Treatment of systemic sclerosis: potential role for stem cell transplantation

Wen Xiong
Chris T Derk
Division of Rheumatology, Thomas Jefferson University, Philadelphia, PA, 19107, USA

Abstract: Hematopoietic stem cell transplantation may “reset” the immune reconstitution and induce self tolerance of autoreactive lymphocytes, and has been explored in the treatments for systemic sclerosis. Phase I/II trials have shown a satisfactory risk benefit ratio. The true benefit will be identified by two ongoing prospective, randomized phase III trials. Multipotent mesenchymal stromal cells (MSCs) possess antiproliferative, anti-inflammatory, and immunosuppressive properties. The use of MSCs has showed successful responses in patients with severe steroid-resistant acute graft versus host disease in phase II trials, and may be a potentially promising option for patients with systemic sclerosis.

Keywords: scleroderma, systemic sclerosis, treatment, stem cells, transplant

Introduction
Systemic sclerosis (SSc) is a chronic autoimmune connective tissue disorder manifested by excess synthesis and deposition of collagen (both type I and III) in skin and visceral organs, vascular abnormalities and autoimmunity. Physically debilitating, scleroderma is associated with a 5- to 8-fold increase in mortality over that of the general population. High skin score, pulmonary, renal, or cardiac involvement is associated with a higher mortality of 40% to 50% within 5 years. The pathogenesis of SSc is extremely complex, which involves interactions between endothelial cells, epithelium, immunological mediators, lymphocytes, and fibroblasts. The functional alterations in these cells result in three characteristic changes in SSc: dysregulated vascular remodeling and, ultimately, vasculopathy with obliteration of the lumen of small arteries and arterioles; humoral and cellular immunologic abnormalities; and progressive cutaneous and visceral fibrosis. Endothelial cell injury is an early and probably initiating event, although the precise etiology remains unclear. Endothelin-1 (ET-1) is believed to be a potent mediator of vasculopathy, and therefore represents a potentially therapeutic target. To date, there is no single therapeutic agent proven to be universally effective, although several studies have shown that cyclophosphamide may improve skin thickening and lung fibrosis, and the efficacy of mycophenolate mofetil in scleroderma-related interstitial lung disease has also been described. The European League Against Rheumatism (EULAR) Scleroderma Trials and Research group (EUSTAR) published a set of core recommendations for treatment of SSc based on clinical research evidence in published literature, expert opinion, and clinical experience. The EULAR guidelines recommend assessing the patient for organ systems involved, and on the basis of this assessment, suggest using cyclophosphamide for lung...
disease or methotrexate for skin disease. Mycophenolate mofetil and azathioprine are good alternative treatments for lung disease; methotrexate is the most appropriate treatment for scleroderma overlap condition.\textsuperscript{13} ET-1 antagonists and sildenafil are supported by randomized trials on scleroderma associated pulmonary hypertension and possibly digital ulcerations. Most recently, new drugs such as relaxin, pirfenidone, halofuginone, tyrosine kinase inhibitors, anti-TGF-b antibodies, and histone deacetylase-7 inhibitor have been used as antifibrotic agents in the treatment of SSc, in some cases with promising results.\textsuperscript{14,15}

Hematopoietic stem cell transplantation (HSCT) is a potential therapy for patients with severe and refractory autoimmune disease. It is proposed to possibly “reset” the immune system of the host. The recipient is prepared for the transplant by immunosuppressive treatment, usually by chemotherapy and/or radiation therapy, followed by the transfers of autologous hematopoietic cells (cell harvested from the recipient before patient conditioning) or allogeneic hematopoietic cells (cell harvested from donors rather than the recipient) to restore the host immune system.\textsuperscript{16} Preclinical studies have supported this rationale in two animal models.\textsuperscript{17} Case series of patients undergoing allogeneic HSCT for malignancy or aplastic anemia revealed long-term remission of coexistent autoimmune diseases.\textsuperscript{18} In 1996, the first case of a patient with an autoimmune disease who underwent autologous hematopoietic stem cell transplantation was reported.\textsuperscript{19} Since then, over 1000 patients with severe or organ-threatening autoimmune disease have been treated with hematopoietic stem cell transplantation, mostly through autologous stem cell transplantation, due to concerns about an increased risk of graft versus host disease (GVHD) associated with allogeneic grafting. The transplant-related mortality observed in severe SSc patients has decreased significantly over time from 17% early on to 8.7% more recently.\textsuperscript{20,21} Two prospective controlled trials of HSCT in SSc are ongoing. The Autologous Stem Cell Transplantation International Scleroderma (ASTIS) trial (www.astistrial.com) was launched in 2001 by the European Group for Blood and Marrow Transplantation (EBMT)/EULAR scleroderma study group, to compare the efficacy and safety of high dose immunosuppression and HSCT with intravenous pulse therapy cyclophosphamide for the treatment of patients with severe SSc.\textsuperscript{22} The Scleroderma: Cyclophosphamide or Transplantation (SCOT) trial (www.sclerodermatrial.org) is sponsored by the US National Institutes of Health (NIH), similarly to compare the efficacy and safety of hematopoietic cell transplantation following high-dose immnosuppressive therapy with monthly intravenous pulse cyclophosphamide.

In the past several years, multipotent mesenchymal stromal cells, also referred to as mesenchymal stem cells (MSCs) have attracted attention in the treatment of autoimmune diseases. Their availability, low toxicity, as well as antiproliferative, anti-inflammatory, and immunosuppressive properties have been explored in animal models and in patients with severe GVHD, and MSCs may be a potential treatment option in patients with SSc, although data on the results of using MSCs in human autoimmune disease are scarce.

**HSCT in systemic sclerosis**  
**Rationale for HSCT**

Animal models with autoimmune diseases provided the rationale for HSCT. Investigations on hematopoietic chimeric rodents’ strains have revealed the important role of the hematopoietic system. The determinant of the susceptibility or resistance to various autoimmune diseases in these chimeric animals relies on the genotype of the bone marrow, which led to the hypothesis that the underlying defect resides in the hematopoietic stem cell.\textsuperscript{23-24} The majority of preclinical experiments supported the rationale for HSCT with two animal models, adjuvant arthritis and experimental allergic encephalomyelitis (EAE) induced in Buffalo rats. In these strains, adjuvant arthritis is manifested as a chronic, progressive systemic polyarthritis which mostly resembles human rheumatoid arthritis (RA); experimental allergic encephalomyelitis induced in Buffalo rats is manifested as a chronic, remitting/relapsing form of encephalomyelitis resembling multiple sclerosis (MS). In nearly all rats with adjuvant arthritis and 70% of rats with EAE absence of spontaneous relapses was complete after autologous stem cell transplantations with total body irradiation.\textsuperscript{17} Autologous HSCT was most successful at early disease stage, no effect was observed at more advanced stages. The success of treatment also depends on how completely the autoantigen-specific activated T-lymphocytes and memory cells are eradicated with increased intensity of conditioning therapy or certain conditioning agents.\textsuperscript{25} The stronger lympho-myeloablative regimens, like high-dose total body irradiation (TBI) (9–10 Gy) results in better response to HSCT; however, high-dose TBI is associated with serious late carcinogenic events.\textsuperscript{23} In both adjuvant arthritis and EAE models in Buffalo rats, cyclophosphamide alone or busulfan alone at the highest tolerated doses were less effective than high-dose TBI. Combination of cyclophosphamide with lower dose (4 Gy) TBI and the
combination of busulfan with cyclophosphamide were as effective as high-dose TBI.24

In comparison to autologous HSCT in animal models with EAE, allogeneic HSCT was found to be superior in terms of suppressing spontaneous relapse rates, 30% vs 5% respectively.26,27 This may suggest that allogeneic donor T cells eliminate autoreactive host lymphocytes, and may induce tolerance to autoimmune epitopes by deletion of autoreactive repertoires, and therefore, mediate a form of immunotherapy termed graft-versus-autoimmunity (GVA).28,29 Animal studies also demonstrate that resistance to autoimmune disease resides and can be transferred by hematopoietic stem cells. Engraftment of allogeneic stem cells could result in tolerance to donor antigens, permitting acceptance of donor-derived tissue without intensive immunosuppressive therapy.30 Thus animal studies support HSCT in autoimmune diseases with several potentially useful clinical strategies.

Although a putative GVA effect from allogeneic HSCT theoretically may be beneficial, the most significant toxicity of allogeneic HSCT is an immunologic reaction of donor cells against host tissues, a complication known GVHD, associated with significant morbidity and mortality. Most recently, several groups have used mini-conditioning with non-myeloablative or reduced-intensity conditioning in animal models, and human trials for allogeneic HSCT resulted in less GVHD, accompanied by the induction of transplantation tolerance and re-establishment of self-tolerance with allogeneic mixed chimerism.31–33

**Mechanistic aspects for HSCT**

Currently, the most favored theory to support treatment with HSCT for autoimmune disease is that the reconstitution of the immune system from stem cells represents a recapitulation of ontogenesis and may be accompanied by the induction of self-tolerance from immune ablation and hematopoietic stem cells.

Potent immunosuppressive treatments with chemotherapy or TBI regimens can eliminate autoreactive memory B cells and T cells, thus “resetting” the immune system, that is, the elimination of T and/or B cell repertoires allows the patient’s immune system to “start from scratch” and regenerate normally. The function of pathogenic and new developing T cell clones may be altered or immunomodulated by the conditioning and hematopoietic stem cells. For example, a study investigated qualitative immunologic changes that are responsible for the induction of immune tolerance by autologous HSCT in patients with juvenile idiopathic RA undergoing autologous HSCT. The study demonstrated the rapid restoration of the frequency of FoxP3 expressing CD4+CD25high regulatory T cells from severely reduced numbers before HSCT to normal levels after HSCT, and a renewed thymopoiesis of naïve mRNA FoxP3 expressing CD4+CD25+ regulatory T cells subsequently optimized the regulatory T cells repertoire and sustained the remission.34

Alternative mechanisms involved in HSCT may include intrathymic deletion (or tolerance) of newly developing autoreactive T cells, anergy, and deletion of peripheral auto-/allo reactive T cells, tolerization of B cells induction through mixed allogeneic chimerism, and B lymphoablation.35

**Patient selection for HSCT**

In general, the indication for HSCT in patients with SSc follows the consensus guidelines “life or organ threatening autoimmune diseases refractory to conventional therapy and with sufficient reversible pathology to allow a decent quality of life after cessation of inflammation”, in considering the morbidity and mortality associated with HSCT.36

In November 2002, a meeting was held in Florence, Italy aiming to analyze existing data from patients transplanted for autoimmune disorders and to propose a safe approach to these patients in relation to cardiotoxicity. Cardiac assessment was considered a high priority in cyclophosphamide-treated SSc.37 A modification of the stepped approach to cardiac assessment in patients with SSc and MS, proposed in 2004, seems appropriate for all patients undergoing HSCT.38

A careful and thorough cardiac and pulmonary assessment prior to HSCT should be performed including a standard 12-lead ECG, a trans-thoracic echocardiograph, 24 h Holter monitor, BNP or NT-proBNP and cardiac troponin I or T, coronary artery angiography or right heart catheterization if coronary artery disease or pulmonary hypertension are suspected, pulmonary function test, and thoracic high-resolution computer tomography (HRCT). The specific clinical features of patients with SSc were associated with potential toxicity in phase I/II stem cell studies. For example, patients with a mean pulmonary artery pressure above 50 mmHg by right heart catheterization or an ejection fraction below 40% tended not to tolerate sepsis with neutropenic fever, which induces a hyperdynamic cardiac output. Additionally, patients with SSc-related cardiac or pulmonary disease are prone to deteriorate, due to a combination of drug-induced organ toxicity and volume overload seen during the mobilization stage and conditioning.39 In patients with SSc, any reduction in ejection fraction is likely to be associated also with significant diastolic dysfunction.
BNP or NT-proBNP is a more reliable test for screening patients for elevated filling pressures. An NT-proBNP level of 350 pg/mL has been identified as associated with an increased risk during HSCT. A level above 250 pg/mL has been identified as being associated with an increased mortality in patients with SSc-associated pulmonary artery hypertension. Furthermore, in patients prone to low-level myocardial injury, cardiac troponin (I or T) assays will detect patients in whom the probability of unforeseen cardiac events is greater. Therefore, echocardiography, and Holter monitoring, BNP or NT-proBNP and cardiac troponin I or T, and coronary angiography or right cardiac catheterization are recommended in patients with SSc prior to undergoing a HSCT.

Mobilization of hematopoietic stem cells
The most common peripheral blood stem cell (PBSC) mobilization regimen are single-agent granulocytes colony-stimulating factor (G-CSF) or cyclophosphamide and G-CSF, based on methods established for patients with non-autoimmune disorders. Flares of autoimmune diseases like RA and MS have occurred in patients taking G-CSF as a single regimen for mobilization, presented with transient swollen and tender joints in RA and serious and irreversible neurological deterioration in MS. The only complication of G-CSF PBSC mobilization in patients with SSc was transient telangiectasias that spontaneously resolve. Patients with SSc with cardiac and/or pulmonary involvement undergoing PBSC with high-dose cyclophosphamide (4.0 g/m²) may have cardiac and/or pulmonary complications. After collection of progenitor cells, many groups perform ex vivo lymphocyte depletion. Aggressive lymphocyte depletion may increase the risk of post-transplantation opportunistic infections such as cytomegalovirus, fungemia, Pneumocystis carinii pneumonia, or Epstein-Barr virus post-transplantation lymphoproliferative disease (PTLD). The PBSC method, therefore, should be individualized for the disease and organ involved.

Conditioning regimens
Cyclophosphamide, cyclophosphamide I antithymocyte globulin (ATG), and cyclophosphamide/TBI/ATG have been commonly used as conditioning regimens for SSc. As mentioned before, high-dose cyclophosphamide is associated with high cardiopulmonary mortality in patients with SSc. TBI without lung shielding has been associated with lethal pulmonary deterioration in patients with pulmonary scleroderma. When using high-dose (40–70 Gy) localized radiation to treat cancer in patients with SSc, radiation-related injury may extend beyond the radiation field and cause death in approximately a third of patients and/or severe fibrosis in areas overlapping the radiation field. When using low-dose (18 Gy) radiation, patients with SSc may develop radiation-related toxicities that are difficult to distinguish from disease exacerbation. The TBI-related lung injury may be lessened if partial lung shielding is used. One possible mechanism of radiation-related injury is that radiation may be synergistic or additive to ongoing scleroderma-related vascular injury and tissue fibrosis. The histological features of late radiation injury are similar to SSc, including a vasculopathy with loss of capillaries, telangiectasia, and excessive collagen deposition. Furthermore, because high-dose rabbit ATG has been reported to be associated with lethal PTLD, lower-dose rabbit ATG or the use of horse ATG are recommended. All patients should be warned of infertility, late toxicities like cataracts, or late malignancies from TBI and/or cyclophosphamide.

Clinical trials and outcome
Autologous HSCT
Early phase I/II studies reported improved skin scores and activities of daily living but unchanged renal, cardiac, and pulmonary function. However, with intensive immunosuppressive regimens, these studies showed significantly high treatment-related mortality (TRM). In the first 41 transplanted patients with SSc after a median of 12 months of follow-up, as reported to the EBMT/EULAR database, an improvement of 25% or more in the skin score (measured by the modified Rodnan method) was seen in 70% of the patients, with a TRM observed initially at 17%, and later at 12.5% when an additional 24 patients were recruited. Several protocols have been used; peripheral blood stem cell mobilization has been performed with cyclophosphamide alone or combined with granulocyte colony stimulation factor. Seven conditioning regimens have been used including cyclophosphamide ± antithymocyte globulin ± total body irradiation. A long-term follow-up of this cohort reported a significant drop in skin score of >25% of initial values in 79% patients at 2 years and 60% patients at 3 years after HSCT. Complete and partial remission was observed in two-thirds of the patients up to 3 years after HSCT. The overall TRM was of 8.7%. The reduced TRM contributed...
to more careful patient selection by careful cardiac and pulmonary function evaluation.

A US multicenter pilot study of 19 patients with SSc using a regimen of cyclophosphamide 120 mg/kg body weight, TBI 8 Gy, and equine ATG 90 mg/kg body weight and a CD 34+ selected graft product, showed a sustained improvement in skin scores and overall function in 12 patients at a median follow-up of 14.7 months. Initially, 2/8 (25%) patients died of TBI-related interstitial pneumonitis. After amending the protocol with lung shielding, 2 of the last 11 patients died, 1 from Epstein-Barr virus infection and 1 from renal failure. Pulmonary function in 4/9 (44%) patients remained clinically unchanged (less than 15% variation), but declined in 5/9 (56%) patients. A long-term follow-up (median 4 years) of the US multicenter pilot study showed marked clinical improvement in skin and overall function (modified Health Assessment Questionnaire Disability index). Biopsies confirmed a statistically decrease of dermal fibrosis compared with baseline \( (P < 0.001) \). Lung, heart, and kidney remained clinically stable. The overall TRM was of 23.5% (8/34). These data suggest that a myeloablative regimen containing TBI was associated with significant TBI-related pulmonary toxicity. A French cohort of 12 patients with refractory SSc was reported using a regimen of cyclophosphamide (4 g/m²) and recombinant human granulocyte colony-stimulating factor (5 µg/kg/day) with positive CD 34+ selection, conditioning used cyclophosphamide (200 mg/kg) or melphalan (140 mg/m²) according to cardiac function. After 18 months (range 1–26), 8 out of 11 patients have shown major or partial response, while 5 relapsed within 1 year; there was 1 procedure-related death and 3 resulting from disease progression. A recent study showed improvement in microcirculatory blood flow, and significant increase in angiogenesis. Additionally, a new study demonstrated immunological reconstitution obtained from suppression of thymic function after autologous HSCT in 10 patients with SSc, assessed by quantification of signal joint T cell receptor rearrangement excision circles (sjTREC).

In terms of the efficacy of HSCT in SSc, most studies have demonstrated softening skin which paralleled capillary regeneration, reduced transcription of profibrotic genes, and remodeling of the vasculopathy and fibrosis. For example, a recent study showed improvement in microcirculation measured by nail fold videocapillaroscopy (NVC) in patients with SSc. At 3 months after HSCT, the NVC pattern changed from “late pattern”, as avascular areas and vascular architecture disorganization, into “active pattern”, as frequent giant capillaries and active angiogenesis. One study reported using local injection of CD 34+ cells and mononuclear cells for ischemic skin ulcers in hands and lower extremities in patients with SSc, and showed rapid beneficial effect on vascular symptoms resulting in ulcer healing. The therapeutic efficacy was associated with restoration of endothelial function, augmentation of microcirculatory blood flow, and significant increase in angiogenesis. A single-institution pilot study reported a significant and rapid decrease of the extent of pulmonary fibrosis observed with SSc observed on HRCT in 9 patients with severe diffuse SSc 6 months after autologous HSCT. The early treatment effects of HSCT on the extent of pulmonary fibrosis decreased over time and were transient in some patients after long-term follow up. Additionally, a new study demonstrated immunological reconstitution obtained from suppression of thymic function after autologous HSCT in 10 patients with SSc, assessed by quantification of signal joint T cell receptor rearrangement excision circles (sjTREC).

The protocols of the ASTIS and SCOT trials are similar in their selection criteria, primary outcome and control arms, but differs in the conditioning regimens. ASTIS utilizes cyclophosphamide 200 mg/kg body weight and rabbit ATG, and SCOT uses cyclophosphamide 129 mg/kg body weight, equine ATG, and radiation of 800 cGy (with shielding of the lungs and kidney). The ASTIS trial was launched in 2001. As of June 2008, 122 patients have been randomized in 25 centers from 10 countries and allocated to either high-dose immunoablation followed by autologous stem cell transplantation (66 patients) or pulse-therapy cyclophosphamide iv (56 patients). The aim of the trial is to compare the safety and efficacy of HSCT versus pulsed cyclophosphamide therapy in patients with severe SSc. The SCOT trial was planned to recruit 226 patients with diffuse SSc, randomly assigned in a 1:1 ratio to either high-dose immunosuppressive therapy with autologous stem cell transplantation or monthly iv pulse cyclophosphamide for 12 months. All subjects will be followed up to 44 months after randomization. The primary study endpoint for the SCOT study is “event-free” survival at
treated with purified MSCs after both intracerebroventricular injection and intravenous administration. The bone marrow-derived MSCs have been shown to suppress the proliferation of stimulated T lymphocytes in patients with autoimmune diseases including systemic lupus erythematosus, RA, dermatomyositis, or SSc. MSCs, therefore may be a potential treatment option in autoimmune diseases. Autologous bone marrow-derived MSCs in SSc patients have been shown to be normal with respect to proliferation, clonogenicity and differentiation to bone and fat. However, one study has shown defective differentiation into endothelial precursors in bone marrow-derived MSCs from SSc patients, which should be considered when choosing autologous or allogeneic MSCs sources for scleroderma treatment.

Several phase I and II trials have showed no acute or long-term adverse events after ex vivo expanded allogeneic MSCs infused to promote hematopoietic recovery from autologous or allogeneic HSCT and to treat patients with osteogenesis imperfecta. Two cases reported successful treatment from infusion of in vitro expanded MSCs in patients with steroid-resistant severe acute GVHD, a condition sharing similarities with SSc. One case report showed improvement in a patient with severe progressive SSc after transplantation of MSCs from an allogeneic haploidentical-related donor. In a multicenter, phase II trial of MSCs for treatment of steroid-resistant severe acute GVHD, 55 patients received ex vivo expanded MSCs. Of these patients, 39/55 (71%) had either complete or partial responses, none had acute side effects during or immediately after infusion of MSCs, 3/55 (7%) had recurrent malignant disease, and 1 developed de novo acute myeloid leukemia of recipient origin. The data suggested that MSCs derived from bone marrow might be a safe and effective treatment for patients with severe acute GVHD who do not respond to corticosteroid and other immunosuppressive therapies.

Few publications on the results of using MSCs in human autoimmune disease are available. Phase I/II clinical trials are underway in Crohn’s disease and MS and are being planned for systemic lupus erythematosus, SSc, vasculitis and other autoimmune diseases. Coordinated by the EBMT, an international interdisciplinary registry of MSC-treated patients has been launched to allow uniform collection of long-term safety data.

**Conclusion**

Systemic sclerosis is an autoimmune connective tissue disorder of unknown causes characterized by humoral and cellular immune dysregulation, microvasculopathy, and progressive cutaneous and visceral fibrosis. Severe diffuse...
SSc is associated with a mortality of 40% to 50% within 5 years. In the past decade, HSCT has been explored for the treatment of autoimmune diseases including SSc. Studies in animal models showed that the transfer of hematopoietic stem cells may “reset” the immune reconstitution and induce the self-tolerance of autoreactive T and B cells. Phase I/II clinical trials in autologous HSCT for patients with SSc have shown a satisfactory benefit, including long-term improvement in skin thickening and stability of visceral organ involvement. The treatment-related mortality decreased from 17% to 8.7% with careful patient selection. Two ongoing prospective, randomized phase III trials (ASTIS and SCOT) will help to determine the efficacy and safety profile in patients with severe SSc after autologous HSCT. Only a few cases of successful responses have been reported with the use of allogeneic HSCT in SSc patients, but treatment-related complication like GHVD have been frequent. Recently, multipotent MSCs have shown promise as an antiproliferative, anti-inflammatory and immunosuppressive agent in phase II trials for patients with severe steroid-resistant acute GVHD, and may potentially open a new era of therapy for SSc.

Disclosures
The authors declare no conflicts of interest.

References


Stem cell transplantation for systemic sclerosis


