


# Interferon-Driven Immune Dysregulation in Down Syndrome: A Review of the Evidence

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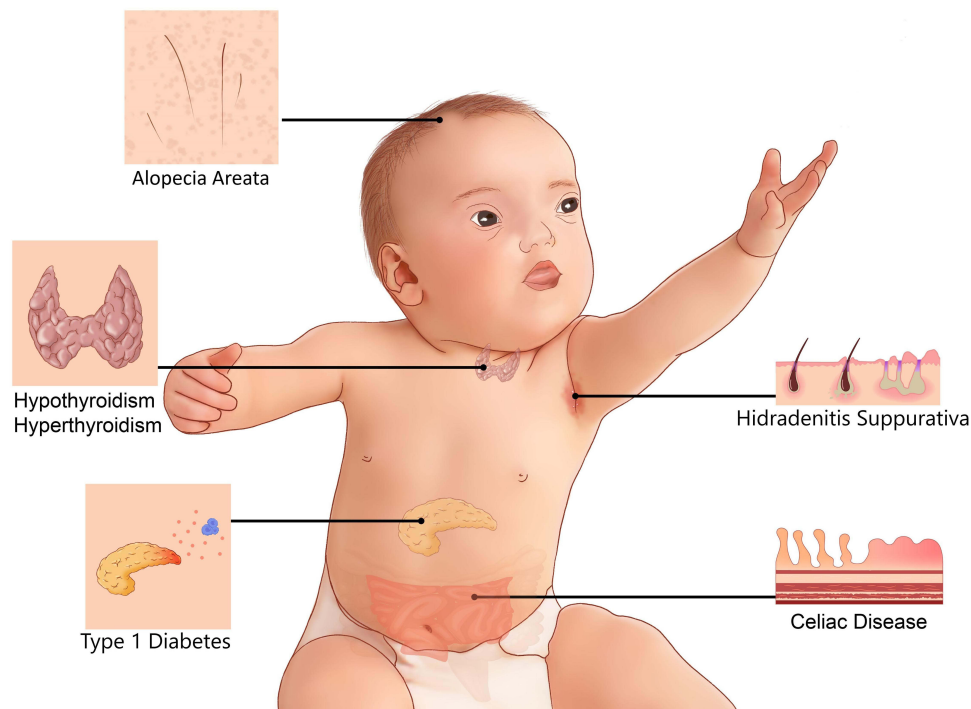
**Abstract:** Down syndrome (DS) is a unique genetic disease caused by the presence of an extra copy of chromosome 21, which carries four of the six interferon receptor (IFN-R) genes on its long arm. Recent studies reporting higher levels of interferon-stimulated gene (ISG) expression in primary immune cells studied *ex vivo* have suggested that the additional copies of the IFN-R genes in DS result in mild interferonopathy. In this review, we analyze the potential clinical and immunological impacts of this interferonopathy in DS. We performed a literature review to explore the epidemiology and risks of celiac disease, type 1 diabetes, thyroid dysfunction, mucocutaneous manifestations, infectious diseases (including COVID-19), and Alzheimer's disease in individuals with DS relative to the general population with or without iatrogenic exposure to interferons. We analyzed immunophenotyping data and the current experimental evidence concerning IFN-R expression, constitutive JAK-STAT activation, and ISG overexpression in DS. Despite the lack of direct evidence that implicating this mild interferonopathy directly in illnesses in individuals with DS, we highlight the challenges ahead and directions that could be taken to determine more clearly the biological impact of interferonopathy on various immune-related conditions in DS.

**Keywords:** down syndrome, interferon receptors, JAK-STAT, celiac disease, T cells, gene dosage effect

## Introduction

Down syndrome (DS) is the most common chromosomal abnormality, causing a wide spectrum of illnesses affecting multiple organ systems. According to the Centers for Disease Control and Prevention (CDC),<sup>1</sup> approximately 6000 babies are born annually with DS in the US, accounting for 1 in 691 live births, corresponding to a 30% increase over the last four decades.<sup>2</sup> Collaboration between families, physicians, scientists, and federal agencies is required to improve the clinical care of individuals with DS. Physicians and families are frequently faced with medical problems and questions concerning individuals with DS, and there is, therefore, an urgent need for caregivers to resolve these uncertainties, and find solutions rapidly. The most common clinical phenotypes in DS include intellectual disability and developmental delays, the severity of which vary between individuals. DS also causes many other abnormalities, including congenital heart disorders and gastrointestinal defects.<sup>2-4</sup> Immune disorders have frequently been reported in DS (Figure 1).<sup>5-7</sup> However, it remains unclear why individuals with DS are more prone to celiac disease (CeD), for example. The basis of their higher risk of developing mucocutaneous infection and inflammations, thyroid abnormalities, type 1 diabetes, and leukemia also remains unknown, and the best way to prevent and manage these conditions in DS is also unclear.

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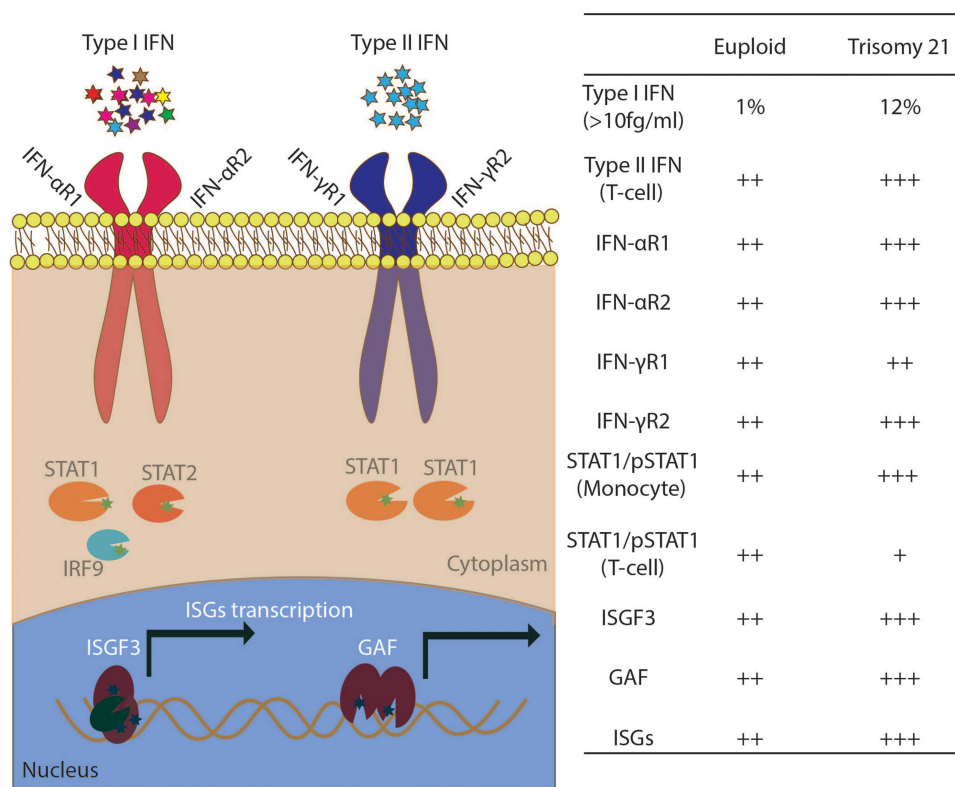


**Figure 1** Common immune system-mediated disorders in DS.

The pioneers in the field, Jerome Lejeune and his colleagues, discovered the genetic etiology of DS through their use of early cell culture technologies and karyotype analysis. In 1959, they reported the presence of an extra copy of human chromosome 21 (HSA21) in individuals with DS.<sup>8</sup> They named this condition trisomy 21, and this discovery has served as the foundation of our understanding of DS pathophysiology for the last 60 years. It has been suggested that the wide spectrum of clinical phenotypes in DS is due to a dosage effect of genes on HSA21. The current working paradigm is that the clinical phenotype emerges once the cumulative levels of mRNA/protein corresponding to three alleles of genes on HSA21 surpass a critical level. However, individuals with DS vary considerably in terms of clinical phenotype, which can be unpredictable. It has been suggested that this variability is due to interindividual allelic differences and the indirect impact of non-HSA21 genes.<sup>2,4,9,10</sup> Unraveling the precise biological mechanism underlying each clinical phenotype in DS is much more challenging than elucidating the mechanisms underlying monogenic Mendelian disorders, due to the possible involvement of multiple genetic factors.

The key to understanding the pathophysiology of DS is establishing a direct link between the clinical phenotypes and specific cellular and immunological alterations. Historically,

DS patients have proved an important group for deciphering the molecular basis of human illness. Baruch S. Blumberg, a population geneticist and Nobel Prize winner, studied the Australian antigen, which was initially thought to be a genetically encoded serological polymorphism. In a study of a population of DS patients in 1967, it became increasingly evident that the Australian antigen was responsible for hepatitis B, overcoming the existing uncertainty and ruling out the previously suspected association between the Australian antigen and leukemia, which was frequently observed in DS.<sup>11</sup> In 1976, before the advent of the Human Genome Project, interferon-induced antiviral states were reported in human skin fibroblasts from individuals with DS.<sup>12,13</sup> Cells with trisomy 21 were three to seven times more responsive to interferon, resulting in higher levels of viral protection than were observed in normal diploid fibroblasts or fibroblasts with trisomy 13 or 18. With further technological advances, it has become possible to measure immunological phenotypes precisely, by systemic approaches.<sup>14–16</sup> The greater sensitivity to interferon observed in DS has now been confirmed in multiple studies from several independent laboratories (Figure 2).<sup>14,15,17</sup> However, individuals with DS have a paradoxical susceptibility to certain viral infections, with higher mortality rates due to SARS-CoV2 and respiratory syncytial virus (RSV) infections.<sup>18–23</sup> The mismatch



**Figure 2** Mild interferonopathy in Down syndrome. Left: Diagram of the type I and type II IFN-activated JAK-STAT pathway. *IFNAR1*, *IFNAR2*, *IFNGR2* are located on HSA21. ISGF3 is a DNA-binding complex formed by STAT1/STAT2/IRF9; GAF is a STAT1/STAT1 homodimer. Right: summary of observations for the testing of serum, monocytes, EBV-transformed B cells, and T cells from euploid healthy controls and individuals with DS.

**Abbreviation:** ISGs, interferon-stimulated genes.

between these immunological findings and the clinical phenotypes of individuals with DS has remained puzzling, rendering the development of new treatments more challenging.

We present here the results of a comprehensive review of the available clinical, immunological, and molecular evidence for interferonopathy in DS and highlight possible future directions for extracting the maximal benefit to the DS community from research findings.

## Interferon- and Immune-Driven Clinical Phenotypes in DS

We conducted a review of the literature concerning celiac disease (CeD), type 1 diabetes, thyroid dysfunction, mucocutaneous problems, infectious diseases and other medical problems in individuals with DS, to improve the dissection of immune-mediated phenotypes and interferonopathy in DS. We include adverse effects of interferon treatment in euploid individuals in this analysis for comparison, to provide clinical insight into these manifestations (Table 1).

## Celiac Disease in DS

Celiac disease occurs at a significantly higher frequency among individuals with DS than in the general population.<sup>24–29</sup> Based on the findings of 39 studies published in the past 30 years, 274 of the 7003 DS patients included were diagnosed with CeD, corresponding to an estimated prevalence of 5.61% (95% CI, 4.06–7.16%). Children and adolescents (age <15 years old) accounted for 25% of reported DS-CeD cases. The most common symptoms of DS-CeD are diarrhea, abdominal bloating, weight loss, anemia, failure to thrive, vomiting, and anemia. Four studies reported that a quarter of patients (11/40) were asymptomatic at the time of CeD diagnosis, CeD being diagnosed through screening.<sup>30–33</sup> Thyroid diseases are reported as common coexisting conditions in 27.8% to 77.8% of DS-CeD patients.<sup>30,31,34</sup> CeD was diagnosed by tissue biopsy in 32 of the 39 studies on DS-CeD. It remains a matter of debate whether positive serological tests are sufficient for CeD diagnosis in individuals with

**Table 1** The Prevalence of Celiac Disease, Hypothyroidism, Hyperthyroidism, and Type 1 Diabetes Mellitus in Individuals with Trisomy 21 and in the General Population with and without IFN Treatment

Clinical Phenotypes	Lower Limit*	Mean	Upper Limit*
Celiac disease			
Down syndrome <sup>28,30,34,35,43,61,141-143</sup>	4.06%	5.61%	7.16%
IFN treatment <sup>38,41</sup>	1.79%	2.99%	4.17%
General population <sup>144</sup>	1.10%	1.40%	1.70%
Hypothyroidism			
Down syndrome <sup>28,29,34,43,52-59</sup>	9.42%	18.29%	27.2%
IFN treatment <sup>63-71</sup>	4.05%	8.29%	12.5%
General population <sup>145</sup>	3.01%	3.05%	3.09%
Hyperthyroidism			
Down syndrome <sup>28,43,54,58,59,62</sup>	0.63%	1.38%	2.14%
IFN treatment <sup>63-71</sup>	0.52%	1.53%	2.54%
General population <sup>145</sup>	0.73%	0.75%	0.77%
Type 1 diabetes mellitus			
Down syndrome <sup>34,43</sup>	0.66%	0.75%	0.83%
IFN treatment <sup>49,50</sup>	0.46%	1.32%	2.17%
General population <sup>146</sup>	0.46%	0.55%	0.66%

**Notes:** The prevalence of each clinical condition in DS was calculated from the data provided in the references cited. The details of the various publications are provided in [Supplementary Tables 1-4](#). We extracted the prevalence from each study as a continuous variable, to calculate the mean and 95% confidence interval. \*95% confidence interval.

DS, given the broad range of gluten-related problems.<sup>27,35</sup> Current guidelines recommend that initial testing for CeD should be based on serology, but that diagnosis requires a duodenal biopsy and histological analysis for confirmation.<sup>36</sup> Three serological tests are widely used for CeD screening. They are based on anti-deamidated gliadin peptide antibodies (DGP), anti-endomysial antibodies (EMA), or anti-tissue transglutaminase antibodies (tTG). Anti-DGP IgA is more sensitive for CeD screening than IgG, however, but both anti-EMA and anti-tTG antibodies are more specific than Anti-DGP IgA.<sup>27,32,35</sup> IgA deficiency is rare in individuals with DS, with only one case reported to date.<sup>26</sup> In four studies including both DS patients and healthy control groups, the DS patients had significantly higher rates of abnormal CeD-related antibody levels than the control group.<sup>24-27</sup> HLA DQA1\*0501 /DQB1\*0201 were the most frequent alleles reported in both DS-CeD patients and euploid patients with CeD.<sup>27,35,37</sup> In karyotype analyses, only two patients with DS mosaicism have been reported to have CeD.

Symptomatic DS-CeD patients display clinical and histological improvements, with the disappearance or decrease in levels of anti-DGP Abs, on a gluten-free diet.<sup>29,31,37</sup> Interferon has been reported to play an important role in CeD pathogenesis.<sup>38-41</sup> Clinical evidence in support of this notion is provided by the reported higher risk of developing CeD Ab and pathological features in patients treated with interferon, although only nine interferon-induced cases of CeD have been described.<sup>38,41</sup> Additional studies are required to determine the relationship between interferon and DS-CeD.

### Type 1 Diabetes (T1D) in DS

Type 1 diabetes occurs in about 0.7% of individuals with DS.<sup>34,42-45</sup> A populational-based study reported a prevalence of T1D of 0.71% in DS patients, a value 4.2 times higher than that for the general population (0.17%), according to the data in the Danish national database.<sup>42</sup> T1D tended to be diagnosed earlier in DS patients, with 22% of those affected diagnosed before the age of two

years, versus only 7% in the general population.<sup>44</sup> Concurrent T1D and thyroid dysfunction were reported in 29.9% of DS cases.<sup>46</sup> In total, 27 of 117 (23%) individuals with DS and T1D were found to be positive for DR4-DQ8/DR3-DQ2, versus 39.8% of euploid patients with T1D.<sup>47,48</sup> Patients on IFN treatments have been reported to have a higher rate of autoantibody (directed against GAD-65 and other proteins) production (4.5%) than untreated individuals, resulting in a 0.5% chance of developing T1D.<sup>49,50</sup> Patients with IFN-related T1D patients tend to have a higher C-peptide level and a lower insulin requirement.<sup>51</sup> IFN-related T1D should be differentiated from T1D in general. However, the mechanism underlying interferon-related T1D remains unclear.

## Thyroid Dysfunction in DS

Hypothyroidism, encompassing subclinical hypothyroidism, congenital hypothyroidism, and acquired hypothyroidism, is the most common thyroid dysfunction in DS. In about four thousand individuals with DS from 12 different studies, 18.29% had been diagnosed with hypothyroidism.<sup>28,29,34,43,52–59</sup> A retrospective study of 1453 DS patients indicated that these patients have a nine times higher risk of acquired hypothyroidism than individuals without DS.<sup>28</sup> Subclinical hypothyroidism is a common manifestation of DS in young individuals.<sup>43,54</sup> Individuals with DS who are positive for auto-antibodies (against microsome, TPO or thyroglobulin) have a higher risk of acquired hypothyroidism.<sup>54</sup> Routine serum TSH determinations are recommended in young DS patients, because early treatment with thyroxine can effectively prevent abnormalities of mental development or growth.<sup>52,54,60,61</sup> DS patients also have a higher risk of hyperthyroidism than euploid individuals, with a prevalence of 0.71% according to data from five retrospective studies.<sup>28,43,54,58,62</sup> Most patients with hyperthyroidism tested positive for anti-TPO antibody and thyroid-stimulating immunoglobulin. In addition to these abnormal laboratory results, thyrotoxicosis, diagnosed on the basis of an increase in diffuse uptake on thyroid scans and clinical presentations, may also occur in individuals with DS. Interferon treatment-related thyroid dysfunction has been widely studied,<sup>63–71</sup> with hypothyroidism detected in 7.5% and hyperthyroidism in 2.6% of patients treated with interferon.<sup>69</sup> All these data suggest that IFN may play a unique role in the thyroid abnormalities observed in DS.

## Infections and Mucocutaneous Abnormalities in DS

The prevalence of orofacial soft-tissue abnormalities is higher in individuals with DS than in the general population.<sup>72</sup> Common lesions include cheilitis, fissured tongue, lingual papillary hypertrophy, angular cheilitis, and lip fissures.<sup>57,73,74</sup> Most of the lip fissures concern the lower lip and the midline.<sup>57,72</sup> Individuals with DS are also at higher risk of skin abnormalities, including onychomycosis (4.4–67.8%), syringoma (6–39.2%), seborrheic dermatitis (8–36%), folliculitis (10.3–26%), alopecia areata (1.4–20%), hidradenitis suppurativa (2.1–15%) livedo reticularis (2–12.6%) psoriasis (0.5–8%), keratosis pilaris (2.3–4%), and vitiligo (1.9–3%).<sup>74–76</sup> Individuals with DS are also highly susceptible to candidiasis. The oral-mucosal lesions observed include erythema and white pseudomembrane in 22 (40%) individuals with DS in one study, but only one member of the control group.<sup>77</sup> Positive culture results for *candida* are more frequent for isolates from lip lesions and/or angular cheilitis in individuals with DS than for isolates from individuals without oral lesions (77.27% vs 29.41%).<sup>73</sup> In four separate studies, 140 *Candida albicans*-positive cultures were obtained following oral swab tests on 262 individuals with DS.<sup>73,77–79</sup> Greater susceptibility to bacterial and viral infections, including streptococcal infections<sup>80</sup> and RSV infection,<sup>22,81</sup> has also been noted in individuals with DS. Nevertheless, there is still no sufficiently large cohort of individuals with DS to determine the overall prevalence of these infections.

Individuals with DS have been reported to be particularly susceptible to COVID-19. Retrospective studies have shown that individuals with DS are more likely to develop complications of COVID-19, including altered mental status, superinfection, and sepsis.<sup>19,23</sup> Mechanical ventilation due to acute respiratory distress syndrome is frequently required in individuals with DS suffering from COVID-19 (41.7%), as shown by our study performed in New York City.<sup>23</sup> Consistent with this greater severity of COVID-19 in individuals with DS, COVID-19-related mortality is also higher in these individuals, with death occurring at a younger age.<sup>20–22</sup> Individuals with DS have been reported to have a four times higher risk of hospitalization and a 10 times higher risk of death from SARS-CoV-2 infection than individuals for the general population.<sup>20</sup> DS patients were more frequently treated with systematic steroids, potentially due to the high levels of inflammatory markers found in DS

patients with COVID-19 pneumonia.<sup>19</sup> The mechanism underlying the poorer clinical outcomes of COVID-19 in DS patients remains unclear, and future evaluations are required to evaluate the protective effect of the vaccine in this particular population.

## Arthropathy and Alzheimer's Disease in DS

Arthropathy was reported in about 2 in 1000 of individuals with DS, with an onset at ages ranging from 0.3 to 20 years. Arthropathy of DS (A-DS) has been proposed as a term to differentiate this condition from juvenile idiopathic arthritis (JIA) in the general population.<sup>82,83</sup> Individuals with A-DS present with more small joints involvement, but lower levels of inflammatory markers including C-reactive protein (CRP) and erythrocyte sedimentation rate (ESR), than euploid individuals with JIA.<sup>83</sup> Cases with interferon-induced arthritis have been reported, together with a type I IFN signature in rheumatoid arthritis,<sup>84,85</sup> but the contribution of IFN to A-DS remains unclear. Early screening and interventions are the key preventive approaches for A-DS. Another inflammation-related neurological disorder, Alzheimer's disease, is diagnosed in 30% of individuals with DS in their fifties and 50% of those in their 60s.<sup>86,87</sup> The amyloid-beta protein (A $\beta$ ) gene is located on HSA21, and interferon and other neuroinflammatory cytokines may affect A $\beta$  production and metabolism by regulating  $\beta$ - and  $\gamma$ -secretase activities.<sup>88</sup> An ISG signature has been detected in the brain from multiple mouse models of Alzheimer's disease. Nucleic acid-containing amyloid fibrils can lead to microglial activation, microgliosis, and synapse loss.<sup>89-91</sup> Studies in the IFN-R knockout model have suggested that the IFN pathway plays a key role in the neuroinflammatory network in Alzheimer's disease. Future studies to identify the potential clinical and biological risk factors, such as interferon score, for early-onset Alzheimer's disease in DS will be beneficial not only to individuals with DS, but to society as a whole (Figure 3).

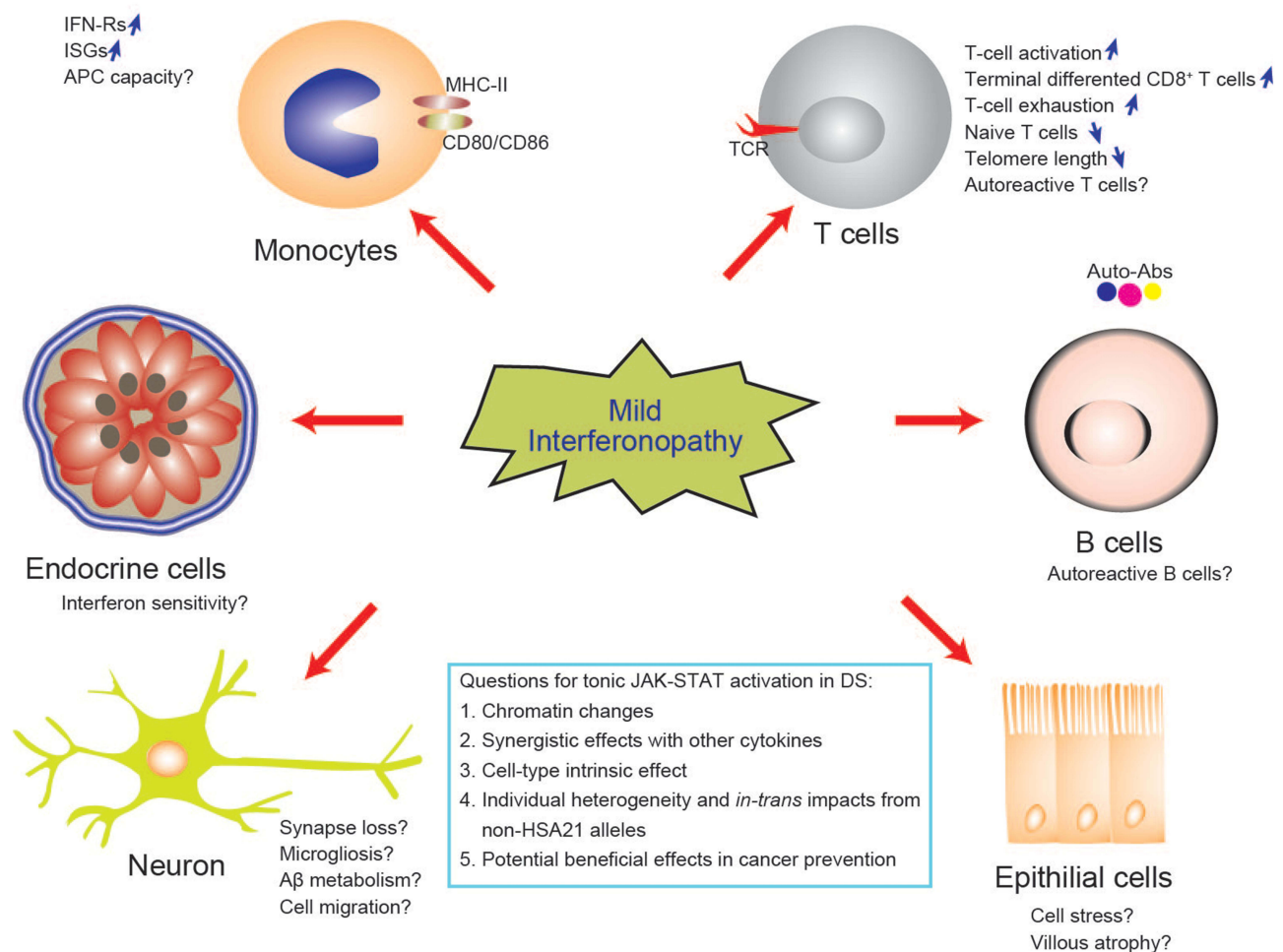
## Interferon Receptors Gene Dosage Effects of in DS

Four of the 225 genes on chromosome 21—*IFNAR1*, *IFNAR2*, *IFNGR2*, and *IL-10RB*—form a cluster on the long arm of the chromosome and are essential for JAK-STAT activation and cellular responses to all three types of interferon.<sup>3,17</sup> Type I interferon, of which there are 13 different subtypes, requires IFN- $\alpha$ R1 and IFN- $\alpha$ R2 for activity.<sup>92</sup> IFN- $\gamma$ R2 is the limiting factor for type II interferon or IFN- $\gamma$  (Figure 2).<sup>93</sup> Type III

interferons, including IFNL1 (IL29), IFNL2 (IL28A), IFNL3 (IL28B), and IFNL4, require IL-10RB.<sup>94</sup> The biological importance of these four genes and the phenotypes associated with them have been deciphered in studies of human autosomal recessive monogenic immunodeficiencies, with *IFNAR1* and *IFNAR2* deficiencies causing severe viral infection,<sup>95-98</sup> *IFNGR2* deficiency causing MSMD,<sup>99,100</sup> and *IL-10RB* deficiency causing very early onset inflammatory bowel disease (VEO-IBD).<sup>101</sup> The haploinsufficiency of the *IFNGR2* gene provided information about the basis for understanding the gene dosage effect of IFN-R in trisomy 21. This haploinsufficiency is cell-type dependent, affecting naïve CD4<sup>+</sup> T cells and B cells, but not monocytes or monocyte-derived macrophages, accounting for the low clinical penetrance of *IFNGR2* haploinsufficiency.<sup>93</sup> In determinations of the gene dosage effects of three copies of this cluster of interferon receptors on phenotypic manifestations, both transcriptional and post-transcriptional regulation must be taken into account, to unravel cell type-dependent impacts.

## High Levels of IFN-Rs mRNA and Protein in DS

DS has been considered to be a disorder of gene transcription, with about 1.5 times the usual level of transcription for the genes located on HSA21.<sup>3</sup> The transcriptomic analyses of DS cells have been revolutionized with the advances in mRNA quantification, from northern blotting, hybridization, and fluorescence-based quantitative PCR to next-generation sequencing techniques, including RNA-Seq and single-cell RNA-sequencing in particular, which are now widely used.<sup>102,103</sup> There is growing evidence to suggest that the transcript levels of IFN-Rs, including *IFNAR1*, *IFNAR2*, *IFNGR2* and *IL-10RB*, in the monocytes, T cells, whole blood, fibroblasts, EBV-transformed B lymphocytes (EBV-B), and induced pluripotent stem (iPS) cells of DS patients are significantly higher than those in euploid individuals.<sup>14,15,102</sup> However, the difference in IFN-R mRNA levels between the DS and healthy controls may vary considerably, depending on the methods of transcriptome analysis used.<sup>102</sup> One major concern is that the transcripts levels are not always predictive of protein levels and posttranscriptional modifications. As a means of circumventing this problem, we demonstrated, by FACS, that the levels of IFN- $\alpha$ R1, IFN- $\alpha$ R2, and IFN- $\gamma$ R2 proteins on the cell surface of EBV-B cells and monocytes from individuals with DS patients were higher than those in healthy controls, whereas IL-10RB protein levels were similar in individuals with DS and healthy controls.<sup>17</sup> Nevertheless, not all cell types



**Figure 3** Biological implications and potential mechanisms of mild interferonopathy in DS. Interferons have pleiotropic effects on multiple organs and different effects on different cell types. IFN can drive T-cell activation and differentiation which is considered to be the third signal for T-cell development, in addition to the TCR and the costimulatory receptor. The tissue and temporal effects of interferonopathy in DS remain to be explored.

**Abbreviation:** APC, antigen-presenting cells.

display IFN-R gene dosage effects. A Cyto-F analysis showed that 85 of 100 primary immune cell types from individuals with DS had significantly higher levels of IFN- $\alpha$ 1 expression at the cell surface than were observed in the corresponding cells from healthy controls.<sup>16</sup> Nevertheless, determinations of IFN-R levels, either *ex vivo* or *in vitro*, are not sufficient for any firm conclusions to be drawn about biological implications. First, the biological effects of IFN-Rs require agonists, downstream Janus kinases including JAK1, JAK2, and Tyk2, and signal transduction and activation of transcription factors,<sup>92</sup> including STAT1, STAT2, and STAT3, together with a JAK-STAT-independent pathway. Second, there is crosstalk between type I and type II interferon and synergistic effects between IFN- $\alpha$ 1 and IFN- $\gamma$ 2.<sup>104</sup> Third, IFN-R proteins may be glycosylated,<sup>99</sup> degraded via a pathway involving USP18 and ISG15,<sup>105,106</sup> or distributed between different

cytoplasmic regions.<sup>107</sup> All these features may affect the response to interferons, suggesting that the assessment of interferon-driven activities in humans requires a systemic approach.

## Mild Interferonopathy in DS

An interferon signature, revealing an upregulation of interferon-stimulated genes (ISGs), was first reported in patients with systemic lupus erythematosus (SLE) and was found to be associated with disease severity,<sup>108</sup> with 15 ISGs identified as biomarkers of SLE severity. Such signatures have since been reported in many autoimmune conditions.<sup>109</sup> Another related term, “Type I Interferonopathies”, was first proposed by Dr. Yanick J. Crow in 2011, based on his studies of patients with monogenic Aicardi-Goutières syndrome (AGS).<sup>110,111</sup>

The main clinical features of AGS include abnormal neurological findings, intracranial calcification, high level of liver enzymes, and thrombocytopenia. Crow's group used six ISGs to generate an interferon score as a biomarker for screening for interferonopathy, and for determining the pathophysiological elements common to different genetic etiologies.<sup>112</sup> The group of Dr. Joaquin M. Espinosa identified ISG signatures in individuals with DS in 2016, in RNA-Seq-based transcriptomic analyses of fibroblasts, EBV-B cells, monocytes, and T cells from several individuals with DS. Using a systemic approach, they clearly demonstrated that ISG mRNA levels were upregulated in these cell types from DS individuals, but in a cell-type specific manner. Whilst the author (X.F Kong) was working in Dr. Jean-Laurent Casanova's group, we initiated a study on DS in 2011, after discovering human gain-of-function *STAT1* disorders and *IFNGR2* haploinsufficiency.<sup>93,113</sup> The initial hypothesis tested was that the three copies of interferon receptors genes in DS patients might act as a phenocopy of *STAT1* gain-of-function, predisposing DS patients to mucocutaneous candidiasis with impaired Th17 immunity. Monocytes are the major PBMC cell type in which the level of IFN-R expression are highest. We demonstrated high levels of monocyte ISG expression in 14 individuals with DS, and revealed the occurrence of an independent cluster with the interferon modular signal, intermediate between the values for healthy controls and patients with gain-of-function *STAT1* mutations. We also observed that type I interferon levels were high in 12.5% of individuals with DS (Figure 2). However, neither serum type I interferon level nor the ISGs signature was associated with the clinical infectious phenotypes, such as mucocutaneous *Candida albicans* and *Staphylococcus aureus* infection.<sup>17</sup> We concluded that interferon overactivation in DS is milder than that in patients with *STAT1* gain of function, monogenic type I interferonopathies, or SLE.

## Interferon-Related Immunological Phenotypes in DS

Immunological dysfunctions were first reported in individuals with DS more than 40 years ago,<sup>114</sup> and several review articles have focused on innate and acquired immunity in DS.<sup>115,116</sup> Here, we will focus on two main topics relating to interferon: T-cell development and immunosenescence in DS.

## T-Cell Abnormalities May Be Related to IFN-Rs

Studies on human primary immunodeficiency disorders and mouse models have demonstrated the essential immunomodulatory role of interferons in T cells.<sup>117</sup> The T-cell abnormalities most frequently observed in individuals with DS include a low thymic output and low levels of naïve T cells, an altered CD4/CD8 ratio, limited proliferation in response to PHA stimulation, high levels of expression for cell-surface markers related to T-cell activation and senescence, and high levels of IFN- $\gamma$  production, and terminally differentiated CD8<sup>+</sup> T cells (Figure 3).<sup>5,15–17,116</sup> The T-cell phenotypes observed in DS mimic those observed in chronic viral infections with persistent interferon exposure.<sup>118</sup> With the recent development of a systematic approach for immunophenotyping based on CyTOF, more details have emerged about each subset of T cells and other immune cells, including their cell-surface marker expression, and cytokine production profiles.<sup>15,16</sup> Exhausted CD8<sup>+</sup> T-cell phenotypes are also observed in other primary immunodeficiencies presenting with clinical manifestations due to EBV infection, such as *DOCK8*, *STK4* and *PIK3CD* deficiencies.<sup>119</sup> Overall, CyTOF profiling has provided no additional evidence to suggest that the gene dosage effect of IFN-Rs causes the T-cell phenotypes observed in DS. Intriguingly, none of the patients with inherited JAK-STAT overactivation or interferon hyperresponsive disorders, such as those with gain-of-function *JAK1*,<sup>120,121</sup> *STAT1*,<sup>113</sup> *STAT2*,<sup>122</sup> or *STAT3*<sup>123</sup> mutations, has been reported to display a similar immunophenotype. Additional studies are required to determine the association between these T-cell abnormalities and autoimmune phenotypes in DS, and to provide additional insight into the incomplete clinical penetrance of infectious and autoimmune diseases in DS.

Clinically, individuals with DS displayed no particular susceptibility to the common viral infections — with EBV, CMV, and HSV, for example, — frequently observed in patients with primary T-cell defects.<sup>124</sup> The high levels of COVID-19-related morbidity and mortality in individuals with DS are intriguing, and several speculative hypotheses have been proposed.<sup>18</sup> However, further investigation of the mechanisms involved will be essential to understand the reasons for the severity of COVID-19 in these individuals. A recent study on two DS children with COVID-19 revealed an atypical immune response, with high levels of *STAT3* phosphorylation in various cell types.<sup>125</sup> A mouse

model would be useful for deciphering the contributions of IFN-Rs to T-cell abnormalities in DS. Several mouse models of DS, including Ts65Dn, Ts1Yey, Ts1Cje, and Tc1 have been widely used to study the pathophysiology of trisomy 21. However, all of these mouse models have genetic and phenotypical imperfections, as discussed in a recent review.<sup>2</sup> A previous study revealed that mouse trisomy 16 lacking one functional *IFNARI* and an *IFNGRI* allele had significantly faster growth and greater cortical neuron viability.<sup>126</sup> In a mouse model (Dp16/Ts1Yey) of DS, TLR3 agonists can induce a lethal response with acute liver injury that can be attenuated by treatment with a JAK inhibitor.<sup>127</sup> Mice with various numbers of functional IFN-R copies could be generated, to help to clarify whether the T-cell phenotypes observed are dependent on type I or type II interferon. Various in vitro methods have been used to investigate the cellular effects of differential levels of IFN-R expression, using shRNA, CRISPR, or even tetracycline-inducible systems for regulating IFNAR-1/2 expression in human fibroblasts. For example, indoleamine 2,3-dioxygenase (IDO1), an ISG that is crucial for tryptophan metabolism, has been reported to be sensitive to IFN-R dosage.<sup>128</sup> Other rare scenarios, such as individuals with DS carrying one or two loss-of-function IFN-R alleles,<sup>129</sup> might explain the causal relationship between IFN-R dosage effects and T-cell abnormalities. Further investigation, including genomic sequencing in DS patients with various chronic inflammatory phenotypes, is required to explore these possibilities.

## The Immunological Enigma in DS

The complexity of the human immune system presents a challenge for both physicians and scientists. There is currently no single clinical test for evaluating the immunological status of any given individual, but technologies are improving.<sup>130</sup> Clinicians use blood counts, T-cell counts, levels of immunoglobulins and interleukins, CRP, ESR, and other available markers to obtain a general impression of the patient's immunological status, and to determine infection, inflammation, or autoimmune disease status. Systemic immunophenotyping has revealed a global dysregulation of the immune system in DS, with increases in the levels of fibrocytes, conventional CD1c<sup>+</sup> dendritic cells, hyperactivated NK and cytotoxic T cells, and memory B cells.<sup>16</sup> However, translating this information into a better clinical understanding of susceptibility to particular illnesses in DS remains a challenge. For example, 28.6% of individuals with DS tested positive rheumatoid factors

(RF), but displayed no clinical evidence of rheumatic disease.<sup>131</sup> This suggests that some immunological findings may be of little, if any, relevance to pathogenesis. Desensitization to interferon has also been proposed, based on the observation that prolonged interferon stimulation can lead to an expression of negative regulators of JAK-STAT, such as ISG15 or USP18,<sup>105,106</sup> leading to a down-regulation of IFN- $\alpha$ R2-dependent JAK1 activation.<sup>132,133</sup> However, ex vivo analyses of primary immune cells from individuals with DS have shown that most of the cell types analyzed maintained hyperresponsive to IFN.<sup>15,16</sup> It is unclear whether such phenomena occur in pathogenic states, such as acute SARS-CoV-2 infection and prolonged pneumonia and inflammation.

Another enigma concerns the nuclear transcription factors involved in modulating the transcriptional disorder in DS. Different cell types have different, specific patterns of chromatin binding and of expression for IFN- $\gamma$ R2 and STATs.<sup>134</sup> CD4<sup>+</sup> naïve T cells have the highest STAT1 level, whereas CD8<sup>+</sup> TERMA T cells have the lowest levels of STAT1 expression, but the highest levels of T-bet and STAT4 expression of the various CD3<sup>+</sup> T-cell subsets.<sup>15,17</sup> Genes for two other important immune system-related transcription factors are also located on HSA21: *AIRE* and *RUNX1*. *AIRE*-deficient patients have APECED syndrome and are prone to autoimmune diseases due to the production of various antibodies. The impact of an additional copy of *AIRE* and *RUNX1* on immune development and chromatin structure remains unclear. In addition, the interferon system is complicated by the synergistic effects of type I and type II interferons and other cytokines, and by temporal and cell type-specific effects.<sup>135</sup> Given all these complexities, further experiments based on a reductionistic approach are required to decipher the mechanisms involved. Such an approach has already revealed that DS provides protection against colon cancer and polyposis in the *APC* mutant mouse model (Figure 3).<sup>136</sup> This observation may pave the way for improvements in our understanding of protective immunity to gastrointestinal cancer.

## Future Directions for Evaluating the Clinical Impact of Interferonopathy in DS

Following the observation of ISG signatures in DS, a clinical trial has been launched to assess the benefits of a JAK inhibitor, tofacitinib for treating alopecia and other

skin disorders by targeting JAK-STAT pathway in individuals with DS.<sup>137</sup> A few individuals with DS have been successfully treated with JAK inhibitor.<sup>138,139</sup> However, a clear association between clinical phenotype and ISG signature in DS patients has yet to be established. Is the presence of alopecia or other immune-mediated clinical phenotypes in DS driven by JAK-STAT overactivation? Is there a simple way to measure the severity of interferonopathy in DS? Is the overexpression of particular ISGs phenotype-specific in DS? Which cell types should be tested to explore this association? We are still far from having a reliable biomarker for the use of ISG mRNAs to guide precise clinical care in DS patients. From a biological perspective, the key is understanding the mechanisms controlling ISG transcription in DS: tonic JAK-STAT signaling or delayed pulse-dependent transcription (Figure 3)?<sup>140</sup> What impact do DNA methylation and histone modification have on transcriptional regulation? More collaborative multicenter studies are now required to increase power and shed light on the clinical utility of ISG signatures in DS, with a view to developing more effective future treatments, given that interferons may also have neurotoxic effects.

## Acknowledgment

We thank Drs. Jean-Laurent Casanova, Benjamin Lebwohl, Benjamin Tycko, Beishi Zheng, Bing Chen for careful reading of the manuscript and suggestions. Dr. Xiao-Fei Kong has been supported by K08 DK128631 NIDDK, and grants from Jerome Lejeune Foundation and Alexander's Angels Inc.

## Disclosure

The authors report no conflicts of interest in this work.

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