

# Vaccines for metabolic diseases: current perspectives

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**Abstract:** Several metabolic disorders, such as diabetes, hypertension, dyslipidemia, and obesity, represent significant risk factors for cardiovascular disease, which is the leading cause of morbidity and mortality among adult populations in western societies. Understandably, these chronic disorders have now replaced infectious diseases as the most important public health problem and economic burden to society in most countries. Treatment of metabolic risk factors in order to prevent cardiovascular disease requires an enduring approach with multiple drugs, which can be associated with considerable costs, side effects, and a low rate of therapeutic compliance due to lack of symptoms until later stages of the disease. Since vaccines have proven to be a powerful and effective approach to preventing infectious diseases, attempts to expand the therapeutic use of vaccines into the context of highly prevalent diseases has been attracting increased research interest. Vaccination strategies for chronic diseases in particular are an exciting area of research, with new treatment targets and strategies on the horizon. This review discusses the development of innovative therapeutic agents, focusing on the use of molecular vaccines for the treatment of common and highly prevalent chronic metabolic disorders, ie, diabetes, hypertension, dyslipidemia, and obesity.

**Keywords:** vaccines, diabetes, hypertension, dyslipidemia, obesity

## Introduction

The rising prevalence of chronic noninfectious diseases, in particular cardiovascular disease, is well known and a major public health problem in many western countries, being associated with a significant increase in morbidity and mortality.<sup>1,2</sup> In addition, chronic metabolic diseases have replaced infectious diseases as the major economic burden to society in most countries throughout the world.<sup>3</sup> This phenomenon has been related not only to changes in lifestyle and rates of population ageing, but also to the increasing prevalence of predisposing risk factors, which include diabetes mellitus, hypertension, dyslipidemia, and obesity. Moreover, these risk factors frequently occur as a cluster of clinical findings known as the metabolic syndrome, a complex clinical condition attributed to insulin resistance that includes visceral or abdominal obesity, glucose intolerance, hypertension, and dyslipidemia and is characterized by elevated triglycerides and decreased high-density lipoprotein (HDL) cholesterol,<sup>4,5</sup> an entity that has been associated with an increased risk of type 2 diabetes, cardiovascular disease, and certain types of cancer.<sup>4,6-8</sup>

Treatment of metabolic risk factors in order to prevent cardiovascular disease requires a chronic long-term approach, which often involves multiple pharmacological

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agents that can be associated with considerable costs, side effects, and lack of adherence, which can limit their efficacy.<sup>9</sup>

Over the years, vaccines have proven to be a powerful and effective approach to preventing infectious diseases, and attempts to expand the use of vaccines into a therapeutic context have been of increasing interest, particularly for highly prevalent diseases and with an high impact on lifestyle.<sup>3</sup> Therefore, the possibility of using vaccination strategies for prevention and treatment of chronic metabolic diseases is an exciting scientific perspective and burgeoning research field, with new treatment targets on the horizon. This review discusses the development of innovative therapeutic agents and focuses on the use of molecular vaccines for the treatment of common and highly prevalent chronic metabolic disorders, ie, diabetes, hypertension, dyslipidemia, and obesity, with the aim of preventing the associated risk of cardiovascular disease.

## Vaccines for diabetes mellitus

Diabetes is defined as a chronic condition characterized by hyperglycemia and includes several types of disease,<sup>10</sup> among which the most common are type 1 (T1DM), type 2 (T2DM), and gestational diabetes. The prevalence of the disease, T2DM in particular, is expanding, and is expected to affect 592 million people worldwide by 2035.<sup>11</sup> The pathogenesis of T1DM and T2DM differs, since T1DM is characterized by insulin deficiency due to autoimmune damage to pancreatic beta cells, whereas the predominant defects in gestational and T2DM are insulin resistance and secondary beta cell failure, which are usually associated with overweight, a sedentary lifestyle, and poor eating habits.<sup>10,12,13</sup> Due to the high prevalence of the disease, the development of a therapeutic vaccine represents an attractive and challenging treatment approach, in particular for immune-mediated subtypes of diabetes, eg, T1DM and latent autoimmune diabetes of adults, which is immunologically similar to T1DM but with a protracted clinical evolution.

## Vaccines for autoimmune-mediated diabetes

The pathogenesis of autoimmune diabetes is characterized by pancreatic beta cell loss due to direct destruction by CD8+ cytotoxic T-cells and macrophages, and is mediated through several cytokines, including tumor necrosis factor alpha, interleukin (IL)-1 $\beta$ , and interferon gamma.<sup>14</sup> This phenomenon is preceded by the presentation of specific beta cell antigens by dendritic cells or macrophages to CD4+

cells that activate the CD8+ cluster.<sup>15</sup> The selective beta cell loss leads to a predominance of glucagon-secreting alpha cells, with the end result of absolute insulin deficiency and secondary hyperglucagonemia.<sup>16</sup> Furthermore, the role of regulatory T-cells (Tregs) is thought to be impaired and to contribute to deregulation of the immune system. Given that individuals born with a mutation of the gene that encodes an essential Treg transcription factor, Foxp3, develop T1DM,<sup>17</sup> and a gene polymorphism in the IL-2/IL-2RA pathway that is fundamental for maintaining and expanding a functional Treg population has also been found in T1DM individuals,<sup>18</sup> a genetic individual propensity for development of T1DM is probable.<sup>19</sup> The individual genotype does not by itself explain the rise in prevalence of the disease, suggesting that environmental factors must also be involved.<sup>20</sup> Among the several proposed triggers are included cow's milk proteins, viral infections or toxins,<sup>21</sup> and the most commonly referred possibilities as initiating antigen comprise the insulinoma-associated protein tyrosine phosphatase antibody (IA2-A), glutamic acid decarboxylase 65 (GAD 65)<sup>22</sup> and insulin/pro-insulin.<sup>23</sup> Therefore, amongst the several vaccination approaches for T1DM, some of these specific antigens have been used as a strategy to provoke antigen-dependent modulation of the immune system and to reduce the immune response against a specific target, a strategy similar to that widely used in the clinical field of allergy. Another strategy involves use of DNA to downregulate production of the endogenous antigen. Antigen-dependent immune modulation can be accomplished by either repeated injection of the antigen over a long period of time in order to achieve "allergen desensitization"<sup>24</sup> or a more recent approach using a tailored epitope of the target antigen that has the advantage of avoiding anaphylactic reactions.<sup>25</sup> These vaccination approaches rely on production of Tregs and increase the release of potent anti-inflammatory cytokines, such as IL-10 or transforming growth factor beta, in response to repeated administration of an antigen; unfortunately, when the regulatory mechanism of Tregs is defective, such as in T1DM, these approaches can be ineffective.<sup>26</sup> Nevertheless, nonclassical fully functional Tregs have recently been found to be present in the pancreas, and can be activated and help to modulate the immunologic response.<sup>27</sup> Herein, we review the antigen targets most commonly used for development of prophylactic and therapeutic vaccines for diabetes that have been trialed with varying degrees of success.

## Vaccines targeting proinsulin/insulin

Insulin has been used as an antigen target in several trials for the development of prophylactic and therapeutic vaccines

for autoimmune diabetes. A promising proof-of-concept vaccination approach for diabetes has included use of combined nasal proinsulin therapy with anti-CD3. In murine diabetic models, this approach has been demonstrated to induce strong expansion of the pool of Tregs, as well as an increase in IL-10, transforming growth factor beta, and IL-4, which are surrogate markers of a successful CD8+ suppressing response. The efficacy of this combined therapy may be explained by the decrease in number of aggressive T-cells brought about by anti-CD3+, allowing expansion of Tregs induced by proinsulin.<sup>28</sup>

Based on this principle, a large clinical trial of a prophylactic vaccine for diabetes has been conducted, and consisted of daily intranasal administration of insulin to 264 children with an HLA genotype associated with a greater risk of developing T1DM. After a median follow-up of 1.8 years, this strategy did not prove to be able to decrease the incidence of T1DM, since 56 of the “vaccinated” children developed the T1DM phenotype versus 63 children in the placebo group.<sup>29</sup> The spacing of the insulin dose with daily administration has been hypothesized to be the main reason for the failure of that trial, due to an excess of antigen exposure that might not favor activation of Tregs or even lead to their death, as previously described.<sup>30</sup>

In contrast, most of the proinsulin-based and insulin-based vaccination strategies were intended to develop therapeutic vaccines for T1DM. In a Phase I clinical trial that consisted of administering three monthly intradermal doses of proinsulin epitopes (C19-A3) to patients with longstanding disease, the vaccine was well tolerated. However, IL-10 levels that increased 3 months after vaccination decreased in the ensuing months, reaching basal levels after 6 months.<sup>31</sup> A specific insulin B (insulin beta chain) antigen, NBI-6024, injected subcutaneously in T1DM patients at monthly doses for 24 months, was not able to modify levels of C-peptide, which is cosecreted with insulin and used as a surrogate marker for beta cell function.<sup>32</sup> In contrast, a Phase I trial that used insulin B as an antigen administered with incomplete Freund's adjuvant as a single intramuscular dose demonstrated this treatment to be very well tolerated, and although there were no significant variations in C-peptide levels, insulin B chain-specific CD4+ T cells in vaccinated subjects acquired phenotypic and functional characteristics of Tregs for up to 24 months.<sup>33</sup>

Newer strategies for antigen delivery using modified bacteria to produce the antigen are being tested in mice. *Lactococcus lactis* has been engineered to produce whole proinsulin along with IL-10, which was complemented

with a low systemic dose of anti-CD3+. This approach was able to increase Tregs in pancreatic tissue and revert the T1DM phenotype in nonobese diabetic (NOD) mice while retaining the capacity to respond to other antigens, showing specificity and demonstrating that does not induce excessive immunosuppression.<sup>34</sup> Attenuated live *Salmonella* producing proinsulin fused with the secretory effector protein of pathogenicity island-2 achieved the goal of having the antigen only expressed inside the host immune cells and then translocated to the cell cytosol, while the same approach was also used to deliver the gene for transforming growth factor beta. Covaccination with three weekly oral doses of these two types of engineered bacteria significantly reduced the rate of development of diabetes in NOD mice, improving the response to glucose challenge, preserving pancreatic beta cell mass, and reducing the severity of insulinitis when compared with controls and autoantigen administration alone. This vaccination protocol also increased circulating levels of IL-10 for up to 4 weeks post-vaccination and IL2 for 12 weeks post-vaccination, but had no effect on production of proinflammatory cytokines, ie, IL6, IL12 (p70), IL17, and interferon gamma. Although highly successful in responding mice, the nonresponding animals showed a significant increase in IL12, which increases the cytotoxic potential of CD8+ cells and merits further investigation.<sup>35</sup>

Use of DNA plasmids is another strategy for modulation of antigen expression. This approach has been used in a Phase I clinical trial in which 80 patients with T1DM received weekly intramuscular doses of plasmid encoding proinsulin (BHT-3021) in four distinct concentrations. BHT-3021 was well tolerated, and after the first administration, C-peptide levels were improved for up to 6 months; however, the response was not homogeneous across all individuals, and this could be linked to individual autoantibody levels, HLA genotype, or time since diagnosis. BHT-3021 was also able to selectively decrease CD8+ proinsulin reactive cells but not other T-cells, but unfortunately this effect was only observed during treatment and reverted to basal levels 3 months after the end of administration.<sup>36</sup> Although encouraging, there is a need to optimize this particular strategy in order to improve the results in terms of achieving a more effective and durable response<sup>37</sup> (Table 1).

## Vaccines targeting glutamic acid decarboxylase

Glutamic acid decarboxylase (GAD) or glutamate decarboxylase, the enzyme that catalyzes the decarboxylation of glutamate to  $\gamma$ -aminobutyric acid, and more specifically

**Table 1** Vaccination approaches for diabetes

Target antigen	Vaccine principle	Type of vaccine	Vaccination results	Species/citation	
Insulin/proinsulin	Induce immunological tolerance towards insulin, to prevent T1DM in high risk populations	Nasal immunization with insulin	Ineffective	Human <sup>29</sup>	
		Nasal immunization with insulin combined with anti-CD3	Increased Treg population, decreased T CD8+ cells	Mice <sup>28</sup>	
	Expansion of Treg population and anti-inflammatory cytokines	Intradermal administration of proinsulin epitope (C19-A3)	Decreases IL-10 levels. Good tolerance, lack of long term effects	Human <sup>31</sup>	
		Intramuscular immunization with a specific insulin B epitope (NBI-6024)	No better than placebo	Human, Phase I Study <sup>32</sup>	
	Induce immunological tolerance to insulin	Intramuscular immunization with insulin B chain plus incomplete Freund's adjuvant	Development of insulin B-chain-specific CD4+ T no change in C-peptide levels	Human <sup>33</sup>	
		Intragastric administration of engineered <i>Lactococcus lactis</i> to produce proinsulin and IL-10, adjuvated with a low dose of intravenous anti-CD3	Increased Treg population and reversed the T1DM phenotype	NOD mice <sup>34</sup>	
	Induce immunological tolerance to proinsulin	Oral delivery of attenuated live Salmonella, producing preproinsulin fused with SseF of SPI2 and TFG-β	Reduces T1DM development, preserves β-cell mass, increases IL-2 and IL-10. Safety concerns due to IL-12 increase	NOD mice <sup>35</sup>	
		Intramuscular administration of the DNA plasmid (BHT-3021) encoding proinsulin	Increases C-peptide. Heterogeneous response. Optimization required	Human <sup>36</sup>	
	GAD	Induce immunological tolerance to GAD65	Subcutaneous administration of GAD65	Increased C-peptide and Treg cells. Decreased beta cell function loss up to 5 years	Human <sup>42,43</sup>
			Subcutaneous administration of GAD-alum	Decreased rate of C-peptide and insulin decline	Human <sup>44</sup>
IA-2	Induce immunological tolerance to IA-2	Ineffective	Ineffective	Human <sup>45</sup>	
		Induction of a GAD specific immune response. No regression of the T1DM phenotype	Induction of a GAD specific immune response. No regression of the T1DM phenotype	Human <sup>46</sup> NOD and RIP-GP mice <sup>47</sup>	
Hsp60	Induce immunological tolerance to Hsp60	Intramuscular injection of cDNA plasmids of human IA-2 and IL-4/MCP-1 used as an adjuvant	Successfully delayed the onset of the T1DM	NOD mice <sup>48</sup>	
		Subcutaneous administration of the specific epitope DiaPep277®	Good safety profile but no significant changes in T1DM phenotype	Human <sup>51</sup>	
DPP-IV	T2DM vaccine, targeting inactivation of DPP-IV to increase GLP-1	Subcutaneous injection of DPP-IV epitopes conjugated with KLH and Freund's adjuvant	Increases C-peptide levels but no changes in exogenous insulin requirements	Human <sup>52</sup>	
			Inhibits DPP-IV activity, increases GLP-1 plasma concentrations and improves glucose levels and insulin sensitivity. No cytotoxic response	C57BL/6J KK-A <sup>y</sup> and db/db mice <sup>56</sup>	

**Abbreviations:** T1DM, type 1 diabetes; T2DM, type 2 diabetes; IA-2, islet antigen 2; Hsp60, heat shock protein 60; GLP-1, Glucagon-like peptide 1; DPP-IV, dipeptidyl peptidase-4; IL, interleukin; NOD, nonobese diabetic; SseF, secretory effector protein; SPI2, Salmonella pathogenicity island 2.

GAD65, the only isoform of the enzyme present in pancreatic beta cells, is another target antigen that has been widely used in the field of diabetes vaccination.<sup>38</sup> Although the role of this enzyme in the pancreas is still unclear, it has been postulated that γ-aminobutyric acid may regulate the first phase

of glucose-dependent insulin release.<sup>39</sup> GAD is a promising antigen, since anti GAD65 autoantibodies usually precede development of T1DM and latent autoimmune diabetes of adults, while immune tolerance or antibody suppression has been shown to be very effective in preventing the T1DM phe-

notype in animal models.<sup>40,41</sup> A recent Phase II clinical trial used an alum-formulated human recombinant GAD65 to test the effects of this immunomodulation approach. Forty-seven patients with latent autoimmune diabetes of adults were subcutaneously administered two antigen doses 4 weeks apart, with four rising doses (4, 20, 100, and 500 µg).<sup>42</sup> At one of the tested concentrations, fasting C-peptide levels and the ratio of CD4+CD25+ cells to CD4+CD25- cells increased significantly up to 24 weeks<sup>42</sup> and there was no decrease in beta cell function during 5 years of follow up, suggesting that this approach could be a promising one for developing a diabetes vaccine.<sup>43</sup> Unfortunately, subsequent clinical trials with GAD-alum did not yield the same positive results. A trial designed to test the ability to reverse the T1DM phenotype enrolled 70 patients with recently diagnosed T1DM (ie, less than 18 months since the initial diagnosis) aged 11–18 years. Two subcutaneous injections of GAD-alum on days 1 and 30 elicited a specific immune response and were able to significantly decrease the rate of decline of C-peptide and insulin in the first 6 months, although these effects were lost over a period of 30 months.<sup>44</sup> Unfortunately, these results could not be replicated in subsequent larger studies. In a trial involving 334 patients aged 10–20 years, the patients were randomized into three groups to receive either four doses of GAD-alum, two doses of GAD-alum followed by two of placebo, or four doses of placebo, with no differences in C-peptide decline or clinical improvement over 15 months.<sup>45</sup> In another study conducted in 145 T1DM patients who were less than 100 days from diagnosis, this vaccine was unable to show any differences in C-peptide, glycated hemoglobin, or insulin requirements across the three groups for up to one year.<sup>46</sup> Furthermore, a recent study performed in diabetic mice, NOD, and transgenic rat insulin promoter-glycoprotein (RIP-GP) mice, showed that the dose of GAD-alum used in human trials induces a GAD-alum Th2-specific response but fails to revert the T1DM phenotype<sup>47</sup> (Table 1).

## Vaccines targeting other antigens

Other antigen targets suggested for the development of T1DM vaccines include tyrosine phosphatase-related islet antigen 2 (IA-2) and heat shock protein 60 (HSP 60), which seems to be a far more promising antigen. To test the ability of the IA-2 vaccine to prevent the development of T1DM, a vaccine consisting of cDNA of human IA-2 and a plasmid expressing IL-4/monocyte chemoattractant protein-1 used as an adjuvant, these were intramuscularly coadministered to NOD mice, demonstrating that both the IA-2 plasmid alone or adjuvant combined, managed to delay the onset of the

disease,<sup>48</sup> although vaccines targeting IA-2 have not yet been reported in humans.

HSP60, or p277, is an immunomodulatory molecule<sup>49</sup> that has been demonstrated to prevent low-dose streptozotocin-induced diabetes in mice.<sup>50</sup> DiaPep277<sup>®</sup> is a 24-amino acid peptide derived from the 37–460 sequence of human HSP60, has been developed for subcutaneous administration, and is being tested in several Phase II clinical trials and Phase III studies, which are either underway or nearing completion. DiaPep277 has been tested in 40 adults and 40 children recently diagnosed with T1DM, who were given one of three doses of the peptide at four different time points. The safety profile of this vaccine was very good, with no adverse reactions, but there were no significant results with regard to protection against disease progression or insulin requirements.<sup>51</sup> In another study that enrolled 35 males, DiaPep277 fared better, demonstrating a significant ability to maintain C-peptide levels for up to 6 months after year-long treatment and to reduce the need for exogenous insulin, although no changes in glycated hemoglobin were reported.<sup>52</sup> Notwithstanding the lack of adverse effects, such conflicting results are troublesome since marginal increases in C-peptide that are not accompanied by a reduction in the exogenous insulin requirement are far from being a success (Table 1).

## Vaccines for type 2 diabetes

T2DM is typically not an autoimmune disease, and has been connected primarily with lifestyle factors, such as obesity, and genetics.<sup>53</sup> Glucagon-like peptide 1 (GLP-1), a peptide that plays an important role in glucose homeostasis, increasing insulin release and insulin sensitivity, is decreased in patients with T2DM.<sup>54</sup> Since the half-life of GLP-1 is very short due to degradation by the enzyme dipeptidyl peptidase (DPP)-4, inhibition of this enzyme has become an important pharmacological target in T2DM therapy.<sup>55</sup>

More recently, a vaccine aimed at developing an immune response against DPP-4, thus raising endogenous GLP-1 levels, has been tested in diabetic animal models. This study showed that the vaccine was able to inhibit DPP-4 activity and significantly increase plasma GLP-1 levels, while improving both postprandial glucose and insulin sensitivity. Furthermore, in diabetic KK-Ay and db/db mice strains subjected to a high-fat diet, anti-DPP-4 vaccination was able to significantly improve insulin secretion and sensitivity without activating a cytotoxic Th1-type response from T-cells, thus increasing the safety of this method<sup>56</sup> (Table 1).

Although progress has been made in the field of vaccination for diabetes, there is still a long way for research

to go in order to achieve relevant clinical efficacy, given that success in animal models does not always translate well into humans and some promising methods may fail in larger clinical trials.<sup>57</sup> Careful planning and rigorous thought must be applied when trying to extrapolate data from animals to humans. In addition, difficulties in modulating the immune system are highlighted by the fact that, for every successful trial, there is a similar trial that was unsuccessful.

## Vaccines for dyslipidemia and cholesterol

Most of the cholesterol in plasma is transported by three lipoprotein classes, ie, very low-density lipoprotein (VLDL) cholesterol, low-density lipoprotein (LDL) cholesterol, and HDL cholesterol. There is good evidence that both VLDL and LDL are associated with promotion of atherogenesis, while HDL cholesterol is able to prevent this pathological process,<sup>58</sup> which has a predominant role in the development of cardiovascular disease.

In order to prevent and treat cardiovascular disease, the search for a therapeutic vaccine to manage cholesterol has been the focus of several studies over the years, and has been encouraged by the finding of natural protective autoantibodies anti-oxidized LDL in human plasma.<sup>59,60</sup> These vaccines have been mainly developed to target lipoproteins, cholesterol itself, or molecules involved in the metabolism of cholesterol.<sup>61–65</sup>

## Vaccines targeting lipoproteins

The first report of a vaccine with positive results in atherosclerotic disease was published by Gero et al, who demonstrated that immunization for beta-lipoproteins in rabbits and cocks fed with a high-cholesterol diet decreased the progression of atherosclerotic plaques in the aorta.<sup>59,66</sup> However, other studies using similar protocols, in spite of observing improvement in the lipoprotein profile, failed to show a significant decrease in the rate of development of atherosclerotic plaques in the aorta.<sup>65</sup>

Demonstration of the role of oxidized LDL in the pathology of atherosclerosis and the finding that oxidized LDL is a target for the immune system, since circulating autoantibodies that recognize several forms of oxidized LDL, in particular one of the dominant antigen malondialdehyde-modified lysine in oxidized LDL, are quite prevalent in humans and other species,<sup>60,67</sup> scientific interest in development of an anti-oxidized LDL vaccine has increased. Immunization with malondialdehyde-modified LDL to stimulate production of

antibodies anti-oxidized LDL, in LDL receptor-deficient rabbits and mice resulted in high antibody titers and reduction of atherosclerotic plaques.<sup>68,69</sup> Ameli et al confirmed the results of previous studies by demonstrating that low-level immunization of hypercholesterolemic rabbits with homologous LDL and copper-oxidized LDL reduced the formation of early atherosclerotic lesions in response to a high cholesterol diet.<sup>70</sup> These studies have also contributed to our understanding of the regulation of atherogenesis by adaptive and innate immunity.<sup>71</sup> The mechanisms leading to LDL modification into oxidized forms via myeloperoxidase and its oxidant product, hypochlorite, have also been used as an alternative target to decrease oxidized LDL. In a recent study, passive immunization of LDLr<sup>-/-</sup> mice with the monoclonal antibody MoabA7S8 directed against hypochlorite-oxidized LDL resulted in induction of specific immunoglobulin M and G titers against oxidized LDL, and decreased cholesterol levels and atherosclerosis.<sup>72</sup>

After oxidized LDL, other molecular targets for atheroprotective immune responses were identified, namely apolipoprotein B-100 peptide sequences,<sup>73,74</sup> that are the primary apolipoprotein component found in LDL cholesterol particles and required for their formation. Fredrikson et al confirmed that immunization with apolipoprotein B-100 peptides (p2, p143, and p210) using albumin as a carrier and aluminum hydroxide (alum) as the adjuvant resulted in a significant reduction in size and inflammation of atherosclerotic lesions in apolipoprotein E-null mice, which have increased plasma cholesterol levels and develop spontaneous atherosclerotic plaques by 2–3 months of age<sup>75</sup> (Table 2).

## Vaccines targeting cholesterol

The first reports of attempts to develop a cholesterol vaccine to reduce progression of atherosclerosis date back to the early 1960s.<sup>76</sup> Bailey et al showed increased anticholesterol antibodies and decreased total cholesterol levels in cholesterol-fed rabbits inoculated with a vaccine containing cholesterol conjugated to bovine albumin and alum.<sup>76</sup> Years later, using similar vaccines, the same group showed that immunized rabbits fed a high-cholesterol diet had a reduction in atherosclerotic plaques; however, this effect was not sustained, with progression of the atherosclerotic plaques in almost all of the inoculated rabbits after 9 months of the high-cholesterol diet.<sup>77</sup>

Another study demonstrated that rabbits fed a high-cholesterol diet and immunized with protein-free liposomes containing 71% cholesterol and lipid A as the adjuvant

**Table 2** Vaccination approaches for dyslipidemia

	Target antigen	Vaccine principle	Type of vaccine	Vaccination results	Species/citation
Vaccines against lipoproteins	$\beta$ -lipoproteins	To decrease the $\beta$ -lipoproteins involved in atherogenesis promotion	Beta-lipoproteins prepared from serum of cholesterol-fed cockerels	Reduction of aortic atherosclerotic plaques Improvement of the lipoprotein profile	Rabbits and cocks high-cholesterol fed <sup>55,66</sup> Rabbits under high-cholesterol diet <sup>65</sup>
	Oxidized-LDL	To induce specific immune responses against epitopes present in oxidized LDLs	LDL oxidized by MDA and complete Freund's adjuvant  Homologous LDL and copper-oxidized LDL and the adjuvant AdjuPrime Passive immunization with IgM antibodies specific for HOCI-oxLDL ApoB-100 peptides using albumin as carrier and the adjuvant aluminium hydroxide	Development of high antibody titers and reduction of early atherosclerotic lesions formation The induction of specific IgM and IgG antibodies against OxLDL, decreased cholesterol levels and atherosclerosis Significant reduction of the size and inflammation of atherosclerosis lesions	LDL receptor-deficient rabbits and mice high-cholesterol fed <sup>68,69</sup> Hypercholesterolemic rabbits <sup>70</sup>  LDL <sup>-/-</sup> mice <sup>72</sup>  ApoE-null mice <sup>75</sup>
Vaccines against cholesterol	Cholesterol	To decrease the cholesterol levels	Cholesterol conjugated to bovine albumin and alum  Cholesterol conjugated to beta-lipoprotein precipitated on alum or bovine albumin Protein-free liposomes containing cholesterol and lipid A as adjuvant	Development of anti-cholesterol antibodies and decrease of total cholesterol levels Decreases total cholesterol. Transient reduction of atherosclerotic plaques	High-cholesterol-fed rabbits <sup>76</sup>  High-cholesterol-fed rabbits <sup>77</sup>  High-cholesterol-fed rabbits <sup>78</sup>
	CETP	To inhibit the CETP activity to the increase HDL-C and decrease of LDL-C	CETI-1 vaccine: 3 l-amino-acid synthetic chimeric peptide comprising a T-cell epitope conjugated to a B-cell epitope with an added N-terminal cysteine  CETI-2 vaccine: 12-amino acid T-cell epitope called PADRE and VaxImmune used as adjuvant Recombinant vaccine of rAnsB-TTP-CETPC expressed in <i>E-coli</i> using alum as adjuvant	Increases CETP activity and HDL-C levels. Reduction of the percentage of the aortic area covered with fatty streaks Demonstration of vaccine feasibility, immunogenicity and safety Low levels and titers of HDL  Development of higher titers of anti-CETP antibodies compared to CeTi-1 vaccine Development of high titers of anti-CETP antibodies, increases HDL-C, decreases LDL-C and reduces fatty streak lesions	Rabbits fed with a 0.25% cholesterol supplemented diet <sup>82</sup>  Healthy human volunteers <sup>83</sup> Humans, Low HDL patients <sup>64</sup> Mice and rabbits <sup>84</sup>  Rabbits <sup>85</sup>

(Continued)

Table 2 (Continued)

Target antigen	Vaccine principle	Type of vaccine	Vaccination results	Species/citation
		CETP vaccine using HSP65 as carrier molecule ( rHSP65-CETPC)		High-cholesterol-fed rabbits <sup>86</sup>
		Chitosan/pCETP nanoparticles	Decreases the ratio LDL-C/ total cholesterol and increases the HDL-C/ total cholesterol ratio	High-cholesterol-fed rabbits <sup>87</sup>
		DNA vector expressing CETPC epitope and immunostimulatory sequences (CpG motifs 5-GACGTT-3) as adjuvant	Increases HDL-C levels	High-cholesterol-fed rabbits <sup>88</sup>
Heat-shock protein 65 (HSP65) and CETP, simultaneously	Inhibit of CETP activity and downregulation of atherosclerotic inflammation by the Hsp65	Multi-target vaccine, targeting the heat-shock protein and the CETP containing two helper T-cell epitopes, PADRE and tetanus toxin	Decreases LDL and total cholesterol, no influence on HDL-C	High-cholesterol-fed rabbits <sup>89</sup>

**Abbreviations:** LDL, low-density lipoprotein; CETP, cholesteryl ester transfer protein; HDL, high-density lipoprotein; HDL-C, HDL-cholesterol; LDL-C, LDL-cholesterol; HSP 65, heat shock protein 65; MDA, malondialdehyde; HOCL, hypochlorous acid; IDL, intermediate density lipoprotein.

developed antibodies against nonoxidized cholesterol, leading to a reduction of the plasma cholesterol present in intermediate-density lipoprotein, VLDL, and LDL cholesterol and also a reduction in atherosclerosis<sup>78</sup> (Table 2).

## Vaccines targeting other molecules involved in cholesterol metabolism

The most promising target for anticholesterol vaccines is cholesteryl ester transfer protein (CETP), a molecule that mediates the transport of cholesterol esters from HDLs to triglyceride-rich lipoproteins and to LDLs.<sup>79</sup> Mutations that cause congenital CETP deficiency are associated with elevated HDL cholesterol.<sup>79,80</sup> Therefore, pharmacological inhibition of CETP has been pursued as a treatment approach for management of cholesterol.<sup>79,81</sup>

In 2000, Rittershaus et al inoculated New Zealand White rabbits fed a 0.25% cholesterol diet with CETi-1 vaccine, which consists of a 31-amino acid synthetic chimeric peptide comprising a T-cell epitope conjugated to a B-cell epitope with an added N-terminal cysteine.<sup>64,82</sup> Compared with the control group, inoculated rabbits showed an increase in CETP activity and HDL cholesterol levels, together with a reduction of the percentage of the aortic area covered with fatty streaks.<sup>82</sup> This CETi-1 vaccine went into a Phase I clinical trial and was tested in 48 adult healthy volunteers with an HDL <60 mg/dL. This trial has demonstrated the feasibility, immunogenicity, and safety of a single and booster dose for induction of anti-CETP antibodies.<sup>83</sup> In a Phase II clinical trial, the CETi-1 vaccine was administered to 203 patients with low HDL cholesterol levels (<40 mg/dL for men and <50 mg/dL for women), who showed an increase in HDL levels that correlated with antibody titers, although lower levels and titers than expected were reached.<sup>64</sup> In order to render this vaccination strategy more immunogenic, the same research group has created the CETi-2 vaccine, in which the 14 amino acids comprising the T-cell epitope from tetanus toxin were replaced by a 12-amino acid T-cell epitope called PADRE, with Vax-Immune was added as an adjuvant. This vaccine has been shown to be able to induce higher anti-CETP antibody titers in mice and rabbits than those observed after inoculation with the CeTi-1 vaccine.<sup>84</sup>

Gaofu et al developed a recombinant vaccine of rAnsB-TTP-CETPC expressed in *Escherichia coli* using alum as an adjuvant. Inoculated rabbits developed high titers of anti-CETP antibodies, followed by increased HDL cholesterol, decreased LDL cholesterol, and fewer fatty streak lesions.<sup>85</sup> This same group also developed the rHSP65-CETPC vaccine, which uses HSP65 as a carrier molecule,

and achieved similar results when tested in cholesterol-fed rabbits.<sup>86</sup> Recombinant vaccines expressed in *E. coli* represent a more suitable process and are less expensive for large-scale production than the vaccines produced using synthetic methods.<sup>85,86</sup>

DNA vaccines directed against CETP were also trialed as an approach to control in vivo cholesterol levels.<sup>87–89</sup> Mao et al using a vaccine with DNA vector expressing CETPC epitope and containing immunostimulatory sequences which included CpG motifs 5-GACGTT-3 as adjuvant were intramuscularly inoculated to high cholesterol-fed rabbits showing a significant elevation of plasma HDL cholesterol.<sup>88</sup> Mucosal immunization with chitosan/plasmid pCR-X8-HBc-CETP nanoparticles also achieved a decrease in the ratio of LDL cholesterol to total cholesterol and an increase in the HDL cholesterol to total cholesterol ratio, demonstrating that non-invasive mucosal immunization can be an alternative route to deliver DNA vaccines targeting CETP.<sup>87</sup> More recently, Jun et al used a multitarget vaccine targeting HSP65 and CETP simultaneously for cholesterol management. When administered to cholesterol-fed rabbits, this intranasal vaccine decreased LDL and total cholesterol, but had no influence on HDL cholesterol levels when compared with the control group<sup>89</sup> (Table 2).

In summary, most animal studies of vaccine approaches for cholesterol management have yielded significant results with regard to modulation of the immune system for prevention and treatment of atherosclerosis. However, further studies are needed in order to assess the feasibility and safety of these vaccines in the clinical setting, as well as comparison with other well established cholesterol treatments.

## Vaccines for hypertension

Hypertension is defined by the World Health Organization<sup>90</sup> as a systolic blood pressure (BP)  $\geq 140$  mmHg and/or a diastolic BP  $\geq 90$  mmHg. Hypertension is a major risk factor for cardiovascular disease,<sup>90</sup> and has been recognized by the Global Burden of Diseases, Injuries, and Risk Factors Study in 2010 as the leading cause of death worldwide.<sup>91</sup> In 2000, 26.4% of the adult population was hypertensive, and this number is projected to increase to 29.2% by 2025.<sup>92</sup> Although there are several effective therapeutic approaches for hypertension, including lifestyle modification by increasing physical activity and decreasing salt intake, as well as pharmacological treatment, this condition requires chronic therapy. Furthermore, only a small percentage of hypertensive patients are able to adequately control their hyperten-

sion, which results in an enormous economic burden directly related to hypertension or its comorbid complications.<sup>93</sup>

Vascular resistance has a key role in determining BP and is largely regulated by the renin–angiotensin system, a hormonal cascade that begins with the synthesis of renin in the juxtaglomerular complex of the kidneys. Renin cleaves circulating angiotensinogen produced by the liver to form angiotensin I, which in turn is cleaved by angiotensin-converting enzyme to form angiotensin II,<sup>94</sup> the physiologically active component of the system that acts through binding to AT1 and AT2 receptors. AT1 receptors are present in vascular tissue, and it is believed that angiotensin II exerts its actions on the cardiovascular system via these receptors.<sup>94</sup> Since its discovery, this system has been the most attractive target for various therapeutic approaches to hypertension, starting with angiotensin-converting enzyme inhibitors that block the cleavage of angiotensin I into angiotensin II,<sup>95</sup> followed by angiotensin II receptor blockers that prevent the signaling of angiotensin II through the AT1 receptor,<sup>96</sup> and finally renin inhibitors that block production of angiotensin I.<sup>97</sup> Every pharmacological approach has proven effective for the treatment of hypertension; however, they have limitations concerning undesirable side effects, poor patient compliance due to the asymptomatic nature of the disease, and the need for chronic therapy, rendering this pathological condition an attractive target for a therapeutic vaccine. Therefore, active vaccination against components of the renin–angiotensin system has been suggested as a good therapeutic strategy and several vaccines using different approaches have already been proposed.<sup>97–99</sup>

## Vaccines targeting renin

The first attempt to develop an antihypertension vaccine consisted of active immunization against renin, and was performed in 1951<sup>100,101</sup> when Goldblatt et al immunized eight hypertensive patients with renin isolated from hog kidneys. This first attempt, despite inducing production of antirenin antibodies, had no effect on BP, which was attributed to the lack of cross-reactivity between human and hog renin.<sup>101</sup> Later, Dheodhar et al observed that the antibodies produced in response to immunization with acetylated dog renin were capable of blocking not only acetylated renin but also untreated renin; this was accompanied by a decrease in BP to the prehypertensive level, once again demonstrating the importance of renin blockade as a therapeutic approach for hypertension.<sup>100</sup> Michel et al further investigated this immunization approach in normotensive marmosets using human renin with Freund's adjuvant that, although not

approved for human use, allowed production of high titers of antirenin antibodies which were able to block human and marmoset renin in vitro and significantly decrease BP in vivo, but unfortunately caused sickness and death due to autoimmune kidney disease.<sup>102</sup> The same research team was able to immunize spontaneous hypertensive and normotensive rats against murine renin and to induce production of specific antirenin antibodies, which were higher in hypertensive rats than in normotensive rats, with consequent blockade of renin activity and a decrease in BP in both groups, although the rats developed autoimmune kidney disease as well.<sup>103</sup> Understandably, for safety reasons, this approach has been abandoned until recently, when six different peptides, representing potential epitopes of rat and human renin, coupled with keyhole limpet hemocyanin, were used to immunize normotensive and hypertensive rats.<sup>104</sup> This study showed that all peptides elicited strong antibody responses and all antisera could bind to renin in vitro; in addition, human and rat epitope R32 vaccines also decreased systolic BP in spontaneous hypertensive rats significantly and by up to 15 mmHg, without significant signs of immune-mediated damage in vaccinated animals<sup>104</sup> (Table 3).

## Vaccines targeting angiotensin

The angiotensins have similarly been a target of several active immunization attempts for treatment of hypertension, although most of them were not able to significantly affect BP.<sup>105–108</sup>

Angiotensin I conjugated with tetanus toxoid (PMD 2850)<sup>109,110</sup> or a 12-amino acid analog of angiotensin I covalently coupled to keyhole limpet hemocyanin<sup>109,111</sup> (PMD 3117) vaccines have been proposed, with more promising results than the previous approaches. Both vaccines were able to elicit an antibody response after only the second immunization. The patients were challenged with angiotensin I to address the effect on BP, and although there were no significant changes in this regard, the higher the antibody titer, the more angiotensin I was needed to increase BP, indicating a biological effect. PMD 3117 vaccine has been tested in 27 hypertensive patients who were subcutaneously injected with either the vaccine or aluminum hydroxide (Alhydrogel™) four times over a 6-week period. The antibody titers increased from the second inoculation onwards, peaking at day 64 and having a half-life of approximately 100 days. This vaccination strategy did not influence BP, but significantly blunted the fall in plasma renin following withdrawal of the hypertension medication. In addition, in hypertensive patients, PMD3117 generated a prolonged antibody response to angiotensin I, although higher titers seem to be required in order to achieve a significant decrease in BP. More recently, Hong et al reported a new vaccine for hypertension based on peptide AngI-R conjugated with bovine serum albumin and adjuvanted with aluminum hydroxide. This vaccine proved capable of inducing production of both angiotensin I and angiotensin II antibodies and successfully decreased BP.<sup>112</sup>

**Table 3** Vaccination approaches for hypertension

Target antigen	Vaccine principle	Type of vaccine	Vaccination results	Species/citation
Renin	Renin blockade to prevent the formation of angiotensin I from angiotensinogen	Renin epitopes peptides coupled to KLH	Strong antibody response; decreases systolic BP	Normotensive and hypertensive rats <sup>104</sup>
Angiotensin I	Angiotensin I blockade to prevent the formation of angiotensin II	Angiotensin I analogue conjugated with TT carrier protein (plus adjuvants)	Suppression of the hypertensive response to exogenous angiotensin I	Normotensive rats <sup>109,110</sup>
		Angiotensin I analogue conjugated with TT or KLH carrier protein (plus adjuvants)	Suppression of response to exogenous angiotensin I. No effect in humans	Normotensive rats and humans <sup>109,111</sup>
		Angiotensin I analogue conjugated with KLH carrier protein (plus adjuvants)	No effect in BP	Human <sup>111</sup>
		Peptide ATI receptor conjugated with BSA (plus adjuvants)	Induced the production of antiangiotensin I and II antibodies; decreased BP	Hypertensive rats <sup>112</sup>
Angiotensin II	Blockade of the active component of the RAS system, angiotensin II	Peptide derived from angiotensin II coupled to a VLP derived from the RNA bacteriophages Qb (plus adjuvants)	Significant decrease in BP	Hypertensive rats <sup>113</sup>
Angiotensin II-type IA receptor	Prevent the bioactivity of angiotensin II by blocking the receptor	Peptide derived from ATIA receptor coupled with TT (plus adjuvants)	Significant decrease in BP	Hypertensive rats and humans <sup>114</sup>
				Hypertensive rats <sup>116</sup>

**Abbreviations:** BP, blood pressure; ATI, angiotensin I; BSA, bovine serum albumin; KLH, keyhole limpet hemocyanin; RAS, renin angiotensin system; VLP, virus-like particle; TT, tetanus toxoid.

In contrast, Cyt006-AngQb, an antiangiotensin II vaccine consisting of a peptide derived from angiotensin II chemically linked to a virus-like particle from RNA bacteriophage Qb, has been shown to be effective at producing specific antibodies in both spontaneous hypertensive rats<sup>113</sup> and humans.<sup>113,114</sup> Hypertensive patients (n=72) were injected with either 100 µg of Cyt006-AngQb (n=24), 300 µg of Cyt006-AngQb (n=24), or placebo (n=24), at weeks 0, 4, and 12. The vaccine was well tolerated and the higher vaccine concentration was able to decrease BP and the early morning BP surge when compared with placebo. Unfortunately, in subsequent studies, Cyt006 failed to reproduce this BP reduction, despite shorter dosing intervals and higher antibody titers<sup>115</sup> (Table 3).

### Vaccines targeting the angiotensin receptor

The AT1 receptor has also been tested as a possible hypertension vaccine target in spontaneously hypertensive rats by repeated subcutaneous injections of a peptide-tetanus-toxoid complex in combination with Freund's adjuvant over 64 weeks. This resulted in induction of specific antibodies, a decrease in BP and cardiac hypertrophy, and attenuation of kidney injury, with no signs of autoimmune heart or kidney disease<sup>116</sup> (Table 3).

In brief, due to the alarming prevalence of hypertension and the chronicity of the disease, alternative and definitive or long-lasting therapeutic approaches to ensure better BP control and patient compliance are welcomed. The proposed hypertension vaccines could be a good alternative, although future research is required before these can reach the clinical setting.

### Vaccines for obesity

Obesity and overweight rates are increasing worldwide and are now one of the most serious public health problems of the century.<sup>117,118</sup> Obesity is a medical condition characterized by accumulation of excess body fat associated with an increased risk of adverse health effects, which is more often the result of a positive energy balance due to a combination of excessive food intake and lack of physical activity in genetically predisposed individuals.<sup>118</sup> Only a limited number of obesity cases are secondary to monogenetic diseases, endocrine disorders, or use of drugs that cause weight gain.<sup>119</sup>

Obesity is a risk factor for many chronic metabolic conditions, including T2DM, hypertension, dyslipidemia, cardiovascular disease, and metabolic syndrome.<sup>119</sup> In addition, obesity is a major cause of decreased life expectancy, and to an extent similar to that observed in smokers.<sup>120</sup> Since weight loss is able to improve or resolve a number of

comorbidities,<sup>121</sup> obesity is currently considered the leading cause of preventable death worldwide.<sup>122–124</sup> Since there is a high likelihood of weight regain after weight loss, obesity requires chronic treatment and a long-term approach, for which pharmacological options remain quite limited.<sup>125</sup> One of the most exciting strategies on the horizon is the possibility of targeting molecular factors associated with energy homeostasis regulation using vaccines as a possible means to control this major global disease.

### Vaccines targeting adipose tissue antigens

Given that obesity is associated with a chronic inflammatory response, one of the first antiobesity vaccination approaches has focused on inducing immune tolerance against adipose tissue-derived antigens, in an attempt to modulate the inflammatory response. Oral administration of adipose tissue-pooled antigens has been demonstrated to be safe, able to reduce waist and thigh circumferences, and improve the lipid profile by decreasing triglycerides and increasing HDL cholesterol levels.<sup>126</sup> However, this simple and attractive obesity vaccine failed to have a significant effect on body weight and lacked specificity, and could benefit from a more focused approach after identification of the appropriate molecular targets (Table 4).

### Vaccines targeting somatostatin

Obesity is characterized by a decrease in basal secretion of growth hormone, which has been demonstrated to reduce fat and increase lean mass.<sup>127</sup> Somatostatin is a peptide hormone produced in the hypothalamus and gastrointestinal system, among other tissues, and inhibits secretion of growth hormone and insulin-like growth factor 1. Therefore, blocking the physiological effects of somatostatin increases endogenous levels of growth hormone and insulin-like growth factor 1, without the need to use anabolic hormones. Antisomatostatin vaccination in mice has shown to decrease body weight gain by 10% despite continuous feeding with a high-fat diet.<sup>128</sup> This vaccination approach, despite the benefit of having a specific molecular target, is capable of upregulating growth hormone with the advantage of decreasing fat mass, but has the potential shortcomings of impairing glucose metabolism secondary to growth hormone excess and promoting neuroendocrine cell proliferation that is inhibited by somatostatin (Table 4).

### Vaccines targeting glucose-dependent insulinotropic polypeptide

Glucose-dependent insulinotropic polypeptide (GIP) is a gastrointestinal hormone released after carbohydrate ingestion

**Table 4** Vaccination approaches for obesity

Target antigen	Vaccine principle	Type of vaccine	Vaccination results	Species/citation
Adipose tissue antigens	To induce immune tolerance towards inflammatory self-antigens present in adipose tissue	Oral immunization against pooled adipose tissue antigens	Reduces waist and thigh circumferences; decreases triglycerides and increases HDL-cholesterol; negligible effect on body weight.	Human <sup>126</sup>
Somatostatin	To prevent the effects of somatostatin in GH inhibition in order to increase the endogenous levels of GH and IGF-I	Chimeric-somatostatin	10% decrease body weight gain under high fat diet; no change in food intake.	Mice <sup>128</sup>
Glucose-dependent insulinotropic polypeptide (GIP)	GIP blockade to increase fat oxidation and improve insulin resistance	Immunoconjugate of GIP covalently attached to the Qb bacteriophage	Protects against diet induced obesity; decreases fat accumulation; increases resting energy expenditure; no changes in locomotor activity or food intake.	Mice <sup>134</sup>
Ghrelin	To suppress ghrelin activity	Ghrelin conjugated to BSA (plus adjuvants)	Decreases food intake by 15% and body weight by 10%.	Pigs <sup>150</sup>
		Ghrelin haptens conjugated to KLH (plus adjuvants)	Decreases body weight gain and body fat; decreases feed efficiency.	Rats <sup>151</sup>
		Chemical conjugate of active ghrelin with NSI – BTV protein (no adjuvants)	Increased energy expenditure; decrease of NPY in the basal hypothalamus; non-significant effects in food intake and body weight.	Mice <sup>155</sup>

**Abbreviation:** BSA, bovine serum albumin; GH, growth hormone; IGF-I, insulin-like growth factor I; GIP, glucose-dependent insulinotropic peptide; HDL, high density lipoprotein; KLH, keyhole limpet hemocyanin; NPY, neuropeptide Y; NSI – BTV, NS I protein of the blue tongue virus

by neuroenteric K-cells and stimulates glucose-dependent insulin secretion and release.<sup>129</sup> Besides the pancreas, the GIP receptor is widely distributed in peripheral organs, and in adipose tissue has a key role in fat deposition.<sup>130</sup>

GIP-R knockout mice exposed to a high-fat diet show decreased weight gain and increased uncoupling protein-1 expression in brown adipose tissue that is associated with increased energy expenditure.<sup>131</sup> GIP antagonists have also been shown to prevent or reverse obesity in rodent models.<sup>132</sup> Although GIP does not participate in regulation of food intake, GIP blockade has been shown to deplete adipose deposits and improve insulin resistance, so has been considered a potential drug target for treatment of obesity-related diabetes.<sup>133</sup> Based on these findings, an antiobesity vaccination targeting GIP has been attempted, using an immunoconjugate of GIP covalently attached to the Qb bacteriophage to induce production of anti-GIP neutralizing antibodies. This anti-GIP vaccine has been shown to protect against diet-induced weight gain due to decreased fat accumulation and increased energy expenditure, similar to the effects observed after chemical blockade of the receptor<sup>134</sup> (Table 4).

### Vaccines targeting ghrelin

Ghrelin is the only peripheral hormone known to stimulate food intake and therefore is considered the most promising target for obesity treatment.<sup>135</sup> Neutralization of the biologic effects of ghrelin on energy homeostasis, achieved in a number of experimental models, has already been used in order to

prove this concept, and includes genetic deletion of ghrelin or the ghrelin receptor, antagonism of the ghrelin receptor, and inhibition of ghrelin O-acyltransferase (GOAT), the enzyme responsible for ghrelin acylation with subsequent activation.

Genetic deletion of ghrelin or GHS-R1a, the receptor that mediates the stimulatory effects of ghrelin on growth hormone and food intake, protects mice from diet-induced obesity by increasing energy expenditure and locomotor activity, without a significant change in food intake or impairment of growth hormone levels.<sup>136–138,139</sup> In addition, patients harboring missense mutations of GHS-R are characterized by short stature and obesity.<sup>140</sup>

Ghrelin receptor antagonists have been demonstrated to decrease food intake and body weight and to improve glucose tolerance in diet-induced obese mice and in a mouse model of postmenopausal obesity, confirming the potential of blocking ghrelin as a treatment for obesity and T2DM.<sup>141,142</sup> In addition, ghrelin neutralizing molecules, such as RNA Spiegelmer, a non-natural nucleic acid with specific binding activity towards a given molecule, has also been shown to decrease food intake, promote weight loss, and decrease food efficiency.<sup>143</sup> Antibody-mediated GOAT inactivation increases metabolic rate and suppresses refeeding after food deprivation,<sup>144,145</sup> while a GOAT-specific inhibitor, a bisubstrate analog GO-CoA-Tat composed of ghrelin, octanoyl Co-A, and a Tat sequence that allows the analog to penetrate within the cell cytoplasm where acylation of ghrelin occurs, has been shown to decrease serum levels of active ghrelin,

prevent body weight gain, increase insulin secretion, and improve glucose tolerance.<sup>146</sup>

The first attempts at an antighrelin vaccination strategy consisted of passive antibody transfer. As a proof-of-concept, intracerebroventricular administration of polyclonal antighrelin antibodies has demonstrated to dose-dependently inhibit fast-induced feeding and suppress dark phase food intake.<sup>147</sup> In addition, monoclonal antiacylated ghrelin antibodies were shown to inhibit acute ghrelin-mediated orexigenic effects without modifying long-term food intake in mice,<sup>148</sup> while more recently, a mixture of monoclonal antibodies targeting different ghrelin haptens demonstrated increase energy expenditure during fasting and deprivation-induced food intake, and reduced overall food intake upon refeeding.<sup>149</sup> Although representative as a proof-of-concept, passive immunizations have the limitation of lacking long-term effectiveness, due to the reduced half-lives of the antibodies and a need for periodic administration, as well as the possibility of activation of compensatory pathways of ghrelin production, as may occur with other ghrelin inactivation procedures.

Active immunization strategies have been developed more recently with the rationale of inducing an immune response to suppress endogenous ghrelin bioactivity. The first ghrelin immunization attempts included vaccines using bovine serum albumin<sup>150</sup> and keyhole limpet hemocyanin as carrier proteins and immunogenic substances.<sup>151</sup> Ghrelin-bovine serum albumin conjugates with Freund's incomplete adjuvant and diethylaminoethyl dextran decreased voluntary food intake by 15% and body weight by 10% in vaccinated pigs, with no evidence of interference in the somatotrophic axis.<sup>150</sup> Zorrilla et al used different ghrelin haptens conjugated to keyhole limpet hemocyanin and Ribl emulsion or alum as adjuvants in order to induce production of antighrelin antibodies, which decreased body weight gain, leptin levels, body fat, and feed efficiency in immunized rats.<sup>151</sup> In contrast, vaccination with ghrelin-PADRE, a synthetic Pan-DR helper T-cell epitope that binds with high affinity to HLA-DR molecules, was able to induce a strong immunoglobulin G immune response to various antigens coupled with PADRE, but failed to show any effect on body weight or food intake despite inducing the development of high antighrelin antibody titers.<sup>152</sup> The main limitations of these antighrelin vaccination strategies is the need to use adjuvants in order to achieve an appropriate antibody response, which may be associated with an inflammatory response, a risk of an exacerbated immune response, or have restricted use in humans (Table 4).

An alternative antighrelin vaccination approach has been active immunization using virus-like particles. Virus-like

proteins are viral proteins without genetic material, so have no pathogenic phenotype. These structures have a highly repetitive nature that has the advantage of allowing B-cell receptor cross-linking due to the ordered presentation of epitopes on the molecule surface, which induces efficient B-cell activation. The high immunogenicity allows use of a small number of inoculations and a low quantity of vaccine in order to obtain an effective immunization.<sup>153</sup> Compared with classic immunization strategies, virus-like protein vaccines are usually more safe, efficient, and cost-effective,<sup>154</sup> so are currently used as carrier molecules for several recombinant vaccines used for hepatitis B and human papillomavirus. The virus-like protein-based antighrelin vaccine is a chemical conjugate of active ghrelin with NS1 protein tubules of the Bluetongue virus, developed with the aim of obtaining a safe and more effective vaccine that could be used to treat humans.<sup>155</sup> Although NS1 protein is not part of the viral capsid, it has the same immunogenic characteristics as classical virus-like proteins,<sup>156,157</sup> with the advantage of having been used previously as a carrier protein for several prophylactic vaccines against common human infectious diseases, including foot-and-mouth disease and influenza A virus.<sup>157,158</sup>

The ability of this immunoconjugate to trigger an immune response without adjuvants has been demonstrated in normal weight and diet-induced obese male mice that developed specific antighrelin antibodies,<sup>155</sup> although in low titers when compared with the titers reached after common infectious diseases. However, this antibody profile is further reassuring in terms of safety concerns, because complete ghrelin neutralization is not desirable as a strategy for obesity treatment, since ghrelin also intervenes in the regulation of growth hormone secretion and has functions in the gastrointestinal and nervous systems.<sup>135</sup> Vaccinated animals also show increased energy expenditure, providing additional evidence of the effectiveness of ghrelin neutralization, since ghrelin is known to suppress energy metabolism.<sup>136,159</sup> In addition, a significant decrease of NPY gene expression in the basal hypothalamus, the most potent orexigenic signal in the central nervous system, was also observed in vaccinated diet-induced obese mice.<sup>160</sup> In comparison, in vaccinated normal weight mice, there was no significant difference in genetic expression of the NPY gene. The fact that this finding was only observed in diet-induced obese mice suggests the likelihood of a compensatory mechanism from the periphery in order to prevent a reduction in the feeding threshold of normal weight mice.<sup>155</sup> Vaccinated mice also showed a nonsignificant decrease in long-term food intake and weight gain, which could be explained by the activation of compensatory mechanisms of

energy homeostasis pathways; since the signaling pathways that control energy homeostasis and appetite are highly redundant,<sup>161</sup> it is possible that ghrelin blockade could lead to activation of compensatory mechanisms similar to what occurs in ghrelin knockout mice.<sup>136,138</sup>

As ghrelin is the only orexigenic peripheral hormone identified, has attracted particular attention for the development of different neutralization approaches for obesity treatment, which has shown to be an effective means of decreasing food intake and increasing energy expenditure, both important contributions to establish a negative energy balance and promote weight loss. However, the available data suggest that the utility of ghrelin antagonism as a broad antiobesity agent is questionable. The role of ghrelin in regulation of food intake seems to act predominantly in response to conditions of low energy intake, driving hunger rather than regulating basal food intake or appetite. In addition, since most obese patients have low ghrelin levels, it is not expected that a vaccine would be effective in the absence of a diet-induced ghrelin rise, so obese patients who could benefit from these therapeutic approaches are more likely to be individuals enrolling in a diet and exercise program as adjuvant therapy for weight loss in an effort to prevent weight regain. Furthermore, since food intake is the result of a highly regulated and redundant network, it is unlikely that inhibition of the ghrelin pathway alone or any of the other pathways explored so far as a means to interfere with body weight regulation will be effective for obesity treatment. Combination therapies targeting multiple pathways will most likely be needed.

## Conclusion

Chronic metabolic disorders are a major public health problem in western societies.<sup>2</sup> Treatment of diabetes, hypertension, dyslipidemia, and obesity in order to prevent cardiovascular disease requires a chronic treatment approach that, in addition to lifestyle modification, often requires use of multiple drugs and can be associated with considerable costs, side effects, and a low rate of therapeutic compliance due to the asymptomatic nature of these conditions in most patients.<sup>162</sup> Vaccines targeting the molecular risk factors associated with chronic noncommunicable diseases are an attractive option for controlling a major global health problem such as cardiovascular disease. Therefore, an expansion of the vaccination goals from infectious disease prevention to the therapeutic perspective is underway. Nevertheless, in the context of cardiovascular disease, advances have been slow, mostly due to the multifactorial pathogenesis of the disease with many intervening

elements. Nonetheless, successes with vaccines for diabetes, cholesterol, hypertension, and obesity have highlighted the significant potential of such drug targets, despite the fact that before these vaccines can be used in the clinical field for the prevention and treatment of chronic metabolic diseases, there are several technical challenges that need to be met. These include identification of the most efficient molecular targets for each risk factor, determining the antibody titers and affinities that need to be reached in order to achieve clinical efficacy, and assessment of clinical safety.

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## Disclosure

The authors report no conflicts of interest in this work.

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