

# Pathogenic Characteristics and Molecular Epidemiological Analysis of *Klebsiella pneumoniae* in Clinical Urinary Tract Infections in Beijing, China

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**Introduction:** *Klebsiella pneumoniae* (KP), a Gram-negative bacterium of the Enterobacteriaceae family, is a major opportunistic pathogen responsible for severe infections, particularly urinary tract infections (UTIs). The increasing incidence of KP infections poses a significant challenge to global healthcare systems.

**Methods:** In this study, we isolated KP strains from UTI patients and performed antimicrobial susceptibility testing, whole-genome sequencing (WGS), and comprehensive genomic analyses to delineate their molecular characteristics.

**Results:** Multilocus sequence typing (MLST) identified 41 sequence types (STs), with ST11 being the most prevalent. Phylogenetic analysis revealed that most local strains clustered closely with the globally disseminated KP clonal group 258 (CG258), suggesting a potential origin from this epidemic lineage. All isolates carried virulence and antibiotic resistance genes, with ST11 strains exhibiting the highest resistance gene burden, classifying them as multidrug-resistant (MDR). We further characterized the plasmid pNDM-MAR and identified biosynthetic gene clusters (BGCs) for redox-cofactors, azole-containing RiPPs, terpene precursors, NRP-metallophores, type I polyketide synthases (TIPKS), RiPP-like compounds, and non-ribosomal peptide synthetase-independent siderophores (NI-siderophores).

**Discussion:** These findings underscore the convergence of hypervirulence and multidrug resistance in KP, highlighting the need for continuous genomic surveillance to inform infection control strategies and antimicrobial stewardship.

**Keywords:** *Klebsiella pneumoniae*, urinary tract infection, phylogenetic analysis, epidemiological characteristics, secondary metabolite genes, plasmid

## Introduction

*Klebsiella pneumoniae* (KP) is a significant opportunistic pathogen associated with a range of infections, including pneumonia, urinary tract infections (UTIs), and bloodstream infections.<sup>1,2</sup> Since the mid-1980s, hypervirulent KP strains have emerged as a cause of severe disseminated infections.<sup>3,4</sup> The World Health Organization (WHO) has designated KP as a priority pathogen due to its escalating antimicrobial resistance (AMR); notably, carbapenem-resistant KP was recently classified as a critical priority in the 2024 WHO bacterial pathogen priority list.<sup>5,6</sup> KP accounts for approximately 10% of all hospital-acquired infections (HAIs) and is the second most common Gram-negative pathogen in hospital-acquired pneumonia.<sup>7</sup> UTIs represent one of the most frequent infectious diseases globally, contributing to 25% of HAIs and posing substantial clinical burdens.<sup>8</sup> Annually, over 150 million UTI cases are reported worldwide, with KP responsible for 15–20% of these infections, often transmitted via cross-contamination in healthcare settings.<sup>9,10</sup>

Patients in intensive care medicine wards (ICMWs) are particularly vulnerable to KP infections due to critical illness, immune compromise, prolonged hospitalization, and extensive antibiotic exposure.<sup>11</sup> In particular, the incidence of drug-resistant and hypervirulent KP strains in this ward is notably higher than in other departments.<sup>12</sup> Results from a systematic review indicate that the mortality rate of ICMW patients infected with KP reaches as high as 48.9%.<sup>13</sup> The ICMW carries a 3- to 10-fold greater risk of HAIs compared to general wards,<sup>14</sup> underscoring the importance of understanding local epidemiology to guide effective infection control.

In this study, we employed genomic analysis to conduct whole-genome comparative research on KP strains from different departments and with different clinical phenotypes, thereby accurately deciphering the genetic variations underlying differences in strain pathogenicity, diversity of drug resistance profiles, and epidemiological characteristics. This research can provide theoretical support for formulating strategies to prevent and control pathogenic infections and blocking the nosocomial transmission and community spread of drug-resistant strains. It holds practical significance in improving the diagnosis and treatment of clinical infectious diseases and enhancing the construction of public health prevention and control systems.

## Materials and Methods

### Isolation and Identification of Bacterial Strains

From January 2023 to February 2025, a total of 102 bacterial isolates were collected from urine specimens of KP-positive patients admitted to various wards, The Third Medical Center, PLA General Hospital. In accordance with the standard operating procedures,<sup>15</sup> midstream morning urine samples were collected from patients using sterile urinary catheters at the aforementioned hospital. After thorough mixing, 5  $\mu$ L of each sample was inoculated onto blood agar plates and MacConkey agar plates under sterile conditions in the clinical laboratory of the hospital, followed by aerobic incubation at 37°C for 24–48 hours. This study was conducted in accordance with the Declaration of Helsinki (revised 2013).

### Antimicrobial Susceptibility Testing

Strain identification and antimicrobial susceptibility testing were conducted using the VITEK 2 COMPACT system (bioMérieux, Marcy-l'Étoile, France) with the AST-GN identification card, as well as the compatible AST-GN13 and AST-GN334 antimicrobial susceptibility cards. The tested antimicrobial agents included ciprofloxacin, levofloxacin, piperacillin/tazobactam, ceftazidime, cefepime, meropenem, imipenem, amikacin, trimethoprim-sulfamethoxazole, and nitrofurantoin. The criteria for determining antimicrobial susceptibility were referenced to the guidelines of the Clinical and Laboratory Standards Institute (CLSI, document M100).<sup>16</sup>

### Bioinformatics Analysis

#### Whole-Genome Sequencing

Genomic DNA was extracted from the bacterial cultures using the TIANamp Bacteria DNA Kit (Cat. No. DP802, TIANGEN BIOTECH, Beijing, China). Negative controls were included to monitor contamination during the experimental process. Library construction for sequencing was performed using the NEBNext<sup>®</sup> Ultra<sup>™</sup> II DNA Library Prep Kit (New England Biolabs, USA) according to the manufacturer's instructions. Whole-genome sequencing was performed on the Illumina NovaSeq X Plus platform (Illumina, San Diego, CA, USA) with a 2 $\times$ 150 bp paired-end configuration by Novogene Bioinformatics Technology Co., Ltd. (Beijing, China); the sequencing was conducted with the quality metrics preset at Q20 > 90% and Q30 > 85% and the sequencing depth ranged primarily from 34.5 $\times$  to 42.9 $\times$ . Subsequent genome assembly was performed using SPAdes v3.15.5 with six specified k-mer sizes: 21, 33, 55, 77, 99, and 127.

#### Phylogenetic Analysis

The major clones of KP worldwide were identified through a literature survey.<sup>17</sup> Genomic sequences were retrieved from the Pasteur Institute KP Database according to the clone types, and five genomes were randomly downloaded for each clonal complex. These downloaded genomes were combined with 102 locally isolated KP genomes to construct an intermediate phylogenetic tree, based on which the majority of the local strains were determined to belong to the CG258 clone. Subsequently, additional genomes of the CG258 clone were downloaded, and together with five genomes of other

clones that served as the outgroup, a final dataset comprising 76 strains was established. Using the KP genome (GCF\_000240185.1) as the reference, Snippy v4.6.0 was employed for single nucleotide polymorphism (SNP) calling,<sup>18</sup> followed by phylogenetic tree construction with the IQ-TREE v2.4.0 tool, in which the ultrafast bootstrap approximation was selected as the validation method and the GTR substitution model was employed. The constructed, test criterion-compliant phylogenetic tree was visualized, processed, and refined using the Interactive Tree Of Life (iTOL) online platform to generate the final phylogenetic tree.<sup>19</sup>

### Correlation Analysis Between Drug Resistance, Virulence, and Strains

Batch analyses were performed using Kleborate v3.1.3, a dedicated KP analysis tool developed by the Holt Laboratory, yielding genomic annotation results.<sup>20</sup> These results were transformed and integrated, followed by correlation analysis of strains with their virulence, and drug resistance profiles using Python.

### Prediction of Secondary Metabolites

The online tool antiSMASH was employed to predict secondary metabolites in KP, aiming to detect the presence of biosynthetic gene clusters (BGCs) for secondary metabolites within the genomic sequence of KP.

### Plasmid Analysis

We performed assembly based on the second-generation sequencing data. Concurrently, plasmid sequence files of KP were downloaded from the NCBI database to construct a local plasmid database. The BLAST v2.16.0 tool was used to align the genomic sequences of all bacterial strains against this database, with the homology threshold set to 85% and the coverage threshold set to 50%. Based on the alignment results, plasmids with relatively high identity (NZ\_CP186632.1, NZ\_CP159675.1) were selected as representative plasmids. Gene prediction and functional annotation of these plasmids were performed using the online RAST platform.<sup>21</sup> Circular maps of the plasmids were generated with CGview.<sup>22</sup>

## Results

### Microbiological Characteristics

Among the 102 collected cases of UTIs, a total of 82 cases were patients aged 60 years and above, accounting for 80.4% of the total cases. The case collection covered 21 departments (Table 1). Thirty-five cases were collected from the Department of Urology, where patients developed UTIs due to urinary system lesions; 15 cases were collected from the Intensive Care Medicine Ward, where patients were mostly in critical condition with impaired immune function, making them susceptible to bacterial invasion. The results of antimicrobial susceptibility testing showed that these strains were susceptible to most antimicrobial agents, but exhibited high resistance to ceftazidime and levofloxacin (Figure 1A). Among them, strains K52 and K54 were resistant to all tested antimicrobial agents (Figure 1B).

### Strain Typing and Phylogenetic Analysis

Whole-genome sequencing and assembly were performed for all strains. MLST typing results of the strains were obtained through Kleborate analysis. The typing results showed that a total of 41 sequence types (STs) were identified, with ST11 being significantly more prevalent than other types. Whole-genome phylogenetic analysis revealed that most ST11 strains formed a monophyletic clade with the global clone of CG258 KP, suggesting that the local ST11 strains may have originated from this globally prevalent clone (Figure 2).

### Virulence and Resistance Characteristics

Based on the virulence and drug resistance scores of KP using Kleborate, 33 strains were classified as hypervirulent strains (virulence score > 3). Most strains were low-drug-resistant strains, but there were still 27 strains with a drug resistance score  $\geq 2$ , which were classified as highly drug-resistant strains (Figure 3A). Among them, 19 strains were hypervirulent and highly drug-resistant strains (Figure 3B).

Correlation analysis between KP and virulence factors revealed that genes of the *fim* and *mrk* families (eg, adhesion and invasion genes such as *fimA*, *fimD*, *mrkA*, and *mrkC*) and genes of the *ent* family (eg, siderophore synthesis genes including *entB*, *entC*, and *entD*) were ubiquitous in the vast majority of strains. This suggests that these genes may

**Table I** Clinical Information Table of Strain Collection

ID	Gender	Age	Department	Piperacillin/ Tazobactam	Ceftazidime	Cefepime	Imipenem	Meropenem	Amikacin	Ciprofloxacin	Levofloxacin	Trimethoprim- sulfamethoxazole	Nitrofurantoin
A1	Female	60	Urology 1st ward	R	I	S	S	S	S	S	R	R	R
A2	Male	76	Urology 1st ward	S	S	S	S	S	S	S	S	S	S
A3	Female	0	Pediatric outpatient department	S	S	S	S	S	S	S	S	S	S
A4	Male	88	Emergency ward	S	R	S	S	S	R	S	R	R	R
A5	Female	90	Emergency ward	S	S	S	S	S	S	S	S	S	S
A6	Female	54	Obstetrics and Gynecology Ward	R	S	S	S	S	R	R	S	R	R
A7	Female	85	Intensive Care Medicine Ward	S	S	S	S	S	S	S	S	S	S
A8	Female	68	Obstetrics and Gynecology Ward	S	R	S	S	S	I	S	I	S	S
B1	Male	90	Health care ward	R	R	R	S	S	R	R	R	R	R
B2	Male	68	Urology 1st ward	S	S	S	S	S	S	S	S	S	S
B3	Female	74	Urology 1st ward	S	S	S	S	S	S	S	S	S	S
B4	Female	48	Urology 1st ward	I	R	S	S	S	S	R	R	R	R
B5	Male	75	Urology 2nd Ward	S	R	S	S	S	I	S	I	I	R
B6	Male	56	Urology 2nd Ward	S	S	S	S	S	S	S	S	S	S
B7	Male	66	Urology 1st ward	I	R	S	S	S	I	S	R	R	R
B8	Male	56	General Surgery Ward										
C1	Female	48	Urology 2nd Ward	S	S	S	S	S	S	S	S	S	S
C2	Female	89	Urology 1st ward	R	R	S	S	S	S	R	R	R	R
C3	Female	86	Intensive Care Medicine Ward	R	R	R	R	R	R	R	R	S	R
C4	Male	67	Urology 1st ward	S	R	S	S	S	R	S	R	R	R
C5	Male	94	Health care ward	R	R	R	R	R	S	S	R	R	S
C6	Female	83	General Practice Ward	R	R	R	R	S	S	R	R	R	S
C7	Male	68	Emergency ward	S	S	S	S	S	S	S	S	S	S
D1	Female	93	Cardiology ward	R	S	SDD	S	S	S	I	I	S	S
D2	Female	60	Urology 1st ward	S	S	S	S	S	R	I	I	R	I
D4	Female	74	Neurosurgery ward	S	R	S	S	S	S	S	R	R	S
D5	Male	67	Urology 1st ward	S	S	S	S	S	S	S	S	S	S
D6	Female	77	Obstetrics and Gynecology Ward	S	R	S	S	S	I	S	I	R	R
D7	Male	70	Neurology ward	S	S	S	S	S	S	S	R	S	I
E1	Male	71	Urology 1st ward	S	R	S	S	S	S	S	I	R	S
E2	Male	92	Intensive Care Medicine Ward	S	S	S	S	S	S	S	S	S	S
E3	Female	72	Endocrinology ward	S	S	S	S	S	S	S	S	S	S
E4	Female	77	Obstetrics and Gynecology Ward	I	R	S	S	S	I	S	I	R	R

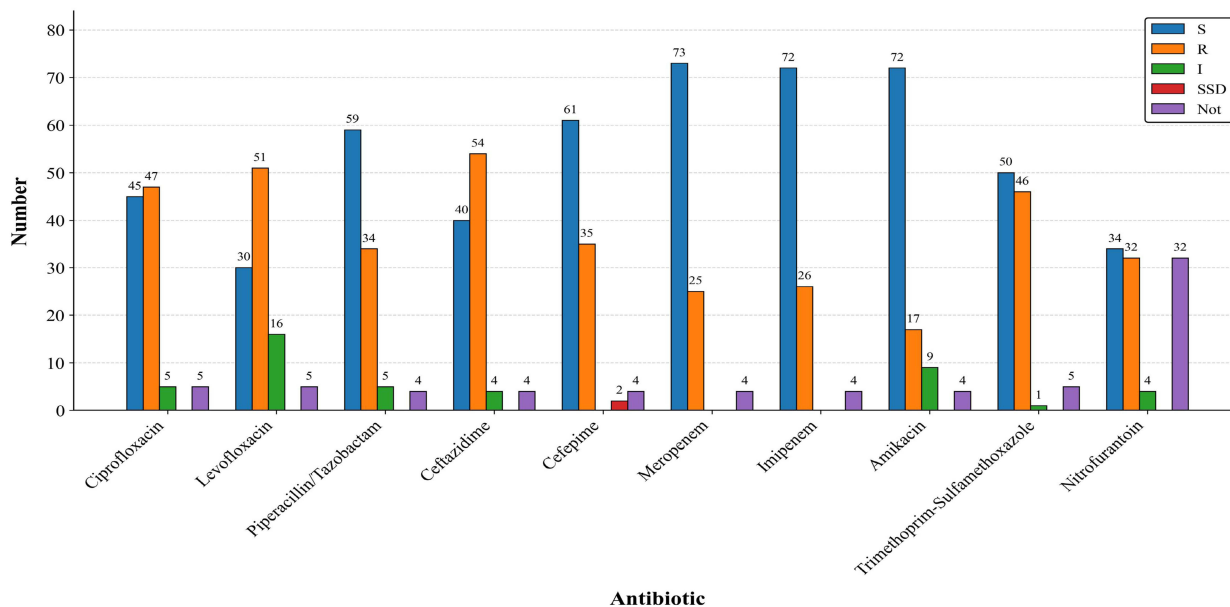
E5	Female	31	Emergency ward	S	S	S	S	S	S	S	S	S	S
E6	Male	64	Infectious Diseases Outpatient Department	S	S	S	S	S	S	S	S	S	S
E7	Male	94	Intensive Care Medicine Ward	R	R	R	S	S	I				
F1	Male	83	Urology 1st ward	S	S	S	S	S	S	I	R	R	S
F2	Female	73	Emergency ward	S	S	S	S	S	S	S	S	S	S
F3	Male	67	CCU ward	S	R	S	S	S	I	S	R	R	R
F4	Male	68	Urology 1st ward	S	R	S	S	S	I	S	I	R	R
F5	Male	77	Urology 1st ward	S	S	S	S	S	S	S	S	S	S
F6	Female	78	Respiratory Medicine Ward										
F7	Male	87	General Practice Ward										
G1	Female	68	Urology 2nd Ward	S	S	S	S	S	S	S	S	S	S
G2	Male	82	Urology 1st ward	S	S	S	S	S	S	S	S	S	S
G3	Male	72	Urology 1st ward	S	I	S	S	S	I	S	R	R	R
G4	Female	52	Emergency ward	S	R	S	S	S	S	S	I	R	S
G5	Male	68	Urology 1st ward	S	S	S	S	S	S	S	I	R	S
G6	Female	60	Obstetrics and Gynecology Ward	S	S	S	S	S	S	S	S	S	S
G7	Female	71	General Practice Ward	S	R	S	S	S	S	S	I	R	S
H1	Male	74	Nephrology ward	S	S	S	S	S	S	S	S	S	R
H2	Female	66	General Practice Ward	S	S	S	S	S	S	S	S	S	S
H3	Male	65	Urology 1st ward										
H4	Male	68	Urology 1st ward	S	S	S	S	S	S	S	R	R	R
H5	Female	90	Geriatrics ward	S	R	S	S	S	S	S	R	R	S
H6	Female	62	Emergency ward	S	S	S	S	S	S	S	S	S	S
H7	Female	52	Emergency ward	S	R	S	S	S	S	S	I	R	S
K1	Female	58	Endocrinology ward	S	S	S	S	S	S	S	S	S	S
K10	Female	50	Urology 2nd Ward	S	S	S	S	S	S	I	I	R	R
K11	Male	76	Urology 1st ward	S	S	S	S	S	S	S	S	S	I
K12	Male	56	Endocrinology ward	R	I	R	S	S	R	R	R	R	R
K13	Male	71	Respiratory Medicine Ward	S	R	R	S	S	S	R	R	R	R
K14	Male	67	Urology 2nd Ward	R	R	S	S	S	R	R	R	R	R
K15	Female	79	Urology 2nd Ward	S	S	S	S	S	S	I	R	R	S
K16	Male	70	Urology 1st ward	S	S	S	S	S	S	R	I	R	I
K17	Male	92	Intensive Care Medicine Ward	R	R	R	R	R	R	R	R	S	
K18	Female	83	General Practice Ward	S	S	S	S	S	S	S	S	S	
K19	Male	90	Intensive Care Medicine Ward	R	R	R	R	R	R	R	R	S	
K2	Male	62	Urology 1st ward	I	R	R	S	S	S	R	I	R	
K20	Male	47	Neuro-ophthalmology ward	S	R	R	S	S	S	R	R	R	
K21	Female	60	Emergency ward	R	R	R	R	R	S	R	R	R	

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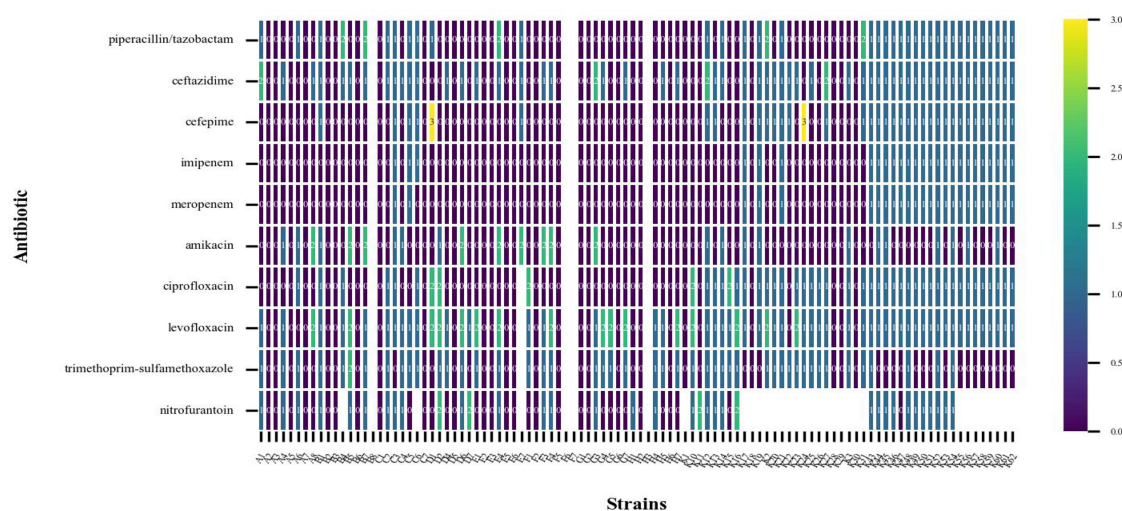
Table I (Continued).

ID	Gender	Age	Department	Piperacillin/ Tazobactam	Ceftazidime	Cefepime	Imipenem	Meropenem	Amikacin	Ciprofloxacin	Levofloxacin	Trimethoprim- sulfamethoxazole	Nitrofurantoin
K22	Male	25	Urology 2nd Ward	S	R	R	S	S	S	S	S	R	
K23	Male	90	Health care ward	S	R	S	S	S	S	R	I	R	
K24	Female	89	Geriatrics ward	S	S	SDD	S	S	S	R	R	R	
K25	Male	58	Urology 1st ward	S	R	S	S	S	S	R	R	R	
K26	Female	47	Nephrology ward	S	S	S	S	S	S	R	R	R	
K27	Male	64	Urology 1st ward	S	I	R	S	S	S	R	R	R	
K28	Male	77	Cardiology ward	S	S	S	S	S	S	S	S	S	
K29	Female	79	Urology 2nd Ward	S	S	S	S	S	S	S	S	S	
K3	Female	56	Urology 2nd Ward	S	R	S	S	S	R	R	R	R	
K30	Male	80	CCU ward	S	S	S	S	S	S	S	S	S	
K31	Female	55	Urology 1st ward	I	R	R	S	S	S	R	R	R	
K43	Female	86	General Practice Ward	R	R	R	R	R	S	R	R	R	R
K44	Female	63	Orthopedic ward	R	R	R	R	R	R	R	R	S	R
K45	Male	52	Organ transplant ward	R	R	R	R	R	R	R	R	S	R
K46	Male	91	Intensive Care Medicine Ward	R	R	R	R	R	S	R	R	S	R
K47	Male	45	Neurosurgery ward	R	R	R	R	R	S	R	R	S	S
K48	Male	86	Health care ward	R	R	R	R	R	S	R	R	R	R
K49	Male	95	Health care ward	R	R	R	R	R	S	R	R	S	R
K50	Male	79	Intensive Care Medicine Ward	R	R	R	R	R	S	R	R	S	R
K51	Male	61	Intensive Care Medicine Ward	R	R	R	R	R	S	R	R	S	R
K52	Female	67	Nephrology ward	R	R	R	R	R	R	R	R	R	R
K53	Male	76	Emergency ward	R	R	R	R	R	S	R	R	S	R
K54	Female	82	Geriatrics ward	R	R	R	R	R	R	R	R	R	R
K55	Male	79	Emergency ward	R	R	R	R	R	S	R	R	S	
K56	Female	76	Intensive Care Medicine Ward	R	R	R	R	R	R	R	R	S	
K57	Female	90	Intensive Care Medicine Ward	R	R	R	R	R	S	R	R	S	
K58	Male	92	Intensive Care Medicine Ward	R	R	R	R	R	S	R	R	S	
K59	Male	64	Intensive Care Medicine Ward	R	R	R	R	R	S	R	R	S	
K60	Female	85	General Practice Ward	R	R	R	R	R	R	R	R	S	
K61	Male	73	Intensive Care Medicine Ward	R	R	R	R	R	S	R	R	S	
K62	Male	69	Intensive Care Medicine Ward	R	R	R	R	R	S	R	R	S	

A



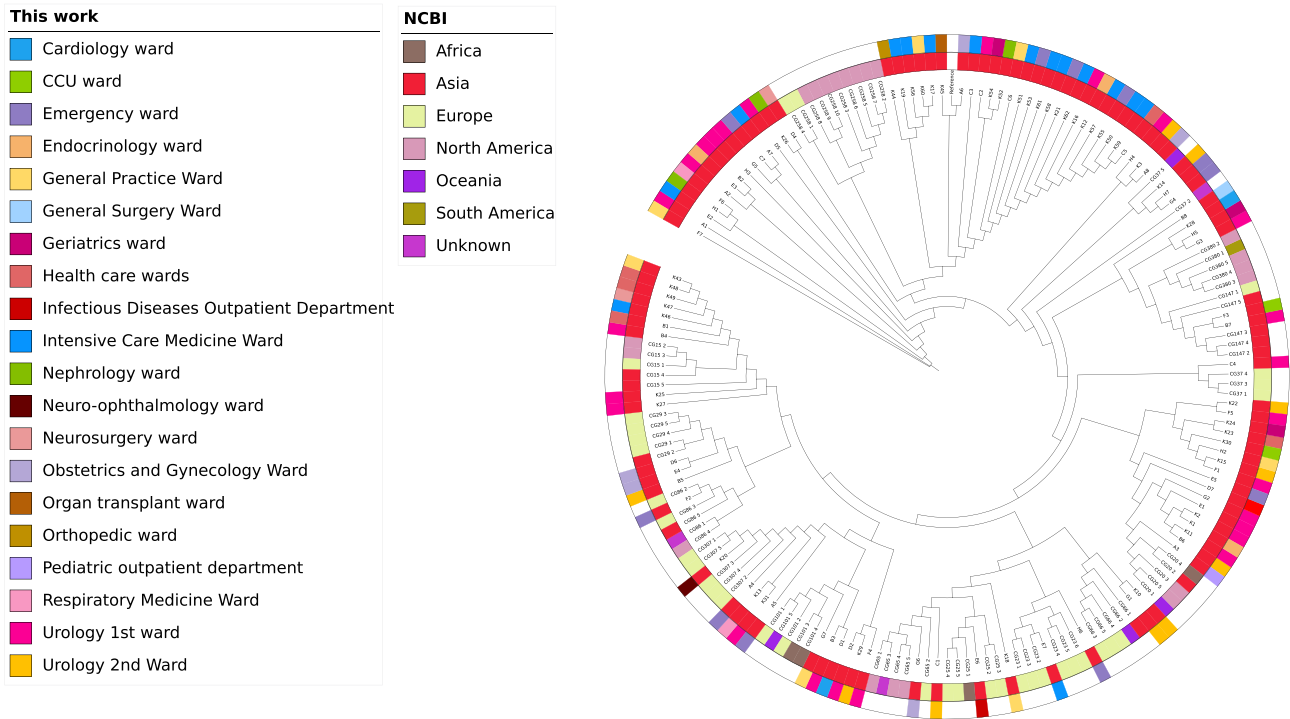
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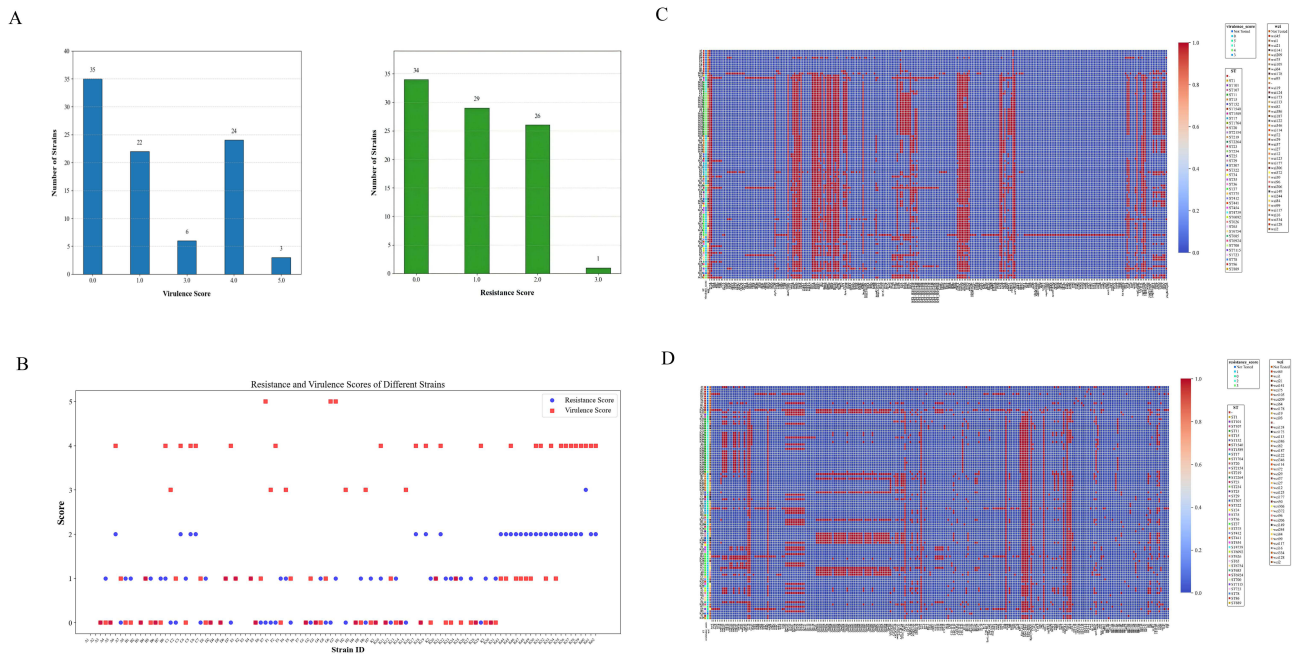
**Figure 1** Results of Antimicrobial Susceptibility Testing. **(A)** Bar chart illustrating the antimicrobial susceptibility and quantity distribution of KP to different antimicrobial agents. S indicates that the bacterium is susceptible to the antimicrobial agent, R denotes that the bacterium's susceptibility to the drug is between susceptible and resistant, I represents that the bacterium is resistant to the antimicrobial agent, and SDD means that the bacterium's susceptibility is dose-dependent. **(B)** Heatmap illustrating the antimicrobial susceptibility profiles of KP to various antimicrobial agents. A value of 0 represents a susceptibility result of S, 1 denotes R, 2 indicates I, and 3 corresponds to SDD.

constitute the core virulence modules contributing to the pathogenicity of KP. Genes of the *chu* family (eg, heme utilization genes such as *chuA*, *chuS*, and *chuT*) and genes of the *csg* family (eg, *csgA*, *csgB*) were prevalent in hypervirulent strains (virulence score  $\geq 3$ ), enhancing the environmental adaptability of the strains. Eighty percent of ST11 strains had a virulence score of 4, classifying them as hypervirulent strains. Strains G7, E7, and G6, which had a high virulence score of 5, not only harbored the aforementioned characteristic genes but also carried genes of the all operon (eg, *allA*, *allB*, *allC*) and genes of the *clb* operon (eg, *clbA*, *clbB*, *clbC*), which further enhanced the colonization and invasion capabilities of the strains (Figure 3C).

Correlation analysis between KP strains and drug resistance genes revealed that the strain samples could be roughly divided into 3 clusters. Among these, the ST11 sequence type carried the most abundant drug resistance genes, with 21 strains achieving a drug resistance score of 2. All ST11 strains harbored  $\beta$ -lactam resistance genes (CTX-M family, SHV family) and



**Figure 2** Phylogenetic tree of KP: Constructed based on the genomic sequences of 178 KP strains (local isolates and global clones provided by the Pasteur Institute). The inner circle represents different geographical regions worldwide, while the outer circle corresponds to the various clinical departments from which the hospital collected the strains.



**Figure 3** Virulence and drug resistance scores of KP. **(A)** Statistical count of bacterial strains with different virulence/drug resistance scores. **(B)** Statistical analysis of virulence/drug resistance scores for each bacterial strain. Analysis of correlations between strains and virulence/resistance genes. **(C)** Strains and virulence factors. **(D)** Strains and resistance genes.

aminoglycoside resistance genes (AmT). Additionally, 91% of ST11 strains carried carbapenem resistance genes (KPC-2), exhibiting an overall pattern of significantly high drug resistance (Figure 3D). Specifically, among a total of 24 ST11 isolates tested, 22 were confirmed to harbor the KPC-2 gene. Statistical analysis via Fisher’s exact test further verified that the

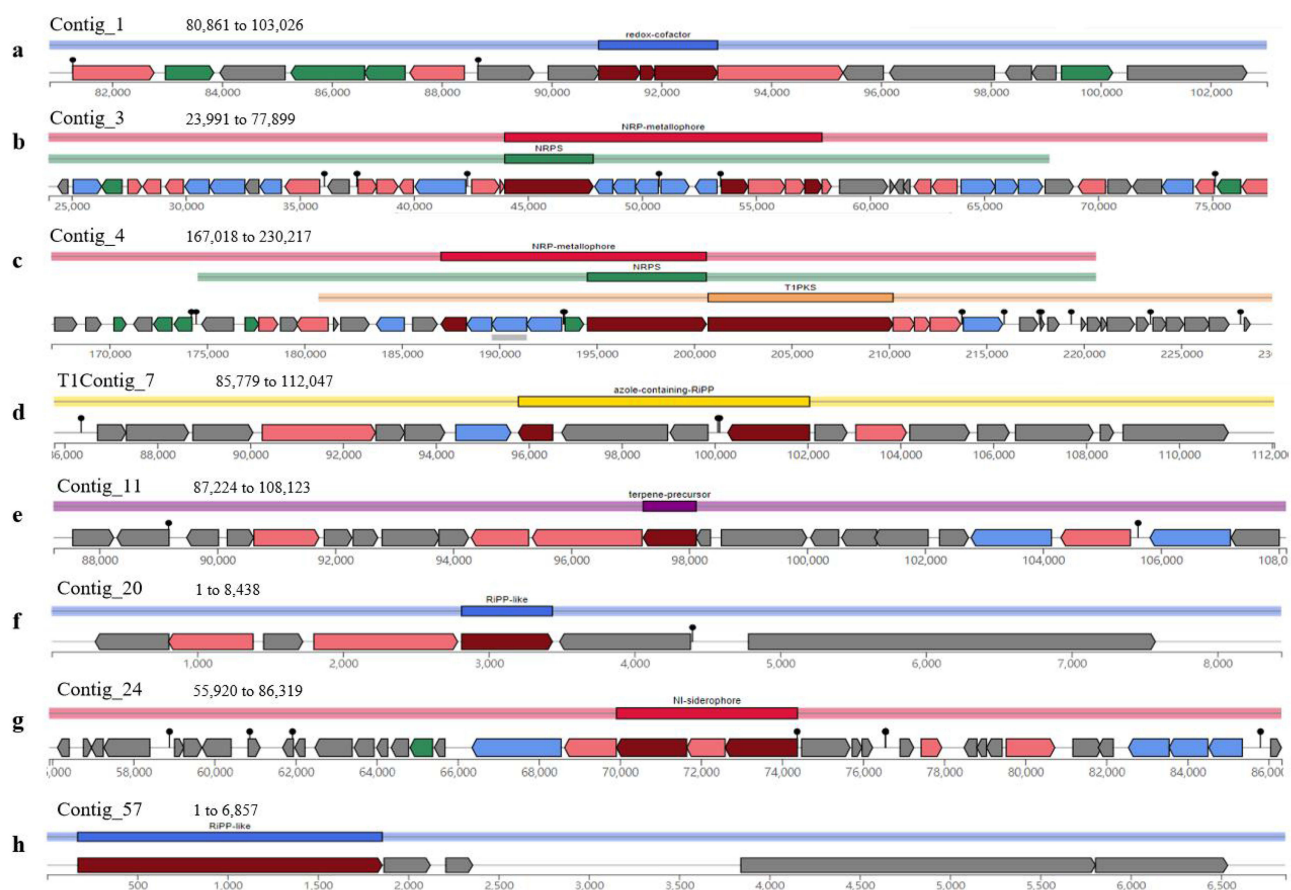
prevalence of KPC-2 in the ST11 clone reached a statistically significant level ( $P = 1.646793e-15$ ,  $P < 0.001$ ), which corroborates the strikingly high carbapenem resistance rate of this sequence type.

## Metabolic Trait

Biosynthetic gene clusters (BGCs) associated with the biosynthesis of redox-cofactors, azole-containing RiPPs (ribosomally synthesized and post-translationally modified peptides), terpene precursors, NRP-metallophores (non-ribosomal peptide siderophores), T1PKS (type I polyketide synthases), RiPP-like compounds and NI-siderophores (non-ribosomal peptide synthetase-independent siderophores) were identified in the bacterial genomes (Figure 4). The presence of these BGCs likely indicates the production of different types of secondary metabolites, which may enhance the environmental adaptability of the strain.

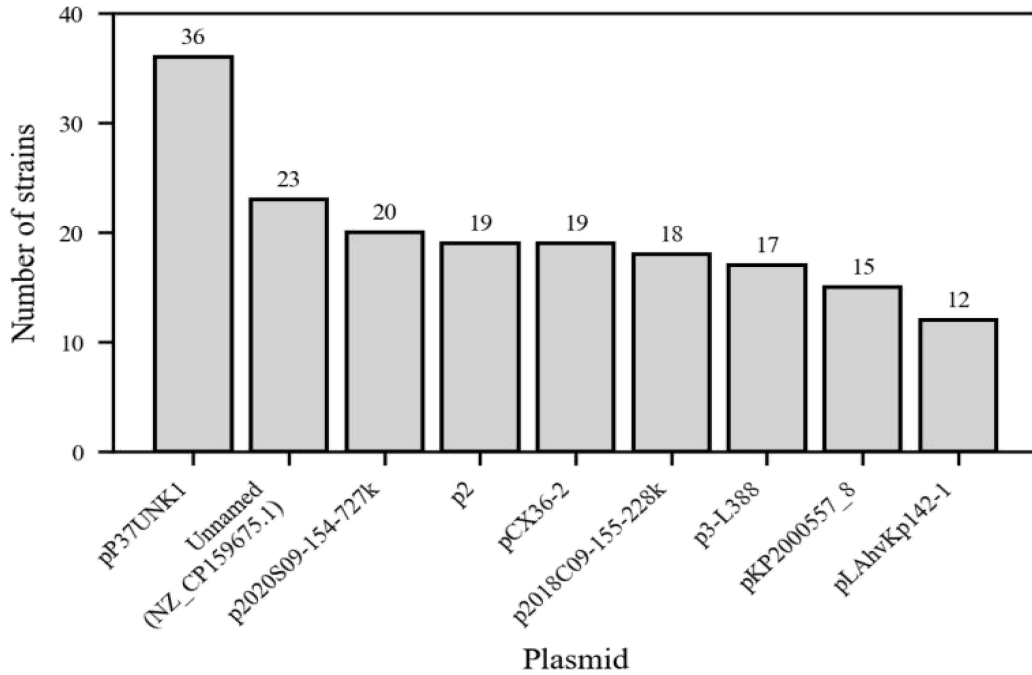
## Plasmid Characteristics

According to the alignment results, the plasmids with accession numbers NZ\_CP186632.1 (36/102) and NZ\_CP159675.1 (23/102) were the most abundant (Figure 5A). Querying the NCBI database revealed that the plasmid NZ\_CP186632.1 is named pP37UNK1, while NZ\_CP159675.1 remains unnamed. The plasmids of strains K23 and G6 showed the highest similarity to pP37UNK1 and NZ\_CP159675.1, with identity rates of 99.31% and 99.52%, respectively. Therefore, the plasmids of these two strains (K23 and G6) were selected for subsequent analysis. The plasmid of strain K23 was designated as pKpK23\_11, and that of strain G6 was designated as pKpG6\_14. Plasmid pKpK23\_11 has a full length of 145,397 bp, and its circular genome map indicates that the plasmid replicon carries genes such as *fosA*, *mdtM*, *arcA*, *trpR*, and *osmY*. Among these, *fosA* is a fosfomycin resistance gene. Plasmid pKpG6\_14 is 127,475 bp in length; its

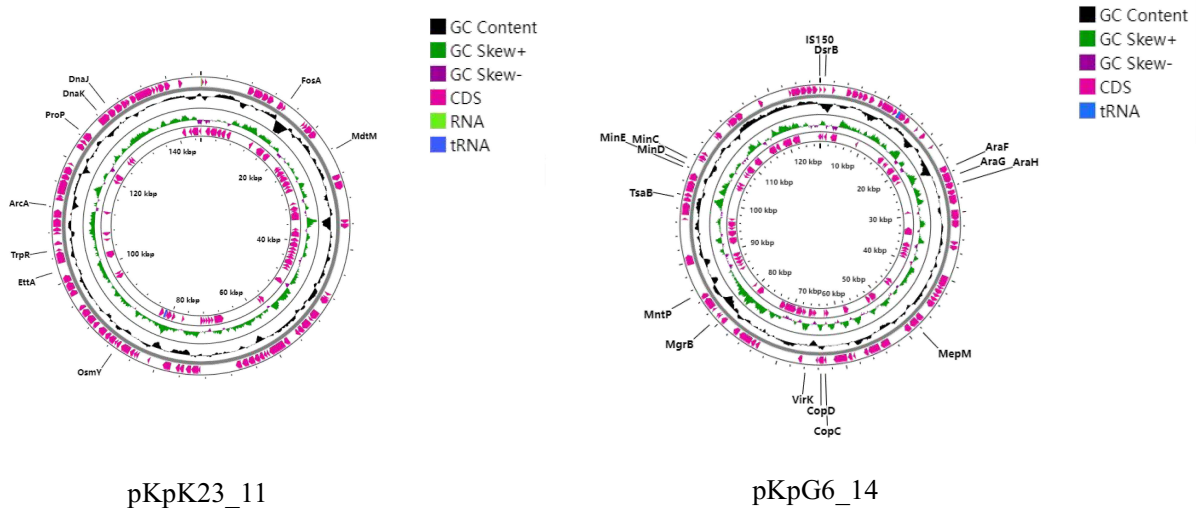


**Figure 4** Prediction of biosynthetic gene clusters (BGCs) in the KP genome. (a) BGCs associated with redox processes, (b and c) NRP-metallophores, (c) T1PKS, (d) azole-containing RiPPs, (e) terpene precursors, (f and h) RiPP-like, (g) NI-siderophores were annotated in the assembled genome of KP.

A



B



**Figure 5** Plasmid analysis results. **(A)** Number of different plasmids carried by bacterial strains. **(B)** Circular maps of plasmids pKpK23\_11 and pKpG6\_14. Black, green, and purple represent GC content, positive GC skew (GC skew +), and negative GC skew (GC skew -), respectively. Key functional genes are labeled in pink. RNA and tRNA are labeled in fluorescent green and blue, respectively.

circular genome map shows that it does not harbor any resistance genes but contains multiple functional genes, including MinC, MinD, MinE, IS150, and DprB (Figure 5B).

### Discussion

Our KP isolates were mostly derived from the Urology ward (35/102), followed by the Intensive Care Medicine Ward (15/102) and Emergency ward (11/102), with sporadic detection in other departments. This skewed distribution is likely due to the high incidence of KP-associated urinary tract infections in the Urology ward and the frequent occurrence of mixed urinary tract and other infections in critically ill and emergency patients, who are more frequently subjected to clinical sampling for pathogen detection.

According to previous studies, ST11 is the predominant clone of KP in China and East Asia. In the present study, we found that the evolutionary characteristics of the collected clinical KP strains were consistent with those reported in previous research.<sup>17,23,24</sup> Most strains were closely related to the CG258 clone, suggesting that adaptive transmission may have occurred, which is consistent with the previously reported global dissemination of KP clones.

Most of the strains collected in this study carried multiple virulence-associated genes, suggesting that KP possesses high pathogenic potential. Among these, iron-acquisition-related virulence factors such as *ybtS*, *irp1*, and *fyuA* enable the bacterium to obtain iron—an essential element for its growth—from the host environment. In-depth elucidation of the mechanisms underlying these virulence factors will provide a crucial basis for formulating targeted anti-infective strategies against KP.

Due to the inappropriate use of antibacterial agents, the problem of bacterial drug resistance has become increasingly prominent. According to the results of drug susceptibility testing, the resistance rates to ceftazidime and levofloxacin both exceed 50%. As a third-generation cephalosporin, ceftazidime is a commonly used drug for treating KP infections in community and hospital settings. Its high resistance rate suggests that such drugs should be used cautiously in clinical treatment in the future. In drug resistance gene detection, most of the collected clinical isolates of KP were found to harbor *acrA*, *KpnE*, *KpnF*, and *KpnG*, all of which belong to efflux-mediated antibiotic resistance genes.<sup>25</sup> In addition, *CTX-M-65*, *aadA2*, *aadA17*, *aadA25*, *QnrB14*, *QnrB15*, and *QnrB16* were also detected. *CTX-M-65* is a  $\beta$ -lactamase gene, but its carriage rate is only 23%, which is inconsistent with the high resistance rate to ceftazidime in the drug susceptibility test. This may be due to the efflux of drugs by efflux pumps leading to bacterial resistance to ceftazidime. The *aadA* family genes are aminoglycoside resistance genes, and the enzymes encoded by them can modify aminoglycoside antibiotics, rendering them inactive and thereby mediating bacterial resistance to aminoglycoside drugs. Importantly, the aforementioned resistance genes are highly enriched in ST11 clone strains. The *Qnr* family genes are quinolone resistance genes, with only 15% of the strains carrying such genes. However, most strains are resistant to levofloxacin, which indicates that their resistance is not plasmid-mediated and may be caused by mutations in the quinolone resistance-determining regions (QRDR) of the *gyrA* and *parC* genes.<sup>26</sup>

The identification of BGCs in KP highlights its capacity to produce diverse secondary metabolites, and we identified redox cofactors, azole-containing RiPPs, terpene precursors, NRP-metallophores, T1PKSs, RiPP-like compounds and NISsiderophores. Redox cofactors are crucial molecules that maintain cellular redox homeostasis, facilitate intracellular catabolic and anabolic reactions, and serve as core substances for bacteria to sustain life activities.<sup>27</sup> RiPP biosynthetic gene clusters (BGCs) typically include genes encoding precursor peptides and modification enzymes, and in some cases leader peptidases and transporters, exhibiting complex structures and antibacterial activity that enhance bacterial competitive advantages, thus creating conditions for KP to establish invasive infections.<sup>28</sup> Terpenes produced by terpene precursor clusters display broad biological activities, including antibacterial and immunomodulatory functions.<sup>29</sup> The immunomodulatory effects of terpenes can interfere with the secretion of host cytokines, which may not only alleviate tissue damage by inhibiting the release of excessive inflammatory factors, but also reduce the clearance efficiency of immune cells against KP under specific conditions. In addition, their antibacterial activity impairs the survival competitiveness of other bacteria by disrupting their cell membrane and inhibiting biofilm formation, thereby enhancing the colonization and survival advantages of KP. Studies have shown that some terpenes can exert a synergistic effect with existing antibacterial drugs, which provides a novel research direction for alleviating antimicrobial resistance (AMR).<sup>30</sup> Siderophore biosynthesis proceeds via two pathways: the nonribosomal peptide synthetase (NRPS) pathway and the NRPS-independent siderophore (NIS) synthase pathway. Both NRP-based and NIS-based siderophores facilitate bacterial acquisition of metal ions under metal-limited conditions, thus enabling bacteria to gain a competitive edge in specific environments. The production of siderophores may interfere with the biofilm formation process of KP and may also disrupt antibiotic activity by modulating oxidative stress mechanisms. Clinically, inhibitors targeting this biosynthetic pathway are expected to serve as promising targeted therapeutic strategies against multidrug-resistant KP infections.<sup>31,32</sup> Type I polyketide synthases (T1PKSs) primarily function to assemble polyketides, which are pivotal for bacterial synthesis of a diverse array of important bioactive substances and can enhance bacterial viability, competitiveness, and environmental adaptability. Polyketide toxins produced by some polyketides can directly disrupt the cell membranes and organelles of host cells, trigger inflammatory responses, and thus lay the foundation for bacterial invasion and colonization. In addition, certain polyketides serve as key components of the bacterial biofilm matrix, which can reduce the permeability of

antibacterial agents, thereby increasing bacterial drug resistance and the risk of persistent infections.<sup>33</sup> The presence of these secondary metabolites indicates that KP possesses robust environmental adaptability and survival competitiveness. Its intricate metabolic characteristics affect the pathogenic mechanism to a certain extent, while also providing novel insights for clinical treatment. Further metabolomic analyses are required to clarify the specific functions of these biosynthetic gene clusters, thereby laying a scientific foundation for precise targeted therapy and the development of new antibacterial agents.<sup>34</sup>

Analysis of the plasmid map of pKpK23\_11 revealed that the carried *fosA* gene is critical for mediating fosfomycin resistance. The protein encoded by this gene can modify the fosfomycin molecule, thereby inactivating it and limiting clinical therapeutic options. Additionally, the various functional genes carried by this plasmid provide a molecular basis for the horizontal transfer of the *fosA* gene, which may facilitate the spread of fosfomycin-resistant phenotypes among bacteria, thus leading to widespread fosfomycin resistance in local bacterial strains. The plasmid map of pKpG6\_14 shows that although it does not carry drug resistance genes, it harbors the IS150 element. IS elements can mediate horizontal transfer between plasmids and bacterial chromosomes via their own transposases. Specifically, IS150 can insert into the *mgrB* gene on the bacterial chromosome, disrupting the gene's integrity and leading to its inactivation. This inactivation relieves the negative regulatory effect on the PhoPQ signaling pathway. With the continuous activation of the PhoPQ pathway, the autophosphorylation level of PhoQ increases, which in turn upregulates the *arnBCADTEF* operon. The *arnBCADTEF* operon then catalyzes the addition of L-4-aminoarabinose (L-Ara4N) to lipid A, resulting in a reduction in the negative charge of L-Ara4N-modified lipid A. Since lipid A is not only the core component of bacterial lipopolysaccharide (LPS) but also the primary target of polymyxins, the antibacterial mechanism of polymyxins relies predominantly on the binding of their positively charged molecular moieties to the negatively charged lipid A on the bacterial outer membrane, which disrupts the outer membrane structure and increases its permeability. Therefore, the reduced negative charge of lipid A impairs the binding affinity between LPS and polymyxins, ultimately conferring polymyxin resistance on the bacterial strain.<sup>35–37</sup> This cryptic resistance mechanism that is independent of resistance genes tends to result in false susceptibility in conventional resistance gene assays, thereby contributing to clinical treatment failure.

This study provides an effective analysis of the molecular epidemiology and pathogenic mechanisms of KP in UTIs. However, due to the small sample size and regional limitations, the results only have local representativeness. In subsequent studies, the research scale should be expanded to obtain more comprehensive epidemiological data. Additionally, it is necessary to continuously monitor changes in the drug resistance profiles of clinically isolated strains, standardize the use of antibiotics, and block the transmission chain of drug-resistant bacteria through clinical practice.

## Conclusion

In this study, whole-genome sequencing and bioinformatics analyses were performed on clinically isolated KP strains, clarifying their molecular epidemiological characteristics and pathogenic patterns. The results showed that ST11 was the dominant epidemic clone in this hospital (accounting for 24%), with a high enrichment in the Intensive Care Unit and Urology Ward. This suggests that these departments are key areas for nosocomial transmission. Phylogenetic analysis indicated that most local strains are closely related to the globally prevalent CG258 clonal group, confirming the adaptive transmission trend of this clonal group in the region.

The majority of strains displayed high-level resistance to the antimicrobial agents ceftazidime and levofloxacin. The ST11 clone also exhibited a dual phenotype of hypervirulence combined with multidrug resistance. Its genome was enriched in siderophore biosynthesis genes (*ybtS*, *irp1*, *irp2*) and multiple antimicrobial resistance determinants (CTX-M65, *fosA*, *aadA2*). These genes directly underpin the enhanced pathogenic potential of the strains and their reduced susceptibility to antimicrobial agents. Furthermore, the biosynthetic gene clusters of secondary metabolites carried by the strains, as well as the resistance genes harbored on plasmids, further enhance the environmental adaptability of the strains and facilitate the occurrence of horizontal gene transfer events. This study provides a scientific basis for formulating targeted prevention and control strategies, emphasizing the need to strengthen the surveillance of dominant sequence types and promote the rational use of antimicrobial agents to curb the transmission of this opportunistic pathogen.

## Ethics Statement

This study was approved by the Medical Ethics Committee of Chinese PLA General Hospital (No. KY2024-018). All patients or their legal guardians have signed the informed consent form.

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## Disclosure

The authors report no conflicts of interest in this work.

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