

# Cost-effectiveness analysis of orbital atherectomy plus balloon angioplasty vs balloon angioplasty alone in subjects with calcified femoropopliteal lesions

Barry Weinstock<sup>1</sup>  
Raymond Dattilo<sup>2</sup>  
Tiffini Diage<sup>3</sup>

<sup>1</sup>Orlando Health Heart Institute, Mid-Florida Cardiology Specialists, Orlando, FL, USA; <sup>2</sup>Department of Cardiology, St Francis Health Center, Topeka, KS, USA; <sup>3</sup>North American Science Association (NAMSA), Sunnyvale, CA, USA

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**Introduction:** As cost considerations become increasingly critical when selecting optimal endovascular treatment strategies, a cost-benefit analysis was conducted comparing the Diamond-back 360<sup>®</sup> Orbital Atherectomy System (OAS) (Cardiovascular Systems, Inc., St Paul, MN, USA) and balloon angioplasty (BA) vs BA alone for treatment of calcified femoropopliteal lesions.

**Patients and methods:** The clinical outcomes from COMPLIANCE 360<sup>°</sup>, a prospective, multicenter, randomized study comparing OAS+BA vs BA alone for treatment of calcified femoropopliteal lesions, were correlated with cost data and previously published quality of life data. Site of service, hospital charges, and associated medical resource utilization were obtained from Uniform Billing statements for index treatments and associated revascularizations out to 1 year. Hospital costs were estimated using hospital-specific, procedure-specific cost-to-charge ratios. Length of stay and procedural data were collected from participating study sites.

**Results:** Twenty-five subjects with 38 lesions and 25 subjects with 27 lesions were randomized to the OAS+BA and BA-alone groups, respectively. Mean hospital charges (US\$51,755 vs US\$39,922) and estimated hospital costs (US\$15,100 vs US\$11,016) were higher for OAS+BA compared with BA alone (not statistically significant). Stent utilization was statistically significantly higher with BA-alone treatment for all subjects (1.1 vs 0.1,  $P=0.001$ ) and in the subset of subjects with one lesion (1.0 vs 0.1,  $P<0.00001$ ). There was a significant difference in cost for single-lesion versus multiple-lesion treatment. Using costs and quality-adjusted life years (QALYs) for the single-lesion cohort, the 1-year incremental cost of OAS+BA vs BA alone was US\$549, and incremental QALY was 0.16. This results in an incremental cost-effectiveness ratio of US\$3,441, well below the US\$50,000 threshold.

**Conclusion:** One-year index procedure cost and cost-effectiveness were comparable for OAS+BA vs BA alone. This study provides compelling cost-effectiveness data for using atherectomy for treatment of calcified femoropopliteal lesions, a longstanding challenge for peripheral artery disease interventionalists.

**Keywords:** peripheral vascular disease, orbital atherectomy, cost analysis

## Introduction

Peripheral artery disease (PAD) affects 12%–20% of Americans 60 years of age and older.<sup>1,2</sup> Although balloon angioplasty (BA) is typically the first-line revascularization strategy, intermediate and long-term outcomes are uniformly poor for all but the simplest TransAtlantic Inter-Society Consensus type A lesions, with rates of restenosis ranging from 40% to 60% at 1 year.<sup>3,4</sup> American College of Cardiology/American Heart Association guidelines currently recommend against primary stenting of

Correspondence: Tiffini Diage  
Medical Research Manager,  
North American Science Association  
(NAMSA), 1307 S Mary Avenue,  
Sunnyvale, CA 94087, USA  
Tel +1 707 799 6732  
Fax +1 650 967 1903  
Email [tdiage@namsa.com](mailto:tdiage@namsa.com)



femoropopliteal lesions (class III indication).<sup>3</sup> Remarkably, atherectomy devices as well as stenting are indicated (class IIb) as “salvage” devices to be used in the event of suboptimal BA results.<sup>3</sup> The presence of calcified plaque in femoropopliteal lesions is common, and arterial wall calcium is associated with higher rates of procedural complications, including flow-limiting dissections which frequently require stent deployment to maintain vessel patency.<sup>5,6</sup> Further, stenting as salvage therapy in calcified segments after failed BA often results in stent underexpansion and malapposition, which increase the probability of subsequent stent fracture and/or restenosis.<sup>7,8</sup> Lastly, diffuse pattern in-stent restenosis (ISR) has no approved treatment modality and is often a harbinger for multiple reinterventions or definitive bypass surgery. Biomechanical stresses across the dynamic femoropopliteal segment further add to the complexity of realizing successful long-term clinical outcomes.

Lesion modification utilizing atherectomy prior to percutaneous transluminal angioplasty (PTA) or stent implantation may be recommended as an alternative treatment option in patients with severely calcified lesions. The Orbital Atherectomy System (OAS) (Cardiovascular Systems, Inc., St Paul, MN, USA) is a minimally invasive catheter-based device that may be safely utilized for revascularization of occluded peripheral vessels.<sup>9–11</sup> For example, the OAS device has been used to effectively modify severely calcified infrapopliteal lesions prior to BA by changing vessel compliance, resulting in fewer dissections, less bailout stenting, and lower adjunctive balloon pressure to achieve the desired angioplasty result.<sup>12</sup> A recent study evaluating modification of calcified lesions in above-the-knee, below-the-knee, and popliteal arteries utilizing the OAS device found an elimination of bailout stenting, improved patency, and low reintervention rates.<sup>11</sup>

Despite the recent advances in endovascular therapy, cost-effective methods of care have not been well defined. The direct and indirect costs of cardiovascular and stroke-related care in the US in 2008 were estimated to be US\$448.5 billion and represented the largest disease costs to Medicare.<sup>13</sup> Mean Medicare expenditures in 2001 for PAD were US\$13,901 or more than double the average expenditure per enrollee (US\$5,833).<sup>14</sup> However, little is known about the actual costs associated with different PAD interventions among patients with calcified lesions. Understanding the economic impact of care for this patient population is vital. Using data from the COMPLIANCE 360° randomized pilot study, we conducted a cost-effectiveness analysis comparing OAS with adjunctive BA vs BA alone.

Utilizing a threshold of US\$50,000 per quality-adjusted life year (QALY), the objective of the study is to determine whether orbital atherectomy is a cost-effective alternative for treatment of PAD. The study was performed from the third-party payer perspective and is intended for policymakers weighing decisions regarding technology adoption.

## Methods

### Study design

COMPLIANCE 360°, a prospective study, was conducted to compare the performance of the OAS followed by BA (OAS+BA) vs BA alone at nine US centers. Subjects with Rutherford class 2–4 classification had lesions of  $\geq 70\%$  stenosis and fluoroscopically visible calcium and were equally randomized to each arm of the study after meeting all inclusion criteria and no exclusion criteria. The COMPLIANCE 360° study design has been previously described.<sup>15</sup>

### Economic endpoints

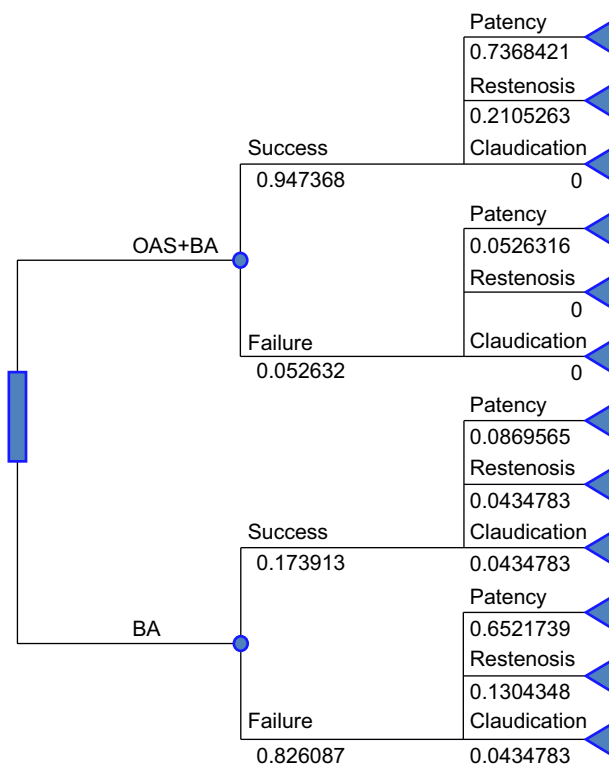
OAS+BA and BA procedure charges, procedure time, length of stay (LOS), and stent and balloon utilization were collected for enrolled subjects. The following data were collected for the index procedures and associated hospitalizations from the subjects' Uniform Billing statements (UB-04s): hospital charges, site of service (inpatient or outpatient setting), and associated medical resource utilization (MRU). LOS and procedural data (procedure time, number of lesions treated, stents utilized, and balloons utilized) were collected from the participating COMPLIANCE 360° study sites.

Hospital costs were estimated from hospital charges using hospital-specific, procedure-specific cost-to-charge ratios (CCRs) obtained through the American Hospital Directory (<http://www.ahd.com>) and based on the hospital's most recent Medicare cost report. All charges and costs are reported in 2010 US dollars. Each patient's UB-04 charges for the entire procedure report were used to calculate the estimated costs based on reported inpatient Diagnosis-Related Group (DRG) billing code or hospital outpatient International Classification of Diseases, Ninth Revision (ICD-9) diagnostic code. For inpatient procedures, the average among the three hospitals that specifically reported DRGs 252, 253, and 254 was used to calculate the average CCR. For hospital outpatient procedures, the hospital-specific CCR was calculated from the reported average cost and charge listed for the ICD-9 diagnostic codes from 440.2x; Ambulatory Payment Classification codes were not reported.

## Data analysis

A decision tree was constructed based on procedural success and outcomes through 1 year (Figure 1). These endpoints are defined as patency, restenosis of the treated vessel (defined as reported target lesion revascularization [TLR] or target vessel revascularization [TVR]), and claudication with no subsequent treatment. Since more subjects in the OAS arm had multiple lesions requiring treatment, the decision tree and cost-effectiveness analysis compared the subset of subjects with only one lesion treated. Primary and secondary clinical study results are presented alongside cost and QALY data to compare the index procedure costs and longer-term (1-year) comparative effectiveness of OAS+BA vs BA alone.

For this analysis, we defined procedural success as freedom from adjunctive stenting. Procedural success and failure were considered separately for each arm. The average cost of reintervention for each arm was estimated based on the types of interventions performed (surgical bypass, stenting, or BA) and the hospital-specific CCRs for those interventions. Restenosis requiring intervention evaluated by duplex ultrasound and claudication without intervention were both considered separately for each procedure arm. Periprocedural and acute adverse events (pseudoaneurysm and embolic event) were included in procedure costs.



**Figure 1** Decision tree and proportional outcomes (single-lesion population).  
**Abbreviations:** BA, balloon angioplasty; OAS, orbital atherectomy system.

QALYs were used from previously published literature. An incremental cost-effectiveness ratio was calculated based on proportional cost and QALY for each outcome in OAS+BA compared with BA alone.

Standard summary statistics were calculated for study variables of interest. For continuous variables, statistics included the number of observations (N), mean, standard deviation, and 95% confidence intervals. A linear regression was conducted to determine associations between cost and other variables. Statistical analyses were conducted in SAS version 9.3 (SAS Institute, Cary, NC, USA).

## Results

Twenty-five subjects with 38 lesions and 25 subjects with 27 lesions were randomized to receive OAS+BA and BA alone, respectively. Prevalence of diabetes was significantly higher ( $P<0.05$ ) in the OAS+BA arm (72%) than in the BA-alone arm (40%). The number of lesions per patient (1.5 vs 1.1,  $P<0.05$ ) and number of lesions with single-vessel runoff (18 vs 3,  $P<0.05$ ) were also significantly higher in the OAS+BA arm, while mean percent stenosis was significantly lower (83.9% vs 92.8%,  $P=0.003$ ). There were no other statistically significant differences in patient demographics, comorbidities, medical history, lesion characteristics, plaque morphology, or calcium scores.

In the OAS+BA study arm, 17 outpatient and eight inpatient procedures were performed, with two of the inpatient procedures performed on subjects with complications and/or comorbidities (DRG code 253) and an additional two on subjects with major complications and/or comorbidities (DRG code 254). In the BA arm, 19 procedures were performed on an outpatient basis, with the six remaining procedures resulting in an inpatient hospital stay. Of the six inpatient procedures, two were for subjects with diagnostic codes indicating procedural complications and/or patient comorbidities. Table 1 provides summary statistics regarding number of lesions treated as well as intra- and post-procedure MRU as specified above.

Despite the statistically higher lesion counts, significantly fewer stents (0.1 vs 1.1 per person) were utilized during the OAS+BA procedures, while mean balloon usage was comparable between treatment groups. Mean hospital charges (US\$51,755 vs US\$39,922) and estimated hospital costs (US\$15,100 vs US\$11,016) were higher for OAS+BA compared with BA alone, but the difference was not statistically significant. Mean procedure time was significantly longer (96 vs 69 minutes,  $P<0.005$ ) in the OAS+BA treatment group, while the mean patient LOS was

**Table 1** Comparison of medical resource utilization for index procedure (all subjects)

	OAS+BA (n=25)		BA (n=25)		P-value
	Mean ± SD	Range	Mean ± SD	Range	
Number of lesions (per patient)	1.5±1.2	1–6	1.1±0.3	1–2	0.05
Procedure time (min)	96±41	34–179	69±17	37–106	0.005
Stents utilized (per patient)	0.1±0.3	0–1	1.1±0.8	0–3	0.001
Balloons utilized (per patient)	1.7±1.2	0–6	1.6±0.9	1–4	NS
Hospital charge <sup>a</sup>	\$51,755±\$23,852	\$12,676–\$124,161	\$39,922±15,187	\$12,361–\$67,226	NS
Estimated hospital cost <sup>a,b</sup>	\$15,100±\$8,501	\$5,390–\$40,245	\$11,016±\$5,675	\$5,257–\$31,077	NS
LOS (hours) <sup>c</sup>	22.4±22.1	2.1–97.3	23.7±38.3	4.4–180.8	NS

**Notes:** Dollar values are in USD. <sup>a</sup>Physician charges are not included; <sup>b</sup>hospital costs were estimated from hospital charges by using hospital-specific and procedure-specific cost-to-charge ratios obtained from <http://www.ahd.com>; <sup>c</sup>LOS data were available for 42 subjects (22 receiving OAS+BA and 20 receiving BA alone).

**Abbreviations:** BA, balloon angioplasty; LOS, length of stay; NS, not significant; OAS, orbital atherectomy system; SD, standard deviation.

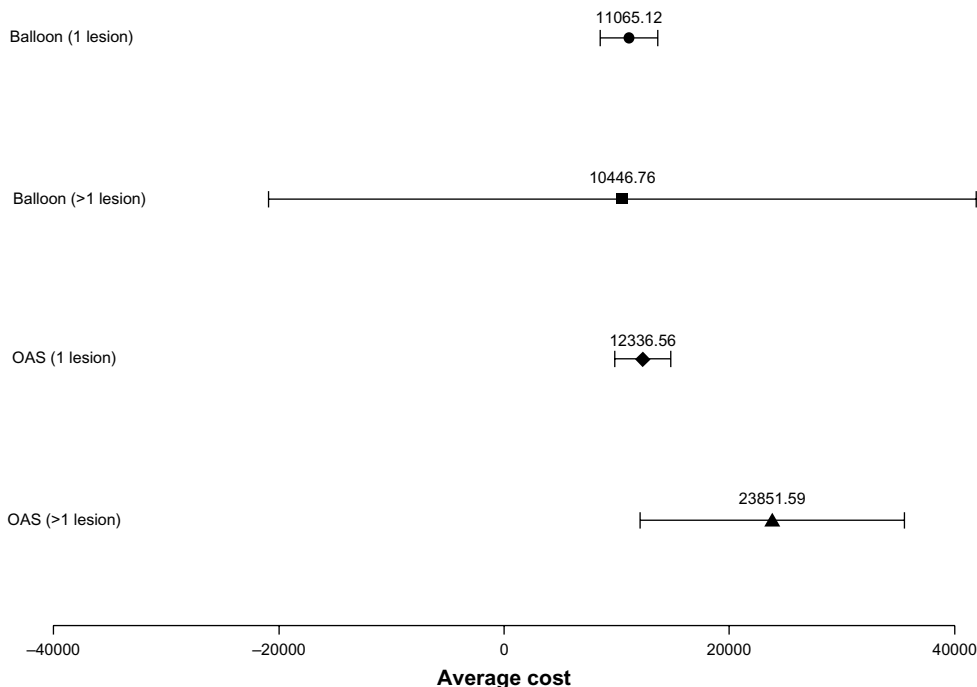
not significantly different for OAS+BA (22.4 hours) vs BA alone (23.7 hours).

A linear regression analysis was fit to determine whether there was an association of cost and number of lesions treated. Because of the limited number of subjects with more than one lesion treated, two lesion cohorts were created: 1) one lesion treated and 2) more than one lesion treated. There was a statistically significant difference in cost between the lesion cohorts, with the lower costs being seen in those with only one lesion treated ( $P=0.0014$ ). This effect also holds when evaluating the number of lesions as a continuous covariate/predictor in the linear regression model ( $P=0.0076$ ).

There were six OAS and two BA subjects with multiple lesions. Among subjects with one lesion treated (the majority

of subjects), similar average costs were observed in the two arms. In an analysis where lesion cohort is included as a predictor in the model, we saw less evidence that a difference in average cost existed between arms (adjusted  $P$ -value for study arm = 0.1545).

A linear regression model was fit to see whether there was a differential effect on cost between study arms across lesion cohorts (one lesion vs more than one lesion). There was statistical evidence of a possible interaction effect ( $P=0.0360$ ); thus, it is appropriate to report on subjects separately by lesion cohort. Figure 2 shows the average costs by study arm and lesion cohort, along with 95% confidence intervals of the mean. With only two subjects in the BA-alone arm with more than one lesion, there are



**Figure 2** Average cost by study arm and lesion cohort (with 95% confidence intervals).

**Abbreviation:** OAS, orbital atherectomy system.

insufficient data to get a reasonable estimate of cost in that group of subjects.

Excluding those subjects with more than one lesion, we see that there is no evidence of a statistical difference in cost between study arms ( $P=0.4694$ ).

## Cost-effectiveness comparison at 12 months

The proportion of index procedure success and endpoints for the single-lesion population are presented in Figure 1. Note that the percentage of subjects who were free from acute adjunctive stenting was 94.7% (18/19) and 17.4% (4/23) for OAS+BA vs BA alone, respectively ( $P<0.0001$ ). Freedom from acute stenting, restenosis (due to TLR or TVR), or claudication at 1 year was 73.7% (14/19) and 8.7% (2/23) for OAS+BA vs BA alone, respectively. The estimated mean index procedure costs (for the single-lesion population) by procedural success and failure were US\$10,516 and US\$12,030, respectively, for OAS+BA and US\$6,951 and US\$9,860, respectively, for BA alone. In addition, the cost to treat restenosis was higher in BA alone vs OAS+BA (US\$13,734 vs US\$20,609) (Table 2).

Health utility values for procedural and 1-year outcomes are listed in Table 3. Subjects that required intervention to treat restenosis were assumed to have transitioned to lower QALY prior to treatment and experienced improved QoL post-procedure. Using these data, the mean costs for each outcome, and previously reported QALY, we approximated incremental cost of OAS+BA vs BA alone at 1 year as US\$549 and incremental QALY as 0.16. This results in an incremental cost-effectiveness ratio of US\$3,441 (Table 4), well below the US\$50,000 threshold.

## Discussion

For the subset of subjects with one lesion, COMPLIANCE 360° reported higher (though not significantly) index

**Table 2** Estimated procedural costs and future costs (single-lesion population)

Index procedure	Mean cost	Range	
		Minimum	Maximum
OAS+BA success	\$10,516	\$5,390	\$21,867
OAS+BA failure	\$12,030	\$12,030	\$12,030
BA success	\$6,951	\$5,257	\$9,468
BA failure	\$9,860	\$6,529	\$31,077
1 Year – cost to treat restenosis			
OAS revascularization	\$13,735	\$9,491	\$18,254
BA revascularization	\$20,609	\$19,808	\$21,411

**Note:** Dollar values are in USD.

**Abbreviations:** BA, balloon angioplasty; OAS, orbital atherectomy system.

**Table 3** Health utility values for quality-adjusted life year analysis (single-lesion population)

Outcome	Health utility value	Range	
		Minimum	Maximum
Procedure success	0.8069 <sup>19,20</sup>	0.702	0.912
Procedure failure	0.7328 <sup>19</sup>	NR	NR
Restenosis (limb ischemia)	0.446 <sup>21</sup>	0.150	0.550
Claudication	0.71	0.650	0.750

**Abbreviation:** NR, not reported.

procedure costs for OAS+BA compared with BA alone. When effectiveness is measured in terms of restenosis and/or subsequent TLR/TVR, OAS+BA and BA alone were comparable in terms of outcomes at 12 months. When freedom from acute adjunctive stenting was considered as an additional effectiveness measure, OAS+BA compared with BA alone had superior cost-effectiveness, with an expected cost of US\$3,441 per QALY gained to achieve an additional patient in whom acute adjunctive stenting was not required.

A recent study comparing directional atherectomy using the SilverHawk device (Covidien, Plymouth, MN, USA) to PTA to treat femoropopliteal disease reported similar procedural supply costs and overall hospital costs for both treatments with no significant difference in complication rates between the two groups, concluding that the choice of interventional procedure should depend on the operator's preference, skill, and experience.<sup>16</sup> Safley et al reported that more stents were used in the PTA group compared with the SilverHawk group ( $1.5\pm 0.8$  vs  $0.2\pm 0.5$ ,  $P<0.001$ ), a similar rate to that in COMPLIANCE 360°.<sup>16</sup> Complications occurred at a somewhat higher rate in the SilverHawk study than the present study. In both studies, differences in device costs (atherectomy vs balloon) were offset by the additional cost of stenting as salvage therapy. Long-term health, imputed QoL, and economic outcomes were not assessed by Safley et al;<sup>16</sup> therefore, COMPLIANCE 360° is the first atherectomy study to examine cost-effectiveness explicitly.

Although overall patency outcomes were similar between treatments at 1 year, the two treatment arms of the study essentially resulted in two distinct outcomes: ISR vs restenosis without stenting. Currently, ISR remains an intractable problem with no approved and/or optimal treatments available, while OAS+BA may offer more straightforward revascularization options using currently approved existing technologies. Since the BA-alone group in COMPLIANCE 360° led to primary stent placement in the majority of subjects (84%) compared with just 8% of subjects receiving atherectomy, a key outcome of this study is that future treatment

**Table 4** Incremental cost-effectiveness ratio (single-lesion population)

Treatment	Costs	QALY	Incremental costs	Incremental QALY	ICER
BA	\$12,939	2.17			
OAS	\$13,487	2.33	\$549	0.16	\$3,441

**Note:** Dollar values are in USD.

**Abbreviations:** BA, balloon angioplasty; ICER, incremental cost-effectiveness ratio; OAS, orbital atherectomy system; QALY, quality-adjusted life year.

options were preserved for the majority of subjects treated with atherectomy.

Preservation of treatment options translates into increased cost for reintervention as observed in the BA-alone group compared with the OAS+BA group. Although the number of reinterventions was small, the BA group experienced an average cost of nearly US\$7,000 higher for those interventions. In addition, QALY and subsequent cost-effectiveness of OAS+BA demonstrate the benefit of maintaining treatment options. This is consistent with previous findings that stenting leads to more stenting and that those subsequent procedures require more devices and lead to increased costs.<sup>17,18</sup>

While the 1-year restenosis rate for treating calcific occlusive femoropopliteal disease with atherectomy and BA was similar to BA with provisional stenting, the atherectomy-based approach may be more desirable given the high incidence of stenting in the angioplasty arm and the lack of a satisfactory treatment strategy for treating stent restenosis, especially diffuse pattern stent restenosis. The data from this study provide the opportunity to design future studies with the power necessary to draw more definitive conclusions. This study demonstrates that cost of the device alone should not be the deciding factor in selection of a vascular interventional treatment strategy but rather the overall cost of care, longer-term clinical outcomes, and consideration of the limitations and prognoses of salvage therapy.

## Limitations

There are a number of limitations to the health economics analysis, including small sample size and limited cost data captured. The following data were not collected in the study: cost of the physician fee for the index procedure; other post-hospital discharge MRU data, including physician office visits and medications; and patient-reported outcomes such as activities of daily living and QoL. In addition, not all costs associated with rehospitalizations were directly reported via UB-04s. UB-04s were available for five vascular-related rehospitalizations. The additional event costs were estimated based on hospital-specific CCRs. Lastly, longer-term follow-up data and analysis is required to effectively analyze costs and benefits to the patient and society. Additional studies

are warranted to fully understand the effect orbital atherectomy has on health outcomes and economics.

## Conclusion

PAD remains a common and costly disorder, and given an aging population and increasing prevalence of diabetes, the treatment of PAD in these patients will have an expanding impact on clinical and economic resources. We found in this study that the index procedure costs and cost-effectiveness to 1 year were comparable for OAS+BA vs BA alone. These results provide compelling short-term health and economic data supporting the use of atherectomy in treatment of calcified femoropopliteal lesions, a longstanding challenge for PAD interventionalists. Additional cost-effectiveness analysis of PAD interventions is needed and should be included in all future peripheral vascular device trials.

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## Author contributions

Barry Weinstock and Raymond Dattilo, provided medical analysis, wrote/edited the manuscript, gave final approval of the submitted manuscript, and agree to be accountable for all aspects of the work. Tiffini Diage designed and executed economic analysis, wrote/edited the manuscript, gave final approval of the submitted manuscript, and agrees to be accountable for all aspects of the work.

## Disclosure

All authors have served as consultants for Cardiovascular Systems, Inc. The authors have no other conflicts of interest in this work.

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