disorder, generalized anxiety disorder, epilepsy, diabetic peripheral neuropathic pain, and post-herpetic neuralgia.

**Abbreviations:** CLBP, chronic low back pain; SDI, Surveillance Data, Inc; SOC, standard of care; TCA tricyclic antidepressant.

## Baseline characteristics

Before matching, the duloxetine cohort was younger (duloxetine 57.2 years; SOC 58.9 years;  $P < 0.05$ ) and had a higher proportion of females (74.2% versus [vs] 66.9%;  $P < 0.05$ ) than the SOC cohort (Table 1). A majority of both cohorts were enrolled in commercial insurance plans, and about one-third in Medicare. The duloxetine cohort had a significantly lower proportion of patients with hypertension (28.6% vs 36.7%), but a higher proportion with depression (6.7% vs 2.2%) and neuropathic pain (4.0% vs 2.5%) than the SOC cohort (all  $P < 0.05$ ).

In the PS matched sample, we did not observe significant differences in demographics or prevalence of comorbidities between the matched cohorts. The matched cohorts had an average age of 57 years. A similar proportion were females (duloxetine 74.0%; SOC 74.7%;  $P = 0.77$ ), and the prevalence of comorbidities was not significantly different between cohorts.

**Table 2** Pre- and post-matching medication utilization

	Pre-match		Post-match	
	Duloxetine	SOC	Duloxetine	SOC
Pre-index medication utilization				
Benzodiazepines (%)	28.5	16.7*	27.1	27.1
Muscle relaxants (%)	20.8	16.2*	19.9	20.6
Antidepressants (%)	40.2	13.3*	38.6	37.7
Duloxetine	13.1	3.2*	12.4	12.9
Selective serotonin reuptake inhibitors	16.7	6.0*	15.5	15.2
Tricyclic antidepressants	5.4	1.9*	5.2	4.2
Pregabalin (%)	7.0	1.5*	5.8	5.9
Gabapentin (%)	14.0	4.6*	12.8	10.6
Non-Steroidal Anti-inflammatory drugs (%)	29.8	22.2*	29.5	31.6
Celecoxib	5.7	3.9*	5.8	5.2
Oral steroids (%)	16.2	16.2	15.9	17.2
Opioids (%)	26.6	27.4*	25.7	26.6
Long-acting opioids	2.3	1.3*	2.3	1.7
Short-acting opioids	25.8	27.0	24.9	25.8
Number of unique medications: mean (SD)	7.8 (5.3)	6.4 (4.5)*	7.6 (5.2)	7.6 (5.3)
Dose of index medication on index date (%) <sup>§</sup>				
Above recommended	8.8	–	8.5	–
Below recommended	42.7	–	48.7	–
Recommended	48.6	–	42.8	–
Change of dose of index medication during 6 months after index date (%)				
Decrease	6.5	–	6.7	–
Increase	13.2	–	13.5	–
No change	49.5	–	48.5	–
One refill	30.8	–	31.4	–

**Notes:** \*Statistically significant at  $P < 0.05$  (reference: duloxetine); †test was not performed between cohorts. SOC included gabapentin, pregabalin, venlafaxine, muscle relaxants, or tricyclic antidepressants.

**Abbreviations:** SD, standard deviation; SOC, standard of care.

Of all eligible patients with CLBP, the duloxetine cohort was more likely than the SOC cohort to use medications commonly prescribed for CLBP in the pre-index period, with the exception of oral steroids and opioids (Table 2). The SOC cohort was significantly more likely to use opioids (27.4% vs 26.6%;  $P < 0.05$ ). The most commonly used medications associated with the treatment of CLBP were antidepressants (duloxetine cohort 40.2%; SOC cohort 13.3%), NSAIDs (duloxetine cohort 29.8%; SOC cohort 22.2%), benzodiazepines (duloxetine cohort 28.5%; SOC cohort 16.7%), and opioids (duloxetine cohort 26.6%; SOC cohort 27.4%) (all  $P < 0.05$ ). On average, the duloxetine cohort used significantly more unique medications during the 6 months prior to the index date (7.8 vs 6.4;  $P < 0.05$ ) than the SOC cohort. In the matched sample, pre-index medication use was similar between the duloxetine and SOC cohorts ( $P > 0.05$  for all post-match baseline medication use); over one-third in both the duloxetine and the SOC cohorts used antidepressants, with selective serotonin reuptake inhibitors more frequently used than duloxetine or TCAs, about one-third in both cohorts used NSAIDs, and over one-quarter in both cohorts used benzodiazepines. On average, patients in each cohort received 7.6 medications in the pre-index period.

## Use of index medication

The initiating dose and dose titration of duloxetine during the 6-month follow-up was also assessed (Table 2). Of all eligible duloxetine initiators (pre-match), 42.7% started duloxetine on the recommended dose (60 mg daily), and 48.6% below the recommended dose. A majority of the patients in the duloxetine cohort either remained on the initiating dose (49.5%) or did not have a refill (30.8%) during the 6-month post-index follow-up period. Additionally, 13.2% had a dose increase, while 6.5% had a dose decrease. In the matched sample, the proportion starting at the recommended dose was slightly lower (pre-match 48.6%; post-match 42.8%) than the unmatched sample, and the pattern of dose titration was similar to that observed in the unmatched sample.

Compared with the SOC cohort, the duloxetine cohort, on average, had higher MPR (mean [standard deviation; SD] 0.78 [0.25] vs 0.60 [0.32];  $P < 0.05$ ) and a higher proportion with MPR  $\geq 0.8$  (60.8% vs 35.8%;  $P < 0.05$ ) (Table 3). The duloxetine cohort also had higher PDC (mean [SD] 0.50 [0.31] vs 0.31 [0.28];  $P < 0.05$ ) and a higher proportion with PDC  $\geq 0.8$  (25.6% vs 10.5%;  $P < 0.05$ ). The duloxetine cohort was less likely to discontinue the index medication in the 6 months after the index date (56.7% vs 79.7%;  $P < 0.05$ ), and time to discontinuation of the index medication was later than for the

**Table 3** Compliance with index medication among matched cohorts

	Duloxetine	SOC
Compliance with index medication		
Mean MPR (SD)	0.78 (0.25)	0.60 (0.32)*
MPR $\geq 0.8$ (%)	60.8	35.8*
Mean PDC (SD)	0.50 (0.31)	0.31 (0.28)*
PDC $\geq 0.8$ (%)	25.6	10.5*
Number of days on medication	92.9 (56.0)	54.8 (49.8)
Discontinuation (%)	56.7	79.7*

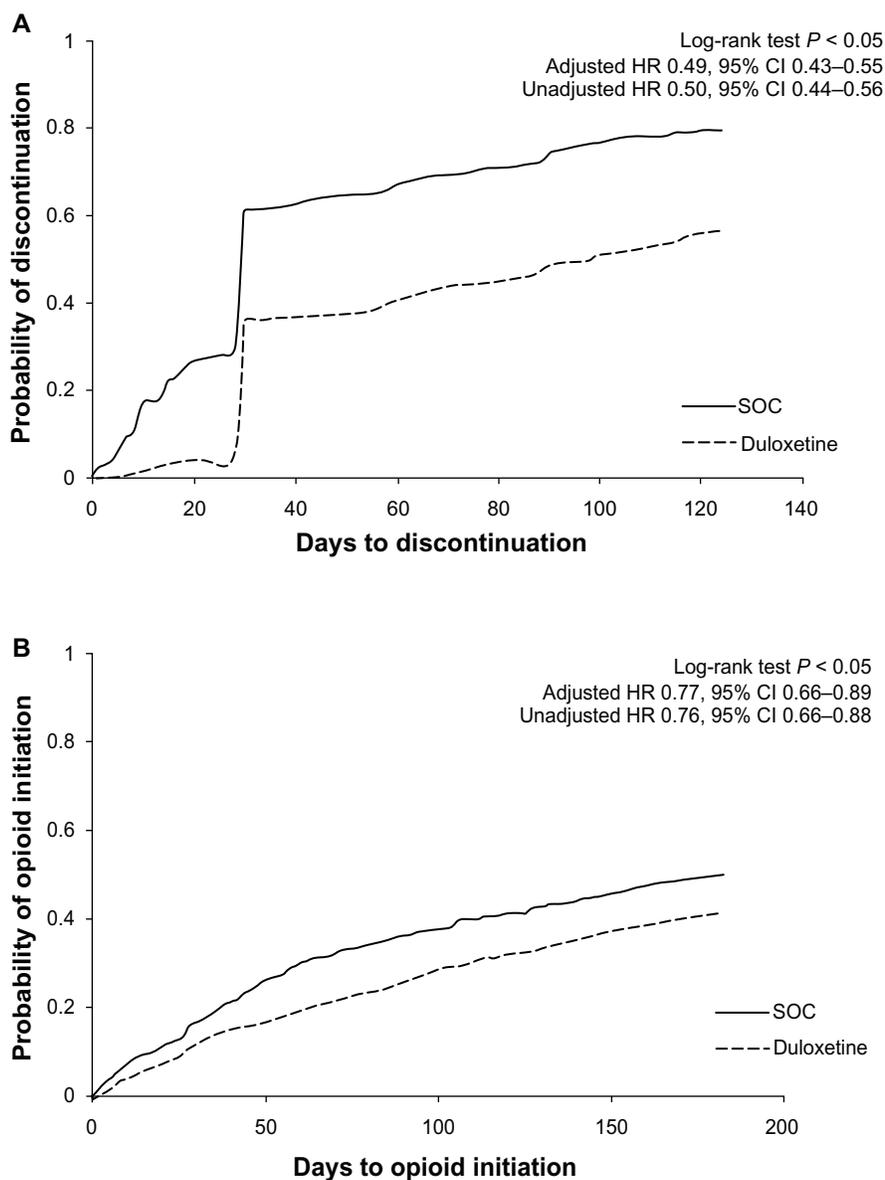
**Notes:** \*Statistically significant at  $P < 0.05$  (reference: duloxetine). SOC included gabapentin, pregabalin, venlafaxine, muscle relaxants, or tricyclic antidepressants.

**Abbreviations:** MPR, medication possession ratio; PDC, proportion of days covered; SD, standard deviation; SOC, standard of care.

SOC cohort (median 99 vs 30 days;  $P < 0.05$ ) (Figure 2A). After controlling for baseline demographic and clinical characteristics, the duloxetine cohort discontinued the index medication significantly later than SOC cohort (hazard ratio 0.49, 95% confidence interval [CI] 0.43–0.55) (Figure 2A).

## Opioid use after the initiation of index medication

Compared with the SOC cohort, duloxetine patients were less likely to use opioids (45.1% vs 60.7%), reflected in lower utilization of both short-acting (44.3% vs 59.9%) and



**Figure 2** Time to index medication discontinuation and first opioid use in 6-month post-index period among matched cohorts.

**Notes:** (A) Time to index medication discontinuation in 6-month post-index period among matched cohorts. (B) Time to first opioid use in 6-month post-index period among matched cohorts. Adjusted HR controlled for baseline demographic and clinical characteristics. SOC included gabapentin, pregabalin, venlafaxine, muscle relaxants, or tricyclic antidepressants.

**Abbreviations:** CI, confidence interval; HR, hazard ratio; SOC, standard of care.

**Table 4** Opioid use in the 6-month post-index period among matched cohorts

	Duloxetine	SOC
Opioid use (%)	45.1	60.7*
Long-acting opioids	4.2	7.0*
Short-acting opioids	44.3	59.9*
Days on opioid, median (25th, 75th percentile)	0 (0, 19)	7 (0, 30)*
Morphine equivalent dosage in milligrams, median (25th, 75th percentile)	0 (0, 510)	198 (0, 900)*

**Notes:** \*Statistically significant at  $P < 0.05$  (reference: duloxetine). SOC included gabapentin, pregabalin, venlafaxine, muscle relaxants, or tricyclic antidepressants.

**Abbreviation:** SOC, standard of care.

long-acting opioids (4.2% vs 7.0%) (all  $P < 0.05$ ) (Table 4). On average, the duloxetine cohort had fewer days' supply of opioids (median 0 vs 7 days;  $P < 0.05$ ), and received less morphine equivalent dosage (median 0 vs 198 mg;  $P < 0.05$ ) during the post-6-month index period. Compared with the

SOC cohort, the time to first initiating an opioid in the post-index period was later for the duloxetine cohort (duloxetine cohort: 25th percentile = 86 days, median >183 days [median cannot be estimated because <50% of patients used opioids during the 183-day follow-up]; SOC cohort: 25th percentile = 47 days, median = 181 days;  $P < 0.05$ ) (Figure 2B). After controlling for baseline demographic and clinical characteristics, the duloxetine cohort initiated opioids significantly later than did the SOC cohort (hazard ratio: 0.77, 95% CI 0.66–0.89) (Table 5).

## Discussion

Findings from this study suggested that patients with CLBP who initiated duloxetine treatment had presumably greater compliance with the treatment and had less opioid exposure than patients initiating other pain medications commonly prescribed for CLBP. To our knowledge, no previous work

**Table 5** Relative risk of index medication discontinuation or opioid initiation: duloxetine versus standard of care

Variable	Relative risk of index treatment discontinuation		Relative risk of opioid initiation	
	HR	95% CI	HR	95% CI
Duloxetine	0.49	(0.43–0.55)	0.77	(0.66–0.89)
Age (years)				
18–44	Reference		Reference	
45–64	0.95	(0.80–1.12)	0.79	(0.65–0.96)
65–74	0.84	(0.67–1.05)	0.79	(0.60–1.04)
75–79	0.92	(0.67–1.25)	0.75	(0.51–1.10)
80+	0.93	(0.69–1.25)	0.81	(0.56–1.16)
Gender				
Female	Reference		Reference	
Male	1.03	(0.90–1.19)	1.03	(0.87–1.23)
Region				
West	Reference		Reference	
Midwest	0.77	(0.63–0.94)	1.44	(1.13–1.84)
Northeast	0.91	(0.78–1.06)	1.40	(1.15–1.70)
South	0.87	(0.72–1.04)	1.19	(0.94–1.52)
Primary source of payment				
Commercial	Reference		Reference	
Medicare	0.90	(0.76–1.08)	1.14	(0.91–1.42)
Medicaid	0.84	(0.67–1.05)	1.14	(0.88–1.47)
Unknown	0.90	(0.69–1.16)	0.93	(0.69–1.27)
Charlson Comorbidity Index				
0	Reference		Reference	
1	0.88	(0.75–1.05)	1.06	(0.87–1.29)
2	0.99	(0.81–1.20)	1.02	(0.81–1.29)
3	1.09	(0.88–1.36)	0.91	(0.69–1.20)
4+	1.02	(0.81–1.28)	1.09	(0.83–1.44)
Drug use in pre-index period				
Acetaminophens	1.06	(0.72–1.55)	0.74	(0.45–1.22)
Anticonvulsants	0.75	(0.63–0.90)	0.92	(0.75–1.13)
Antidepressants	0.82	(0.72–0.94)	1.00	(0.85–1.17)
Opioids	1.04	(0.90–1.20)	1.85	(1.58–2.18)
Muscle relaxants	0.76	(0.65–0.91)	1.08	(0.89–1.30)
NSAIDs	1.12	(0.97–1.28)	1.09	(0.93–1.29)
Steroids	0.96	(0.81–1.13)	1.11	(0.91–1.34)
Benzodiazepines	0.90	(0.78–1.04)	1.14	(0.96–1.36)

**Notes:** Hazard ratio <1: discontinue index medication or initiate opioid later than the reference group; hazard ratio >1: discontinue index medication or initiate opioid sooner than the reference group. Standard of care included gabapentin, pregabalin, venlafaxine, muscle relaxants, or tricyclic antidepressants.

**Abbreviations:** CI, confidence interval; HR, hazard ratio; NSAIDs, non-steroidal anti-inflammatory drugs.

has explored treatment patterns, compliance, and opioid utilization following the approval of duloxetine for CLBP. The results of the study may help address the current lack of long-term, real-world evidence associated with the use of commonly prescribed pain medications in the treatment of CLBP that is noted in treatment guidelines and evidence reviews.

One of the primary treatment goals for CLBP is to alleviate pain. Adherence to pain medications prescribed for CLBP is essential to achieve and maintain adequate pain relief. In a recent survey, primary care physicians in community clinics were asked to identify barriers to achieving optimal pain control for patients with chronic pain. A majority of physicians cited psychological factors including depression and anxiety (76.6%) and poor compliance with recommendations (53.1%) as the main reasons.<sup>32</sup> In the current study, the proportion of patients with MPR or PDC equal to or greater than 80% was almost twice as high in patients who initiated duloxetine than in patients who initiated other second-line treatments. This finding may have important implications for achieving treatment outcomes in CLBP, and additional research is warranted to explore the drivers of better treatment compliance associated with duloxetine. In particular, potential differences regarding efficacy and tolerability could be impacting on treatment compliance and warrant further investigation.

The reduction in post-index opioid utilization observed in the duloxetine cohort of this study is consistent with findings from previous duloxetine research. Less opioid use may be associated with better compliance with the initiated treatment in the duloxetine cohort. Such a mechanism was suggested by other studies on duloxetine use among patients with chronic pain conditions.<sup>33,34</sup> Zhao et al found that, among patients with DPNP who initiated duloxetine or SOC for DPNP, persistent duloxetine users had a greater reduction in opioid use after duloxetine initiation than non-persistent users and those who initiated SOC for DPNP.<sup>34</sup> Additional research is needed to explore the relationship between treatment selection, compliance, and reduction in opioid use associated with the treatment of chronic pain conditions.

The unique mechanism of action of duloxetine, via selective serotonin and norepinephrine reuptake inhibition, may provide a further explanation for the observed differences in treatment outcomes. CLBP is considered a mixed-pain syndrome, with the potential for multifactorial etiology and pain mechanisms, including nociceptive, neuropathic, and hyperalgesic components.<sup>35,36</sup> Duloxetine is believed to act upon descending inhibitory pain pathways,<sup>37</sup> and has

demonstrated efficacy in a variety of other chronic pain conditions, including DPNP,<sup>38,39</sup> fibromyalgia,<sup>40,41</sup> and pain associated with osteoarthritis of the knee.<sup>42</sup> Future studies, such as long-term pragmatic clinical trials or patient survey, physician survey, or chart review that collect data on effectiveness of treatment and reasons for treatment discontinuation or switch, are needed to confirm the drivers of treatment outcomes in CLBP, including better compliance.

There has been a pressing need for treatment alternatives for the management of CLBP due to limitations associated with existing options. Guidelines published by the American College of Physicians and the American Pain Society do not recommend long-term use of opioids, especially the long-term use of short-acting opioids, for the management of non-cancer chronic pain. The short-acting opioids are recommended for opioid initiation and reserved for breakthrough pain. There has been limited evidence demonstrating the benefits of long-term use of opioids for the treatment of CLBP.<sup>17,18</sup> A systematic literature review conducted in 2007 only identified one clinical trial demonstrating that the addition of opioids to a naproxen regimen provided greater pain relief than naproxen alone.<sup>43</sup> In addition, side effects are common in opioid users and often lead to treatment discontinuation. This limits opioids as a potential routine treatment for the management of chronic pain. The recent increase in opioid use has been associated with more emergency room visits, deaths due to opioid overdose, and greater likelihood for opioid abuse and addiction resulting in greater negative clinical consequences and higher overall health care costs.<sup>19,22,44</sup> Despite the limitations and lack of evidence associated with opioid use in the management of CLBP, a rapid increase in use persists.<sup>45,46</sup>

Non-opioid pharmacological agents used in the treatment of CLBP are also associated with a variety of limiting adverse events. Traditional NSAIDs are associated with gastrointestinal events and liver and renal toxicities. Long-term use of NSAIDs poses high risks for CLBP patients. In the current study, CLBP patients were on average 57 years old. It is common to observe decreased renal or liver function and multiple comorbidities in this population, potentially exposing patients to a greater risk of developing drug overdoses, drug–drug interactions, and adverse events.<sup>47</sup> Newer NSAIDs, cyclooxygenase-2 selective inhibitors, are associated with a lower risk of gastrointestinal events than traditional NSAIDs, but carry an increased risk of myocardial infarction, stroke, and thrombosis.<sup>48,49</sup> Antidepressants, such as TCAs, have shown mild to moderate effects on the management of LBP

compared with placebo.<sup>50</sup> Duloxetine has been shown to be efficacious in managing CLBP in clinical trials, and adverse events reported in these trials have been mild and transitory in nature.<sup>27–29,51</sup>

This study had several limitations. The SDI data were compiled from providers. If patients received care from providers other than those included in the SDI network, the health care encounters or prescriptions dispensed cannot be captured in the SDI data. All medical conditions were identified based on ICD-9-CM diagnosis codes recorded in an administrative claims database. The diagnoses cannot be confirmed via medical charts or laboratory results, and the severity of pain cannot be assessed. Although the database shows what medications were prescribed to patients, diagnoses were not recorded for prescribed medications. Thus, the exact condition for which a medication was prescribed cannot be determined. Rate of inpatient hospitalization is under-documented in the SDI data. Due to the incomplete information on resource utilization, we were unable to assess association between treatment compliance and economic outcomes. However, most of the care for CLBP is provided in outpatient settings and the incomplete inpatient records should have a minimal impact on the presented analysis. Provider prescription habits regarding opioids may have represented an additional confounder. However, given the limited information about the providers in the SDI data, we were unable to assess the association between provider prescription habits and study outcomes. Furthermore, a small number of initiators were identified for some of the study medications in the SOC cohort, making it difficult to conduct comparisons between individual medications and duloxetine. For example, 338 patients initiated pregabalin, 154 initiated venlafaxine, and 426 initiated TCAs in the pre-matching SOC cohort. After matching, the sample size of these sub-cohorts became too small to conduct individual comparisons. Finally, although the PS matching improved the comparability of baseline clinical and demographic characteristics between duloxetine and SOC cohorts, unobservable confounders might have biased the estimates. As a result, the findings from this analysis can only be interpreted as association and not causation.

## Conclusion

This study evaluated pain medication utilization in patients with CLBP after initiating duloxetine or SOC. Patients with CLBP initiating duloxetine had better compliance and a lower likelihood of opioid utilization than those initiating the SOC. The findings from this study could be

informative to health care providers in managing CLBP. Future research may be warranted in order to assess the full economic implications of duloxetine use in the treatment of chronic pain.

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