

# cccDNA Maintenance in Chronic Hepatitis B – Targeting the Matrix of Viral Replication

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**Abstract:** Chronic hepatitis B is a numerically important cause of cirrhosis and hepatocellular carcinoma, despite an effective prophylactic vaccine and well-tolerated and effective oral antivirals. Both the incapacity of the immune system to clear hepatitis B virus (HBV) infection and the unique replication strategies adopted by HBV are considered key determinants of HBV chronicity. In this regard, the formation of the HBV DNA minichromosome, the covalently closed circular DNA (cccDNA), in the nucleus of infected hepatocytes, is essential not only for the production of all viral proteins but also for HBV persistence even after long-term antiviral therapy. Licensed polymerase inhibitors target the HBV reverse transcriptase activity, control the disease with long-term therapy but fail to eliminate the cccDNA. Consequently, the production of viral RNAs and proteins, including the hepatitis B surface antigen (HBsAg), is not abolished. Novel therapeutic efforts that are in the pipeline for early clinical trials explore novel targets and molecules. Such therapeutic efforts focus on achieving a functional cure, which is defined by the loss of HBsAg and undetectable HBV DNA levels in serum. Since a true cure of HBV infection requires the elimination of the cccDNA from infected cells, comprehension of the mechanisms implicated in cccDNA biogenesis, regulation and stability appears necessary to achieve HBV eradication. In this review, we will summarize the state of knowledge on cccDNA metabolism, focusing on insights suggesting potential weak points of the cccDNA that may be key for the development of therapeutic approaches and design of clinical trials aiming at lowering cccDNA loads and activity.

**Keywords:** hepatitis B virus, cccDNA, animal models, human liver chimeric mice, antiviral therapy

## Introduction

Hepatitis B virus infection can be prevented due to the availability of a safe and effective vaccine, while approved oral antivirals improve survival by efficiently reducing HBV replication and hepatic inflammation in HBV chronic carriers. However, resolution of chronic HBV infection (CHB) is rarely achieved. Consequently, CHB still represents a major global health burden with more than 250 million people persistently infected worldwide and every year around 780,000 people die due to the consequences of an HBV-associated liver disease.<sup>1</sup> Approved antiviral treatments mostly rely on the use of nucleos(t)ide analogs (NAs) and to a much lesser extent on pegylated interferon alpha (peg-IFN $\alpha$ ). By suppressing HBV replication, NAs successfully keep the infection under control and improve the patients' quality of life. Moreover, NAs have been shown to prevent the progression of liver disease in most patients and to reduce the risk of developing

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hepatocellular carcinoma (HCC) in non-cirrhotic and cirrhotic patients.<sup>2</sup> However, discontinuation of NAs therapy often leads to the relapse of HBV. This is mainly due to the fact that NAs efficiently hinder the production of new virions, but they do not target the cccDNA directly or specifically lower HBV RNAs. In contrast, peg-IFN $\alpha$  can achieve stable HBV suppression and is the only approved finite treatment for CHB, although it is effective in only a minority of patients and its use is limited due to side effects. The overall goal of therapy is to achieve a sustained off-treatment loss of hepatitis B surface antigen (HBsAg) and undetectable HBV DNA levels and normalization of transaminases in serum; parameters that are associated with a long-term improvement of clinical outcome and hence defining an ideal end-point for antiviral therapy. However, such a functional cure is rarely achieved with existing treatments.<sup>3</sup> Thus, HBV cure research has become a top priority within the scientific and medical community and has triggered the WHO to call for actions to significantly reduce disease burden by 2030. A curative therapy for CHB is thought to require both restoration of the HBV-specific antiviral immune responses and destruction or silencing of the cccDNA minichromosome. Drugs targeting the cccDNA may even achieve a complete cure of HBV. Therefore, understanding the molecular mechanisms regulating cccDNA formation, transcriptional activity, intracellular stability and turnover appear mandatory to find a true cure for CHB infection enabling eradication of the virus.

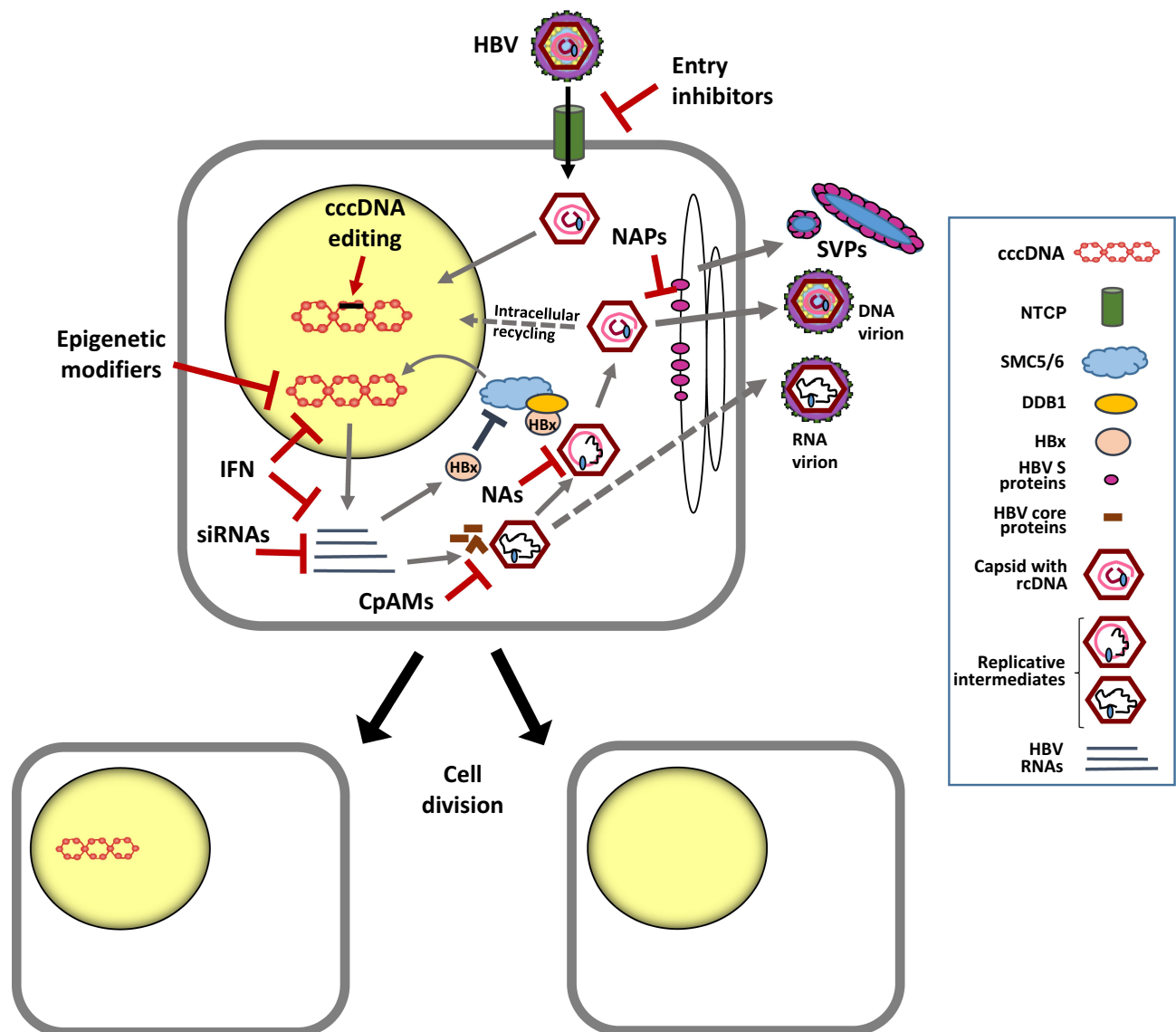
## Establishment of HBV Infection and cccDNA Formation

HBV is transmitted by percutaneous exposure to infected blood or body fluids. The virus infects the hepatocytes, which are the only target cells susceptible for infection. The infectious particle, the virion, contains a 3.2-kb partially double-stranded relaxed circular DNA (rcDNA) genome that is covalently linked to the polymerase and packaged within the nucleocapsid, which is wrapped by the envelope membrane presenting the three envelope proteins (large, medium and small). Characteristic of HBV is not only its high tissue and species specificity but also the unique genomic organization and replication mechanism. The process of cell entry involves an initial reversible interaction of the virion with glycosaminoglycans, such as heparan sulfate proteoglycans,<sup>4</sup> which is followed by an irreversible binding of the virion to the

Na<sup>+</sup>-taurocholate co-transporting polypeptide (NTCP),<sup>5</sup> a bile acid receptor exclusively expressed on the hepatocytes. After entering the cell, the viral genome is transferred into the nucleus to build the cccDNA molecule,<sup>6</sup> and hence to initiate productive infection (Figure 1).

Even if the events following viral entry are not yet well characterized, they were shown to involve endocytosis of the nucleocapsids, which are then conveyed to the nuclear envelope.<sup>7</sup> By interacting with the nuclear pore complex, the release of the rcDNA and core capsid subunits takes place.<sup>8</sup> The molecular mechanisms determining the conversion of the rcDNA to the supercoiled episome that constitutes the cccDNA molecule also remain largely unknown. Clearly, establishment of the HBV minichromosome is a multi-step process requiring the cellular DNA repair machinery.<sup>9,10</sup> First, the viral polymerase that is covalently attached to the HBV genome needs to be removed to form a protein-free rcDNA (PF-rcDNA) intermediate.<sup>11</sup> Formation of the cccDNA requires then the removal of both an RNA primer from the positive strand and of terminally redundant sequences from the negative strand, as well as the repair of the incomplete positive strand before both DNA strands can be ligated.<sup>12</sup> Distinct cellular components and nuclear enzymes appear to participate in cccDNA formation. For instance, the DNA polymerase K was shown to participate in completing the positive strand DNA of the rcDNA,<sup>13</sup> while the cellular DNA repair enzyme tyrosyl-DNA-phosphodiesterase 2 (TDP2) was shown to be involved in the release of the viral polymerase from rcDNA *in vitro*.<sup>14</sup>

Establishment of the cccDNA as an episomal plasmid-like structure organized as a minichromosome involves its association with histone and non-histone proteins,<sup>15–17</sup> including proteins of host and viral origin.<sup>10</sup> Resembling the host chromatin, the cellular transcriptional machinery enables the transcription of the viral RNAs needed for viral replication. On the HBV genome, all open reading frames (ORFs) largely overlap, are identically oriented and encoded by the negative DNA strand. Essential for the production of new HBV genomes is the transcription of an over-length pregenomic RNA (pgRNA), which encodes both the viral polymerase and the core proteins that serve for encapsidation and reverse transcription of the pgRNA. Of note, different studies showed that not only rcDNA-containing nucleocapsids but also particles containing HBV RNAs can be enveloped and secreted from the cell,<sup>18–20</sup> although their impact on HBV life cycle and HBV-associated liver disease remains to be elucidated.



**Figure 1** HBV life cycle with antiviral treatments and conditions affecting cccDNA.

The cccDNA also transcribes distinct subgenomic RNAs for the production of the three envelope proteins (large, medium and small) that all share the carboxyterminal ends and contain the HBsAg, which is commonly detected by tests for HBV infection (for a review see<sup>6</sup>). The high sensitivity of such tests relies on the fact that infected hepatocytes secrete subviral particles (SVPs) (Figure 1) in far higher numbers than infectious particles. SVPs are composed of the HBsAg and host-derived lipids, but do not contain the capsid and the HBV genome.<sup>21</sup> High amounts of SVPs are thought to contribute to generate a state of immune tolerance on both innate and adaptive immunity against HBV.<sup>22</sup> Apart from HBsAg, HBV produces two non-structural proteins, the secreted HBeAg and

the small regulatory X protein (HBx). HBeAg is a non-particulate form of the nucleoprotein that appears to have tolerogenic functions in the neonates of viremic mothers<sup>23</sup> but that is not essential for viral replication. In clinical practice, the secreted HBeAg is used as a marker of viral replication. In contrast, the non-structural HBx plays a key role in HBV replication, since numerous *in vitro* and *in vivo* studies demonstrated a dramatic impairment of cccDNA-driven HBV RNA transcription in cells inoculated with an HBV-X-minus mutant.<sup>24–27</sup>

Identifying the diverse host factors determining or limiting HBV entry and cccDNA formation will be essential to progress in the development of new therapies targeting the early steps of infection and cccDNA establishment.

However, therapies targeting the host may also have more adverse effects than strategies targeting viral components, which may also be essential to establish an active cccDNA minichromosome.

## Regulation of cccDNA Activity

The function of the HBV minichromosome depends on the activity of numerous transcription factors and chromatin-modifying enzymes.<sup>28–30</sup> Since HBV infects primary human hepatocytes, it is not surprising that the viral genome bears binding sites both for ubiquitous and liver-specific transcription factors.<sup>31</sup> Thus, both the recruitment and dynamic interplay of several factors, including coactivators and corepressors, appear essential to yield efficient HBV gene expression<sup>28,32</sup> (reviewed in<sup>33</sup>). Apart from cellular factors, viral elements such as the HBV core (HBc) and HBx proteins are central in cccDNA biology and activity. The viral core protein appears to act as a structural component of the cccDNA and its physical association with the minichromosome was suggested to be accountable for the reduced nucleosomal spacing determined on the cccDNA in comparison to the spacing generally found in the cellular chromatin.<sup>16</sup> Although the role of HBc as positive regulator of cccDNA transcription is not yet clear, a reduced capacity to associate with the cccDNA and to recruit the histone acetyltransferases has been recently determined in the presence of HBc mutants.<sup>34,35</sup>

Numerous reports described the ability of the small regulatory HBx to interfere with several cellular pathways and transcription factors and HBx over-expression was shown to cause transactivation of a wide range of viral elements and cellular promoters.<sup>36</sup> Although the mechanism by which HBx promotes HBV replication is not fully elucidated, Belloni et al used a cccDNA-specific chromatin immunoprecipitation (ChIP) assay to show the recruitment of HBx onto the cccDNA minichromosome, thus providing first evidence that HBx can control cccDNA-driven HBV transcription by acting at epigenetic level.<sup>37</sup> However, HBx bears the capacity to affect a variety of cellular factors of hepatocytes, thus impairing our understanding of the hierarchy of interactions that are key in HBV infection of the hepatocytes.

A breakthrough regarding the impact of HBx on cellular proteins came from the study of Decorsiere et al<sup>38</sup> showing that HBx effectively degrades the ‘structural maintenance of chromosomes’ (Smc) complex SMC5/6 by hijacking the damaged DNA binding protein 1 (DDB1). Such binding,

which was also confirmed in additional independent studies,<sup>39</sup> promotes the interaction of the SMC5/6 complex with a component of the ubiquitin–proteasome system, the so-called E3 ubiquitin ligase enzyme. Ubiquitination and degradation of this complex by the host proteasome machinery was demonstrated both in cultured human hepatocytes and in humanized mice and represents a new mechanism by which HBx can antagonize the ability of SMC5/6 to associate with the cccDNA (Figure 1). Binding of SMC5/6 complex with the cccDNA can abrogate the transcription of the major HBV RNAs (pgRNA, precore mRNA and surface mRNAs). However, it has been recently hypothesized that such block may be ineffective for HBx mRNA. According to this scenario, the early production of the small HBV X RNA and HBx translation could promote SMC5/6 degradation to ensure then the transcription of the major HBV RNAs.<sup>40</sup> Such SMC5/6 antagonism mediated by HBx to ensure productive viral replication appears as an evolutionarily conserved strategy, since several viruses have evolved mechanisms to manipulate the ubiquitin–proteasome system.<sup>41</sup>

HBx was also described to increase the expression of DNA methyltransferases (DNMTs),<sup>42</sup> suggesting that sustained HBx production may promote epigenetic changes on viral and host genes.<sup>43,44</sup> Transcriptional silencing is often associated with the methylation of the DNA within CpG dinucleotides. Depending on the HBV genotype, the cccDNA contains two to three putative CpG islands that are strategically located within the regulatory elements of the genome. However, the methylation rate of these CpG islands was shown to correlate with the repression of cccDNA gene transcription<sup>45,46</sup> and with lower levels of HBV viremia and HBsAg.<sup>47</sup> Of note, higher levels of HBV DNA methylation have been determined in HCC tissues compared to infected and cirrhotic tissues.<sup>48</sup> The methylation of the viral genome is regarded as a host defence mechanism attempting to silence viral gene expression. Thus, further studies are needed to investigate if and to which extent such mechanisms may be exploited for therapeutic purposes (see also section impact of antiviral treatments on the cccDNA), since these approaches bear the risk to affect also the host genome.<sup>49</sup>

A variety of histone-modifying enzymes can also affect viral transcription by altering the histones associated to the cccDNA (see also<sup>50</sup>). In this regard, analysis of liver biopsies from CHB patients indicated that histone hypoacetylation of the minichromosome correlates with low HBV viremia,<sup>51</sup> while acetylation of cccDNA-bound H4

was reported to correlate with higher levels of HBV replication.<sup>51</sup> Along this line, data revealing the association of HBx with the cccDNA minichromosome also indicated that HBx-mediated transcriptional activity relies on the recruitment of histone acetyltransferases (HATs)<sup>37</sup> and of coactivators involved in chromatin modulation,<sup>52</sup> such as the host CREB-binding protein (CBP), p300 and the p300/CBP-associated factor (PCAF). Moreover, in the absence of HBx, cccDNA silencing was associated with the decrease of histone 3 acetylation (H3), increase of repressive markers and recruitment of the heterochromatin protein 1 (HP1), a factor that correlates with condensed chromatin.<sup>26</sup>

Of note, a recent report indicated that not only the expression levels of acetyltransferases, such as HAT-1, which is mostly involved in the acetylation of newly synthesized histone 3 and 4 but also the levels of the histone chaperone chromatin assembly factor 1 (CAF-1) appeared significantly elevated in distinct experimental settings of HBV infection.<sup>53</sup> Both HAT-1 and CAF-1 play a key role in nucleosome assembly. Notably, siRNA-mediated depletion of HAT-1, which was administered at the time of HBV infection, was reported to affect cccDNA establishment *in vitro*.<sup>53</sup> The study of Yang et al also revealed an increase of the long non-coding RNA (lncRNA) HULC (highly upregulated in liver cancer), which served to recruit HAT-1 to the cccDNA. This long non-coding RNA appeared to act as a scaffold promoting the assembly of different transcriptional regulators. Intriguingly, also the HBV core protein appeared to be involved in the HULC-HAT1 interaction,<sup>53</sup> thus highlighting the complexity of the events, which document the involvement of both virological and host factors in the formation and regulation of active cccDNA.

Concerning the organization of the cccDNA chromatin, Tropberger et al reported that the levels and distribution of active histone modifications appeared comparable to those determined on cellular chromatin<sup>30</sup> and that active histones were particularly enriched at sites of HBV promoters. However, this study also revealed an underrepresentation of repressive marks on the cccDNA.<sup>30</sup> Adding complexity to our understanding of cccDNA regulation, Flecken et al recently analyzed the profile of histone modifications on HBV DNA sequences obtained from liver biopsies of patients at different stages of CHB.<sup>54</sup> This study indicated the existence of strong differences in the deposition of posttranslational modifications (PTMs) among individuals. It remains to be investigated whether such heterogeneity of

histone modifications reflects intrahepatic variations of HBV transcriptional levels or rather a non-canonical role of histone modifications on HBV DNA sequences. Keeping in mind the remarkably different organization of the cccDNA, such as its circular conformation, small size and compact organization of regulatory elements, differences in terms of epigenetic regulation among viral and host genome are not surprising. Understanding such differences appears mandatory to assess whether interventions based on epigenetic regulation of the cccDNA may become achievable and suitable therapeutic goals to accelerate HBV cure.

## The cccDNA Pool and Its Stability

Studies in ducks and woodchucks led to the notion that newly synthesized DNA-containing nucleocapsids are efficiently transported into the nucleus of the hepatocytes to build a large pool of cccDNA molecules, where a high number of cccDNA molecules (up to 50 copies/cell) can be detected.<sup>6,55,56</sup> However, such a rapid intracellular accumulation of cccDNA molecules is generally not observed in human hepatocyte cultures.<sup>57,58</sup> Despite the technical challenges in quantifying cccDNA amounts and reports indicating up to 15 copies/cell,<sup>59,60</sup> most available evidence suggests that the amount of HBV minichromosomes per cell is generally low.<sup>57,61</sup> Lower cccDNA copies per cell are often estimated in liver biopsies of CHB patients<sup>62–64</sup> and in the liver of human chimeric mice,<sup>65,66</sup> where an average of 1 to 5 copies per cell is commonly determined although nearly all human hepatocytes stain HBV positive (for instance for HBcAg). Regarding the mechanisms by which a cccDNA pool can be amplified, it should be noted that in contrast to other larger DNA viruses, such as herpesviruses and papillomaviruses, the HBV cccDNA does not contain a so-called origin of replication (ORI), thus the HBV minichromosome cannot undergo semiconservative replication.<sup>6</sup> Consequently, the cccDNA pool can be formed either by incoming rcDNA containing virions building new cccDNA molecules or by the generation of new rcDNA molecules that are redirected to the cell nucleus. Intriguingly, different mechanisms, both host and viral derived, appear to control the pool size of HBV cccDNA among hepadnaviruses.<sup>67</sup> *In vitro* studies showed that a slow infection process is required to establish the cccDNA pool and that its maintenance in NTCP-HepG2 cells depended both on intracellular recycling of HBV genomes and on infection of naïve cells by newly formed virions.<sup>58</sup> On the other hand, *in vivo* studies in humanized

mice indicated that the amplification of the cccDNA pool mainly depends on new rounds of infection. In that study, administration of the entry inhibitor Myrcludex-B during the rump-up phase of infection blocked both further intrahepatic viral spreading among uninfected cells and new infection events in cells that already harbored the cccDNA.<sup>61</sup> Consequently, the expansion of cccDNA molecules within individually infected cells (i.e. by increasing cccDNA copy number per cell from two to five) could only derive from the intracellular amplification pathway and, if taking place, lead to a substantial increase of cccDNA loads in the whole liver of humanized mice, which should be appreciated even by qPCR methods. However, intrahepatic cccDNA increases and hence expansion of the cccDNA pool within already infected human hepatocytes could not be determined in the presence of Myrcludex-B.<sup>61,66</sup> These *in vivo* studies indicate that in contrast to observations made with HBV-related viruses, the intracellular cccDNA amplification pathway may be less efficient in HBV-infected human cells.

The half-life of individual cccDNA molecules *in vivo* is not clearly defined. Some studies indicated that the cccDNA pool is very stable in the nucleus of non-dividing cells, apparently persisting for the life span of the hepatocyte.<sup>68,69</sup> However, studies in hepatoma cell cultures estimated the half-life of the cccDNA to be around 40 days,<sup>58</sup> while studies in ducks and woodchucks indicated an average of 30 to 50 days for DHBV and WHV cccDNA molecules.<sup>70,71</sup> Yet, the slow decay of cccDNA loads determined in CHB patients receiving nucleoside analogs (NAs)<sup>64,72–76</sup> contributed to extrapolate mathematical models suggesting that decades may be needed to achieve complete cccDNA clearance. Intriguingly, Huang et al recently employed a well-known lamivudine resistance mutation as a cccDNA molecular signature to monitor the kinetic of decay of these mutated cccDNA molecules within a complex pool of cccDNA quasi-species present in liver biopsies of patients from two distinct clinical trials.<sup>77</sup> Supported by the strong correlation determined between cccDNA loads, intrahepatic and serum HBV RNA levels, the authors concluded that cccDNA half-life may be significantly shorter (several months instead of years), at least in patients switching therapy (different NA or interferon) after having experienced virological breakthrough. Despite the small number of patients analyzed and the challenge to detect and quantify distinct cccDNA species with high accuracy, the study highlights the importance to investigate the kinetics of

cccDNA decay in patients, as well as the need to explore further the potential of surrogate biomarkers able to reflect intrahepatic cccDNA amounts and activity. Despite such encouraging advances, elimination of the cccDNA from the whole liver remains challenging and it is likely to require substantial destruction of the infected cells or substantial cccDNA destabilization. Yet, keeping in mind that low cccDNA amounts are found even in the liver of patients who resolved acute infection,<sup>78</sup> complete elimination of the intrahepatic cccDNA reservoir may remain an unreachable goal not required to gain immunological control and resolve HBV infection.

## The Contribution of Cell Division to HBV Resolution

Immune cells have the ability to destroy the cccDNA together with the infected cell, as well as to induce compensatory hepatocyte proliferation.<sup>79,80</sup> Previous studies performed in animal models based on hepadnaviruses and their natural hosts (ducks and woodchucks),<sup>71,81</sup> as well as using patient liver biopsies,<sup>82</sup> indicated an inverse relationship between cell turnover and intrahepatic cccDNA loads. Furthermore, cccDNA-negative cell clones containing HBV DNA integrations into the host genome demonstrated that cccDNA clearance without cell destruction can occur in chronically infected livers.<sup>83</sup>

The cccDNA is an episomal, plasmid-like, structure lacking centromeres. Reports indicated that cell division may lead to an equal<sup>84</sup> or unequal distribution of the cccDNA molecules among daughter cells or even cause their loss during mitosis<sup>85</sup> (Figure 1). In fact, cytosolic nucleases may promote efficient destruction of DNA molecules that are released into the cytoplasm.<sup>86</sup> Recent studies in human liver chimeric mice showed that the proliferation of HBV-infected human hepatocytes provoked a strong reduction of intrahepatic cccDNA loads.<sup>66</sup> Moreover, cell division caused not only dilution of the HBV cccDNA among daughter cells but also a substantial loss of intrahepatic cccDNA amounts. In spite of the fact that cccDNA could be efficiently purged from the great majority of the human hepatocytes, complete viral clearance was not achieved since HBV survived in sporadic, apparently non-proliferating human hepatocytes.<sup>66</sup> Consequently, virological markers rebounded as hepatocyte expansion relented and such rebound was largely due to reinfection of quiescent primary human hepatocytes, since treatment with the entry inhibitor Myrcludex-B blocked viral spread and

intrahepatic cccDNA accumulation. The persistence of very few dispersed non-proliferating hepatocytes expressing high levels of HBV markers (HBcAg and HBV RNA) indicated that viral infection might hamper cell division. This observation is in line with previous studies pointing out the lower capacity of hepatocytes to proliferate in HBV-transgenic mice during liver regeneration.<sup>87</sup> The lower ability of HBV-positive cells to undergo mitosis might have significant clinical implications, since cells unable or that are less prone to divide may serve as viral reservoir. These experimental observations suggest that the development of therapeutic interventions targeting such persisting HBV-producing cells may be critical to achieve viral elimination. Moreover, the impact of cell division on cccDNA maintenance suggests that curative therapeutic approaches may need the involvement of controlled destruction of infected cells, for instance by boosting HBV-specific immune responses, whereas strategies preventing occurrence of new infection events would protect cured hepatocytes from re-infection. In this regard, an attractive strategy aiming at restoring viral immune responses may rely on the adaptive transfer of engineered HBV-specific T cells. Recent studies have shown how T cells expressing an HBV-specific T cell receptor (TCR) can specifically target HBV-infected hepatocytes both in vitro and in vivo, leading to significant elimination and perhaps also silencing of the cccDNA.<sup>88–90</sup>

In sum, increasing lines of evidence support the concept that both killing of infected cells and compensatory proliferation play a key synergistic role in resolving HBV infection. The fast recovery from acute HBV infection in adult patients suggests that multiple factors, including cytolytic cell killing and non-cytolytic mechanisms, such as compensatory hepatocyte proliferation and cytokine-mediated destabilization of the cccDNA (see section Impact of antiviral treatments on the cccDNA – interferons), contribute to HBV clinical resolution with loss of HBsAg while the liver remains functional. Such synergistic processes might also be active in CHB patients that stopped long-term NA therapy before reaching loss of HBsAg and that were reported to achieve a more favorable treatment outcome after experiencing a transitory hepatic flare.<sup>75,91,92</sup> Notably, reports indicated that low cccDNA amounts can be detected even in the liver of patients that resolved acute HBV infection,<sup>78</sup> thus augmenting the assumption that we may not need to eliminate the intrahepatic cccDNA reservoir completely in order to gain sustained off-treatment control resembling natural resolution of HBV infection.

## Impact of Antiviral Treatments on the cccDNA

### Targeting HBV Replication

Currently approved treatments based on nucleoside analogs (NAs) can block HBV reverse transcription with high efficiency, thus leading to the reduction of viremia even below detection limits. However, this class of compounds does not target directly the HBV minichromosome (Figure 1) and various studies indicated that long-term antiviral therapy is needed to achieve significant intrahepatic cccDNA reduction.<sup>64,72,73,93–95</sup> Persistence of the HBV minichromosome within the hepatocytes in spite of undetectable levels of HBV DNA viremia and HBsAg in the serum (functional cure) is the reason for the viral rebound determined after cessation of NA treatments. Moreover, recent studies indicated that even upon use of potent polymerase inhibitors, suppression of viral replication during NA therapy remains incomplete.<sup>76</sup> Such phenomenon could also lead to new infection events and so contribute substantially to the maintenance and renewal of the cccDNA pool. Thus, it will be important to determine whether stronger suppression of intracellular HBV replication can be achieved by developing more potent polymerase inhibitors or by combining NAs with strategies affecting different steps of HBV replication, such as inhibitors of the HBV RNAseH<sup>96</sup> or capsid assembly inhibitors (see below). A more effective block of HBV replication shall stop cccDNA replenishment and may reveal a faster decay of the cccDNA pool or of distinct cccDNA species, as recently proposed.<sup>77</sup>

### Prevention of HBV Entry

The use of an entry inhibitor in combination with drugs reducing HBV production, such as NAs, represents an increasingly attractive strategy to block efficiently the replenishment of the cccDNA pool via new infection events. Entry inhibition is efficiently achieved using Myrcludex B (Bulevirtide/Hepcludex), a small myristoylated lipopeptide containing the same aminoacid sequence of the preS1 domain of the HBV envelope protein and a first-in-class entry inhibitor blocking the NTCP cellular receptor.<sup>97</sup> Both preclinical studies in human liver chimeric mice and clinical trials performed in patients coinfecting with Hepatitis Delta virus<sup>61,98,99</sup> demonstrated the safety profile and the potency of this peptide to prevent HBV and HDV intrahepatic spreading and cccDNA formation. Of note, the drug received Orphan Drug Designations for the treatment of HDV infection from the European Medicines

Agency (EMA) and from the US Food & Drug Administration (FDA) and has been just approved by the EMA for the treatment of chronic HBV/HDV infection.

Viral entry can also be affected by small molecules, such as cyclosporine derivatives, or by using neutralizing antibodies against HBsAg epitopes.<sup>100</sup> Such antibodies may not only prevent HBV entry and cccDNA formation but they may also trigger additional antiviral and immunomodulatory activities, such as interference with viral secretion and phagocytosis of viral particles-immune complex. These studies indicate that the use of humanized HBsAg-specific neutralizing monoclonal antibodies, possibly in combination with NAs, may provide a valuable therapeutic approach to accelerate HBsAg reduction and to control cccDNA loads in chronic CHB patients.

## Targeting Viral Proteins

Antiviral agents targeting viral replication at the level of protein production, assembly or release may also achieve block of de novo HBV infection. Among these are capsid inhibitors and inhibitors of HBV and HBsAg secretion.

The HBV core protein is essential for HBV genome packaging, reverse transcription, intracellular trafficking and re-shuttling of newly synthesized HBV genomes into the nucleus to form new cccDNA molecules. Compounds that interfere with capsid formation are core protein allosteric modulators (CpAMs), such as heteroaryldihydropyrimidines (i.e. GLS4) and capsid assembly modulators (i.e. NVR-3778, JNJ6379, ABI-H0731). While the first type promotes capsid mis-assembly, the second type form capsids devoid of nucleic acids. These compounds were shown to reduce HBV DNA and HBcAg levels in preclinical in vitro and in vivo models,<sup>101,102</sup> as well as in clinical trials.<sup>103,104</sup> Although these compounds are expected to interfere with the intracellular cccDNA replenishment, treatment of HBV-infected mice with humanized livers showed strong reduction of HBV DNA in serum, while levels of HBsAg were reduced significantly only when mice received the compound (NVR-3778) in combination with peg-IFN. Of note, levels of cccDNA were not affected by six weeks of treatment with this capsid inhibitor.<sup>102</sup>

Several small molecules with the capacity to inhibit HBV secretion, such as a benzimidazole compound, have been reported to decrease HBsAg levels by inhibiting the intracellular re-localization of the HBV envelope proteins to the Golgi apparatus.<sup>105</sup> HBsAg release inhibitors such as nucleic acid polymers (NAPs) seem to inhibit HBV secretion too, although the exact mechanism is not fully

elucidated and effects on the status of cccDNA need to be explored.<sup>106</sup>

Among novel small molecules that selectively reduce viral antigen expression, RG7834 showed to reduce viremia and the levels of viral antigens (HBsAg).<sup>107</sup> However, these are also not expected to have a strong impact on the cccDNA. High levels of viral antigens are believed to contribute to the maintenance of HBV chronicity and therapies aiming at reducing viral RNAs and proteins are receiving increasing attention. It is however not yet clear how the reduction of certain viral antigens may impact the cccDNA. The mode of actions of distinct drugs needs to be carefully investigated.

Given the key role of HBx in cccDNA transcription, drugs targeting HBx may have the potential to inactivate cccDNA transcription. Nitazoxanide (NTZ), a thiazolide a broad-spectrum anti-infective agent that has been approved by the Food and Drug Administration for treating parasites, was shown to inhibit the binding of HBx to DDB1 and prevent the degradation of the SMC5/6 complex. Consequently, viral transcription could be reduced in cultured hepatocytes.<sup>39</sup> Whether efficient inhibition of cccDNA transcription could be achieved also in vivo remains to be investigated.

## Targeting Viral RNAs

The potential of small interfering RNAs against HBV has been investigated in preclinical and clinical studies. These studies have shown the great potential of siRNAs to lower the levels of circulating HBsAg.<sup>108</sup> Recent studies in cultured HBV-infected hepatocytes showed that treatment with small interfering RNAs (siRNAs) targeting all HBV RNA levels, including the HBx mRNA, can promote the decrease of HBx protein levels and reappearance of the SMC5/6 complex.<sup>109</sup> Thus, targeting HBV replication with siRNAs not only enables the reduction of viremia and antigenemia but also bears the capacity to lower intracellular levels of core proteins and HBx production (Figure 1). Whether the reappearance of the SMC5/6 complex induced by siRNA treatment can contribute to cccDNA silencing also in vivo is currently under investigation.

## Targeting the cccDNA

As mentioned above, the cccDNA is the key viral molecule in HBV life cycle and curative therapy for CHB will require substantial reduction of cccDNA loads and likely silencing of persisting cccDNA molecules. Different gene therapy strategies are currently developed and under

investigation either to edit or destroy the minichromosome or to epigenetically modify it to achieve transcriptional inactivation.

- (A) Strategies editing the cccDNA: Inhibiting cccDNA formation has the potential to limit intrahepatic accumulation of cccDNA loads. However, such strategies may not have substantial impact on the half-life of already formed molecules in infected hepatocytes. Nucleases have, however, the potential to target directly the cccDNA. The “clustered regularly interspaced short palindromic repeats” (CRISPR)/Cas9 system is an attractive approach because of the capacity of the single-guide RNAs (gRNA) design to target specific DNA sequences. Preclinical experiments have presented proof-of-concept for the use of the CRISPR/Cas9 system and showed cleavage and degradation of cccDNA molecules, but also occurrence of deletions leading to cccDNA inactivation.<sup>110–113</sup> In these studies, different markers of viral replication were significantly reduced without evidence of toxicity, suggesting that the CRISPR/Cas9 system could be recruited to the HBV-expressing vectors. Although simultaneous targeting of different loci might improve efficiency of cccDNA cleavage and degradation, the CRISPR/Cas system can also target HBV DNA integrations and induce DNA double-stranded breaks on viral and host genome. Thus, it bears the risk of generating genomic rearrangements and an increased rate of integration and genomic instability. Moreover, hepatocellular delivery and possible off-target effects, including the occurrence of cleavage and unpredictable recombination of host genome sequences harboring HBV DNA integrations, need further studies. In this regard, a recent study employed dCas9-based approaches to inactivate HBV cccDNA by suppressing viral gene expression without DNA cleavage.<sup>114</sup> Despite all these safety concerns, the gene-editing field is constantly evolving by investigating novel nucleases with lower off-target effects and increased efficacy and safe delivery. Thus, understanding the biology of HBV after gene editing remains an essential topic.
- (B) Epigenetic modifiers promoting cccDNA silencing: As a DNA molecule associated with histones, transcription of the cccDNA minichromosome can be affected by inducing specific epigenetic

modifications.<sup>50</sup> The development of such drugs has gained great attention and some epigenetic modifiers have been approved for the treatment of selected cancers. From a technical point of view, epigenetic therapies can focus on (i) targeting cellular enzymes that create epigenetic marks, like DNA methyltransferases (DNMTs) and histone acetyltransferases (HATs), or (ii) on targeting enzymes that recognize such marks and can act as mediators by attracting transcription factors; or (iii) by interfering with enzymes, like the histone deacetylases (HDACs) that remove certain epigenetic marks. For instance, AGK2 is an inhibitor of the HDAC SIRT2 and was shown to suppress cccDNA transcription in tissue culture.<sup>115</sup> Remarkably, the study also showed that transcription from integrated HBV DNA sequences appeared enhanced, thus indicating the existence of a different epigenetic regulation of transcription between episome and integrated HBV DNA. Despite first encouraging examples, it must be kept in mind that modulation of epigenetic modifiers by targeting cellular enzymes has not only the potential to suppress HBV transcription, but is likely to induce pleiotropic effects affecting cell homeostasis. Thus, the targeting of specific viral factors is expected, at least in principle, to cause less adverse effects. In this regard, the link reported between HBx expression and cccDNA epigenetic control deserves further evaluation. The mechanism of HBx-mediated cccDNA regulation through interaction with cellular partners is an interesting avenue for the use of small molecules to target either directly HBx or its interaction with the cccDNA. Anyhow, a better understanding of the mode of action of HBx in the setting of HBV infection and on epigenetic regulation of cccDNA is urgently needed to identify factors that could be specifically targeted to permanently silence the HBV reservoir.

- (C) Interferons: Cytokines and agonists of pattern recognition receptors (i.e. TLRs, RIG-I) are known to activate both the innate arm of immune responses (macrophages, dendritic cells, natural killer cells) and adaptive immune responses. Innate immune activation can trigger the production of inflammatory cytokines, activate myeloid cells and NK cell lysis, alter antigen presentation and affect HBV viral replication in hepatocytes (see<sup>116</sup> for more details). The production of interferons will also boost activation of adaptive

immune responses and eventually lead to destruction of infected hepatocytes, thus affecting also cccDNA amounts.

Cytokines like interferon alpha (IFN- $\alpha$ ) deserve particular attention since an increasing number of reports pointed out the ability of some interferons to act not only as immune modulators but also to impact HBV replication and even cccDNA.<sup>65,117–120</sup> In particular IFN- $\alpha$ , which is used for the treatment of CHB, was reported to accelerate the degradation of pgRNA and core particles in HBV transgenic mice.<sup>121–123</sup> Furthermore, studies in HBV-infected humanized mice revealed that administration of the therapeutic pegylated form (peg-IFN $\alpha$ ) efficiently lowered the levels of both pregenomic and subgenomic HBV RNAs<sup>29,65</sup> (Figure 1). The underlying molecular mechanisms leading to the rapid decrease of genomic and subgenomic HBV RNAs are not yet elucidated. Nevertheless, IFN $\alpha$  was shown to induce epigenetic modifications of the histones bound to the cccDNA minichromosome,<sup>29</sup> while involvement of the chromatin remodelling polycomb repressive complex 2 (PRC2) indicated epigenetic suppression of the cccDNA.<sup>29,51</sup> Further Chromatin Immunoprecipitation-Sequencing (ChIP-Seq) experiments also demonstrated the reduction of active histone marks upon IFN $\alpha$  administration and such decrease could be even achieved by using a small molecule inhibitor of the responsible histone acetyltransferase, thus proving a functional link between reduced HBV replication and reduced levels of active histone marks.<sup>30</sup> While these studies explain how IFN- $\alpha$  can directly contribute to the decline of viral antigen levels also without involvement of immune cells,<sup>65</sup> recent studies have also indicated that administration of peg-IFN $\alpha$  in HBV-infected human liver chimeric mice promotes the reappearance of the SMC5/6 complex in human hepatocytes. Thus, in line with the study of Decorsiere,<sup>38</sup> it appears that IFN $\alpha$  can promote cccDNA silencing by acting at different levels, including the induction of SMC5/6-mediated suppression of cccDNA transcription.<sup>124</sup>

Besides promoting silencing, IFN- $\alpha$  was shown to induce partial cccDNA degradation by up-regulating nuclear cytidine deaminases and the nuclear factor  $\kappa$ -light-chain-enhancer of activated B cells (NF $\kappa$ B) pathways.<sup>117</sup> Of note, even other cytokines, like tumor necrosis factor  $\alpha$ , were shown to contribute to a non-cytolytic cccDNA destabilization.<sup>120</sup> Studies in patients also indicated that one year of combination therapy with NAs and IFN- $\alpha$  promoted a stronger cccDNA reduction (2-log) than in the setting of NA monotherapy.<sup>73</sup> However, despite such

encouraging evidence, interferon treatment is effective in only a minority of individuals and HBsAg loss rates remain low.<sup>125</sup> Keeping also in mind the systemic side effects and contraindications that are associated with IFN-based therapy, it seems important to concentrate research efforts in gaining knowledge of the molecular mechanisms by which some cytokines can achieve cccDNA silencing and destabilization.

## Conclusions

Elimination of the HBV minichromosome remains challenging and more research is needed to advance our understanding in cccDNA biology and to develop therapeutic strategies efficiently targeting the cccDNA, as well as approaches boosting HBV-specific immune responses. Based on the current knowledge available, it appears clear that cell division represents a weak point for the virus in HBV persistence. At the same time, certain cytokines produced by immune cells, like IFN $\alpha$ , can also contribute to cccDNA silencing and destabilization. The growing awareness of the importance of the regulatory HBx protein in cccDNA activity encourages efforts toward the development of agents able to interfere with HBx, since these may silence the transcription of the HBV matrix and hinder the accumulation of various HBx-mediated host alterations that have been associated with CHB pathogenesis and liver cancer progression.

It is conceivable that targeting distinct viral components and steps of HBV life cycle, possibly by silencing the cccDNA, while protecting the hepatocytes from de novo infection, will likely be needed to achieve a functional cure for the majority of CHB patients. Moreover, the improvement of strategies enhancing HBV-specific adaptive immune responses may eliminate a substantial amount of HBV-infected cells and trigger compensatory cell proliferation; events that should further lower cccDNA loads, possibly achieving levels comparable to those determined in the setting of resolved HBV infection. To achieve such goals, we need to improve our comprehension of cccDNA biology and regulation in the setting of infection. Taking into advantage the availability of new technologies and experimental systems, the development of curative strategies may become a goal at reach in the near future.

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