

# The relationship between self-management abilities, quality of chronic care delivery, and wellbeing among patients with chronic obstructive pulmonary disease in The Netherlands

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**Background:** This cross-sectional study aimed to identify the relationship between quality of chronic care delivery, self-management abilities, and wellbeing among patients with chronic obstructive pulmonary disease (COPD).

**Methods:** The study was conducted in 2012 and included 548 (out of 1303; 42% response rate) patients with COPD enrolled in a COPD care program in the region of Noord-Kennemerland in The Netherlands. We employed a multilevel random-effects model (548 patients nested in 47 healthcare practices) to investigate the relationship between quality of chronic care delivery, self-management abilities, and patients' wellbeing. In the multilevel analyses we controlled for patients' background characteristics and health behaviors.

**Results:** Multilevel analyses clearly showed a significant relationship between quality of chronic care delivery and wellbeing of patients with COPD ( $P \leq 0.001$ ). When self-management abilities were included in the equation while controlling for background characteristics, health behaviors, and quality of chronic care delivery, these abilities were found to have a strong positive relationship with patients' wellbeing ( $P \leq 0.001$ ). Low educational level, single marital status, and physical exercise were not significantly associated with wellbeing when self-management abilities were included in the equation.

**Conclusion:** Self-management abilities and the quality of chronic care delivery are important for the wellbeing of patients with COPD. Furthermore, self-management abilities acted as mediators between wellbeing and low educational level, single status, and physical exercise among these patients.

**Keywords:** quality of care, self-management, disease management, COPD, wellbeing, health behaviors

## Introduction

In a time of aging populations, the risk for multiple chronic diseases increases rapidly.<sup>1</sup> Chronic obstructive pulmonary disease (COPD), which is preventable and treatable, is the leading cause of death from lung disease worldwide and the fourth overall cause of death.<sup>2</sup> The World Health Organization defines COPD as a lung disease characterized by chronic obstruction of lung airflow that interferes with normal breathing and is not fully reversible.<sup>3</sup> The prevalence of COPD in The Netherlands is about 12%.<sup>4</sup> COPD is a costly disease because it contributes substantially to the volume of emergency department visits and hospitalizations.<sup>5</sup> Furthermore, COPD patients carry a heavy burden in their daily lives broader than their physical/health condition,

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namely psychological disturbance, psychiatric morbidity, and disability,<sup>6,7</sup> which is expected to negatively affect their overall wellbeing.

Although advances in treatment have been made, patients with chronic diseases often do not receive optimal care.<sup>8</sup> For example, research has shown that COPD remains underdiagnosed and undertreated.<sup>5,9,10</sup> Health service delivery for patients with COPD has focused on acute care and effective management of acute exacerbations and complications to reduce recovery time. Within this “acute care model,” the responsibility for problem solving lies with the clinician, and no self-management support or focus on chronic care delivery is provided in the primary care setting.<sup>11–13</sup> Mild to moderate COPD patients are mostly treated in general practice.<sup>14</sup> According to recent COPD guidelines, symptoms and airflow obstruction should be monitored regularly within the primary care setting, with the goal of guiding the modification of treatment and early detection of complications.<sup>14,15</sup> Therefore, COPD patients should be monitored regularly to achieve these goals and to delay disease progression and alleviate its manifestations.<sup>16</sup> Furthermore, care should be tailored to individual patients’ needs with a shared responsibility and comprehensive self-management focused on the needs of the individual COPD patient.<sup>11–13,17–20</sup> Research showed that higher levels of quality of chronic care delivery resulted in fewer hospital admissions and reduced emergency department visits among COPD patients.<sup>21</sup> In addition, interventions aimed at COPD patients (eg, self-management interventions), professionals (eg, decision support and training) as well as organizations (eg, implementation of ICT systems) leads to improved effectiveness.<sup>22–25</sup>

Based on these findings, we expect that improving the quality of chronic care delivery in the primary care setting and increasing the self-management abilities of COPD patients may allow them to better maintain their overall wellbeing. High quality chronic care delivery calls for a comprehensive approach to chronic care management with multidisciplinary teams to support the individual overtime and take responsibility for patients’ wellbeing with general practitioners. Improved patient outcomes have resulted from holistic and patient-centered programs that offer self-management support services.<sup>20</sup> Most of these self-management interventions focus mainly on teaching patients to effectively deal with their chronic disease.<sup>26–28</sup> Patients with COPD, however, must manage their chronic condition on a daily basis (such as by taking medication, exercising, eating healthily, and quitting

smoking). As a result, day-to-day care constitutes a fundamental part of chronic care, in which patients’ self-management abilities are indispensable.<sup>27</sup> Therefore, in addition to focusing on disease-related self-management abilities, interventions aimed at the self-management of overall wellbeing may be needed.<sup>29,30</sup> As many chronically ill patients suffer from a combination of problems in multiple life domains, they may benefit more from self-management interventions that provide them with a general repertoire for dealing with different kinds of problems, rather than from interventions focusing solely on disease-related problems.<sup>29,31</sup>

We lack knowledge of self-management abilities required to maintain overall wellbeing among patients with COPD, and thus, in this article, we focus on this knowledge instead of only investigating disease specific outcomes. Additionally, the relationship between the quality of chronic care delivery and the wellbeing of these patients in the primary care setting is under-researched. Thus, this study aimed to identify the relationship between quality of chronic care delivery, self-management abilities, and wellbeing of COPD patients. In the following analyses, we will control for background characteristics (age, gender, marital status, and educational level) and health behaviors (physical exercise and smoking).

## Methods

This cross-sectional study was conducted in May 2012 and included 548 (out of 1303; 42% response rate) patients with COPD. These patients had recently enrolled in a newly implemented COPD care program called “Kennemer Lucht” and received primary care at one of the 47 participating healthcare practices in the Noord-Kennemerland region of The Netherlands. This program started in March 2012 and implemented several interventions to improve care for COPD patients. Examples of implemented interventions for COPD patients are: patient education, counseling on treatment compliance, coping strategies, lifestyle advices (on healthy diet, drinking, smoking, and exercise), medical treatment according to clinical guidelines and use of flowcharts, regular follow-up of patients, and regular consultation/coordination with hospital care. The COPD-program Kennemer Lucht included all patients diagnosed with COPD (Global Initiative for Chronic Obstructive Lung Disease stages 1 – 4) who are currently in GP care. No additional inclusion criteria were applied. All patients received a questionnaire at home via mail. A few weeks later, a reminder notice was sent to non-respondents. Another few weeks later, a second reminder with

another copy of the questionnaire was sent. The study was approved by the ethics committee of the Erasmus University Medical Centre of Rotterdam in April 2012 (MEC-2012-143).

## Measures

Wellbeing was measured with the 15-item version of the Social Production Function Instrument for the Level of Wellbeing (SPF-IL; Nieboer et al 2005).<sup>31</sup> The SPF-IL has been proven to be a reliable instrument for the assessment of wellbeing.<sup>31–35</sup> This scale measures levels of physical (comfort, stimulation) and social (behavioral confirmation, affection, status) wellbeing. Examples of questions are: “Do people pay attention to you?” (affection), “Do you feel useful to others?” (behavioral confirmation), “Are you known for the things you have accomplished?” (status), “In the past few months have you felt physically comfortable?” (comfort), and “Do you really enjoy your activities?” (stimulation). Answers are given on a 4-point scale ranging from never (1) to always (4), with higher mean scores indicating greater wellbeing. Cronbach’s alpha of the SPF-IL was 0.86, indicating good reliability.

Patients’ assessments of care were measured with the 20-item Patient Assessment of Chronic Illness Care (PACIC) questionnaire, which uses a 5-point response scale ranging from “almost never” to “almost always”.<sup>36</sup> Examples of questions are: “When I received care for my chronic illness over the past 6 months I was asked for my ideas when we made a treatment plan”, “Satisfied that my care was well organized”, “Asked how my chronic illness affects my life”, and “Asked how my visits with other doctors were going”. The PACIC has been proven to be a reliable and valid tool for measuring the quality of chronic care delivery from the patient’s perspective, based on his or her experience. The scale’s internal consistency was 0.94. Scores ranged from 1 to 5, with higher scores representing a higher quality of chronic care delivery.

Patients’ self-management abilities were measured with the Self-Management Ability Scale Short version (SMAS-S).<sup>33</sup> This instrument assesses a broad repertoire of self-management abilities to maintain one’s wellbeing. The taking initiative, investing, self-efficacy, variety, and multifunctionality subscales are related to the physical and social dimensions of wellbeing, and the ability to have a positive frame of mind subscale is considered to be a more general cognitive frame.<sup>37</sup> Examples of self-management abilities are self-efficacy and taking initiatives (ie, being instrumental or self-motivating in enhancing health and

wellbeing). The scale’s internal consistency was 0.91. Average self-management ability scores ranged from 1 to 6, with higher scores indicating better self-management abilities.

Physical activity was assessed by asking respondents on how many days per week they were physically active (eg, sports activities, exercise, walking, housecleaning, work in the garden) for at least 30 minutes. Current smoking was assessed with a “yes” or “no” question. Education was assessed on six levels ranging from (1) no school or some primary education ( $\leq 7$  years) to (6) completion of a university degree ( $\geq 18$  years). We dichotomized this item into (1) low educational level (no school/some primary education or lower technical/vocational education) and (0) (higher than lower technical/vocational education). We further asked respondents to report their marital status, gender, and age.

## Statistical analyses

We used descriptive statistics to describe the study population. Univariate analyses were used to assess associations among background characteristics, health behaviors (physical exercise and smoking), quality of chronic care delivery, self-management abilities, and wellbeing. We employed a multilevel random-effects model (548 patients nested in 47 healthcare practices) to investigate the relationship between quality of chronic care delivery, self-management abilities, and wellbeing of patients with COPD. In the analyses we controlled for patients’ background characteristics and health behaviors. Independent variables were all standardized in the multilevel analyses. Model 1 includes background characteristics, health behaviors (physical exercise and smoking) and quality of chronic care delivery. Self-management abilities were added in Model 2 to investigate the mediating role of self-management abilities on the relationship between wellbeing, patient characteristics, and health behaviors. Results were considered statistically significant when two-sided *P* values were  $\leq 0.05$  (SPSS ver. 20, mixed models option; IBM Corporation, Armonk, NY, USA).

## Results

Table 1 displays descriptive statistics for the study sample. Of the 548 respondents, 46% were female, 34% had a low educational level, and 34% were single. The mean age of respondents was  $69.15 \pm 10.22$  (range, 39–91) years. About one-third (31%) of the respondents were smokers. Patients performed physical exercise on an average of  $4.71 \pm 2.25$  (range, 0–7) days per week.

**Table 1** Characteristics of patients participating in disease management programs

	Patients n = 548
Mean age (years)	69.15 ± 10.22 (39–91)
Gender (female)	46%
Marital status (single)	34%
Low educational level	34%
Physical exercise (days per week)	4.71 ± 2.25 (0–7)
Smoking	31%
Quality of chronic care delivery (PACIC)	2.85 ± 0.92 (1–5)
Self-management abilities (SMAS-S)	3.94 ± 0.71 (1–6)
Wellbeing (SPF-IL)	2.77 ± 0.48 (1–4)

**Note:** Data are expressed as mean ± standard deviation (range) or percentage.

**Abbreviations:** PACIC, Patient Assessment of Chronic Illness Care; SMAS-S, Self-Management Ability Scale Short version; SPF-IL, Social Production Function Instrument for the Level of Wellbeing.

Correlations of independent variables with the wellbeing of patients with COPD are displayed in Table 2. The results of univariate analyses showed that gender ( $P \leq 0.01$ ), single marital status ( $P \leq 0.01$ ), low educational level ( $P \leq 0.01$ ), physical exercise ( $P \leq 0.001$ ), quality of chronic care delivery ( $P \leq 0.001$ ), and self-management abilities ( $P \leq 0.001$ ) were significantly related to patients' wellbeing.

The results of multilevel analyses are displayed in Table 3. Age ( $P \leq 0.001$ ) and physical exercise ( $P \leq 0.001$ ) were positively related to wellbeing, and a negative relationship was found with single marital status ( $P \leq 0.05$ ) and low educational level ( $P \leq 0.01$ ). After adjusting for patients' background characteristics and health behaviors, these analyses clearly showed a significant relationship between quality of chronic care delivery and COPD patient wellbeing ( $P \leq 0.001$ ; Table 3; Model 1). When self-management abilities were included in the equation while controlling for background characteristics, health behaviors, and the quality of chronic care delivery, these abilities were found to be

**Table 2** Associations between wellbeing and individual characteristics, health behaviors, quality of chronic care delivery, and self-management abilities

	Wellbeing	n
Mean age (years)	0.07	530
Gender (female)	−0.08**	528
Marital status (single)	−0.12**	536
Low educational level	−0.11**	536
Physical exercise	0.18***	503
Smoking	−0.08	536
Quality of chronic care delivery (PACIC)	0.29***	509
Self-management abilities (SMAS-S)	0.63***	526

**Notes:** \*\*\* $P \leq 0.001$ ; \*\* $P \leq 0.01$ ; (two-tailed).

**Abbreviations:** PACIC, Patient Assessment of Chronic Illness Care; SMAS-S, Self-Management Ability Scale Short version.

**Table 3** Relationship between independent variables and wellbeing as assessed by multilevel regression analyses (random intercepts model, n = 470)

	Model 1		Model 2	
	$\beta$	SE	$\beta$	SE
Constant	2.78	0.20	2.77	0.20
Mean age (years)	0.07***	0.20	0.07***	0.20
Gender (female)	−0.02	0.20	−0.03	0.20
Marital status (single)	−0.04*	0.20	−0.03	0.20
Low educational level	−0.05**	0.20	−0.00	0.20
Physical exercise	0.07***	0.20	−0.01	0.20
Smoking	−0.01	0.20	−0.01	0.20
Quality of chronic care delivery (PACIC)	0.13***	0.20	0.09***	0.20
Self-management abilities (SMAS-S)			0.29***	0.20

**Notes:** \*\*\* $P \leq 0.001$ ; \*\* $P \leq 0.01$ ; \* $P \leq 0.05$  (two-tailed); Listwise deletion of missing cases resulted in the inclusion of 470 cases in the multilevel regression analyses.

**Abbreviations:** PACIC, Patient Assessment of Chronic Illness Care; SMAS-S, Self-Management Ability Scale Short version; SE, standard error.

strongly and positively related to the wellbeing of patients with COPD ( $P \leq 0.001$ ; Table 3; Model 2). Low educational level, single marital status, and physical exercise were not significantly associated with wellbeing in analyses including self-management abilities. Thus, self-management abilities acted as mediators between wellbeing and low educational level, single status, and physical exercise among these patients.

## Discussion

This study aimed to identify the relationship between quality of chronic care delivery, self-management abilities, and wellbeing among patients with COPD. Successfully dealing with a chronic condition such as COPD and its impacts on patients' lives is increasingly acknowledged to depend on the ways in which individuals actively manage their disease.<sup>38–41</sup> After adjusting for patients' background characteristics and health behaviors, these analyses clearly showed the importance of quality of chronic care delivery for the wellbeing of patients with COPD. This finding suggests that patients' perceptions of high quality chronic care delivery improve their wellbeing, which is expected to influence clinical and economic outcomes.<sup>42</sup>

This study additionally showed the importance of self-management abilities for the wellbeing of patients with COPD after controlling for background characteristics, health behaviors, and the quality of chronic care delivery at participating healthcare practices. Furthermore, self-management abilities acted as mediators between wellbeing and low educational level, single status, and physical exercise among these patients. This finding is important

because less-educated people often lack the necessary resources to effectively self-manage a chronic condition.<sup>43</sup> Therefore, especially for patients with COPD, who are known to be less educated than those with diabetes and cardiovascular diseases,<sup>29</sup> self-management abilities should be improved. Furthermore, interventions that aim to enhance self-management abilities to maintain wellbeing may provide a useful addition to more traditional interventions, which are usually focused only on the physical decline associated with chronic conditions and ignore the social context of illness.<sup>44,45</sup> The ways in which people manage their conditions often vary according to social circumstances and personal experiences.<sup>43,46</sup> Greenhalgh<sup>47</sup> therefore argued that the time has come to move beyond such individualistic approaches to more holistic models that consider individuals' social contexts. Our finding that self-management abilities mediate the relationships between wellbeing and marital status and educational level supports this notion. A sociological perspective on disease management that includes the social context of illness may help to contextualize the actions of patients with COPD and increase our understanding of the mechanisms by which patients can be engaged in self-care and their self-management abilities can be enhanced. These efforts, in turn, may improve patients' abilities to successfully manage this condition and cope with its substantial impacts on their lives.<sup>48</sup>

One limitation of this study is that although our results showed that self-management abilities and the quality of chronic care delivery were important for COPD patients' wellbeing, we did not investigate whether interventions aiming to enhance these variables led to improved wellbeing. Further research is necessary to establish causal effects and to investigate the effectiveness of interventions implemented in the COPD program Kennemer Lucht on the improvement of self-management abilities and the quality of chronic care delivery for patients with COPD.

## Conclusion

We can conclude that effectively dealing with COPD is a long-term process of realizing and sustaining wellbeing, which requires proactive self-management and high quality of chronic care delivery. Interventions, such as lifestyle advice, patient education and use of clinical guidelines are expected to improve self-management and quality of chronic care delivery. Additionally, self-management abilities seem to protect for poor wellbeing among COPD patients with low educational level and single status, and poor physical exercise.

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## Disclosure

The authors report no conflicts of interest in this work.

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