

# Development of a tool for monitoring the prescribing of antipsychotic medications to people with dementia in general practice: a modified eDelphi consensus study

Aisling A Jennings  
Naohse Guerin  
Tony Foley

Department of General Practice,  
University College Cork, Cork,  
Ireland

**Background:** Despite their adverse effects, antipsychotics are frequently used to manage behavioral and psychological symptoms of dementia. Regular monitoring of antipsychotic prescribing has been shown to improve the appropriateness of prescribing. However, there is currently no consensus on what the components of such a monitoring tool would be.

**Aim:** The aim of this study was to use an expert consensus process to identify the key components of an antipsychotic repeat prescribing tool for use with people with dementia in a general practice setting.

**Methods:** A modified eDelphi technique was employed. We invited multidisciplinary experts in antipsychotic prescribing to people with dementia to participate. These experts included general practitioners (GPs), geriatricians and old age psychiatrists. The list of statements for round 1 was developed through a review of existing monitoring tools and international best practice guidelines. In the second round of the Delphi, any statement that had not reached consensus in the first round was presented for re-rating, with personalized feedback on the group and the individual's response to the specific statement. The final round consisted of a face-to-face expert meeting to resolve any uncertainties from round 2.

**Results:** A total of 23 items were rated over two eDelphi rounds and one face-to-face consensus meeting to yield a total of 18 endorsed items and five rejected items. The endorsed statements informed the development of a structured, repeat prescribing tool for monitoring antipsychotics in people with dementia in primary care.

**Conclusion:** The development of repeat prescribing tool provides GPs with practical advice that is lacking in current guidelines and will help to support GPs by providing a structured format to use when reviewing antipsychotic prescriptions for people with dementia, ultimately improving patient care. The feasibility and acceptability of the tool now need to be evaluated in clinical practice.

**Keywords:** dementia, antipsychotics, prescribing, eDelphi, consensus, general practice

## Introduction

Most people living with dementia will experience behavioral and psychological symptoms of dementia (BPSD) at some stage in the illness<sup>1</sup> with some studies estimating that between 80% and 90% of people with dementia will experience at least one symptom of BPSD.<sup>2</sup> BPSD encompasses a wide range of noncognitive symptoms that affect people living with dementia and includes behaviors such as agitation or aggression and psychological symptoms such as anxiety or hallucinations. BPSD is associated

Correspondence: Aisling A Jennings  
Department of General Practice,  
University College Cork, Room 2.41  
Western Gateway Building, Cork T12  
XF62, Ireland  
Tel +353 21 490 1572  
Email [aisling.jennings@ucc.ie](mailto:aisling.jennings@ucc.ie)

with increased rates of admission to nursing homes<sup>3</sup> and longer in-patient hospital stays<sup>4</sup> and is a major contributor to caregiver stress and depression.<sup>5</sup> General practitioners (GPs) play a pivotal role in the care of people with dementia,<sup>6,7</sup> providing the day-to-day medical care for people with dementia living in their own homes and in nursing homes. However, similar to their secondary care colleagues,<sup>8</sup> GPs can find the management of BPSD a particularly challenging aspect of dementia care.<sup>9,10</sup> Non-pharmacological strategies are recommended as first-line treatment in BPSD.<sup>11</sup> Personalized non-pharmacological interventions such as personalized music therapy<sup>12,13</sup> and formal caregiver training to enhance communication skills<sup>13</sup> may have a role in the management of BPSD; however, the uptake of non-pharmacological strategies is low.<sup>14</sup> Psychotropic medication, in particular antipsychotics, are frequently employed to manage BPSD;<sup>14</sup> however, antipsychotics are not recommended unless there is a serious risk of harm to the person with dementia or others.<sup>11</sup> Antipsychotics have particular adverse effects in people with dementia including an increased risk of stroke and increased mortality.<sup>15,16</sup> Furthermore, evidence suggests that antipsychotics are, at best, only minimally effective at improving BPSD.<sup>15,17</sup> Despite their adverse effects and minimal effectiveness, antipsychotics are still prescribed to people with dementia experiencing BPSD.<sup>18,19</sup> The rates of antipsychotic prescribing in people with dementia vary from country to country with rates as high as 29% in a 2013 audit conducted in Ireland<sup>20</sup> and lower rates of 17.7% in a comparable 2012 audit in the UK.<sup>21</sup> Nursing home residents, who typically have more advanced dementia, are significantly more likely to be on an antipsychotic medication than people with dementia living in their own homes,<sup>20</sup> up to five times as likely in one study.<sup>19</sup>

The reason for continued prescribing of these potentially harmful medications is complex.<sup>22</sup> In the context of stretched resources, non-pharmacological alternatives to antipsychotic prescribing can be viewed as being impractical and not implementable.<sup>23</sup> The benefit of antipsychotic medication can be overestimated.<sup>24</sup> Furthermore, both GPs and consultant psychiatrists report that they sometimes feel under pressure from nursing home staff, and occasionally family caregivers, to prescribe medications.<sup>8,10</sup> As a result, antipsychotics are sometimes employed to enable the person with dementia, their caregiver and the nursing home staff to cope with these behaviors and symptoms.<sup>22</sup>

When prescribed for BPSD, antipsychotics should only be used on a short-term basis and should be reviewed for side effects and for effectiveness as many of the harmful side

effects of antipsychotics are dose and duration dependent. However, there is evidence that antipsychotics are often inappropriately prescribed to people with dementia for prolonged periods of time,<sup>18</sup> sometimes without a documented indication<sup>25</sup> and with suboptimal review processes.<sup>26</sup> A systematic review in 2014 examined interventions to reduce inappropriate prescribing of antipsychotic medications in care homes and identified a wide variety of interventions from educational interventions to organizational changes.<sup>27</sup> Medication review was identified as an intervention employed to reduce antipsychotic prescribing with some promising results.<sup>27</sup> Since that 2014 review, other studies have shown that regular monitoring of antipsychotic prescribing can reduce the overall prescribing of antipsychotics in dementia<sup>28</sup> and improve the appropriateness of prescribing.<sup>29</sup> The WHELD study identified the value of antipsychotic review, demonstrating that it can lead to a 50% reduction in antipsychotic use in nursing homes.<sup>28</sup> However, it is also highlighted that to positively benefit the person with dementia any intervention to reduce antipsychotic medication needs to be supported by non-pharmacological interventions such as social interaction.<sup>28</sup>

A recent qualitative study conducted by the authors explored the challenges GPs experience managing BPSD.<sup>10</sup> In the study participating GPs called for GP-specific guidance on the pharmacological management of BPSD. Guidance for GPs in the form of a repeat prescribing tool to monitor the prescribing of antipsychotics in dementia would facilitate the conduct of antipsychotic reviews in general practice. However, there is currently no consensus on what the components of such a tool would be.

The aim of this study was to use an expert consensus process to identify the key components of an antipsychotic repeat prescribing tool for use with people with dementia in a general practice setting.

## Methods

### Study design

A Delphi method was used to establish expert consensus that would inform the development of a repeat prescribing tool for GPs to use when monitoring people with dementia on antipsychotic medications. The Delphi is a “group facilitation technique that seeks to obtain consensus on the opinions of experts through a series of structured questionnaires” (known as rounds).<sup>30</sup> The key features of the Delphi method include the following: recruiting relevant experts for the study, compiling questionnaires with a list of statements that the experts rate for agreement, calculating the

results, giving anonymized feedback to participants about how their responses compared to the rest of the group and giving participants the opportunity to revise their responses to the questionnaire in light of this feedback.<sup>31</sup> This iterative process continues over multiple rounds of questionnaires until consensus is reached, with some statistical criterion being used to define consensus. A modified Delphi was employed in this study, which combines the questionnaire with a physical meeting of experts to discuss the results.<sup>32</sup> This face-to-face meeting is recommended at the end of the last round to exchange views and resolve uncertainties and is, therefore, often considered to function as a final “round”.<sup>32</sup> We utilized a web-based platform to organize and facilitate communication. This eDelphi approach has practical advantages over the traditional paper-based Delphi model facilitating the participation of experts from different geographical locations and enabling faster response times.<sup>33</sup>

### Research steering group

The research team formed a research steering group. This consisted of the research facilitator (NG) and two GPs (TF, AAJ) both of whom have clinical and research expertise in the management of BPSD. The function of this working group was to review the literature to inform the development of the first round of the questionnaire and to participate in a final meeting once the eDelphi rounds were completed to discuss any uncertainties. The members of the research steering group did not complete the eDelphi questionnaires.

### Selection and recruitment to the expert panel

Participants in the Delphi were purposively selected by the research team based on their known expertise in the area.<sup>30</sup> To ensure diversity, a panel of medical experts was recruited from different medical specialities to participate in the Delphi consensus. Medical professionals participating in the eDelphi included GPs, old age psychiatrists and geriatricians.

GPs were eligible to participate if they met the following inclusion criteria: minimum of 10 years as a practicing GP, regularly engaged in the management of patients with BPSD and providing care to people with dementia in a nursing home setting. GPs meeting these inclusion criteria were identified nationally. From this population, a sample of GPs was purposively selected to include GPs of different ages and with different practice locations (rural/urban) with the goal of achieving maximum variation. Consultant psychiatrists and consultant geriatricians were eligible to participate in the study if they provided care to people with BPSD in a

nursing home setting and if they had a research interest in this area with relevant peer-reviewed publications. Once eligible participants were identified, they were individually emailed, provided with information on the study and invited to participate in the eDelphi.

### Questionnaire development

The questionnaire was iteratively developed by the research steering group. The content of the questionnaire was informed by a review of existing antipsychotic drug-monitoring templates from the UK and Canada<sup>34–36</sup> and by a review of international guidance documents on antipsychotic prescribing in dementia.<sup>37–41</sup>

### Analysis of rounds and consensus criteria

We asked the participants to state the extent to which they agreed with a list of statements using a 5-point Likert scale. The option to provide free text comments was provided throughout the questionnaire. The level of percentage agreement necessary to reach consensus for this particular study was informed by the literature on consensus criterion in Delphi processes<sup>42</sup> and by Delphi studies exploring similar research areas.<sup>43–45</sup> In cases where a statement received  $\geq 80\%$  agreement, it was agreed that consensus had been reached. These statements were omitted from further rounds and were automatically included in the monitoring template. Any statement receiving  $< 40\%$  was rejected and, therefore, excluded from the monitoring template. All statements that fell between 40% and 79% agreement were deemed undecided, ie, had not reached consensus, so these statements were carried forward into the next round to be re-rated.

In the second round of the eDelphi, any statements that had not reached consensus in the first round were presented for re-rating using the same 5-point Likert scale. In this round, each participant was provided with individualized feedback which included the mean answer of the group response to each statement in round 1. In addition, the participant's own response to the statements in round 1 was provided to illustrate their position in the group. This offered Delphi members an opportunity to revise and refine initial answers based on the group opinion. Free text comments provided by participants in round 1 were also included as statements in round 2 if the same suggestion was made by two or more participants. For each statement in the second round, it was decided that  $> 70\%$  agreement reached consensus to include the statement and  $< 50\%$  agreement reached consensus to exclude the statement.

## Study ethics

Ethical approval was granted by the Clinical Research Ethics Committee of the Cork Teaching Hospitals. Participants were advised in an information sheet and in the initial e-mail they received, that the completion of the first round of the eDelphi was considered to be their consent to participate in the study.

## Results

### Study participation

A total of 17 medical professionals with expertise in dementia care were invited to participate, and 14 agreed to participate in the study. This group of 14 experts included the following: eight GPs, four old age psychiatrists and two geriatricians (the breakdown of the retention rate for each professional group is provided in Table 1).

### Item ratings

A total of 23 items were rated over two rounds to yield a total of 18 endorsed items and five rejected items. Table 2 summarizes the number of items rated, endorsed and rejected over the two eDelphi rounds.

### Round 1 questionnaire results

The first-round questionnaire consisted of 21 statements, and participants were asked to rate the statements using a 5-point Likert scale (Table 3). Surveys were open for completion for 2 weeks. Nine of the statements reached consensus and were endorsed in round 1. These statements were, therefore, included in the monitoring template and were excluded from the second-round survey. One statement received only 28.5% agreement, which was below the cutoff of 40%, so this statement was automatically excluded from the monitoring template and from any further rounds of questionnaire. Eleven statements did not reach consensus, rating between 40% and 79%; therefore, all these statements were included in round 2 for re-rating. All statements that were endorsed, rejected or did not reach consensus in round 1 are presented in Table 3.

**Table 1** Participants by professional group

	Initially recruited	Participation in round 1	Participation in round 2
GPs	8	8	7
Psychiatrists	4	4	2
Geriatricians	2	2	2

**Abbreviation:** GPs, general practitioners.

Qualitative feedback provided by participants in the free text comment boxes of round 1 was thematically analyzed. If a suggestion was made by two or more participants, it was included in the questionnaire for round 2. One suggestion made by two participants was that urinalysis or mid-stream urine (MSU) should be done as a baseline test prior to initiation of antipsychotic medication.

“... urinalysis should be a standard baseline test +/-MSU...”

[old age psychiatrist 1]

“I would also do an MSU” [GP 1]

As a result of these comments, a statement on performing MSU analysis was included in the second-round questionnaire.

Another item that received several qualitative comments was the statement that recommended medical review should happen 6 weeks after the antipsychotic medication was commenced. Three participants suggested that medical review should occur earlier than this:

“Earlier initial review may be more appropriate, eg, 2–4 weeks” [old age psychiatrist 1]

“Should be reviewed within a month” [geriatrician 2]

“Review should be earlier than 6 weeks” [old age psychiatrist 2]

As a result of these comments, an additional statement was included in the questionnaire for round 2 stating that review should occur at 4 weeks. Even though the original statement that a review should occur at 6 weeks did achieve consensus in round 1, in light of the qualitative feedback, the research steering group decided to include the original statement again in the questionnaire for round 2.

The wording of one of the statements was modified based on the qualitative feedback provided by participants. The modified item was related to conducting a body mass index (BMI) measurement. Initially, the statement in the Delphi questionnaire was “The presence/absence of the following medication side-effects should be documented prior to repeat prescribing of antipsychotics in patients with dementia ... increase in BMI”. This rating did not reach consensus in round 1, and concerns were raised about the practicality of measuring the BMI:

“BMI risk would not be high up my decision-making process given the typical patient profile...” [geriatrician 1]

“It would not be easy to weigh and measure a patient in a family home setting” [GP 2]

**Table 2** Statements that were accepted, rejected and re-rated at each stage

	Number of statements	Statements to be included	Statements excluded	Statements to be re-rated	New statements added
Round 1	21	11	1	9	2
Round 2	11	9	2	2 <sup>a</sup>	0

**Note:** <sup>a</sup>Two statements brought to research steering group for discussion were ultimately rejected.

**Table 3** Round 1 statements with their associated consensus decisions

Statement	Agreement rating (%)	Outcome
A repeat prescribing monitoring template for antipsychotic (AP) use in patients with dementia should include personal details including name, date of birth and patient identification number	92.8	Endorsed
A repeat prescribing monitoring template for AP use in patients with dementia should include clearly stated medical diagnosis	92.8	Endorsed
A repeat prescribing monitoring template for AP use in patients with dementia should include the name, dose and duration of AP drug prescribed	92.8	Endorsed
A repeat prescribing monitoring template for AP use in patients with dementia should include whether or not additional PRN (as required) APs were used during the period of AP prescription	100	Endorsed
Medical review prior to initiation of APs for patients with dementia should include documented consent from patient with dementia/patient's next of kin prior to initiation of drug therapy	28.5	Rejected
Medical review prior to initiation of APs for patients with dementia should include trial of non-pharmacological treatment options prior to initiation of AP drug therapy	92.8	Endorsed
Prior to initiation of AP drug therapy by GPs, in patients with dementia, the following should be checked and documented		
1. Baseline FBC	78.5	No consensus
2. Baseline LFTs	64.2	No consensus
3. Baseline TFTs	78.5	No consensus
4. Baseline U&Es	78.5	No consensus
5. Baseline BMI	64.2	No consensus
6. Baseline ECG	78.5	No consensus
Adverse drug reactions – GPs should document improvements/disimprovements in BPSD following a period of AP use	100	Endorsed
The presence/absence of the following medication side effects should be documented prior to repeat prescribing of APs in patients with dementia:		
1. Increase in BMI	71.4	No consensus
2. Cardiovascular disease/worsening of condition in patients with preexisting cardiovascular disease	78.5	No consensus
3. Sedation	100	Endorsed
4. Extrapyramidal symptoms/impaired mobility	100	Endorsed
5. Confusion	92.8	Endorsed
Regarding review of AP prescription for patients with dementia:		
1. Patients with dementia who are prescribed AP drugs in the community for the first time should have a documented review by their GP at 6 weeks	85.7	Divided opinion in qualitative feedback
2. Following the initial 6-week review, patients with dementia on AP therapy should have documented review by their GP at least 3 monthly thereafter	85.7	Endorsed
3. Medical reasons for continuation/discontinuation of the drug should be documented by the GP at each review	85.7	Endorsed

**Abbreviations:** AP, antipsychotic; BMI, body mass index; ECG, electrocardiograph; FBC, full blood count; GP, general practitioner; LFTs, liver function tests; TFTs, thyroid function tests; U&Es, urea and electrolytes.

As a result, this question was modified to include the stem “where feasible” and was included in the second-round questionnaire for re-rating.

Finally, concern was raised as to the feasibility of performing an electrocardiograph (ECG) prior to commencing an antipsychotic:

“... Re ECG, this is very relevant, but not always possible – again, I do not do this routinely myself. And would you hold down a psychotic patient to do an ECG?” [geriatrician 1]

“... A baseline ECG could be difficult in a home setting” [GP 1]

This statement had not achieved consensus in round 1 (78.5% agreement), so it was included in the second-round questionnaire. In addition, these qualitative comments regarding the feasibility of conducting an ECG were included in the individualized feedback to participants in round 2.

## Round 2 questionnaire results

The second-round questionnaire consisted of 13 statements: eleven statements that did not reach consensus in round 1

and 2 additional statements that were included in response to the qualitative comments provided by participants in round 1. Consensus was reached on 11 of the 13 statements in round 2. Details on each statement included in round 2 and the consensus outcome are given in Table 4. Nine statements achieved  $\geq 70\%$  agreement, and these statements were included in the monitoring template. Two statements were rejected as they achieved  $\leq 50\%$  agreement. Two statements did not reach consensus in round 2, but both statements reached a low percentage agreement of 54%. In the context of the low percentage agreement for these two statements and in view of the fact that a third round would include just two statements, these two statements were brought to the Delphi steering group for discussion. In a modified eDelphi, such a face-to-face consensus meeting is often considered to be an additional round.<sup>32</sup>

The first statement discussed by the research steering group was the statement on the documentation of an increase in BMI prior to repeating a prescription for an antipsychotic. After discussion with the steering group, this statement was rejected. The decision to exclude the statement was informed by the qualitative feedback in the Delphi rounds:

**Table 4** Round 2 statements with their associated consensus decisions

Statement	Agreement rating (%)	Outcome
Prior to initiation of AP therapy by GPs in patients with dementia, the following should be checked:		
1. FBC	90.9	Endorsed
2. LFTs	90.9	Endorsed
3. TFTs	90.9	Endorsed
4. U&Es	81.8	Endorsed
5. BMI	50	Rejected
6. ECG	72.7	Endorsed
7. Urinalysis	50	Rejected
Where feasible, the presence/absence of the following medication side effects should be documented prior to repeat prescribing of APs in patients with dementia:		
1. Increase in BMI	54	Brought for discussion in the research steering group
2. Cardiovascular disease/worsening of condition in patients with preexisting cardiovascular disease	72.7	Endorsed
In a monitoring template for repeat prescribing of APs in patients with dementia:		
1. Patients with dementia who are prescribed AP drugs for the first time should have a documented review by their GPs within 6 weeks	72.7	Endorsed
2. Following initial review, patients with dementia on repeat prescribed that AP therapy should have a documented review by their GPs at least 3 monthly thereafter	72.7	Endorsed
3. Medical reasons for continuation/discontinuation of the drug should be documented by the GPs at each review	81.8	Endorsed
4. Patients with dementia who are prescribed AP drugs for the first time should have a documented review by their GPs within 4 weeks	54.5	Brought for discussion in the research steering group

**Abbreviations:** AP, antipsychotic; BMI, body mass index; ECG, electrocardiograph; FBC, full blood count; GP, general practitioner; LFTs, liver function tests; TFTs, thyroid function tests; U&Es, urea and electrolytes.

a low percentage agreement of 54% in round 2 and a 17.4% reduction in percentage agreement from the first round to the second round. The second statement discussed at the meeting was the statement that after initiation of an antipsychotic, a patient should have a documented review by their GP within 4 weeks. This statement was added to round 2 after consideration of the qualitative feedback from round 1; however, it received only a 54% agreement rating in round 2. The conflicting statement, recommending review at 6 weeks, was endorsed in both round 1 and round 2 of the eDelphi. In this context, it was decided to reject this statement that review should occur within 4 weeks in favor of the statement that review should occur at 6 weeks.

The combined consensus from round 1 and round 2 resulted in 18 statements that were endorsed by the expert panel. These 18 statements informed the content of an antipsychotic repeat prescribing tool for GPs to use when monitoring people with dementia on antipsychotics. The final tool was developed by the Delphi steering group as shown in Figure S1.

## Discussion

This study utilized a modified eDelphi expert consensus process to inform the development of an antipsychotic repeat prescribing tool in people with dementia in a general practice setting. This repeat prescribing tool will provide GPs with a practical, relevant and implementable resource that will support them in monitoring their patients with dementia on antipsychotic medications.

A number of key issues regarding the challenges of monitoring antipsychotic prescribing in dementia were identified including the use of ECG, measurement of BMI and the scheduled time for the review of prescribing. The practical difficulties in obtaining an ECG prior to initiation of antipsychotic medication were highlighted by several participants in the qualitative feedback; however, it did ultimately achieve consensus in round 2 and was, therefore, included in the final tool. International guidelines do not specifically recommend performing an ECG;<sup>37,38,41</sup> however, given the propensity of nearly all antipsychotic medication to cause QT prolongation, it is a reasonable consideration, where practical, in advance of initiating these medications. Likewise, although BMI measurement is not recommended in the existing guidelines,<sup>37,38,41</sup> it was included as a statement for review in the eDelphi as antipsychotics are known to result in weight gain.<sup>46</sup> However, the difficulties in measuring BMI prior to initiation of an antipsychotic were highlighted by several participants, and this statement was eventually rejected in round 2.

One particularly contentious issue was the recommended time interval after an initial prescription for an antipsychotic during which a GP review of the effect of the medication should be undertaken, with some participants advocating for a review within 4 weeks instead of 6 weeks. However, the statement that a review should occur within 6 weeks was the statement that was ultimately endorsed. The existing guidelines do vary on this issue of when a review should occur after initiation of an antipsychotic medication in a person with dementia. For instance, the most recent 2018 National Institute for Clinical Evidence guidelines on dementia care recommend that initial treatment with an antipsychotic should use the lowest effective dose for the shortest time with a reassessment of the person at least every 6 weeks.<sup>47</sup> This is echoed in the American Psychiatric Association (APA) 2016 guidelines on antipsychotic medications in dementia which recommends a review of symptoms 4–6 weeks after initiation of an antipsychotic.<sup>38</sup> Guidance from Australia recommends that if there is no treatment efficacy within a relatively short timeframe (eg, 1–2 weeks), treatment should be discontinued.<sup>41</sup> Overall, in the different guidance documents, the recommended time between medication initiation and GP review of the effect of the medication ranges from 2 to 6 weeks. The different guidance documents do not distinguish between a person with dementia living at home from a person with dementia living in a nursing home setting. However, in a nursing home setting, residents are being observed by the nursing home staff; therefore, a GP review at 6 weeks may be acceptable. It is likely, however, that a person with dementia living at home would benefit from an earlier review.

One finding that was overwhelmingly rejected in round 1 was the statement that “medical review prior to initiation of antipsychotics for patients with dementia should include documented consent from patient with dementia/patient’s next of kin prior to initiation of drug therapy”. This statement was included in the original questionnaire for round 1 as both the NICE guidance and the APA guidance recommend discussing the benefits and potential harms with the person and their family members or carer prior to commencing an antipsychotic. The practical challenges of obtaining “documented consent” may have influenced the rejection of this statement, rather than a reluctance on the part of participants to discuss the risks and benefits with the person with dementia or their caregiver. Antipsychotics should only be prescribed if the person with dementia is a danger to either themselves or to others.<sup>11</sup> In these situations, it may not always be possible to obtain documented consent from either the person with dementia, who may not be able to give informed consent, or their family member, who may not be available.

## Comparison with existing literature

The Delphi consensus approach has been used successfully to develop criteria for potentially inappropriate medication in people nearing the end of life.<sup>48</sup> More specifically, Delphi studies have been used previously to address the issue of potentially inappropriate prescribing of medication to people with dementia.<sup>49–54</sup> However, we are unaware of any existing literature that used a consensus development method to inform the development of an antipsychotic repeat prescribing tool for use in people with dementia. Previously, a modified eDelphi consensus procedure was used to develop practice guidelines on the prescription of antipsychotics to people with dementia living in care homes.<sup>55</sup> The majority of clinicians participating in that expert panel were old age psychiatrists; however, the views of geriatricians and GPs were incorporated. Although the study did not develop a repeat prescribing tool it did address certain issues surrounding antipsychotic initiation and review. The results largely echoed our study results, however, there were some notable differences. These differences centered on the consensus reached on the consultation that should take place with family caregivers and on conducting ECGs prior to initiating antipsychotic medication. First, the study recommended that ECG was only necessary in patients with a history of cardiovascular disease or if the patient was on another medication that can prolong the QT interval. Second, the study affirmed that the patient (if appropriate) and the primary family caregiver should be consulted in the critical phases of treatment, specifying that they should be consulted pre treatment with an antipsychotic. The study did not discuss what the time interval should be between antipsychotic initiation and review; however, it does state that if there is a lack of improvement, the dose should be increased until side effects appear and continued for a period of 4 weeks.

## Strengths and limitations

A Delphi method was chosen in this study as it is particularly appropriate when developing consensus when existing evidence is insufficient. Other methods of consensus development, such as nominal group technique, were not feasible as the experts participating in the consensus development worked in different geographical regions. Another strength of choosing the Delphi technique is that of quasi-anonymity<sup>42</sup> although the participants are known to the researcher, the participants remain anonymous to each other, preserving independent opinion. The multidisciplinary nature of the Delphi participants offered the opportunity to consider the different views of clinicians involved in the care of people with BPSD and enriched the results.

A limitation of this study is the small number of Delphi participants, with only 14 members. However, as little as ten members have been reported to yield strong evidence in Delphi studies.<sup>56</sup> Another limitation is the relatively high dropout rate of 21.4% after three members dropped out of the study in round 2. However, despite this dropout, the response rate remained above 70% for each round, which is the recommended response level to yield robust results.<sup>57</sup> We did not, therefore, follow-up with nonresponders. The choice of methodology prevented in-depth discussion among the expert participants; however, the ability to provide in-depth qualitative feedback allowed for the sharing of ideas. In addition, the existence of the research steering group facilitated in-depth discussion on selected topics as required. As the content for this eDelphi was quite clinical in nature, the decision was made to not include people living with dementia or their caregivers in the initial eDelphi process. However, an important next step would be to get the input of people living with dementia, their caregivers and nursing home staff prior to implementation of the monitoring tool in a clinical setting.

## Implications for future research

The existence of a repeat prescribing tool for monitoring antipsychotic prescribing in general practice will not in itself guarantee that monitoring will occur. The feasibility and acceptability of the tool need to be evaluated in clinical practice. This phase will involve all relevant stakeholders including people with dementia, their caregivers, nursing home staff and community pharmacists.

This tool needs to be evaluated to identify whether implementation of the tool leads to more frequent reviews and more appropriate prescribing and de-prescribing of antipsychotics in people living with dementia. Future research then needs to focus on incorporating this antipsychotic repeat prescribing tool into a wider intervention that addresses all the barriers to conducting antipsychotic reviews in people with dementia in a general practice setting. These challenges can include a lack of knowledge on the part of some GPs of the adverse effects of antipsychotics in dementia and an overestimation of their benefits in BPSD.<sup>58,59</sup> This can lead to a lack of motivation to monitor prescribing on the part of the GP – why monitor if you do not intend to stop the medication? In addition to highlighting the value of monitoring antipsychotics, GPs need to be further supported by practical advice on how to conduct an antipsychotic review and how to gradually taper antipsychotic medications. This repeat monitoring tool provides general prescribing guidance to GPs monitoring patients with dementia on an antipsychotic. However, patients will need to

be assessed and managed at an individual level in accordance with their comorbidities and risk factors. The tool developed here is intended as an educational device as well as a practical tool. Further GP-relevant guidelines on antipsychotic prescribing would support GPs in conducting this task.<sup>10</sup>

## Conclusion

Through an expert consensus process, we developed a repeat prescribing tool for use by GPs when initiating and monitoring antipsychotic medications prescribed to people living with dementia in the community or in a nursing home setting. This tool provides GPs with practical advice that can be lacking in current guidelines and provides an additional level of detail to GPs to aid clinical decision-making. This tool will help to support GPs by providing a structured format to use when reviewing antipsychotic prescriptions in people with dementia, ultimately improving patient care.

## Acknowledgments

This study was conducted as part of a larger research project – PREPARED (Primary Care Education, Pathways and Research of Dementia). PREPARED is supported by a 3-year grant (2015–2018) from Atlantic Philanthropies and the Health Service Executive, Ireland. TF is the principle investigator on the PREPARED project. The funders played no role in the design, execution, analysis or writing of the study.

## Author contributions

All authors contributed toward data analysis, drafting and revising the paper and agree to be accountable for all aspects of the work

## Disclosure

AAJ received a PhD stipend as part of the grant awarded by Atlantic Philanthropies and the Health Service Executive (Ireland), and received 3-year career research grant from the Irish College of General Practitioners (2017–2020). The authors report no other conflicts of interest in this work.

## References

- Savva GM, Zaccai J, Matthews FE, Davidson JE, McKeith I, Brayne C; Medical Research Council Cognitive Function and Ageing Study. Prevalence, correlates and course of behavioural and psychological symptoms of dementia in the population. *Br J Psychiatry*. 2009;194(3):212–219.
- Steinberg M, Shao H, Zandi P, et al; Cache County Investigators. Point and 5-year period prevalence of neuropsychiatric symptoms in dementia: the Cache County Study. *Int J Geriatr Psychiatry*. 2008;23(2):170–177.
- Toot S, Swinson T, Devine M, Challis D, Orrell M. Causes of nursing home placement for older people with dementia: a systematic review and meta-analysis. *Int Psychogeriatr*. 2017;29(2):195–208.
- Wancata J, Windhaber J, Krautgartner M, Alexandrowicz R. The consequences of non-cognitive symptoms of dementia in medical hospital departments. *Int J Psychiatry Med*. 2003;33(3):257–271.
- Ornstein K, Gaugler JE. The problem with “problem behaviors”: a systematic review of the association between individual patient behavioral and psychological symptoms and caregiver depression and burden within the dementia patient-caregiver dyad. *Int Psychogeriatr*. 2012;24(10):1536–1552.
- Prince M, Comas-Herrera A, Knapp M, Guerchet M, Karagiannidou M, Report WA. *Improving Healthcare for People Living with Dementia: Coverage, Quality and Costs Now and in the Future*. London, UK: Alzheimer’s Disease International. 2016;2016:21–45.
- Downs MG. The role of general practice and the primary care team in dementia diagnosis and management. *Int J Geriatr Psychiatry*. 1996;11(11):937–942.
- Wood-Mitchell A, James IA, Waterworth A, Swann A, Ballard C. Factors influencing the prescribing of medications by old age psychiatrists for behavioural and psychological symptoms of dementia: a qualitative study. *Age Ageing*. 2008;37(5):547–552.
- Foley T, Boyle S, Jennings A, Smithson WH. “We’re certainly not in our comfort zone”: a qualitative study of GPs’ dementia-care educational needs. *BMC Fam Pract*. 2017;18(1):66.
- Jennings AA, Foley T, Mchugh S, Browne JP, Bradley CP. ‘Working away in that Grey Area...’ A qualitative exploration of the challenges general practitioners experience when managing behavioural and psychological symptoms of dementia. *Age Ageing*. 2018;47(2):295–303.
- Guidelines N [homepage on the Internet]. *Dementia: Supporting People With Dementia and Their Carers in Health and Social Care*; 2006. Available from: <http://www.nice.org.uk/guidance/CG42>. Accessed 27 February 2018.
- Testad I, Corbett A, Aarsland D, et al. The value of personalized psychosocial interventions to address behavioral and psychological symptoms in people with dementia living in care home settings: a systematic review. *Int Psychogeriatr*. 2014;26(7):1083–1098.
- Abraha I, Rimland JM, Trotta FM, et al. Systematic review of systematic reviews of non-pharmacological interventions to treat behavioural disturbances in older patients with dementia. The SENATOR-OnTop series. *BMJ Open*. 2017;7(3):e012759.
- Marston L, Nazareth I, Petersen I, Walters K, Osborn DP. Prescribing of antipsychotics in UK primary care: a cohort study. *BMJ Open*. 2014;4(12):e006135.
- Schneider LS, Dagerman K, Insel PS. Efficacy and adverse effects of atypical antipsychotics for dementia: meta-analysis of randomized, placebo-controlled trials. *Am J Geriatr Psychiatry*. 2006;14(3):191–210.
- Maust DT, Kim HM, Seyfried LS, et al. Antipsychotics, other psychotropics, and the risk of death in patients with dementia: number needed to harm. *JAMA Psychiatry*. 2015;72(5):438–445.
- Schneider LS, Tariot PN, Dagerman KS, et al; CATIE-AD Study Group. Effectiveness of atypical antipsychotic drugs in patients with Alzheimer’s disease. *N Engl J Med*. 2006;355(15):1525–1538.
- Wetzels RB, Zuidema SU, de Jonghe JF, Verhey FR, Koopmans RT. Prescribing pattern of psychotropic drugs in nursing home residents with dementia. *Int Psychogeriatr*. 2011;23(8):1249–1259.
- Walsh KA, O’Regan NA, Byrne S, Browne J, Meagher DJ, Timmons S. Patterns of psychotropic prescribing and polypharmacy in older hospitalized patients in Ireland: the influence of dementia on prescribing. *Int Psychogeriatr*. 2016;28(11):1807–1820.
- Gallagher P, Curtin D, de Siún A, et al. Antipsychotic prescription amongst hospitalized patients with dementia. *QJM*. 2016;109(9):589–593.
- National Audit of Dementia care in general hospitals 2012–13: Second round audit report and update. Royal College of Psychiatrists; 2013. Available from: <https://www.rcpsych.ac.uk/pdf/NAD%20NATIONAL%20REPORT%202013%20reports%20page.pdf>. Accessed June 23, 2018.

22. Walsh KA, Dennehy R, Sinnott C, et al. Influences on Decision-Making Regarding Antipsychotic Prescribing in Nursing Home Residents With Dementia: A Systematic Review and Synthesis of Qualitative Evidence. *J Am Med Dir Assoc*. 2017;18(10):897.e1–897.e12.
23. Jennings AA, Foley T, Walsh KA, Coffey A, Browne JP, Bradley CP. General practitioners' knowledge, attitudes and experiences of managing behavioural and psychological symptoms of dementia: protocol of a mixed methods systematic review and meta-ethnography. *Syst Rev*. 2018;7(1):62.
24. Jennings AA, Foley T, Walsh KA, Coffey A, Browne JP, Bradley CP. General practitioners' knowledge, attitudes, and experiences of managing behavioural and psychological symptoms of dementia: A mixed methods systematic review. *Int J Geriatr Psychiatry*. 2018;33(9):1163–1176.
25. Chen Y, Briesacher BA, Field TS, Tjia J, Lau DT, Gurwitz JH. Unexplained variation across US nursing homes in antipsychotic prescribing rates. *Arch Intern Med*. 2010;170(1):89–95.
26. Barnes TR, Banerjee S, Collins N, Treloar A, Mcintyre SM, Paton C. Antipsychotics in dementia: prevalence and quality of antipsychotic drug prescribing in UK mental health services. *Br J Psychiatry*. 2012;201(3):221–226.
27. Thompson Coon J, Abbott R, Rogers M, et al. Interventions to reduce inappropriate prescribing of antipsychotic medications in people with dementia resident in care homes: a systematic review. *J Am Med Dir Assoc*. 2014;15(10):706–718.
28. Ballard C, Orrell M, Yongzhong S, et al. Impact of Antipsychotic Review and Nonpharmacological Intervention on Antipsychotic Use, Neuropsychiatric Symptoms, and Mortality in People With Dementia Living in Nursing Homes: A Factorial Cluster-Randomized Controlled Trial by the Well-Being and Health for People With Dementia (WHELD) Program. *Am J Psychiatry*. 2016;173(3):252–262.
29. van der Spek K, Koopmans RTCM, Smalbrugge M, et al. The effect of biannual medication reviews on the appropriateness of psychotropic drug use for neuropsychiatric symptoms in patients with dementia: a randomised controlled trial. *Age Ageing*. 2018;47(3):430–437.
30. Hasson F, Keeney S, Mckenna H. Research guidelines for the Delphi survey technique. *J Adv Nurs*. 2000;32(4):1008–1015.
31. Jorm AF. Using the Delphi expert consensus method in mental health research. *Aust N Z J Psychiatry*. 2015;49(10):887–897.
32. Boukedi R, Abdoul H, Loustau M, Sibony O, Alberti C. Using and reporting the Delphi method for selecting healthcare quality indicators: a systematic review. *PLoS One*. 2011;6(6):e20476.
33. Donohoe H, Stellefson M, Tennant B. Advantages and Limitations of the e-Delphi Technique. *Am J Health Educ*. 2012;43(1):38–46.
34. Lyon RSJ. Reducing Antipsychotics in People Living with Dementia. *Sussex Partnership NHS*. 2015;Version 531:32.
35. Gardner DTM. Antipsychotics Safety Monitoring Recommendation Record (Adults); 2015. Available from: <http://www.albertahealthservices.ca/frm-18658.pdf>. Accessed June 23, 2018.
36. South Staffordshire & Shropshire Healthcare NHS Foundation Trust. Antipsychotics in Dementia. 2012. Available from: <http://www.sssf.nhs.uk/images/pharmacy/documents/escas/SStaffs-Antipsychotics-in-Dementia-Guidelines-Version-3.pdf>. Accessed June 23, 2018.
37. National Institute for Health and Care Excellence [homepage on the Internet] Dementia – assessment, management and support for people living with dementia and their carers; 2016. Available from: <https://www.nice.org.uk/guidance/cg42>. Accessed 14 June 2018.
38. Reus VI, Fochtmann LJ, Eyler AE, et al. The American Psychiatric Association Practice Guideline on the Use of Antipsychotics to Treat Agitation or Psychosis in Patients With Dementia. *Am J Psychiatry*. 2016;173(5):543–546.
39. Registered Nurses' Association of Ontario (RNAO) Clinical Best Practice Guidelines: Delirium, Dementia, and Depression in Older Adults: Assessment and Care, Second Edition. 2016. Available from: <http://rnao.ca/bpg/guidelines/assessment-and-care-older-adults-delirium-dementia-and-depression>. Accessed June 23, 2018.
40. Royal Australian and New Zealand College of Psychiatrists (RANZCP) Best Practice Guide: Antipsychotics in Dementia. 2016. Available from: [https://bpac.org.nz/a4d/resources/docs/bpac\\_A4D\\_best\\_practice\\_guide.pdf](https://bpac.org.nz/a4d/resources/docs/bpac_A4D_best_practice_guide.pdf). Accessed June 23, 2018.
41. Dyer SM, Laver K, Pond CD, Cumming RG, Whitehead C, Crotty M. Clinical practice guidelines and principles of care for people with dementia in Australia. *Aust Fam Physician*. 2016;45(12):884–889.
42. Keeney S, Hasson F, Mckenna H. Consulting the oracle: ten lessons from using the Delphi technique in nursing research. *J Adv Nurs*. 2006;53(2):205–212.
43. Berk L, Jorm AF, Kelly CM, Dodd S, Berk M. Development of guidelines for caregivers of people with bipolar disorder: a Delphi expert consensus study. *Bipolar Disord*. 2011;13(5–6):556–570.
44. Zuidema SU, Johansson A, Selbaek G, et al. A consensus guideline for antipsychotic drug use for dementia in care homes. Bridging the gap between scientific evidence and clinical practice. *Int Psychogeriatr*. 2015;27(11):1849–1859.
45. Bond KS, Jorm AF, Kitchener BA, Kelly CM, Chalmers KJ. Development of guidelines for family and non-professional helpers on assisting an older person who is developing cognitive impairment or has dementia: a Delphi expert consensus study. *BMC Geriatr*. 2016;16(1):129.
46. Bak M, Fransen A, Janssen J, van Os J, Drukker M. Almost all antipsychotics result in weight gain: a meta-analysis. *PLoS One*. 2014;9(4):e94112.
47. Dementia: Assessment, Management and Support for People Living With Dementia and Their Carers; 2018. Available from: <https://www.nice.org.uk/guidance/ng97>. Accessed 23 June 2018.
48. Lavan AH, Gallagher P, Parsons C, O'Mahony D. STOPPFrail (Screening Tool of Older Persons Prescriptions in Frail adults with limited life expectancy): consensus validation. *Age Ageing*. 2017;46(4):600–607.
49. Page A, Potter K, Clifford R, Mclachlan A, Etherton-Beer C. Prescribing for Australians living with dementia: study protocol using the Delphi technique. *BMJ Open*. 2015;5(8):e008048.
50. Page AT, Potter K, Clifford R, Mclachlan AJ, Etherton-Beer C. Medication appropriateness tool for co-morbid health conditions in dementia: consensus recommendations from a multidisciplinary expert panel. *Intern Med J*. 2016;46(10):1189–1197.
51. Kröger E, Wilchesky M, Marcotte M, et al. Medication Use Among Nursing Home Residents With Severe Dementia: Identifying Categories of Appropriateness and Elements of a Successful Intervention. *J Am Med Dir Assoc*. 2015;16(7):629.e1–629.e17.
52. Parsons C, Mccann L, Passmore P, Hughes C. Development and application of medication appropriateness indicators for persons with advanced dementia: a feasibility study. *Drugs Aging*. 2015;32(1):67–77.
53. Farrell B, Tsang C, Raman-Wilms L, Irving H, Conklin J, Pottie K. What are priorities for deprescribing for elderly patients? Capturing the voice of practitioners: a modified delphi process. *PLoS One*. 2015;10(4):e0122246.
54. Holmes HM, Sachs GA, Shega JW, Hougham GW, Cox Hayley D, Dale W. Integrating palliative medicine into the care of persons with advanced dementia: identifying appropriate medication use. *J Am Geriatr Soc*. 2008;56(7):1306–1311.
55. Zuidema SU, Johansson A, Selbaek G, et al. A consensus guideline for antipsychotic drug use for dementia in care homes. Bridging the gap between scientific evidence and clinical practice. *Int Psychogeriatr*. 2015;27(11):1849–1859.
56. Gregory J, Skulmoski J, Krahn J. The Delphi Method for Graduate Research *J Inf Technol Educ*. 2007;6.
57. Sumsion T. The Delphi Technique: An Adaptive Research Tool. *British Journal of Occupational Therapy*. 1998;61(4):153–156.
58. Cousins JM, Bereznicki LR, Cooling NB, Peterson GM. Prescribing of psychotropic medication for nursing home residents with dementia: a general practitioner survey. *Clin Interv Aging*. 2017;12:1573–1578.
59. Donyai P. Identifying fallacious arguments in a qualitative study of antipsychotic prescribing in dementia. *Int J Pharm Pract*. 2017;25(5):379–387.

## Supplementary material

Repeat Prescribing Monitoring Template for Antipsychotic Drug  
Treatment in Patients with Dementia (For use by General Practitioners)



<p><u>Personal Details</u></p> <p>Name: _____</p> <p>Date of Birth: _____</p> <p>Patient MRN: _____</p> <p>Ward (where applicable): _____</p> <p>Medical Diagnosis:</p> <p>_____</p> <p>_____</p> <p>_____</p>	<p><u>Details of Antipsychotic Drug</u></p> <p>Drug Name: _____ Dose: _____</p> <p>Mode of delivery: _____ Frequency: _____</p> <p>Date of initial prescription: _____</p> <p>Indication for initial prescription: _____</p> <p>_____</p> <p>PRN antipsychotic medication required: YES <input type="checkbox"/> NO <input type="checkbox"/></p> <p>If yes, please provide details:</p> <p>_____</p>
<p><u>Review Prior to initiation of antipsychotic drug therapy</u></p> <p>Trial of non-pharmacological treatment options prior to initiation of antipsychotic YES <input type="checkbox"/> NO <input type="checkbox"/></p> <p>Details of above: _____</p> <p>The following were checked and documented (tick where appropriate)</p> <p>FBC <input type="checkbox"/></p> <p>LFT <input type="checkbox"/></p> <p>TFT <input type="checkbox"/></p> <p>U&amp;E <input type="checkbox"/></p> <p>ECG <input type="checkbox"/></p>	
<p><u>Adverse Drug Reactions</u></p> <p>Following prescription of antipsychotic drug, has patient's BPSD improved <input type="checkbox"/> or, worsened <input type="checkbox"/></p> <p>Details: _____</p> <p>The following adverse drug reactions are/have been experienced by the patient since initiation of antipsychotic drug:</p> <ol style="list-style-type: none"> <li>1. Cardiovascular disease/worsening of condition in patients with pre-existing cardiovascular disease <input type="checkbox"/></li> <li>2. Sedation <input type="checkbox"/></li> <li>3. Extra-pyramidal symptoms/impaired mobility <input type="checkbox"/></li> <li>4. Confusion <input type="checkbox"/></li> </ol>	
<p>Antipsychotic drug treatment will be continued <input type="checkbox"/> Antipsychotic drug treatment will be discontinued <input type="checkbox"/></p> <p>Reasons for continuation of antipsychotic drug (where applicable): _____</p> <p>_____</p> <p>This patient will be medically reviewed at 6 weeks and 3 monthly thereafter <input type="checkbox"/></p> <p>Print Name: _____ MCRN: _____</p> <p>Signature: _____ Date: _____</p>	

Figure S1 The finalized monitoring tool for repeat prescription of antipsychotic medication.

### Clinical Interventions in Aging

Dovepress

## Publish your work in this journal

Clinical Interventions in Aging is an international, peer-reviewed journal focusing on evidence-based reports on the value or lack thereof of treatments intended to prevent or delay the onset of maladaptive correlates of aging in human beings. This journal is indexed on PubMed Central, MedLine,

CAS, Scopus and the Elsevier Bibliographic databases. The manuscript management system is completely online and includes a very quick and fair peer-review system, which is all easy to use. Visit <http://www.dovepress.com/testimonials.php> to read real quotes from published authors.

Submit your manuscript here: <http://www.dovepress.com/clinical-interventions-in-aging-journal>