

Post-Transplant Maintenance Therapy for Patients with Acute Myeloid Leukemia: Current Approaches and the Need for More Trials

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Abstract: Relapse rates following allogeneic stem cell transplantation for acute myeloid leukemia remain unacceptably high and a major cause of death. Maintenance therapies post-transplant administered either to patients with impending relapse or at high risk of relapse could present a strategy to improve survival and overall outcomes. With the increasing use of molecular and genomic characterization of the disease, more novel therapies became available as maintenance strategies. These options were, however, hindered by excessive toxicities, mostly hematologic, especially with the use of myeloablative conditioning regimens. Several key questions have also emerged including the efficacy of these therapies, the duration of maintenance, as well as the potential modulation of the graft and the immune microenvironment. These issues are further complicated by the paucity of well-designed prospective randomized clinical trials evaluating these agents. Future directions in this field should include better risk stratification and patient selection based on assays of minimal residual disease, as well as the incorporation of novel targets and pathways of leukemogenesis. In this article, we highlight the current evidence behind the use of post-transplant maintenance therapy, the optimal patient and disease selection, as well as the challenges faced by these strategies in an area that remains quite controversial. We will focus on therapies targeting leukemia stem cells that directly or indirectly modulate the allografted immune microenvironment and augment the graft-versus-leukemia impact.

Keywords: AML, maintenance, relapse, target, MRD

Background

Acute myeloid leukemia (AML) remains the most common acute leukemia in adults with an incidence of 3–4 per 100,000 person per year. AML is a genetically and phenotypically heterogeneous and biologically dynamic spectrum of diseases.¹ Indeed, the clinical outcomes are largely determined by the patient's characteristics such as age, performance status and comorbidities, as well as the leukemia features including the subtype (de novo versus secondary) and most importantly the genomic profile.² The recent advances in defining the molecular landscape of AML and its role in leukemogenesis have paved the way for the development and adaptation of novel targeted agents.

Following induction chemotherapy, patients achieving a morphologic leukemia-free state (complete remission (CR)) are mandated to receive a form of consolidation therapy aimed at the residual leukemic stem cells (LSCs) to prevent relapse and improve overall survival (OS).³ A risk-adapted approach for relatively young or fit

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AML patients in first CR (CR1) involves the assessment of this risk of relapse, leading to either chemotherapy continuation or allogeneic stem cell transplantation (ASCT), taking into account the presence of comorbidities, the donor type as well as the genetic characteristics of the disease.⁴ In addition to pre-treatment risk stratification, the estimation of the leukemic burden while on therapy has recently emerged as a strong, independent and dynamic tool for individualizing post-induction treatment approaches. Either polymerase chain reaction (PCR), multiparameter flow cytometry (MFC) or the novel next-generation sequencing (NGS) can evaluate this measurable residual disease (MRD)^{5–7}

Allogeneic Stem Cell Transplantation: Rationale and Nuances

Up to the current date, ASCT in first CR remains the most powerful antileukemic post-remission therapy. ASCT is generally recommended upfront for properly selected patients with high-risk cytogenetic features, those with intermediate and adverse-risk molecular findings, and patients with secondary AML. Patients with induction failure, post-induction residual disease and following salvage therapy are also referred for ASCT. In addition to potentially life-threatening complications of ASCT such as graft-versus-host disease (GVHD) and opportunistic infections, survival benefits recorded with ASCT are crippled by unacceptably high disease relapse rates,^{8–10} hence the need for strategies to maintain remission and prevent relapses post-ASCT. Such interventions aim at reinforcing the graft-versus-leukemia (GVL) effect and/or eradicating persistent MRD, especially with the increasing availability of more sensitive techniques to detect any residual disease. Nevertheless, these maintenance therapies may represent over-treatment for patients with intermediate-risk disease, further subjecting them to long-term toxicities and disturbed quality of life (QoL), thereby reinforcing the need for a better selection of patients as well as strict and continuous MRD monitoring.

The transplantation field has tremendously evolved over the last two decades with refinements of indications as well as improvement in the safety profile of conditioning regimens and supportive care strategies. Nonetheless, risk factors for increasing mortality after relapse in an allografted patient still include, among others, a shorter time to recurrence and occurrence of GVHD prior to

relapse¹¹ with significant improvement of overall survival (OS) for young patients relapsing in recent years (Bazarbachi et al, 2020).¹² Furthermore, a deeper understanding of factors facilitating disease relapse, such as molecular profile and role of MRD, has enabled more high-risk patients to receive post-transplant therapies to treat and even prevent relapses. Indeed, pharmacological intervention and manipulation of the disease kinetics in the early post-transplant phase could potentially collaborate with other strategies to improve overall outcomes,¹³ possibly through up-regulation of tumor-associated antigens (TAA),¹⁴ expansion of regulatory T-cells,¹⁵ or acceleration of T-cell reconstitution.¹⁶ With the availability of a wide array of novel and less toxic agents such as epigenetic modifiers, tyrosine kinase inhibitors (TKIs), BCL2 inhibitors and immune checkpoint inhibitors (ICPIs) among others, an intriguing strategy would be to preemptively use such molecules in an attempt to prevent relapses post-ASCT in specific subsets of high-risk patients. Nevertheless, we currently only have few randomized trials that offered a survival advantage for maintenance therapy in AML.

Selection of Optimal Candidates

Conducting either retrospective studies or prospective randomized trials to construct therapeutic strategies aiming at reducing post-ASCT relapse rates has been historically hampered by the depth of remission achieved as well as the intrinsic biologic apparatus of the disease. Cytogenetic abnormalities of AML knowingly dictate both the general outcomes of standard therapies and those following ASCT.¹⁷ In view of the granular advances in the field of myeloid malignancies, considering specific subsets of AML patients for post-ASCT maintenance should therefore depend on the molecular and genomic characteristics of the disease itself at diagnosis.¹⁸ Indeed, the presence of actionable or targetable mutations such as *FLT3*-ITD and *IDH1/2* is a valuable opportunity to incorporate the approved corresponding inhibitors in the post-ASCT maintenance strategies. Novel molecular and MRD diagnostics are therefore of utmost importance to determine those who would benefit the most from personalized therapy options. As such, MRD status in the pre-transplant phase and more importantly detection of MRD early post-ASCT are crucial factors to implement therapy as they largely impact the likelihood and pace of disease relapse.^{19,20}

In this setting, other variables including the donor source, intensity of conditioning regimen and GVHD

prophylaxis protocols (T-cell depletion and post-ASCT cyclophosphamide) might influence the risk of disease relapse.²¹ While the implementation of reduced-intensity conditioning (RIC) has allowed more patients to receive ASCT,²² it could potentially increase the rate of post-transplant relapse, as demonstrated by the large prospective randomized Phase III trial conducted by the Bone Marrow Transplant Clinical Trials Network.²³ Well-designed trials are eagerly needed to appropriately answer these challenging situations.

Challenges to Conduct Clinical Trials

In the presence of few prospective randomized trials, the decision to initiate post-ASCT maintenance therapy remains ambivalent in many situations. Early-phase studies assessing novel agents in the relapsed setting often exclude patients with prior history of ASCT given the plethora of complications they might experience, therefore resorting to agents previously approved for different indications or settings. This dilemma largely provides a protective blanket to access these drugs on an off-label indication, which could impede recruitment for prospective studies. Additionally, most currently ongoing maintenance trials using hypomethylating agents (HMA), targeted therapies and other molecules still demand rigorous eligibility criteria, thereby interfering with enrollment rate.

Optimal Timing and Duration of Maintenance Initiation

Starting maintenance therapy in the early post-ASCT phase should take into account the concomitant use of immunosuppressive drugs and their potential heightened hematological and organ toxicities, the risk of opportunistic infections and GVHD, as well as the possible drug–drug interactions (such as with calcineurin inhibitors), even when the acute toxicities of ASCT have seemingly resolved. An optimal maintenance approach is therefore difficult to be intercalated within the conditioning regimen itself and is reserved for a post-ASCT phase, mostly started between days 30 and 100 following transplantation. In this setting, pre- and post-ASCT MRD status could be valuable in planning and timing maintenance therapy. For those patients with impending signs of relapse by MRD testing or falling donor chimerism, a preemptive maintenance therapy could be started early post-ASCT, before overt morphological relapse.

Finally, the optimal duration of maintenance therapy has not been established for most cases, thereby affecting the QoL of these patients.

Available Maintenance Options: The Current Evidence

Targeting Epigenetics Pathways of Leukemia Cells

Hypomethylating Agents

The use of HMAs such as azacitidine and decitabine remains the most commonly adopted non-targeted strategy for the prevention of post-ASCT relapse owing in part to their acceptable safety profile.²⁴ The mechanism of action of HMAs post-ASCT is unclear, but they appear to silence tumor suppressor genes through epigenetic modification. At the preclinical level, these agents could also induce a GVL effect through stimulation of CD8+ T-cell responses to overexpressed tumor-associated antigens (TAAs) such as MAGE antigens.²⁵ This activity has led to the investigation of HMAs in a series of small trials, especially with the advancing field of MRD detection by sensitive techniques.

For example, AML patients with imminent relapse due to decreasing CD34 chimerism received pre-emptive azacitidine that delayed disease progression according to two studies.^{26,27} The concurrent administration of donor lymphocyte infusion (DLI) did not, however, improve response rates or OS²⁷ and the majority of patients eventually experienced overt disease relapse.²⁶ In another study, azacitidine was also given sequentially with DLI and showed a low relapse rate and encouraging OS despite the presence of acute and chronic GVHD.²⁸

In a Phase I dose-finding trial, azacitidine as monotherapy was given between on day +42 post-ASCT to 45 patients with AML (82%) and MDS, for up to four cycles at different dose levels 8, 16, 24, 32, and 40 mg/m².²⁹ Interestingly, two-thirds of AML patients were not in CR at the time of transplant. The recommended dose of azacitidine was reported to be 32 mg/m² for 5 days in 30-day cycles because of dose-limiting but reversible thrombocytopenia. At 1-year follow-up, the median disease-free survival (DFS) was 58% for all enrolled patients and the 1-year OS rate was 77%. In another phase I/II study of 27 AML patients who received a RIC regimen followed by ASCT later showed that the subcutaneous administration of up to 10 cycles of azacitidine at 36 mg/m² for 5 days in 28-day cycles beginning at day 42 post-ASCT resulted in

the expansion of circulating regulatory T-cells with subsequent GVL response and no significant GVHD.¹⁵ In a retrospective study of 18 allografted patients (13 AML and 5 MDS), including 50% of patients with a high or very high disease risk index, low-dose azacitidine started at a median of 60 days post-transplant was well tolerated and resulted in one-year disease-free survival (DFS) and OS of 63% and 70%, respectively.³⁰ A subsequent randomized phase III trial comparing azacitidine at 32 mg/m² subcutaneously for 5 days in up to 12, 28-day cycles to no intervention in 87 patients with AML, myelodysplastic syndromes (MDS) or chronic myelomonocytic leukemia in remission was terminated early because of slow accrual.³¹ At a median follow-up of 4.6 years in the azacitidine arm, available data suggest no significant effect of the HMA on relapse-free survival (RFS), except for a non-statistically significant trend for improvement in those who received at least 9 cycles of therapy.

The importance of MRD-adapted therapy is highlighted in the ongoing Phase II study (RELAZA2) whereby preemptive treatment with at least 6 cycles of azacitidine (75 mg/m² × 7 days) and for up to 18 additional months was evaluated.³² The study enrolled patients in CR but with detectable MRD either after conventional chemotherapy or following ASCT. This preemptive MRD risk-adapted strategy was found to prevent or significantly delay disease relapse in 58% of patients who remained in CR after 6 months (95% CI: 44–72; *p* < 0.001). These results are encouraging and warrant further follow-up.

More recently, an oral azacitidine formulation CC-486 with extended dosing to prolong activity of azacitidine with sustained DNA hypomethylation showed promising results as maintenance therapy in a randomized trial following induction chemotherapy for AML.³³ CC-486 was then evaluated in a phase I/II trial of 30 patients (26 with AML and 4 MDS) who had undergone ASCT, given at 200–300 mg orally for 7 days or 150–200 mg orally for 14 days in up to 12, 28-day cycles.³⁴ The study resulted in 1-year RFS rates of 54% with the 7-day protocol and 72% with the 14-day regimen in the 28 evaluable patients, leading to estimated 1-year survival rates of 86% and 81%, respectively. The most common grade 3–4 treatment-related toxicities were gastrointestinal and hematologic toxicities, and two patients experienced severe chronic GVHD. A randomized, phase III trial evaluating CC-486 at the 200 mg 14-day dosing regimen as maintenance therapy post-ASCT for high-risk MDS and intermediate- or high-risk AML is currently enrolling.

On the other hand, a small study of decitabine administered at 5–15 mg/m² intravenously for 5 days starting 50–100 days post ASCT for up to 8, 6-week cycles also exhibited favorable results with 2-year OS of 56% and cumulative incidence of relapse reaching 28%.³⁵ However, the majority (75%) of patients experienced grade 3–4 hematologic toxicities during therapy. While decitabine did not increase the rate of chronic GVHD, there was a trend for increased FOXP3 expression and T-reg cells in the lymphocyte environment in a correlative study that was not statistically meaningful.

Interpreting the results of these studies remains challenging and controversial, as they are small and mostly uncontrolled. As such, the optimal timing of HMA initiation post-ASCT and dosing need to be explored further to establish efficacy at preventing relapses and avoid unnecessary toxicities, especially in patients who can be cured with ASCT alone. In patients with detectable MRD or mixed chimerism, pre-emptive treatment with HMA could potentially delay or even prevent relapses in AML and MDS patients.³⁶

More recently, there has been a growing interest in evaluating HMA as partners to novel promising agents such as the BCL2 inhibitor venetoclax, ICPs, FLT3 inhibitors, as well as isocitrate dehydrogenase (IDH) inhibitors and studies are ongoing (Table 1).

Histone Deacetylase Inhibitors (HDACi)

The class I/II HDACi have presented as potential promising agents in AML/MDS owing to large induction effects on cell-cycle arrest and differentiation, as well as pro-apoptotic effects on myeloid cells through epigenetic modifications of histones.³⁷ HDACi have also exhibited some antileukemic and immunomodulatory roles through the control of cytokine secretion. This is further evidenced by the panobinostat activity, a potent oral inhibitor of class 1, 2, and 4 deacetylases, in the PANOBEST trial.³⁸ This study enrolled 42 patients with high-risk AML or MDS who had received ASCT and panobinostat was started at a median of 98 days (60–150) post-ASCT. Two-thirds of these patients were transplanted in active disease. While only 22 (54%) of the 42 patients completed 1 year of therapy because of adverse events, the cumulative incidence at relapse remained 21% at 2 years, resulting in 2-year OS and DFS rates of 88% and 74%. More importantly, panobinostat was found to inhibit the suppressive function of T-regs when used at low doses and enhance their function at higher doses,³⁹ thereby playing a possible

Table I Some of the Ongoing Trials Evaluating Various Targets for Post-Allogeneic Stem Cell Transplantation Strategies

Target	Drug(s)	Clinical Phase	Endpoints	Status	Identifier
FLT3	Crenolanib	II	PFS; DFS; OS; GVHD; 100-day TRM	Recruiting	NCT02400255
	Gilteritinib	III	RFS, OS, GVHD, EFS	Active, not recruiting	NCT02997202
TP53	APR-246 + Azacytidine	II	Safety, tolerability, 1-year RFS	Recruiting	NCT03931291
Hh	Glasdegib	III	DFS	Not yet recruiting	NCT04168502
DNMT	Low-dose azacytidine	II	OS; relapse rate; safety	Recruiting	NCT01995578
	Azacytidine+ valproic acid	II	OS; time to relapse	Recruiting	NCT02124174
	Oral azacytidine (AMADEUS)	III	RFS, OS, NRM, GVHD	Recruiting	NCT04173533
	SGI-110+ DLI	II	DFS, OS	Not yet recruiting	NCT03454984
BCL-2	Venetoclax+ azacytidine	I	MTD; OS, RFS; GVHD	Recruiting	NCT03613532
	Venetoclax+ azacytidine	II	RFS, OS, GVHD	Recruiting	NCT04128501
	Venetoclax+ azacytidine (VIALE-T)	III	RFS, OS, GVHD, MRD	Recruiting	NCT04161885
HDAC	Panobinostat	III	OS, DFS, EFS, NRM, GVHD	Recruiting	NCT04326764
IDH2	Enasidenib	I	Safety, tolerability, GVHD, RFS	Recruiting	NCT03728335
	Enasidenib	I	MTD, DLT, GVHD	Recruiting	NCT03515512
	Enasidenib	II	OS, RFS, Safety and tolerability	Recruiting	NCT04522895
IL-15	N-803	II	Relapse rate, GVHD, MRD, OS	Recruiting	NCT02989844

Abbreviations: PFS, progression-free survival; OS, overall survival; RFS, relapse-free survival; EFS, event-free survival; GVHD, graft-versus-host disease; MTD, maximum tolerated dose; DLT, dose-limiting toxicity; DLI, donor lymphocyte infusion; MRD, minimal residual disease; NRM, non-relapse mortality; Hh, hedgehog pathway; DNMT, DNA methyltransferase; HDAC, histone deacetylase.

role in reducing GVHD. As these results are intriguing, a randomized multicenter phase III trial is currently comparing panobinostat 20 mg orally three times weekly every second week to the standard of care as maintenance post-ASCT. Vorinostat, another HDACi, is also being combined with low-dose azacytidine for post-ASCT in a currently ongoing phase I dose-escalation clinical trial.

Targeting Oncogenic Pathways of Leukemia Stem Cells

FLT3 Inhibitors

Treatment of *FLT3*-ITD mutated AML remains challenging due to significant relapse rates and short remissions with available therapies despite the common historical use of ASCT in first CR.⁴⁰ Nevertheless, *FLT3*-mutated AML is a heterogeneous disease that entails diversity in the type of *FLT3* mutations and their insertion site, the *FLT3*-ITD

allelic burden, and the presence of concurrent mutations; observations that further complicated the decision to proceed to ASCT in the first CR when feasible.^{41–43} This controversy is evidenced by the European LeukemiaNet guidelines suggesting, with some controversy, that ASCT should not be offered to patients with low-mutant allelic ratio.^{44–46} EBMT guidelines allowed ASCT in this setting and recommended it for all patients with *FLT3*-mutated AML (Bazarbachi et al, 2020).⁴⁷

As such, the use of multi-kinase inhibitors of various generations has led to improved outcomes and achievement of deeper responses in *FLT3*-mutated AML. These TKIs, together with the incorporation of MRD assessment, have enabled the installation of post-transplant therapeutic strategies,⁴⁸ as the 1-year OS of patients who relapse post-ASCT drops to less than 20%.¹¹ (Bazarbachi et al, 2020).¹²

The enthusiasm of using *FLT3* TKIs stems not only from their direct cytotoxic properties but also involve an

immunomodulatory effect synergizing with allografted T-cells. Several murine models have shown that sorafenib enhances the production of interleukin-15 (IL-15) production by leukemic cells, thereby promoting GVL effect.¹⁶ The same experiment showed that sorafenib reduced the activating transcription factor (ATF4) expression in leukemic cells, a negative regulator of IRF-7 interferon regulatory factor-7 (IRF-7) activation, which further enhances IL-15 transcription when activated. The exact mechanisms of FLT3 TKIs immunogenicity remain to be elucidated.

One of the earliest and most promising post-transplant maintenance approaches has been the administration of FLT3 inhibitors, limited to date to *FLT3*-ITD mutated AML patients. Despite multiple retrospective and prospective randomized trials evaluating the efficacy and safety of the use of FLT3 inhibitors as post-transplant maintenance, there is still a debate on the best agent to be used (off-label use of sorafenib versus potent second-generation FLT3 inhibitors), dosing and time of initiation. A consensus by the EBMT Acute Leukemia Working Party recommended the use of sorafenib 400 mg twice daily in the post-transplant setting in the absence of active GVHD based on available data (Bazarbachi et al, 2020).⁴⁷ Previous retrospective studies have demonstrated a lower risk of disease relapse following ASCT in patients with *FLT3* ITD mutated AML who received post-transplant sorafenib maintenance (Antar, et al, 2014).^{49–53}

In a phase I study involving 22 patients with *FLT3*-ITD AML receiving sorafenib maintenance post-ASCT, PFS at 1 year was 85% and OS was 95%.⁵⁴ Encouraging results were subsequently reported in other small trials of sorafenib maintenance compared to historical controls, showing markedly lower relapse rates, improved RFS and relatively tolerable toxicities, while not significantly affecting the rates of GVHD.^{51–53,55–57} This is further supported by two registry studies from the European Society for Blood and Marrow Transplantation (EBMT) showing that post-transplant maintenance with sorafenib improved OS and leukemia-free survival (LFS) of allografted patients with *FLT3*-ITD positive AML (Bazarbachi et al, 2019)⁵⁸ and that sorafenib combined with DLI clearly improved OS and LFS of relapsed *FLT3*-ITD positive AML patients following ASCT. (Bazarbachi et al, 2019)⁵⁹

In a prospective phase II controlled randomized trial (SORMAIN) of 83 patients with *FLT3*-ITD mutated AML, the administration of sorafenib for up to 24 months resulted in superior outcomes for patients in CR and no grade ≥ 2 GVHD compared to placebo. After a long

median follow-up of 42 months, the 2-year RFS was 85% in the sorafenib group compared with 53% in the placebo group (HR=0.39, $p=0.01$), in addition to an OS benefit for the sorafenib group (HR=0.447; $p=0.03$).⁶⁰ Further follow-up showed that many patients will experience disease relapse when sorafenib is stopped at 24 months, suggesting a longer exposure to sorafenib might be needed to prevent late relapses. While SORMAIN trial constitutes the first placebo-controlled evidence that post-HSCT maintenance therapy could reduce the risk of relapse and death, this study enrolled patients who underwent transplantation in the first hematological CR, as well as those in the second or subsequent CR. Finally, the Chinese open-label, large randomized phase III trial assigned patients to receive sorafenib maintenance ($n=100$) or control ($n=102$) post-ASCT (Xuan et al 2020).⁶¹ At a median follow-up of 21.3 months, the 1-year cumulative incidence of relapse was 7.0% (95% CI 3.1–13.1) in the sorafenib group and 24.5% (16.6–33.2) in the control group (hazard ratio 0.25, 95% CI 0.11–0.57; $p=0.0010$), with no treatment-related deaths and acceptable GVHD rates. Based on these available data, sorafenib is recommended by many authorities as a maintenance strategy to reduce post-ASCT relapses for *FLT3*-ITD-mutated AML (Bazarbachi et al, 2020).⁴⁷

More recent data from the RATIFY trial that led to the US Food and Drug Administration (FDA) approval of midostaurin in 2017, proposed that the outcomes of patients who received this agent prior to ASCT were particularly encouraging.⁶² In a phase II trial of midostaurin received as post-consolidation or post-ASCT maintenance, the 1-year relapse rate was encouragingly low at 9.2%.⁶³ In this German-Austrian AML Study Group 16–10, most patients discontinued midostaurin earlier than planned because of toxicities. This remains in line with prior reports on the drug's complex pharmacokinetic profile and drug–drug interactions that warrant close observation and dose adjustments to reduce toxicity.^{64,65}

RADIUS is another phase II randomized study that accrued 60 patients with *FLT3*-ITD AML with stable engraftment post-ASCT to receive or not midostaurin for twelve 4-week cycles.⁶⁶ Unsurprisingly, the median RFS was not reached for either arm as the trial was not powered to detect any statistical difference ($p=0.34$) between subgroups.

The prospective cooperative group international phase III randomized trial (BMT-CTN 1506; NCT02997202) is seeking to confirm the impact of post-transplant gilteritinib

maintenance therapy versus placebo in patients with *FLT3*-mutated AML and has completed accrual at 346 patients. Gilteritinib is an effective and tolerable *FLT3* inhibitor, with potent activity against both *FLT3*-ITD and *FLT3*-TKD mutations, particularly the kinase domain mutations at residue D835 and the gatekeeper mutation at residue F691.⁶⁷ Gilteritinib was recently approved for use in the relapsed/refractory setting⁶⁸ and was chosen for evaluation as post-ASCT maintenance owing to its safety profile and potent inhibition of *FLT3* in vivo. Unfortunately, the use of placebo as control arm in this trial will not allow to answer the important question of whether Gilteritinib offers an additional benefit over sorafenib in that setting.

Quizartinib (AC220), a highly potent selective *FLT3*-ITD inhibitor was also studied in one small phase I trial where only 1 of 13 patients relapsed under therapy at the last follow-up.⁶⁹ Furthermore, toxicities were manageable and GVHD rate was not increased. However, increasing reports about resistance through point-mutant forms have been emerging, hence limiting single-agent use.⁷⁰

Crenolanib, like gilteritinib, is another potent oral type 1 *FLT3* TKI with extended activity against *FLT3*-ITD and resistance-conferring *FLT3*-D835 TKD mutants.⁷¹ It is also under evaluation as a post-ASCT maintenance in a phase II trial (NCT02400255), in a cohort of patients transplanted in CR and in another group allografted with the residual disease with $\leq 10\%$ bone marrow blasts. Crenolanib is started between days 45 to 90 after ASCT and for up to 2 years. It is important to note that phase II/III trials of post-ASCT maintenance involving the novel *FLT3* TKIs do not use a first-generation inhibitor control, making it difficult to establish their superior efficacy in this setting.

Some unanswered questions remain regarding the use of *FLT3* TKIs as maintenance post-ASCT. *FLT3*-ITD mutations, unlike *BCR-ABL1* fusions,⁷² are not founding mutations but rather an important final step and one of many mutations found in leukemogenesis.^{73,74} These include *WT1*, *IDH1*, *DNMT3A*, as well as *NUP98/NSD1* fusions, which are currently known to affect outcomes and response to therapy. Furthermore, *FLT3* measuring assays are not cross-validated within trials along with considerable variability in the *FLT3*-ITD cut-off used (0.5 in the ELN recommendations, 0.7 in the RATIFY study) for treatment, as well as the dynamic changes that happen to this ratio over time. Until standardization of definitions, the indication of ASCT remains itself controversial in patients with low (<0.5) allelic ratio *FLT3*-ITD who have

a concomitant *NPM1* mutation and achieve MRD negative status on therapy (Bazarbachi et al, 2020).⁴⁷

IDH1/IDH2 Inhibitors

Ivosidenib and enasidenib have been recently approved for the treatment of *IDH1* and *IDH2*-mutated AML, respectively.^{75,76} Owing to the natural history of this subtype of AML and the relative safety of these agents, they could present as a promising option for maintenance therapy post-ASCT. Some trials (NCT03515512, NCT03564821) are currently evaluating the significance of these mutations and their role in post-ASCT relapses, as well as the safety of the corresponding targeted agents in this setting.

BCL2 Inhibitors

Venetoclax is a BCL2 inhibitor that competitively binds to the BH3 domain of BCL2, an anti-apoptotic protein, releases BH3-only proteins and induces apoptosis of hematologic malignant cells.⁷⁷ Venetoclax has been evaluated and is currently approved in combination with low-dose cytarabine and azacitidine or decitabine.^{78,79} These studies have included only a few patients who relapsed after ASCT and still achieved CR with the combination. Two prospective trials investigating the efficacy of venetoclax in combination with azacitidine at improving RFS are currently enrolling AML patients for maintenance or preemptive therapy post-ASCT.

Hedgehog (Hh) Pathway Signaling Inhibitors

Anomalous hedgehog (Hh) pathway signaling is involved in the survival and proliferation of leukemia stem cells,⁸⁰ especially those resistant to chemotherapy.⁸¹ Glasdegib, an oral small Hh inhibitor, has been recently FDA approved in combination with low-dose cytarabine for the treatment of AML patients not eligible for intensive therapy, after showing OS benefit.⁸² Based on these findings, glasdegib is currently being evaluated in a phase II study for post-ASCT maintenance for AML patients at high-risk of relapse (NCT01841333).

Agents Targeting Mutated P53

AML and MDS with abnormal 17p or mutated *p53* are known to portend dismal outcomes with the highest risk of relapse even in the post-ASCT phase.⁸³ APR-246 is an agent that targets *p53* mutation in an attempt to restore its function and showed up to 80% CR rate in an early trial of patients with myeloid malignancies.⁸⁴ Based on this concept, a phase II trial studying the combination of azacytidine and APR-246 is currently enrolling allografted patients with MDS and

AML and mutated p53 (NCT03931291) with a primary endpoint being 1-year RFS.

Targeting Leukemic Surface Receptors Monoclonal Antibodies

The use of antibody-drug conjugates (ADC) could achieve target specificity through inhibition of certain surface markers, such as CD33, expressed on the majority of myeloblasts. Gemtuzumab ozogamicin (GO) is a MoAb against CD33 conjugated to the toxin calicheamicin. In a small study of 10 relatively young patients allografted for high-risk AML, GO was administered with azacitidine as maintenance post-ASCT.⁸⁵ After a median number of 1.5 cycles only complicated by reversible hematological toxicities, 40% of patients relapsed.

Another newer generation anti-CD33 ADC Vadastuximab talirine (SGN33a) conjugated to a pyrrolbenzodiazepine dimer was studied as maintenance in the post-ASCT setting (NCT02326584), but the phase I/II trial was terminated early because of neutropenia and thrombocytopenia.

Immune-Mediated Therapies

Maintenance therapy with immune checkpoint inhibitors, such as nivolumab, is being investigated in clinical trials for patients with high-risk AML in remission post-consolidation, who are not candidates for ASCT.⁸⁶ For instance, using this selective immune modulation for post-ASCT maintenance may provide similar benefits and merits investigation owing to their inherent activity in AML. Nonetheless, issues related to acute GVHD are likely to emerge, as seen with previous studies of lenalidomide in this setting,⁸⁷ thereby limiting the wide adoption of these agents.^{88–90}

Other agents on the outlook in this setting include anti-chemokine (C-X-C motif) receptor 4 (CXCR4) as well as CAR T-cell therapy.

Our Personalized Approach for Post-ASCT Maintenance

AML has increasingly presented itself as a poster child for personalized treatment approaches. ASCT by itself should not be regarded as an ultimate definitive therapy for all patients and with established poor outcomes for post-ASCT relapses, preventing one remains more beneficial than treating it. Nonetheless, we still have no simple algorithm or strategy to address post-ASCT relapses or

maintenance approaches. As delineated above, most available information is derived from phase II trials of HMAs and FLT3-ITD TKIs and few randomized data. Recent development of targeted agents made their use in the post-transplant setting more exciting taking into consideration the potential risks on GVHD and immune reconstitution post-ASCT. Furthermore, better MRD assessments facilitated the optimal selection of high-risk candidates who would benefit from such strategies.

Any treatment decision should therefore involve the patient's performance status, the pre-transplant disease course, the presence of actionable mutations, and the use of concurrent immunosuppressive medications as well as GVHD. Prognostication of high-risk AML patients has been recently refined, especially with the introduction of various MRD assays. These include MFC^{5,91} and NGS-MRD monitoring, both shown to be predictive for post-transplant relapse and survival.^{92,93}

In our clinical practice, we utilize patient and disease characteristics coupled with pre- and post-transplant MRD assays as metrics to counsel patients about their risk of relapse. Awaiting further validation, we believe these are useful parameters, especially when conjugated to risk-stratified maintenance approaches. Nonetheless, we recommend the use of off-label FLT3-TKIs such as sorafenib because of our favorable experience and the accumulating data with this regard, which led to the EBMT recommendations (Bazarbachi et al, 2020).⁴⁷ HMAs still represent a cornerstone maneuver to upregulate neoantigens and modulate immune responses post-ASCT when used alone or in various upcoming combinations (HMA+ DLI or venetoclax, etc.). One would, however, ask if pre-transplant therapy matters in this setting and whether responding favorably or not to azacitidine as initial therapy could affect the outcomes of post-ASCT maintenance. Novel agents such as ADCs and BCL2-inhibitors may provide a favorable approach despite little knowledge about the effect of these molecules on the graft and their potential toxicities. Immune stimulation with agents such as ICPs currently remains investigational awaiting well-designed clinical trials. Additionally, we must continue to explore the genetic profiling of AML and its ramifications.

Future Challenges and Directions

Disease relapse remains a paramount endpoint to treating physicians and patients, far beyond the use of survival endpoints alone based on small single-center trials. With the recent surge of therapeutic opportunities, the priority should

be to tailor randomized trials with refined conditioning regimens to post-transplant strategies while routinely incorporating MRD and genomic assays. This will require a solid partnership between the transplant community, academia and the pharmaceutical institutions for innovative and well-integrated approaches. A model trial in this setting also needs to assess the activity of a certain approach and its effect on GVHD. There is a steadily increasing number of novel agents, mostly of oral bioavailability, which could be preferred for maintenance therapy owing to their activity, dosing schedules, as well as minimal hematological toxicities. Other areas of interest include the use of MoAbs, ICP inhibitors and possibly products of cellular engineering (vaccines, modified chimeric antigen receptor T-cells, etc.). As a reflection of toxicities, we strongly support the integration of quality-of-life (QoL) metrics and patient-reported outcomes as informative endpoints in the design of these prospective randomized trials.

Disclosure

The authors report no conflicts of interest in this work.

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