

# Obstructive renal injury: from fluid mechanics to molecular cell biology

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**Abstract:** Urinary tract obstruction is a frequent cause of renal impairment. The physiopathology of obstructive nephropathy has long been viewed as a mere mechanical problem. However, recent advances in cell and systems biology have disclosed a complex physiopathology involving a high number of molecular mediators of injury that lead to cellular processes of apoptotic cell death, cell injury leading to inflammation and resultant fibrosis. Functional studies in animal models of ureteral obstruction using a variety of techniques that include genetically modified animals have disclosed an important role for the renin-angiotensin system, transforming growth factor- $\beta$ 1 (TGF- $\beta$ 1) and other mediators of inflammation in this process. In addition, high throughput techniques such as proteomics and transcriptomics have identified potential biomarkers that may guide clinical decision-making.

**Keywords:** urinary tract obstruction, renal injury, fluid mechanics, molecular cell biology

## The scope of the problem

Urinary tract obstruction (UTO) is a common clinical problem and a frequent cause of renal impairment. It is defined as a physical blockage to urine flow, which may result in hydronephrosis and ultimately renal parenchymal damage. UTO has a bimodal distribution in incidence, occurring in the very young and in the elderly. The incidence of antenatal hydronephrosis, including transient and physiological hydronephrosis, is 1:100 to 1:500.<sup>1</sup> In children, UTO is a frequent cause of end-stage renal disease (ESRD), accounting for 21% of chronic kidney disease (CKD) and for 16% of children listed for transplantation.<sup>2</sup> In the UK, obstructive kidney disease is the third most common etiology for CKD in children, accounting for 15% of the cases.<sup>3</sup>

In adults, UTO is a frequent cause of acute kidney injury (AKI). Up to 17% of AKI are secondary to UTO, most commonly due to prostatic disease.<sup>4</sup> It also accounts for 3%–5% of ESRD in patients older than 65 years.<sup>5</sup> In the United States, renal replacement therapy as a result of acquired obstruction accounts for 1.4% of prevalent patients.<sup>6</sup>

The major etiologies of UTO vary with patient age. Anatomic abnormalities are commonly seen in children while acquired causes are more frequent in adults. Ureteropelvic junction (UPJ) obstruction is the most common anatomic cause of hydronephrosis detected in utero with an incidence of 1:2000.<sup>7,8</sup> Other causes of obstruction in children include ureterovesical junction obstruction, posterior urethral valves, urethral atresia or stricture and neuropathic bladder. Prostatic obstruction, tumors, nephrolithiasis, ureteral strictures and retroperitoneal fibrosis are the primary causes of UTO in adults.<sup>9,10</sup>

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Untreated urinary obstruction can lead to tubular atrophy, interstitial fibrosis and inflammation, loss of nephrons and ultimately irreversible renal injury.<sup>5,11</sup> If both kidneys are affected or if there is only one kidney, ESRD will result. The renal prognosis after relief of UTO is dependent upon the severity and the duration of the obstruction. In fact, there is complete recovery of glomerular filtration rate (GFR) following relief of a one-week total ureteral obstruction, whereas almost no recovery occurs after 12 weeks.<sup>11</sup> Fetal or neonatal UTO is further complicated by the deleterious effects of obstruction on a maturing kidney, which may lead to renal dysplasia and delayed nephron maturation, besides nephron loss.<sup>12</sup> Moreover, intrauterine severe bilateral UTO can lead to oligohydramnios with subsequent pulmonary hypoplasia and respiratory failure at birth and limb deformations or even early neonatal death.<sup>13,14</sup> Other important clinical sequelae of UTO are tubular disorders such as sodium wasting, type IV renal tubular acidosis and impaired water excretion.<sup>8</sup>

Treatment of UTO is dictated by the underlying cause, the location of the obstruction, and the degree of renal impairment. For example, complete bilateral ureteral obstruction presenting as AKI is a medical emergency and requires prompt relief of the obstruction to decompress the kidneys and salvage renal function. In adults, most causes of UTO are amenable to therapy and the prognosis is generally good, depending on the underlying disease. However, in children, especially when regarding UPJ obstruction, the indications for surgical intervention are not so clear-cut and the evaluation and management of obstructive nephropathy remains challenging.<sup>15</sup> Repeated diagnostic tests and prolonged periods of follow-up are common, potentially resulting in irreversible kidney injury.

## Classical pathophysiology of obstructive nephropathy

UTO is classified according to the degree, duration, and site of the obstruction.<sup>16</sup> The degree of obstruction is said to be high grade when it is complete and low grade when partial or incomplete. UTO can be further classified as acute or chronic, and depending on the site of the obstruction it can affect one or both kidneys.

UTO was for many years considered a mere physics problem. The onset of UTO leads to an initial increase in pressure proximal to the obstruction due to continued glomerular filtration. This rise in pressure dilates the collecting systems and is transmitted back to the distal and proximal tubules. Increased pressure on the proximal tubule leads to a decrease of the net hydraulic pressure gradient, thereby

lowering the GFR.<sup>17</sup> In addition, the rise in intratubular pressure induces secondary renal vasoconstriction, through humoral, neuronal and reflex phenomena, and thereby reduces the glomerular blood flow.<sup>18,19</sup> This results in a blood shunt away from the nonfunctioning nephrons of the obstructed kidney and can thus be viewed as an appropriate physiologic response.<sup>20</sup> The decrease in renal perfusion further reduces the GFR and thereby decreases intratubular pressures towards normal, starting 5 to 6 hours after urinary obstruction.<sup>21</sup> However, the renal vascular resistance remains increased and may cause ischemia.<sup>19,22,23</sup>

## Cellular processes in obstructive renal injury

In addition to the classical mechanical view of UTO, there is evidence that the pathophysiological process of nephron destruction, although initiated by an increased intratubular hydrostatic pressure and secondary ischemia, is later magnified by cellular processes that can be classified into three broad categories: tubulointerstitial inflammation, tubular cell death and fibrosis.<sup>18,24,25</sup> Both the renin-angiotensin-aldosterone system (RAS) and endothelin-1 (ET-1) contribute to renal vasoconstriction and also have a role in the interstitial inflammatory response, renal tubular cell loss, and, ultimately, fibrosis.<sup>26-31</sup> Moreover, in the obstructed kidney, changes in intrarenal pressure cause mechanical stretch of tubular cells that are activated to release inflammatory, lethal and pro-fibrotic mediators, such as transforming growth factor-beta (TGF- $\beta$ 1) and others.<sup>32,33</sup> By contrast, endogenous nitric oxide (NO) is increased in a compensatory fashion and partially counteracts the action of other vasoconstrictive systems.<sup>34</sup> Most of the information on pathophysiological events has been generated in recent years using models of unilateral ureteral obstruction (UUO) in rats and mice. These models are of interest to both urologists and nephrologists, since they reproduce the cardinal features of progressive CKD in an accelerated fashion, thus facilitating their study.

## Interstitial inflammation

Inflammation is a complex process that reflects the local and/or systemic responses to different stressors, and usually enables resistance to disease, repair of tissue damage and restoration of normal function with the least possible tissue damage. Local expression of cytokines, chemokines and membrane expression of adhesion molecules by injured parenchymal and endothelial cells recruits leukocytes to the site of injury and these cells in turn, amplify inflammation. While inflammation is usually an adaptive response to some

aggression, if persistent or dysregulated, as in the case of UTO, it may contribute to tissue injury. Acute bacterial infection, such as acute pyelonephritis, will lead to a dramatic and obvious infiltration by neutrophils, however, in most kidney diseases inflammation is more subtle and macrophages and lymphocytes (“mononuclear cells”) predominate.<sup>35,36</sup>

In the UUO model, interstitial infiltration by macrophages progressively increases from 12 hours after obstruction to up to 14 days.<sup>37,38</sup> UTO shares mediators of inflammation with other forms of renal injury and with tissue injury in general. Key molecules in UTO renal inflammation include Angiotensin II (AngII) and the nuclear transcription factor- $\kappa$ B (NF- $\kappa$ B).

AngII has a central role in initiation and progression of obstructive nephropathy, both directly and indirectly, by stimulating production of molecules that contribute to renal injury.<sup>39</sup> AngII and tumor necrosis factor- $\alpha$  (TNF $\alpha$ ) are clearly upregulated in this model and together with other mediators activate NF- $\kappa$ B and the transcription of NF- $\kappa$ B-dependent genes encoding chemokines, cytokines and growth factors,<sup>40–43</sup> that include, in a positive feed-back loop, angiotensinogen and TNF $\alpha$ .<sup>44–46</sup> After a few hours of ureteral obstruction, a dramatic upregulation of adhesion molecules (ICAM-1 and VCAM-1), monocyte chemoattractive protein (MCP-1) and the glycoprotein osteopontin (OPN) is observed.<sup>37,47,48</sup> MCP-1 attracts macrophages expressing its receptor CCR2 to the tubulointerstitium,<sup>49</sup> whereas OPN, ICAM-1 and VCAM-1 mediate macrophage adhesion. The upregulated expression of these molecules persists up to 7–10 days from the obstruction. ICAM-1 and OPN seem to play its role within 5 days after obstruction, but MCP-1 and VCAM-1 gene induction continue increasing at those times.<sup>48–50</sup> In later stages macrophage colony-stimulating factor-1 (MCSF-1) mRNA increased in association with local macrophage proliferation.<sup>51</sup> NO has different roles in inflammation that depend on the amount produced and this, in turn, the enzyme synthesizing NO. Thus constitutive expression of endothelial NO synthase (eNOS) yields low level NO, that inhibits leukocyte adhesion.<sup>52</sup> Other mediators, such as TGF- $\beta$ 1, contribute to NO degradation.<sup>53</sup> Macrophages releases cytokines and growth factors and may contribute to apoptosis and tubulointerstitial fibrosis.<sup>54</sup> One line of intense research is the different phenotypes of macrophages and their contribution to tissue repair.

## Tubular apoptosis

Apoptosis is an energy-dependent cell death (cell suicide) which is triggered by injurious stimuli or the lack of

survival factors.<sup>55,56</sup> The balance between apoptosis and cell proliferation maintains cell number homeostasis in healthy organs. In UTO, tubular atrophy is the result of an increased rate of tubular cell apoptosis, with the contribution of other processes such as epithelial-mesenchymal transition (EMT).<sup>57</sup> Most apoptosis in UUO takes place in tubular cells, but also interstitial cells undergo apoptosis. The latter may contribute to resolution of inflammation and repair of fibrosis. However, there is an incomplete understanding of the differential regulation of apoptosis in different cell types. One day after UUO tubular apoptosis is observed, whereas interstitial cells undergo apoptosis from 3 days after obstruction. Both augment in a time-dependent manner.<sup>58</sup> After 12 days of UUO, tubular dilation and apoptosis peak in both the distal tubules and collecting duct throughout the cortex and medulla. Tubular apoptosis of the obstructed kidney showed strong correlation with the segment’s degree of dilation.<sup>59</sup>

Among the most relevant players in apoptosis during UTO we find AngII, TGF- $\beta$ 1, members of the TNF cytokine superfamily, oxidative stress, ATP depletion and mechanical stretch itself.<sup>60</sup> AngII may induce tubular cell apoptosis through generation of TGF- $\beta$ 1, reactive oxygen species (ROS) and increased expression of the lethal cytokine Fas ligand (FasL) and the lethal receptor Fas. TGF- $\beta$ 1 promotes tubular apoptosis by a p38 MAP kinase-dependent mechanism.<sup>32,61</sup> The expression of both Fas and FasL is increased in obstructed kidneys.<sup>60</sup> Fas belongs to the TNF $\alpha$  family of receptors and is activated when cross-linked by the membrane protein FasL, a major effector of cytotoxic T lymphocytes and natural killer cells that is also expressed by stressed tubular cells.<sup>62–64</sup> Infiltration of inflammatory cells may contribute to cell death by producing lethal cytokines.<sup>60,63–65</sup> Stretching of human tubular cells increases their susceptibility to lethal stimuli such as TNF $\alpha$ .<sup>66</sup> Increased generation of ROS is the result of AngII or cytokine stimulation. Superoxide anion, OH<sup>-</sup>, peroxynitrite, and H<sub>2</sub>O<sub>2</sub> may cause direct cellular damage by membrane peroxidation or may promote cell injury through modulation of intracellular signaling pathways. On the other hand, chronic hypoxia induced by a compromised interstitial blood flow could result in cellular ATP deprivation that might also serve as an apoptosis trigger.<sup>67,68</sup> Contrary to other inflammatory settings, endogenous NO production as a consequence of inducible nitric oxide synthase (iNOS) appears to confer protection from stretch-induced tubular apoptosis in UUO.<sup>69</sup> Finally, changes in the extracellular matrix (ECM) related to fibrosis adversely affect tubular cell survival.<sup>56</sup>

## Tubulointerstitial fibrosis

Progressive interstitial fibrosis is the most dramatic consequence of chronic obstructive nephropathy, and is the final common pathway of all forms of CKD. Fibrosis is characterized by increased numbers of activated fibroblasts and diffuse accumulation of ECM components such as collagen types I, III, and IV, proteoglycans and fibronectin. Gene expression changes related to fibroblast activation, epithelial-mesenchymal transition (EMT) and ECM accumulation occur from day 3 of obstruction. An increased interstitial fibroblast population is evidently observed from day 7 of UUO and at day 14 a significant portion of the obstructed kidney is composed of fibroblasts and macrophages.

ECM accumulation is the end-result of increased ECM component secretion, decreased ECM degradation and increased ECM deposition. Interstitial cells in the kidney are a heterogeneous population that includes fibroblasts, dendritic cells and lymphocyte-like cells. Long-term activation of interstitial fibroblasts results in proliferation and excessive ECM accumulation. Activated fibroblasts and myofibroblasts, cells that have the appearance of fibroblasts but express  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA), have a key role in the genesis of interstitial fibrosis during ureteral obstruction.<sup>70</sup> The number of interstitial myofibroblasts correlates closely with the severity of tubulointerstitial fibrosis and the progression of renal failure.<sup>71</sup> The activated fibroblasts and myofibroblasts involved in renal fibrosis originate from several sources.<sup>70,72</sup> Controversy exists about the precise contribution of each source to the final myofibroblast population. Activated resident fibroblasts, tubular epithelial cells undergoing EMT, pericytes and perivascular fibroblast as well as circulating fibrocytes contribute to the increased fibroblast number.<sup>70,73–76</sup> EMT is characterized by the disruption of epithelial junctions and the subsequent loss of cell polarity.<sup>77</sup> These events are accompanied by the downregulation of epithelial marker proteins such as e-cadherin, zonula occludens-1 (ZO-1) and cytokeratin, and upregulation of mesenchymal markers including vimentin,  $\alpha$ -SMA and fibroblasts specific protein-1 (FSP-1).<sup>70</sup> The cell acquires the capacity to degrade the basement membrane and migrate from the tubular to the interstitial space, where its final myofibroblast morphology develops. In addition, chemokines secreted by infiltrating leukocytes may activate myofibroblasts.<sup>78</sup>

Understanding how and the number of fibroblasts generated may have therapeutic implications. The main known regulators of interstitial fibrosis in UTO are AngII, TGF- $\beta$ 1, connective tissue growth factor (CTGF), bone morphogenetic protein-7 (BMP-7), CCL-21 and the plasminogen–plasmin axis.

AngII has direct effects in the fibrotic process, promoting EMT and recruiting other mediators such as TGF- $\beta$ 1.<sup>79,80</sup> Genetic studies ascribe to angiotensin-dependent pathways about 50% of fibrosis resulting from UUO.<sup>81</sup> TGF- $\beta$ 1, the most studied fibrogenic protein, is increased after UUO, and promotes fibroblasts activation to myofibroblasts, fibroblast proliferation, EMT, ECM production and reduces ECM degradation.<sup>54,82–85</sup> Activation of Smad transcription factors is the major signaling pathway for TGF- $\beta$ 1. The SMAD proteins are homologs of both the drosophila protein, mothers against decapentaplegic (MAD) and the *Caenorhabditis elegans* protein SMA. The name is a combination of the two. The Smad family includes different proteins which form phosphorylation-dependent complexes, and move to the nucleus in response to TGF ligands to transcribe TGF-dependent genes. There are three classes of Smad: receptor-regulated, signal mediators and inhibitory Smads. Following UUO in mice, nuclear phosphorylated Smad2 and Smad3 (receptor-regulated Smads) are increased, while Smad7 (inhibitory Smad) levels are decreased as a result of accelerated degradation and ubiquitination.<sup>86</sup> TGF- $\beta$ 1 may recruit secondary effectors, such as platelet-derived growth factor (PDGF) and connective tissue growth factor (CTGF), whose expression is increased in the obstructed kidney.<sup>87,88</sup> Fibrocytes, blood-borne cells that share markers of leukocytes as well as mesenchymal cells are attracted to the UUO kidney by locally secreted CCL21 chemokine that interacts with their CCR7 receptor.<sup>74</sup>

The plasminogen-plasmin axis has an important role in ECM turnover. In UUO plasminogen is activated to the enzyme plasmin, which activates several matrix-metalloproteinases.<sup>89</sup> Both plasminogen activator inhibitor-1 (PAI-1) and tissue-type plasminogen activator (tPA) are upregulated in rat kidneys following UUO, indicating activation of regulatory and contraregulatory molecules.<sup>90</sup>

Bone morphogenetic protein-7 (BMP-7), also known as osteogenic protein-1 (OP-1), is a member of the TGF- $\beta$ 1 superfamily that counteracts some Smad-dependent TGF- $\beta$ 1 effects and is downregulated in the obstructed kidney.<sup>91</sup> BMP-7 activates Smad1/5/8 whereas TGF- $\beta$ 1 activates Smad2/3. These Smads compete for and have opposing effects on some gene targets. For example, while TGF- $\beta$ 1 directly inhibits E-cadherin expression and induces EMT in a Smad3-dependent manner, BMP-7 enhances E-cadherin expression via Smad5 and restores the epithelial phenotype.<sup>92,93</sup>

NO also modulates ECM synthesis *in vitro* and *in vivo*.<sup>94,95</sup> In the UUO context the presence of endogenous NO protects against fibrosis.<sup>96,97</sup>

## Molecular regulation of tissue injury in obstructive renal injury: potential therapeutic targets

Functional studies in animal models of UUO have unraveled which, among the various mediators whose local expression changes during UTO, may be potential therapeutic targets (Table 1). A variety of tools have been employed in these studies, including small molecules, cytokine administration, neutralizing antibodies, genetically modified animals and oligodeoxynucleotides (ODNs).

Many studies have focused on AngII and TGF- $\beta$ 1 because of their known actions on inflammation, apoptosis and fibrosis. These approaches have shown the complexity of the regulation of tubulointerstitial fibrosis, involving a large number of closely functionally related molecules, and revealing unexpected and contradictory roles for some molecules.<sup>98</sup>

### Renin-angiotensin system (RAS)

Angiotensinogen cleavage by renin yields angiotensin I (AngI), which, in turn, is cleaved by angiotensin-converting enzyme (ACE) to generate angiotensin II (AngII), the major bioactive product of the RAS (Figure 1).<sup>99</sup> Ang II regulates cell proliferation, apoptosis, fibrosis and the inflammatory response through the activation of AT1 and AT2 receptors.<sup>100,101</sup> Angiotensin converting enzyme (ACE) inhibitors and Ang II receptor blockers (ARB), targeting AT1, are commonly prescribed anti-hypertensive drugs clinically in use for renoprotection. CKD is characterized histologically by glomerular sclerosis, tubular atrophy and interstitial inflammation, and, thus, shares features with UTO. Ang II has long been known as a key player on the functional (glomerular hyperfiltration) and histological changes occurring in CKD. Thus, the inhibition of the RAS plays a pivotal role on the treatment of CKD of different causes, particularly when proteinuria is present.<sup>102–108</sup>

Inhibition of RAS ameliorates tubulointerstitial fibrosis and arrests the inflammatory process in experimental models of complete UUO on adult rats.<sup>26–30</sup> Moreover, ACE inhibition or AT1-receptor inhibition initiated after weaning significantly improve renal function in rats subjected to neonatal partial UUO.<sup>109,110</sup> However, inhibition of Ang II in adult rats with partial UTO can aggravate hydronephrosis and decrease the ureteral peristaltic activity.<sup>111</sup> Ang II inhibition can also worsen injury to the partially obstructed kidney in neonatal rats during renal maturation, even after completion of nephrogenesis.<sup>112,113</sup> In children, ACE inhibitors reduce urinary

washout in partially obstructed hydronephrotic kidneys, as assessed by diuretic renography.<sup>114</sup> Additionally, use of ACE inhibitors in pregnancy is widely known to result on serious malformations on the urinary tract and on the cardiovascular and central nervous systems.<sup>115–119</sup>

Studies using knockout mice or specific antagonists of AT1 (as losartan) or AT2 (PD123319) receptors have demonstrated that both receptors modulate kidney disease progression in the UUO model.<sup>26,43,48,120–122</sup> In this model, AT1 antagonists did not diminish inflammatory cell infiltration and VCAM-1 expression.<sup>48</sup> At day 4, obstructed kidneys of AT1 knockout mice presented the same gene upregulation and inflammatory cell infiltration than WT, and after 7 days, similar renal injury.<sup>30</sup> By contrast, upon UUO AT2 null mutant mice have a more extensive and severe fibrosis compared with WT animals.<sup>122</sup> In wild type (WT) mice, only ACE inhibition or combined therapy with AT1 plus AT2 antagonists blocked renal monocyte infiltration, NF- $\kappa$ B activation, and upregulation of NF- $\kappa$ B-related proinflammatory genes.<sup>30</sup> These data suggest that the blockade of Ang II generation or both AT1 and AT2 receptors is necessary to prevent the inflammatory process.<sup>30</sup> AT1 antagonism ameliorated tubular atrophy and fibrosis on rat obstructed kidneys, and downregulated profibrotic factors like CTGF.<sup>26</sup> Direct inhibition of ACE in a rat UUO model reduced monocyte/macrophage infiltration and ECM proteins accumulation.<sup>123</sup>

It is interesting that in WT mice, the ACE inhibitor-induced reduction of TNF $\alpha$  and MCP-1 gene expression is higher than that achieved by combined AT1 and AT2 blockade. These data suggest a role for AngII-derived peptides, whose production is inhibited by ACE inhibitors, and that may be increased by the availability of AngII following AT1/2 blockade (Figure 1). Besides AngII, other Ang peptides, such as AngIV [Ang-(3–8)] and Ang-(1–7) may also have important biological activities (Figure 1).<sup>124</sup> In vascular smooth muscle cells, Ang IV binds to the AT4 receptor, activates NF- $\kappa$ B and increases MCP-1.<sup>125</sup> In the kidney Ang-(1–7) has AT1/AT2-independent inflammatory properties: it binds to the Mas receptor, activates NF- $\kappa$ B and promotes the secretion of NF- $\kappa$ B-dependent proinflammatory molecules. In this regard, UUO Mas knockout mice had decreased perivascular interstitial mononuclear cell infiltrates, tubular damage and interstitial fibrosis when compared with wild-type UUO.<sup>126</sup>

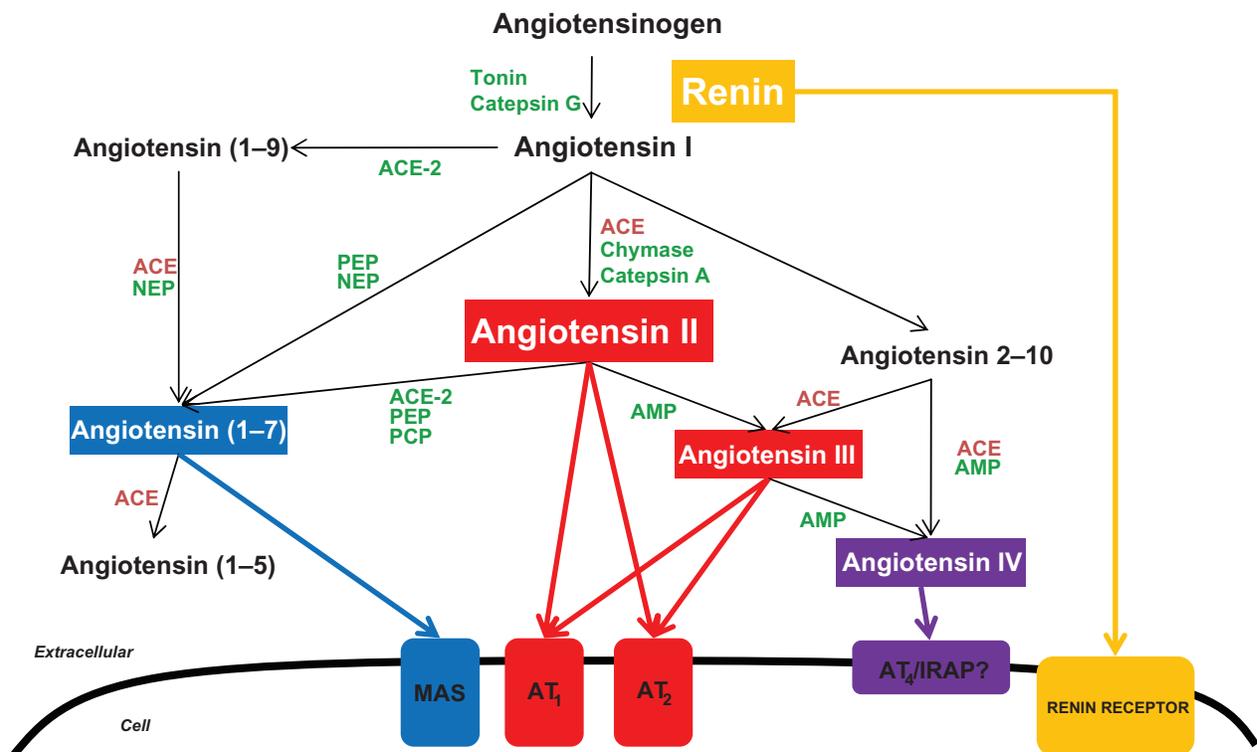
The RAS interacts with other players in renal injury: blocking the TNF $\alpha$  system (double knockout mice for TNF $\alpha$  receptors TNFR1 and TNFR2) or treatment with an ACE inhibitor leads to partial blunting of fibrosis, whereas

**Table 1** Potential therapeutic targets in obstructive nephropathy

Molecule	Targeting technique	Effect	Ref
<b>RAS system</b>			
AT1	receptor antagonist	Combination with AT2 antagonist decreased inflammation	30
	KO	no effect	
AT2	receptor antagonist	Combination with AT1 antagonist decreased inflammation	30
	KO	<b>Increased fibrosis</b>	
Kinin B1 receptor	receptor antagonist	Decreased inflammation and fibrosis	151
MAS (Ang-(1-7) receptor)	KO	Decreased inflammation and fibrosis	126
ACE	pharmacological inhibition	Decreased inflammation and fibrosis	123
<b>Inflammation</b>			
NFκB	NF-κB decoy double-stranded ODN	Decreased NFκB activation and inflammation	148
	pharmacological inhibition	DHMEQ, PDCT and parthenolide decrease inflammation and fibrosis	
TNFα receptors 1 and 2	KO	Decreased inflammation	127
TNFα	antibody neutralization	Decreased NFκB activation and inflammation	149
CCR2 and CCR1	pharmacological inhibition	Decreased inflammation and fibrosis	152,154,155
	KO	Decreased inflammation and fibrosis	
OPN	KO	Decreased inflammation	156
MCSF-1	receptor antagonist	Decreased inflammation	51
CCL21/CCR7	CCL21 antibody neutralization	Decreased fibrosis and inflammation	74
	CCR7 KO		
<b>TGF-β1</b>			
TGF-β1	antibody neutralization	Decreased apoptosis	129
BMP-7	administration	Decreased inflammation and may decrease fibrosis	91
Decorin	KO	Increased tubular apoptosis and atrophy	135
Smad3	KO	Decreased fibrosis, inflammation, and apoptosis	133
	KO	Decreased fibrosis (decreased EMT and ECM)	
Smad7	transgenic mice overexpression	Decreased inflammation and NFκB inhibition	134
EPO	administration	Decreased fibrosis (decreased EMT)	
<b>Plasminogen/plasmin system</b>			
tPA	KO	Decreased EMT, preservation of the integrity of tubular basement membranes	142
PAI-1	transgenic mice overexpression	<b>Increased inflammation and fibrosis</b>	143
	KO	Decreased inflammation and fibrosis	
<b>Other</b>			
ET-1	receptor antagonist	Decreased tubular apoptosis, restoration of blood flow	31
PTHrP	transgenic mice overexpression	<b>Increased inflammation</b>	150
	receptor antagonist	Decreased inflammation	
CDK	pharmacological inhibition	Decreased tubular cell proliferation and apoptosis	158
iNOS	KO	Conflict results	
		<b>Increased inflammation and fibrosis</b>	96
		Decreased fibrosis (through other NOS isoforms)	141
L-arginine	administration	Decreased fibrosis (Induces NO)	139

**Notes:** Bolded text in Effect column = interventions with negative consequences.

**Abbreviations:** KO, knock-out; TNF, tumor necrosis factor; ACE, angiotensin-converting enzyme; NF-κB, nuclear transcription factor-kappaB; OPN, osteopontin; MCSF-1, macrophage colony-stimulating factor-1; TGF-β1, transforming growth factor-β1; BMP-7, bone morphogenetic protein-7; EPO, erythropoietin; PAI-1, plasminogen activator; inhibitor-1; RAS, renin-angiotensin-aldosterone system; ET-1, endothelin-1; PTHrP, parathyroid hormone-related protein; iNOS, inducible nitric oxide synthase.



**Figure 1** Schematic representation of the enzymatic pathways involved in the generation of angiotensin peptides.

**Abbreviations:** ACE, angiotensin-converting enzyme; AMP, aminopeptidase; AT<sub>1</sub>, Ang II type 1 receptor; AT<sub>2</sub>, Ang II type 2 receptor; AT<sub>4</sub>, Ang IV receptor; MAS, Ang-(1-7) receptor; IRAP, insulin-regulated aminopeptidase; PCP, prolyl-carboxypeptidase; PEP, prolyl-endopeptidase; NEP, neutral-endopeptidase.

blocking both AngII and TNF $\alpha$  systems further inhibited interstitial fibrosis and tubule atrophy in UUO.<sup>127</sup>

The different responses to Ang II targeting in experimental models and the lack of clinical trials on UTO create a gap for the clinical use of ACE inhibitors and angiotensin receptor blockers (ARBs) in this setting. Indeed, patients with UTO are usually excluded from clinical trials of these drugs in CKD.<sup>106,128</sup> Additional studies will thus be required to establish the optimal clinical indications for Ang II targeting in UTO.

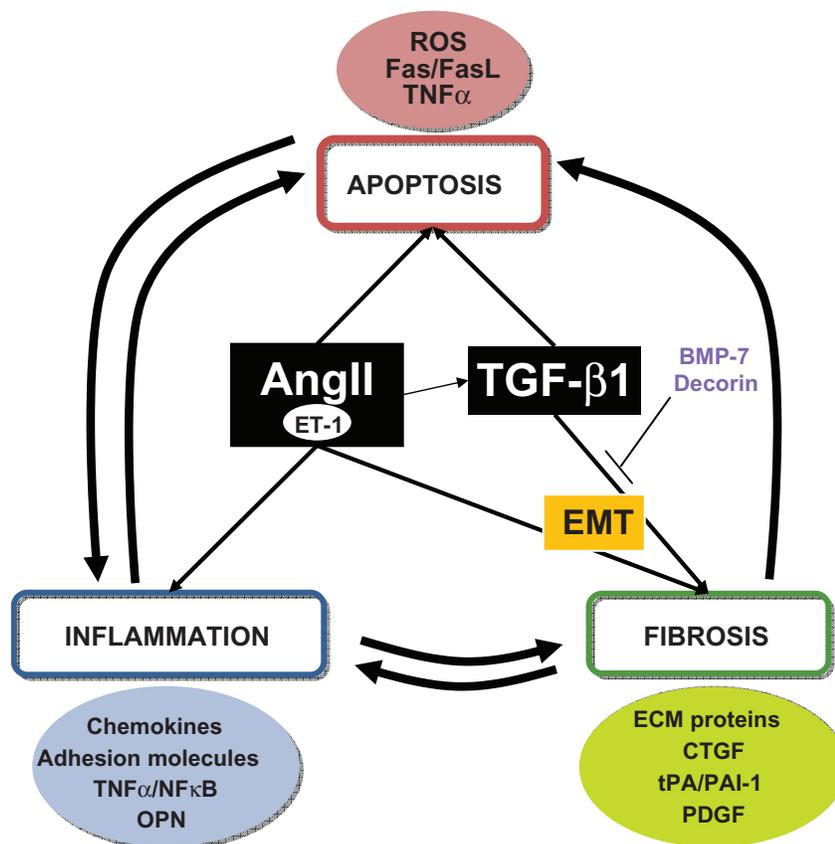
## Other pathways

Additional functional studies have targeted TGF- $\beta$ 1 and Smad, other profibrotic molecules and inflammation-related pathways, including NF- $\kappa$ B.

The blockade of TGF- $\beta$ 1 with neutralizing antibodies markedly reduced apoptosis as well as protein overexpression of ECM components (Type III Collagen and Fibronectin) in a rat UUO model<sup>129</sup> as observed in cultured renal cells.<sup>130,131</sup> The antifibrotic potential of anti-TGF- $\beta$ 1 antibodies is undergoing a clinical trial in the renal glomerular fibrosis and podocyte loss of focal segmental glomerular sclerosis (ClinicalTrials.gov Identifier: NCT00464321). Smad3-null mice with UUO did not upregulate TGF- $\beta$ 1 and the EMT process, and

displayed reduced interstitial fibrosis.<sup>132</sup> These results were confirmed in another independent Smad3 knockout mice UUO model that showed attenuation of renal fibrosis, inflammation, and apoptosis when compared to wild type UUO.<sup>133</sup> By contrast, UUO transgenic mice overexpressing Smad7 had around 70% less interstitial fibroblasts and renal ECM accumulation.<sup>134</sup> Further supporting a role for TGF- $\beta$ 1, in mice with UUO targeted deletion of decorin, a proteoglycan that counters the actions of TGF- $\beta$ 1, increases tubular apoptosis and tubular atrophy.<sup>135</sup> Therapy with BMP-7 in rats protects against UUO-induced renal injury by inhibiting inflammation and EMT and preserving tubular epithelial integrity. These beneficial effects were greater than that obtained with the ACE inhibitor enalapril,<sup>91</sup> Despite these results, BMP-7 failed to attenuate TGF- $\beta$ 1-induced EMT *in vitro*.<sup>136</sup> Recombinant human erythropoietin (EPO) in a mice model of ureteral obstruction inhibited the progression of renal fibrosis, partly through the reduction of TGF- $\beta$ 1-induced EMT.<sup>137</sup>

Another key mediator in UUO is NO. NO is synthesized from L-arginine via the enzyme NO synthase (NOS), which exists in three forms: neuronal NOS (nNOS) and endothelial NOS (eNOS), which are constitutively expressed, and there is an inducible isoform (iNOS). During ureteral obstruction, endogenous NO protects the kidney through the inhibition



**Figure 2** Unilateral ureteral obstruction (UUO) processes and molecules. Representation of interconnections between the different processes in UUO and the main molecules involved.

of excessive vasoconstriction triggered by the RAS system, reduces macrophage infiltration, apoptosis and ECM accumulation.<sup>34,96,138,139</sup> Arginine, a direct precursor to NO, blunts the fibrosis response in a rat model of ureteral obstruction.<sup>139</sup> Following UUO, iNOS is upregulated mainly in the kidney medulla, whereas constitutive NOS are more upregulated in the cortex.<sup>140</sup> Targeted deletion of the iNOS gene (iNOS knockout mice) exacerbates interstitial fibrosis.<sup>96</sup> However, there is also evidence for the participation of constitutive NOS in tissue injury in UUO.<sup>141</sup>

One promising target, directly involved in ECM accumulation, is the tPA and PAI-1 system. Targeted deletion of tPA blocks EMT and reduces the activity of matrix metalloproteinase-9 (MMP-9), preserving the integrity of tubular basement membranes in mice with UUO,<sup>142</sup> suggesting that plasminogen activation may be a therapeutic target. However, the pathophysiological role of the system is more complex than previously thought, and inhibiting this pathways by overexpressing PAI-1 increased macrophage recruitment, myofibroblast transformation and collagen I mRNA in mice UUO model.<sup>143</sup> Targeted deletion of PAI-1 reduces macrophage infiltration, myofibroblast transformation and

interstitial fibrosis in mice with UUO.<sup>144</sup> Blocking PAI-1 function has shown particular capacity in preventing or reversing fibrosis in various models of progressive renal disease, but it is likely that multiple pathways will need to be inhibited to have a significant impact.<sup>145,146</sup>

NF- $\kappa$ B has a key role in inflammation. Pharmacological inhibition of NF- $\kappa$ B activation with dehydroxymethyllepoxyquinomicin (DHMEQ), pyrrolidine-dithiocarbamate (PDCT) or parthenolide reduced the presence of inflammatory cells (macrophages, T cells, etc), the upregulation of chemokines and cytokines and finally, ameliorated renal damage in the obstructed kidneys of mice.<sup>30,147</sup> However, these molecules are not completely specific for NF- $\kappa$ B. A stable ring type NF- $\kappa$ B decoy double-stranded oligodeoxynucleotide (R-NF- $\kappa$ B ODN) efficiently inhibited NF- $\kappa$ B activity *in vitro*, and *in vivo* in a mouse UUO model resulting in a decreased expression of TNF $\alpha$ , IL-1 $\beta$ , TGF- $\beta$ 1 and ECM proteins such as fibronectin.<sup>148</sup> TNF $\alpha$  neutralization has inhibited NF- $\kappa$ B activation in rat UUO.<sup>149</sup>

In other mouse UUO models, anti-c-fms (antibody to receptor of MCSF-1) treatment slightly decreased monocyte recruitment at day 1, but reduced macrophage accumulation

by 75% at day 10.<sup>51</sup> Parathyroid hormone-related protein (PTHrP) also contributes to UUU-induced renal injury.<sup>150</sup> Transgenic mice overexpressing PTHrP had exacerbated inflammation upon UUU. Losartan (AT<sub>2</sub> antagonist) and PTHrP receptor 1 antagonist reduced inflammation after ureter obstruction of these transgenic mice, suggesting that PTHrP could recapitulate some proinflammatory actions of AngII. PTHrP increased inflammation through activation of the extracellular signal-regulated kinase (ERK)/NF- $\kappa$ B pathway. Any reversal of PTHrP constitutive overexpression in these transgenic mice decreased this inflammatory response. The proinflammatory kinin B1 receptor (B1R) is overexpressed in mouse UUU and post-treatment with an orally active nonpeptide B1R antagonist blocks macrophage infiltration, reversing renal fibrosis.<sup>151</sup>

Targeted deletion of the CCR2 gene or administration of CCR2 inhibitors reduces macrophage infiltration and interstitial fibrosis following UUU in mice.<sup>152,153</sup> Similarly, deletion or inhibition of the CCR1 receptor attenuates leukocyte recruitment following UUU.<sup>154,155</sup> Macrophage influx was reduced in OPN knockout mice compared to wild type mice in early stage (day 4 and day 7), but not in later stage (day 14) of UUU nephropathy.<sup>156</sup> In mice, the blockade of CCL21/CCR7 signaling by anti-CCL21 antibodies or CCR7 deficiency reduced macrophage infiltration, MCP-1/CCL2 and TGF- $\beta$ 1 expression, and renal fibrosis after ureteral obstruction.<sup>74</sup> The obstructed kidney tries to adapt by expressing protective molecules, such as hemoxygenase-1 (HO-1). Overexpression of HO-1 confers marked resistance to apoptotic stimuli.<sup>157</sup> A further maladaptive response might be tubular cell proliferation. The cyclin-dependent kinase inhibitor p27Kip1 limits tubular cell proliferation and apoptosis following UUU in mice.<sup>158</sup> Other potential target is ET-1 that has a key role in vasoconstriction during UUU. Pretreatment with the ET-1 receptor dual antagonist, bosentan, reduced by 60% apoptotic cells in the UUU rat model compared with untreated group, and almost restored the normal blood flow.<sup>31</sup>

## Molecular tools for clinical outcome prediction

Availability of a biomarker constitutes an urgent need for the diagnosis and management of UTO, especially in newborns and infants where the clinical significance of obstruction constitutes the main issue, dictating the need for surgery or a conservative approach. This is further complicated by the invasive and repetitive tests needed for the surveillance of this condition and the possible induction of irreversible kidney

lesions by temporary UTO.<sup>159,160</sup> A non-invasive biomarker may provide information on the degree of injury and functional impairment as well as prognostic information.<sup>159,161</sup>

Until recently the search for a biomarker was based on the identification of several key molecules for the pathogenesis of UTO in animal models and their subsequent investigation in clinical studies. This approach allowed the identification of several promising molecules potentially useful for clinical prediction of the prognosis of UTO,<sup>161</sup> namely TGF- $\beta$ 1, MCP-1, EGF, ET-1 and tubular enzymes.

TGF- $\beta$ 1 is increased in kidney tissue,<sup>162</sup> in the stenotic ureter<sup>163</sup> and in urine<sup>164–167</sup> from children with UPJ obstruction, allowing the diagnosis with good accuracy values (for a threshold of 190 pg/mg creatinine) for UPJ obstruction requiring surgery (Table 2).<sup>167</sup> However increased TGF- $\beta$ 1 is not specific for UTO as it can also be found on other renal diseases.<sup>168–171</sup> MCP-1, a chemokine, and endothelin-1, a vasoactive protein with proinflammatory properties, are also upregulated in tissue and urine of children with UPJ obstruction.<sup>172–174</sup> The urinary level of endothelin-1 had a sensitivity of 74.3%, a specificity of 90%, and an overall accuracy of 81.5%, for a cut-off value of 3fmol/mg creatinine, allowing the identification of UPJ obstruction requiring surgery (Table 2).<sup>175</sup> Epidermal growth factor (EGF), a growth factor with proliferative and pro-survival properties for tubular cells, on the other hand, was reduced in children with UPJ obstruction.<sup>162,163,173,174</sup> However, subsequent studies did not confirm decreased urinary EGF in subjects with UTO, thus reducing its biomarker potential.<sup>167,176</sup>

Urinary tubular enzymes, such as N-acetyl-beta-D-glucosaminidase (NAG), gamma-glutamyl transferase (GGT) and alkaline phosphatase (AP) have been evaluated for diagnostic and prognostic value in clinical UTO.<sup>177–179</sup> The combination of NAG and AP was reported to have a sensitivity of 100%, a specificity of 80% and an overall accuracy of 94% for differentiating between children with ureteropelvic junction obstruction requiring pyeloplasty and those with dilated nonobstructed kidneys suitable for conservative treatment (Table 2).<sup>179</sup> However urinary tubular enzymes are nonspecific markers of tubular injury and are elevated in other renal diseases.<sup>180</sup>

Interestingly, most of these urinary biomarkers take several months to regress to normal values after pyeloplasty, reflecting the fact that the initial obstruction sets in motion local, self-sustained inflammatory and tissue repair events.<sup>167,175,179</sup>

An alternative approach involves large-scale unbiased gene expression profiling using transcriptomic array techniques or unbiased urine proteomic profiling. These tech-

**Table 2** Clinical studies on biomarkers in UTO

Biomarker	Patients	Urinary levels	Cut-off value	Sensitivity	Specificity	Accuracy	Ref
TGF-β1	13 UPJO	RPU_UPJO = 82.4 ± 19.3 pg/mL	NR	NR	NR	NR	164
	11 VUR	BU_UPJO = 31.2 ± 8.2 pg/mL					
	19 control	BU_Control = 26.6 ± 6.3 pg/mL					
TGF-β1	15 UPJO	PU_UPJO = 285 ± 191 pg/mg cr	29 pg/mg cr	80%	82%	81%	165
	11 controls	BU_UPJO = 68 ± 59 pg/mg cr					
		BU_Controls = 22 ± 18 pg/mg cr					
TGF-β1	30 UPJO + UVJO	RPU_UPJO = 378 ± 86 pg/mg cr	61 pg/mg cr	92%	NR	NR	166
	19 controls	BU_UPJO = 195 ± 29 pg/mg cr					
		BU_Controls = 47 ± 7 pg/mg cr					
TGF-β1	35 UPJO	BU_UPJO = 374 pg/mg cr	190 pg/mg cr	100%	80%	90.8%	167
	30 controls	BU_Control = 157 pg/mg cr					
TGF-β1	28 UPJO	PU_UPJO = 24 ± 10 pg × 10 <sup>6</sup> /mg cr	NR	NR	NR	NR	176
		PU_Contralat.Kid = 0.8 ± 0.2 pg × 10 <sup>6</sup> /mg cr					
EGF	24 UPJO	Levels NR	NR	NR	NR	NR	173
	15 controls	"EGF on UPJO significantly decreased"					
EGF	35 UPJO	BU_UPJO = 54 ± 5 ng/mg cr	40 ng/mg cr	40%	80%	58.5%	167
	30 controls	BU_Control = 54 ± 4 ng/mg cr, pNS					
EGF	26 UPJO	PU_Obst.kid. = 800 ± 118 pg × 10 <sup>6</sup> /mg cr	-	-	-	-	176
		PU_Contral.kid. = 682 ± 119 pg × 10 <sup>6</sup> /mg cr pNS					
Endothelin	35 UPJO	VU_UPJO = 6.6 ± 0.8 fmol/mg cr	3 fmol/mg cr	74.3%	90%	81.5%	175
	30 controls	VU_Control = 1.7 ± 0.2 fmol/mg cr					
MCP-1	24 UPJO	Levels NR	NR	NR	NR	NR	173
	15 controls	"MCP-1 on UPJO significantly increased"					
Tubular enzymes (NAG, AP, GGT)	35 UPJO	NAG_VU_UPJO = 12 mU/mg cr	NAG 7.8 mU/mg cr	80%	92%	97.1%	179
	30 controls	NAG_VU_Controls = 6 mU/mg cr	AP - 34.5 IU/g cr	100%	94%	91.4%	
		AP_VU_UPJO = 64 IU/gm cr	GGT - 54 IU/g cr	100%	74%	62.9%	
		AP_VU_Controls = 27 IU/gm cr	NAG + AP	80%	94%	100%	
	GGT_VU_UPJO = 103 IU/gm cr						
	GGT_VU_Controls = 46 IU/gm cr						
Tubular enzymes (NAG, AP, GGT)	30 UPJO	Prospective study: 15 operated; 15 non operated.					177
	(operation possible)	None of the biomarkers predicted the development of obstruction which required surgical correction before the deterioration on renal function.					
Tubular enzymes (NAG)	30 UPJO	NAG_BU_UPJO = 18 ± 2 IU/mg cr	NR	NR	NR	NR	178
	10 megaureter	NAG_BU_megaureter = 19 ± 4 IU/ mg cr					
	? controls	NAG_BU_Control = 11 ± 1 IU/mg cr					
Urinary proteomic analysis	74 UPJO	Predictive value = 94%	-	98%	98%	-	187
	(19 not operated; 36 operation possible; 19 operated) controls = 33						

**Notes:** UPJO is related to UPJO with criteria for surgery unless otherwise stated. The differences on the values are statistically significant unless otherwise stated.

**Abbreviations:** UPJO, ureteropelvic junction obstruction; VUJO, ureterovesical junction obstruction; VUJR, vesico-ureteral reflux; BU, bladder urine; PU, pelvic urine; VU, voided urine; NR, not reported; cr, creatinine; TGF Beta-1, transforming growth factor 1; EGF, epidermal growth factor; MCP-1, monocyte chemoattractant protein 1; NAG, N-acetyl-beta-D-glucosaminidase; AP, alkaline phosphatase; GGT, gamma-glutamyl transferase.

niques are not based on prior physiopathological knowledge. Rather they screen the level of expression or concentration of thousands of genes or peptides/proteins, looking for patterns that may help in making a diagnosis or predicting a prognosis.<sup>181</sup> In addition, these techniques may identify novel pathophysiological networks not previously suspected from information generated by traditional approaches. Transcriptomics has uncovered new molecules with the potential to become biomarkers in UTO.<sup>182–185</sup> However, they have not yet been explored in clinical studies. The emergence of proteomics has made possible the full analysis of a large range of proteins and peptides on different biological samples. Its application to UTO has allowed the discovery of new molecules involved on its pathogenesis<sup>186</sup> as well as specific and sensitive biomarkers.<sup>187</sup> The analysis of 51 urinary polypeptides by proteomic techniques allowed the discrimination between infants with several severity degrees of UPJ obstruction that would benefit from surgical correction with a sensitivity and specificity of 98% and a predictive value of 94%.<sup>187</sup> Future studies should focus on the potential of biomarkers of individual peptides that may be assayed by more conventional techniques; as proteomics is not routinely available for diagnosis in most clinical laboratories. A recent European initiative, EUROKUP, has, among other aims, to establish homogeneous sampling and processing techniques that would facilitate the clinical use of urine proteomics.<sup>188</sup>

## Conclusions

The main treatment of UTO is to relieve the obstruction. However, under some circumstances the precise timing of the intervention is not unclear. The mechanical UTO sets in motion a series of molecularly mediated events that lead to tubular cell death, interstitial inflammation and fibrosis. These events may provide a molecular signature of a damaged kidney that may be used for biomarker-based diagnosis and indicators of therapy. In addition, these molecules may be therapeutic targets that may limit renal injury when removing the obstruction must be delayed.

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The authors report no conflicts of interest relevant to this review.

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