

# Effects of Nutritional Interventions in Individuals with Chronic Obstructive Lung Disease: A Systematic Review of Randomized Controlled Trials

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**Background:** The role of nutrition and dietary patterns has been widely investigated in cancer, cardiovascular disease, and diabetes, but there are limited data on nutritional impact on COPD. This systematic review (PROSPERO-reg. no: CRD42020172712) aimed to investigate the effect of nutritional interventions on pulmonary and physical function, inflammation, and health-related quality of life among individuals with COPD.

**Methods:** Systematic searches were conducted in Medline, Embase, and Cochrane, resulting in 3861 references. Studies focusing exclusively on inpatient-stays, underweight or obese patients were excluded. Double screening, extraction and bias assessment were conducted. Bias was assessed according to the Cochrane risk of bias tool for randomized controlled trials. Thirteen randomized controlled trials with 916 participants were included.

**Results:** These trials investigated effects of protein supplementation, beetroot juice, increased fruit and vegetable intake, black seed oil, Tualang honey, Chlorella vulgaris-extract, whey-peptide containing nutritional drink, and increased macronutrient intake. The durations of the interventions were from weeks to a few months, and only one with duration >1 year (investigating increased fruit/vegetable intake). The intervention increasing fruit/vegetables found improvement in pulmonary function tests. Some interventions observed effects on systemic inflammation, health-related quality of life and physical function, although with some mixed results. Five were classified as poor, five as fair, and three as good in terms of risk of bias and quality.

**Conclusion:** Increasing intake of fruits and vegetables over prolonged periods might have positive effects on lung function in individuals with COPD. Some nutritional interventions also observed effects on systemic inflammation, health-related quality of life, and physical function, although with some mixed results. Many of the trials were underpowered, had high dropout rates, or had a high risk of bias. Further research should investigate effect of prolonged dietary interventions.

**Keywords:** nutrition, lung function, inflammation, physical function, health-related quality of life

## Introduction

Chronic obstructive pulmonary disease (COPD) currently affects 250 million people worldwide, and the numbers are rising.<sup>1,2</sup> COPD is a disease that progressively reduces daily functioning and quality of life, and is associated with frequent hospitalizations and high costs to healthcare systems.<sup>2</sup> There is research supporting

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the concept that body composition can influence disease progression and outcomes in COPD, and it is known that both underweight and obesity impact pulmonary function negatively.<sup>3,4</sup> COPD is associated with the development of malnutrition and muscle wasting, which in turn affects exercise capacity and quality of life negatively, and increases mortality.<sup>5,6</sup> Overweight and obesity are also associated with worse outcomes in COPD, including more severe dyspnea, reduced daily functioning, and more severe exacerbations.<sup>3</sup> This bi-faceted association of COPD with body composition raises the question of whether diet and nutrition play a role in the development, progression – and treatment – of the disease.

The role of nutrition and diet in relation to cancer, cardiovascular disease, and diabetes has been widely investigated, but less is known about the role of nutrition in the development, progression, and treatment of COPD.<sup>7</sup> Observational studies have shown favorable associations of antioxidant-rich diets high in fruits, vegetables, and nuts reducing the risk of developing COPD.<sup>8–10</sup> In contrast, a Western diet rich in processed foods, red meat and sugared soft drinks, has been associated with increased risk of developing COPD.<sup>10–12</sup> Two meta-analyses published in 2012 presented evidence on the beneficial effect of nutritional interventions for increasing dietary intake and reducing wasting of muscles in people with COPD, especially for those already malnourished.<sup>13,14</sup> The evidence on pulmonary function, physical capacity, inflammation and related health aspects at that point, was less consistent. Moreover, the evidence on nutritional interventions aimed at non-malnourished COPD patients is less studied systematically. Except for a recent systematic review focusing mainly on nutritional interventions during pulmonary rehabilitation<sup>15</sup> and a systematic review and meta-analysis on vitamin D supplementation for reducing exacerbation rates,<sup>16</sup> no updated systematic reviews have been published.<sup>13</sup> This review will investigate the effect of oral nutritional interventions beyond single micronutrients on pulmonary function, physical function, and lung-related inflammation of individuals with stable COPD.

## Materials and Methods

This article aimed to identify all relevant randomized controlled trials on nutritional interventions in individuals living with COPD. Before conducting this literature search, a review protocol was developed according to

PRISMA guidelines,<sup>17</sup> and registered in PROSPERO (registration number: CRD42020172712).

## Information Sources and Search Strategy

The articles were identified using a systematic search strategy in the database Medline (via PubMed), Embase (via Ovid) and Cochrane. Free-text terms, as well as MeSH-terms, were used in both databases. No study design, data, or language limits were imposed on the search. Exposure search terms were combined with population/outcome search terms. Search terms used in Medline were as follows:

1. Exposure terms: food, phytochemicals, antioxidants, vegetable, fruit, nuts, protein, amino acid, fatty acid, micronutrient, vitamins, minerals, carbohydrate, diet, nutrition, nutrition therapy, fiber, omega and probiotic.
2. Population/outcome terms: pulmonary disease, chronic obstructive, respiratory function, obstructive lung disease, COPD, exacerbations, spirometry, lung function and inflammatory markers.

A detailed search string is available in the Supplementary File. The search was conducted on August 31st, 2021 (see further details in [Supplementary Text S1–S4](#)). A university librarian from University of Bergen with special knowledge on search strategy contributed to the search.

## Study Eligibility and Selection Process

Two authors (EF & MB) independently screened the titles and abstracts for eligible studies. Articles were considered eligible for this review if they were randomized controlled trials on individuals with COPD. Only orally administered and non-micronutrient based nutritional interventions were included. As a recent systematic review and meta-analysis on vitamin D supplementation has been published, data on vitamin D and other micronutrients were not included in our review.<sup>16</sup> Outcomes assessed included measures of pulmonary function, physical function, health-related quality of life, and inflammatory markers (see [Table 1](#)). We excluded studies taking place exclusively in hospital settings, with a duration of less than 7 days, or exclusively presenting data for malnourished or obese subjects (body mass index [BMI] < 18.5 or > 30 kg/m<sup>2</sup>). After importing eligible full-text references to Endnote, the two authors (EF & MB) continued the selection process individually if articles satisfied the inclusion criteria. Finally,

**Table 1** Inclusion and Exclusion Criteria

<p>Inclusion Criteria:</p> <ul style="list-style-type: none"> <li>• Population: Individuals diagnosed with COPD</li> <li>• Exposure: Orally administered non-micronutrients based nutritional interventions</li> <li>• Comparators: Not receiving similar nutritional interventions</li> <li>• Outcome: Changes in pulmonary function, physical function, health-related quality of life, and inflammatory markers</li> <li>• Study design: Randomized controlled trials</li> <li>• Language: English, German, French, Russian, Norwegian, Swedish, Danish and Estonian</li> <li>• Publication status: Published articles indexed in Medline, Embase &amp; Cochrane</li> </ul> <p>Exclusion Criteria:</p> <ul style="list-style-type: none"> <li>• Animal studies/cell studies</li> <li>• Parenteral nutrition</li> <li>• Patients admitted due to COPD exacerbation</li> <li>• Hospital (inpatient) setting</li> <li>• General dietary counseling without specific intervention</li> <li>• Intervention duration of <math>\leq 7</math> days</li> <li>• Exclusively presenting data for malnourished or obese subjects (BMI <math>\leq 18.5</math> or <math>\geq 30</math> kg/m<sup>2</sup>)</li> </ul>
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discrepancies in selecting articles were discussed and agreed upon through consensus between the authors.

## Data Extraction, Risk of Bias Assessment, and Data Synthesis

Information regarding design, population, exposure, duration, outcome measures, and results were extracted based on the established criteria (see [Table 1](#)) from the included articles. [Table 1](#) shows the PICO criteria structure for the inclusion of studies. Both absolute and relative effect measures were of interest. Absolute values are presented in an extended table ([Supplementary Table S2](#)), whereas relative measures are presented in-text. For the in-text presentation, MB & EF calculated percentage change in outcomes whenever possible and practical, and not already presented in original papers. Approximations (~) were given whenever the extraction was based on graphical presentations.

The data extraction from the search was performed individually by EF & MB. The complete summarized data extraction presented in this article was completed by EF & MB. According to the Cochrane risk of bias tool for randomized controlled trials, all articles were evaluated with a risk of bias assessment from EF & MB.<sup>18</sup> In short, this assessed whether sequence generation was random, concealment of allocation, risk of selective reporting,

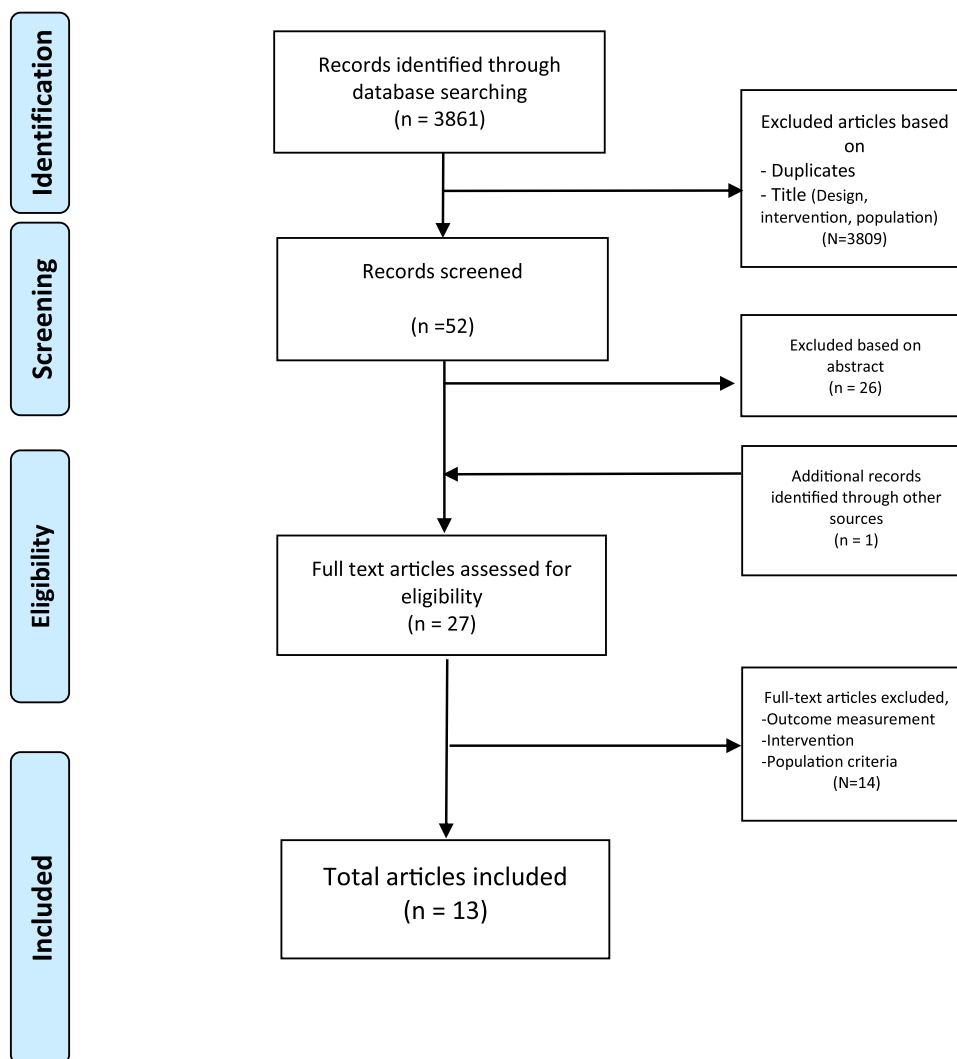
other bias, blinding of participants and personnel, blinding of outcome assessment, risk of incomplete outcome data, and evaluated overall quality. In case of uncertainty in the assessment, articles were discussed in detail with senior co-authors until reaching consensus. This review aimed to summarize and report the current evidence on nutritional intervention in individuals with COPD. There was an insufficient number of studies with overlapping exposures, outcomes, and population groups, thus, a meta-analysis could not be performed. Thirteen articles met the inclusion criteria from the search strategy. Our discussion focuses on all articles, not only articles with low bias assessment when the nature of nutritional intervention may cause difficulties in randomization and or blinding, and therefore receive a higher risk of bias.

## Results

### Selection Process and Characteristics of Included Studies

The search included 3861 references from Embase, Medline, and Cochrane. Twenty-seven articles were assessed in full-text, and of these, thirteen were considered eligible for review. The selection process and reasons for exclusion are presented in [Figure 1](#), PRISMA flow-diagram.

In total, 916 participants in the 13 articles were included in this review. Extracted data are summarized in [Table 2](#) (and an extended version is included as a Supplementary File: [Table S2](#)). The following interventions were studied in the included trials: protein supplementation,<sup>19</sup> beetroot juice,<sup>20–22</sup> increased fruit and vegetable intake,<sup>23,24</sup> black seed oil,<sup>25</sup> Tualang honey,<sup>26</sup> *Chlorella vulgaris* extract,<sup>27</sup> whey-peptide containing nutritional drink,<sup>28</sup> and finally increased macronutrient intake.<sup>29–31</sup> All participants were adults with COPD, mostly aged 40 years and above. Five studies included physical activity interventions, although participants in the intervention groups and control groups were treated equally except differences in nutritional interventions.<sup>19,22,28,29,31</sup> Using the Cochrane risk of bias tool, in terms of risk of bias and quality, five studies were classified as poor,<sup>19,21,25,27,28</sup> five were classified as fair,<sup>23,24,26,29,31</sup> and three were classified as good<sup>20,22,30</sup> ([Supplementary Table S1](#)). Examining all thirteen articles with bias assessment, nutritional interventions often have inherent challenges related to blinding. Five studies scored high on the assessment category of blinding of participants



**Figure 1** PRISMA flow diagram.

**Notes:** PRISMA figure adapted from Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. *PLoS Med* 6(7):e1000097. Creative Commons.<sup>17</sup> For more information, visit [www.prisma-statement.org](http://www.prisma-statement.org).

and personnel. This impacts on the quality grade within the Cochrane risk of bias assessment.

## Pulmonary Function

Eight trials investigated the impact on pulmonary function assessed through spirometry. Only two of the trials found significant effects of nutritional interventions on pulmonary function parameters. In a three-year duration open-label trial by Keranis et al, the intervention (I) group received bi-annual specific counseling on increasing fruit and vegetable consumption. They found a significant improvement on forced expiratory volume within one second (FEV1, % of predicted) compared to the control group (C), (I: ~+8%, C: ~-15%,  $p=0.03$ ).<sup>24</sup>

A study on daily supplementation with 2-gram cold-pressed black seed oil by Al-Azzawi et al also found significant improvements in predicted values of FEV1 (I: +11%, C: +5%,  $p<0.001$ ), forced vital capacity (FVC, I: +10%, C: +3%,  $p=0.002$ ) and FEV1/FVC (I: +12%, C: +6%,  $p=0.001$ ) after twelve weeks.<sup>25</sup> Six trials found no significant effect of nutritional interventions on pulmonary function parameters; two of which focused on nitrate-rich beetroot juice for one and two weeks,<sup>20,21</sup> one on *Chlorella Vulgaris* extract for eight weeks,<sup>27</sup> one on Tualang honey for 6 months,<sup>26</sup> one on increased fruit and vegetable consumption for twelve weeks,<sup>23</sup> and finally one on powdered nutritional supplement for eight weeks.<sup>30</sup>

Table 2 Design, Population, Intervention, and Results of Included Studies

Author (Year)	Design	Quality	Population*	Nutritional Intervention (Control Group)		Results
				Duration		
Ahnfeldt-Mollerup et al <sup>19</sup>	Prospective, parallel group randomized clinical trial	Poor	53 participants with stable moderate to severe COPD	2x/day protein bar containing 9.3 g protein each (no placebo)	9 weeks	No significant differences between groups in assessments of physical function (SWT, MMST), health-related quality of life impairment (SGRQ) or inflammation markers (CRP, leukocytes).
Al-Azzawi et al <sup>25</sup>	Prospective randomized controlled double-blinded clinical trial.	Poor	100 participants with mild to moderate COPD	2x/day capsule containing 1 g cold-pressed black seed oil (no placebo)	12 weeks	Greater improvements for intervention group in all outcomes assessing lung function (FEV1, FVC, FEV1/FVC, PEF, FEF <sub>25-75</sub> ) and inflammation (TNF- $\alpha$ , IL-6) compared to control.
Baldrick et al <sup>23</sup>	Open-label randomized controlled trial	Fair	81 participants with stable moderate to severe COPD	$\geq 5$ portions/day of fruits and vegetables ( $\leq 2$ portion/day)	12 weeks	No significant changes in outcome measures assessing lung function (FEV1, FVC, FEV1/FVC) or inflammation (sputum IL-8, sputum myeloperoxidase, plasma CRP) for either group.
Behnia et al <sup>21</sup>	Randomized, parallel, placebo-controlled, single-blind trial	Poor	25 participants with stable mild to severe COPD	1x/day 80 mL beetroot juice (placebo blackcurrant juice)	8 days	No significant differences between groups in measures assessing lung function (FEV1, FVC, FEV1/FVC, FEF <sub>25-75</sub> , FEF <sub>25</sub> ), health-related quality of life impairment (SGRQ) or dyspnea (Borg RPE during submaximal and maximal exercise).
Constantin et al <sup>29</sup>	Randomized, parallel, placebo-controlled, double-blind trial	Fair	59 patients with stable severe to very severe COPD	3x/week nutritional drink containing 19 g protein and 49 g carbohydrate after exercise session (non-caloric placebo drink)	8 weeks	No significant difference between groups in outcomes assessing physical function (isometric quadriceps strength and isokinetic work).
Keranis et al <sup>24</sup>	Randomized, controlled single-blinded trial	Fair	120 participants with stable COPD	2x/year information on the benefits of an antioxidant-rich diet with increased fruit and vegetable consumption (no placebo)	3 years	Greater annual improvement in outcome assessing lung function (FEV1) for intervention group compared to control.
Kerley et al <sup>20</sup>	Randomized, crossover, placebo-controlled, double-blind trial	Good	10 participants with stable COPD	1x/day 140 mL beetroot juice (nitrate depleted beetroot juice)	14 days	No significant differences between groups in lung function (FEV1, FVC). Significant improvement in physical function (ISWT) for intervention group compared to control. No significant difference between groups in health-related quality of life (CCQ).

(Continued)

Table 2 (Continued).

Author (Year)	Design	Quality	Population*	Nutritional Intervention (Control Group)		Results
				Duration		
Knowles et al <sup>30</sup>	Randomized single-blinded crossover trial	Good	25 participants with stable severe COPD	Powdered supplement containing 24% protein, 22% fat and 54% carbohydrates in order to increase daily caloric intake by 50% (no placebo)	8 weeks	No significant differences between groups in outcomes assessing lung function (FEV1, FVC) or systemic inflammation (lymphocyte count).
Muhamad et al <sup>26</sup>	Single-blinded randomized controlled trial	Fair	60 participants with COPD	1x/day packet of 20 mg Tulang honey (no placebo)	6 months	No significant differences between groups in lung function (FEV1, FVC, FEV1/FVC, FEF <sub>25-75</sub> ). Significant improvement of health-related quality of life impairment (SGRQ) for intervention group compared to control.
Panahi et al <sup>27</sup>	Randomized open-label clinical trial	Poor	97 participants with stable mild to moderate COPD or asthma	1x/day 9 tablets containing 300 mg Chlorella vulgaris extract (no placebo)	8 weeks	No significant differences between groups in lung function (FEV1, FVC, FEV1/FVC, FEF <sub>25-75</sub> ) and health-related quality of life (SGRQ symptom subscale). Greater improvement for SGRQ item in sputum production for intervention group compared to control.
Pavitt et al <sup>22</sup>	Randomized, placebo controlled, double blinded trial	Good	165 participants with stable moderate to severe COPD	2x/week 140 mL beetroot juice before supervised pulmonary rehabilitation session (nitrate depleted placebo juice)	8 weeks	Greater improvement in outcomes assessing physical function (ISWT, daily steps and time spent in activity > 3 METs) for intervention group compared to control, but not on the level of physical activity. No significant difference between groups in health-related quality of life (CAT) or dyspnea (MRC).
Steiner et al <sup>31</sup>	Randomized placebo-controlled clinical trial	Fair	85 participants with stable COPD	3x/day nutritional drink containing 190 kcal, 60% carbohydrates, 20% fat, 20% protein (non-nutritive placebo)	7 weeks	No significant differences between groups in physical function (ISWT, ESWT, hand grip strength, quadriceps strength). For participants with BMI > 19 there was a significant improvement in the ISWT for the intervention group compared to control.
Sugawara et al <sup>28</sup>	Randomized, parallel, controlled, non-blinded trial	Fair	36 patients with stable COPD	2x/day nutritional drink containing 200 kcal, 20% protein, 25% lipids, 53% sugars, 1.8% fiber + added Whey peptide, n-3-fatty acids and vitamin A, C and D (no placebo)	12 weeks	Greater improvement for intervention group in physical function outcomes (WBI, 6MWT), health-related quality of life (CRQ total and emotional subscale) and systemic inflammation (IL6, IL-8, hsCRP, TNF- $\alpha$ ) compared to control. No significant difference between groups in dyspnea (CRQ dyspnea subscale, MRC).

Note: \*All participants were adults with COPD, mostly aged 40 years and above.

Abbreviations: 6MWT, six-minute walking test; Borg RPE, Borg rating of perceived exertion; CCO, clinical COPD questionnaire; COPD, chronic obstructive pulmonary disease; CAT, COPD assessment test; CRP, C-reactive protein; CRQ-SR, chronic respiratory disease questionnaire – self-reported; ESWT, endurance shuttle walk test; FEF, forced expiratory flow; FEV1, forced expiratory volume in 1 second; FVC, forced vital capacity; hsCRP, high sensitivity CRP; IL-6, interleukin 6; IL-8, interleukin 8; ISWT, incremental shuttle walk test; MET, metabolic equivalent of task; MMST, maximal muscle strength test; MRC, medical research council; PEF, peak expiratory flow; PR, pulmonary rehabilitation; SGRQ, St. George's respiratory questionnaire; SWT, shuttle walk test; TNF- $\alpha$ , tumor necrosis factor-alpha; WBI, weight bearing index.

## Physical Function

Six trials investigated outcomes related to physical function, including muscle performance and aerobic capacity. Of these, three trials found significant differences between intervention and control groups.<sup>20,22,28</sup> In a twelve-week trial, Sugawara et al found improvements in the quadriceps muscle function and the 6-minute walk test (6MWT) for the intervention group receiving a nutritional drink containing whey peptide daily (I: +20%, C: -7%,  $p=0.014$ ).<sup>28</sup> In a two-week double-blinded crossover trial by Kerley et al, the intervention group consuming nitrate-rich beetroot juice daily showed significantly increased distance walked on the incremental shuttle walk test (ISWT) compared to the placebo group (I: +15%, C: +3%,  $p<0.001$ ).<sup>20</sup> Another trial on nitrate-rich beetroot juice by Pavitt et al found a significantly greater improvement in the ISWT for the intervention group compared to the control group after eight weeks (I: + 22%, C: +11%,  $p=0.027$ ).<sup>22</sup> Lastly, Steiner et al did not find significant differences between groups in physical function measures in their seven-week trial on the effect of carbohydrate-rich nutritional drink. However, when performing a sensitivity analysis on those with BMI > 19, a small significant improvement was seen in the ISWT for the intervention group compared to the placebo group (difference between I and C: 27 m,  $p=0.041$ ).<sup>31</sup> Of the trials that did not find significant differences in outcomes related to physical function, one studied the effect of protein bars for nine weeks and one studied the effect of a protein-carbohydrate supplement post-exercise for eight weeks.<sup>19,29</sup>

## Health-Related Quality of Life

Lung-related quality of life impairment was assessed in seven of the thirteen included studies. Four studies used St. George's respiratory questionnaire, one used the chronic respiratory questionnaire, one used the clinical COPD questionnaire, and one used the COPD assessment test. Two trials found significant effects on lung-related quality of life impairment, one from Tualang honey for six months<sup>26</sup> and a nutritional drink containing whey peptide for six weeks.<sup>28</sup> A reduction of COPD symptoms is one of the factors for the significant increase in quality of life. Five trials did not find significant differences between intervention and control groups in lung-related quality of life impairment; three of which studied the effect of beetroot juice for one, two and eight weeks,<sup>20–22</sup> one studied

*Chlorella vulgaris* extract's effect after eight weeks,<sup>27</sup> and one the effect of protein bars after nine weeks.<sup>19</sup>

## Inflammation

Five trials investigated the effect of nutritional interventions on markers of systemic inflammation among people with COPD, including C-reactive protein (CRP), interleukin 6 and 8 (IL-6/8), tumor necrosis factor-alpha (TNF- $\alpha$ ) and lymphocyte count. Daily supplementation with a nutritional drink containing whey peptide for six weeks reduced plasma levels of high-sensitivity CRP ( $\Delta$  ratio % + standard deviation (SD), I: -23 $\pm$ 42, C: +29 $\pm$ 101,  $p=0.05$ ), TNF- $\alpha$  ( $\Delta$  ratio + SD, I: -13 $\pm$ 15, C: +4.4 $\pm$ 21,  $p=0.01$ ), IL-6 ( $\Delta$  ratio % + SD, I: -11 $\pm$ 34, C: +17 $\pm$ 29,  $p=0.03$ ), and IL-8 ( $\Delta$  ratio % + SD, I: -33 $\pm$ 101, C: +1.5 $\pm$ 2.3,  $p=0.002$ ) significantly.<sup>28</sup> Ingestion of cold-pressed black seed oil daily for twelve weeks significantly reduced plasma levels of TNF- $\alpha$  (I: -35%, C: -22%,  $p<0.001$ ) and IL-6 (I: 38%, C: 28%,  $p=nr$ ).<sup>25</sup> Daily supplementation with protein bars for nine weeks showed a tendency toward treatment effect on plasma CRP ( $\Delta$  mean: 3.9,  $p=0.14$ ).<sup>19</sup> No significant effect was found on blood lymphocyte count after eight weeks of daily nutritional supplementation.<sup>30</sup> High intake of fruits and vegetables did not show effects on plasma CRP, sputum IL-8, and sputum myeloperoxidase after twelve weeks.<sup>23</sup>

## Discussion

This systematic review aimed to provide an overview of the existing evidence on nutritional intervention as an addition to standard COPD management or pulmonary rehabilitation for non-malnourished individuals outside a hospital setting. All included participants in the included articles were adults and mostly 40 years and above. The outcomes of interest were pulmonary and physical function, lung-related quality of life impairment, and systemic inflammation. The literature presented in this review is highly heterogeneous. Many of the thirteen trials included in this review were underpowered due to small sample sizes, and the trials were not similar enough for a meta-analysis to be performed. There was particularly high heterogeneity in regards to type of intervention and reporting of outcome measures. Moreover, several reviewed trials were considered to have a moderate to high risk of bias, mostly due to lack of blinding of participants, personnel and outcome assessment, or unclear reporting of study design.

## Pulmonary Function

The effect of nutritional intervention on pulmonary function was assessed in eight trials.<sup>20,21,23–27,30</sup> The majority of these did not show statistically significant or clinically relevant effects on these measures. The trial by Keranis et al, with a fair risk of bias, found significant improvements in FEV1 after three years of bi-annual specific dietary counseling focused on the benefits of an antioxidant-rich diet.<sup>24</sup> Importantly, nutritional assessment showed that participants in the intervention group increased their intake of fruits and vegetables in line with the recommendation they received, and that they thereby increased their intake of antioxidants from food. In contrast, the control group did not alter their diet or antioxidant intake, and exhibited a decline in FEV1 parallel to what would be expected without interventions.<sup>24</sup> Interestingly, this was by far the longest-spanning study presented in this review. In contrast, the trials that did not find changes in pulmonary function had a mean duration of nine weeks – including a trial on high fruit and vegetable intake for twelve weeks.<sup>23</sup>

One could argue that a time perspective of weeks to a few months is too short for producing measurable changes in FEV1 and other pulmonary function markers. COPD is a progressive disease where airway inflammation and structural changes in lung parenchyma gradually alter pulmonary function, including FEV1.<sup>32</sup> FEV1 usually declines slowly over the course of many years, although the rate of decline is highly variable between individuals.<sup>33,34</sup> It seems unlikely that FEV1, or other pulmonary function parameters, would improve substantially in trials lasting just a few weeks or months.

Observational studies have shown favorable associations between fruit and vegetable consumption and pulmonary health outcomes,<sup>10</sup> and the trial by Keranis et al strengthens this evidence.<sup>24</sup> High fruit and vegetable consumption is associated with a lower risk of developing COPD,<sup>9</sup> slower deterioration of FEV1, and reduced symptom severity.<sup>35–37</sup> These findings could be related to the high antioxidant content of fruits and vegetables and the anti-inflammatory effects of antioxidants,<sup>38</sup> and there is also some evidence from observational studies of a beneficial association of different antioxidants with pulmonary function.<sup>39</sup> Interestingly, several of the nutritional interventions included in this review were high in antioxidant content, including cold-pressed black seed oil,<sup>25</sup> and *Chlorella Vulgaris* extract.<sup>27</sup> Only the former trial showed

beneficial effects on pulmonary function, in addition to a significant reduction in markers of systemic inflammation – although it is worth noting that this trial has a high risk of bias due to unclear reporting on several aspects.

In addition to the limited time perspective of many studies, one could also question whether narrowed nutritional interventions can feasibly generate powerful enough biological responses for changes to occur at the tissue and organ level within the time span of the studies. For one, the pathogenesis of COPD is multifactorial and dependent on a vast array of biological processes and tissue responses, and changes in one pathway alone are unlikely to have a substantial influence on the overall disease progression.<sup>32</sup> The progression and exacerbations of COPD are intimately associated with tobacco smoke or biomass fuel-induced oxidative and aldehyde/carbonyl stress, alterations in signaling of pro-inflammatory factors, steroid resistance, unfolded protein response, mucus hypersecretion, extracellular matrix remodeling, autophagy/apoptosis, epigenetic changes, cellular senescence/aging, endothelial dysfunction, autoimmunity, and skeletal muscle dysfunction.<sup>40</sup> Secondly, it is unclear whether antioxidants, or other compounds from food, are transported to and concentrated in lung tissue at the quantities needed to evoke biological responses. Another systematic review on supplementation with vitamin D found a reduced exacerbation rate among COPD patients with a vitamin D deficiency and no effects among non-deficient patients.<sup>16</sup> This could suggest an advantage of nutritional interventions targeting specific deficiencies and it could suggest that broader interventions containing a larger array of nutrients could be of a greater benefit at a group level than narrowed interventions aimed at a few macro- or micronutrients.

## Physical Function

Among the most common disease-related consequences for individuals with COPD are reduced physical function and reduced exercise capacity.<sup>41</sup> Both oxygen uptake (VO<sub>2</sub>) and the distance walked in a six-minute walking test (6MWT) have been described as predictors of mortality.<sup>42</sup> Even for COPD patients with a BMI within the ideal range, both abnormal body composition and micronutrient deficiencies are common. This leads to a range of experienced disabilities that affect the individuals' well-being and the ability to perform daily activities.<sup>43,44</sup> In our review, one out of four trials with a macronutrient or protein-related intervention showed

a significant effect on 6MWT.<sup>28</sup> This was also seen in two trials on beetroot juice where the subjects improved in the incremental shuttle walk test (ISWT).<sup>20,22</sup> Interestingly, a trial from Steiner et al showed that nutritional intervention with macronutrient supplementation. A carbohydrate-rich drink enhanced the performance in the ISWT but only for individuals with a BMI over 19.<sup>31</sup> This could indicate a potential for improvement in physical function for non-malnourished individuals with COPD.<sup>31</sup>

For individuals with COPD, a high protein diet has often been recommended to restore respiratory muscle force and promote immune function improvement (with protein intake of 1–1.5 g/kg of body weight/day).<sup>45</sup> Besides, there is a need to correct common micronutrient deficiencies within this group, such as vitamin D, suggesting that dietary factors may contribute to the observed low muscle mass.<sup>46</sup> The article from Keranis et al, with a promising effect on pulmonary function from increasing fruit and vegetable intake, and Kerley et al on increasing exercise capacity with nitrate rich beetroot juice, could indicate that there could be a concerted action of numerous active phytochemicals. Thus, it could be reasons to recommend increasing fruit and vegetable intake during physical or pulmonary rehabilitation programs, but also through dietary recommendations given to patients. This strategy matches the European respiratory society's statement on future research; to determine whether targeting exacerbations with intensive nutritional therapy (perhaps combined with exercise and anabolic drugs) would improve outcomes.<sup>47</sup>

This research field uses a large variety of different physical function tests, and this was also noted in another systematic review on the COPD population.<sup>15</sup> There is a need to coordinate a standard in this research field to better allow for comparison across studies. Both the 6MWT and the ISWT are good semi-objective tests, and both are achievable within this population when considering time length and intensity.

## Inflammation

COPD is characterized by chronic inflammation of the lung and is associated with systemic inflammation.<sup>48,49</sup> Therefore, this review included outcomes related to markers of airway, as well as systemic inflammation. Only one trial, by Baldrick et al on high fruit and vegetable intake, examined airway inflammation using sputum markers interleukin-8 and myeloperoxidase – and found no significant differences in these markers.<sup>23</sup> No significant

difference in plasma C-reactive protein, a marker of systemic inflammation, was seen either. This was attributed partly to high intra- and inter individual variation in these measures. Another systematic review on the effect of fruit and vegetable consumption on inflammation overwhelmingly found beneficial effects on different markers of systemic inflammation in both epidemiological and experimental studies.<sup>50</sup>

The trial on supplementation with cold-pressed black seed oil found a significant reduction in systemic inflammation markers after twelve weeks.<sup>25</sup> Black seed oil is derived from the plant *Nigella sativa* and contains many bioactive compounds, including antioxidants.<sup>51</sup> There is promising research emerging on the anti-inflammatory and immunomodulatory effect of *Nigella sativa* derivatives in animal models, and their potential use in the treatment of pulmonary diseases.<sup>51</sup> Supplementation with a nutritional drink containing whey peptide for six weeks also significantly lowered systemic inflammation markers.<sup>28</sup> Whey peptide is a protein complex derived from milk, and contains components with proposed anti-inflammatory properties.<sup>52</sup> Lastly, supplementation with protein bars had a non-significant tendency toward effect on CRP after nine weeks. This was partly attributed to the addition of omega-3 fatty acids to the protein bars which are known for their anti-inflammatory properties – but the amount added might have been too small and the duration of the study too short for significant effects to occur.<sup>19</sup>

The observed discrepancies seen in the effects of nutritional intervention on inflammation status could be related to the many factors that influence inflammation status in COPD, such as individual differences in how inflammation or inflammatory stimuli are modulated, genetic factors, COPD severity, smoking status, and the effects of other medications such as steroids or statins.<sup>53,54</sup>

Based on studies on other health outcomes, in addition to fruits and vegetables, interventions increasing intake of nuts, legumes, whole grains and olive oil, seem to be particularly promising.<sup>55–62</sup> Similarly, a traditional Mediterranean diet pattern also including many of these components show positive effects for a range of outcomes that could be relevant also for people with COPD. For future research, assessing potential pulmonary effects of such interventions could be relevant. Additionally, factors related to trial design, and in particular low study samples, may not allow for multivariable adjustment of many potential confounders. Moreover, specific and narrowed nutritional interventions may not be effective alone,

compared to broader interventions aimed at overall healthy dietary patterns. Future studies, including RCTs, on the role of nutrition for inflammation status in COPD are needed.

## Strengths and Limitations

Our study adhered to PRISMA guidelines and was registered in PROSPERO in advance. To minimize the risk of bias, two pairs of reviewers separately screened databases for eligible studies. The bias assessment was performed by two reviewers and discussed in detail until consensus was met. Two reviewers extracted data from the included studies. A limitation is that we only included published articles indexed in Medline, Embase, and Cochrane, and that it only included randomized controlled trials. Grey literature, basic research such as animal and cell studies, and observational studies were not included in this review. Therefore, this review does not provide detailed evidence regarding the mechanisms of nutritional factors in COPD, but rather systematic empirical evidence from interventions on humans.

An important limitation of this review was related to the substantial heterogeneity of the included trials, characterized by high variability in outcome measures, type of nutritional intervention, and trial duration. As a result of this variability, a meta-analysis was not possible. Several studies might have had too short duration to find relevant effects. Many of the included trials had small samples, which may explain some non-significant and mixed results. Only three out of thirteen articles were graded as good in terms of quality when using the Cochrane risk of bias assessment tool (see [Supplementary Table S1](#)). Still, many of the other articles were categorized as fair or poor quality due to the lack of blinding, even though the risk of bias was low related to many or all other aspects in the assessment. We acknowledge that blinding was not feasible due to the nature of the interventions studied in the said trials.

## Conclusion

This systematic review revealed relatively few trials on nutritional interventions and effects on lung function tests for non-malnourished and non-obese individuals with COPD. Many of the trials were underpowered with small sample sizes or high dropout rates, often relatively short timespan, and there was substantial heterogeneity in the outcome measures reported between the studies, making meta-analyses difficult. The only study with more prolonged follow-up indicated that increasing intake of antioxidant-rich fruits and vegetables

over prolonged periods have positive effects on lung function tests in individuals with COPD. Further, some nutritional interventions in people with COPD also observed effects on systemic inflammation, lung-related quality of life, and physical function tests, although with some mixed results. Further research should investigate effect of prolonged dietary interventions and should preferably report several functional outcomes to enable future meta-analysis.

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The authors declare no conflict of interest.

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