








Respiratory Muscle Strength and Imaging Parameters in Smokers: A Prospective Observational Study

Yumiko Matsuo ¹, Emiko Ogawa ^{1,2}, Tomoko Iriyama ¹, Yoko Tsunoda¹, Akio Yamazaki¹, Yasuki Uchida¹, Hiroaki Nakagawa ¹, Daisuke Kinose ¹, Masafumi Yamaguchi ¹, Yasutaka Nakano ¹

¹Division of Respiratory Medicine, Department of Internal Medicine, Shiga University of Medical Science, Otsu, Shiga, Japan; ²Health Administration Center, Shiga University of Medical Science, Otsu, Shiga, Japan

Correspondence: Emiko Ogawa, Health Administration Center, Shiga University of Medical Science, Seta Tsukinowa-cho, Otsu, Shiga, 520-2192, Japan, Tel/Fax +81-77-548-2212, Email eogawa@belle.shiga-med.ac.jp

Purpose: Respiratory muscle strength tests are commonly used in respiratory rehabilitation as an indicator of training in patients with chronic obstructive pulmonary disease (COPD). Although respiratory muscle strength tests are associated with lung function, their relationship with imaging parameters has not been fully investigated. Therefore, we aimed to reveal the relationship between imaging parameters and respiratory muscle strength and determine the usefulness of respiratory muscle strength tests as an indicator of the pathophysiology of dynamic pulmonary hyperinflation in smokers.

Patients and Methods: In this single-center prospective observational study conducted in Japan, 48 patients with COPD and 10 non-COPD smokers were included in the final analysis. Most of the participants were men and the median age was 77 [interquartile range 70.8–80.1]. Respiratory muscle strength tests, pulmonary function tests, and inspiratory and expiratory chest computed tomography were performed. Quantitative imaging parameters of gas trapping and emphysema were measured using the disease probability measure (DPM), a voxel-wise image analysis.

Results: Maximal inspiratory and expiratory pressures (P_{Imax} and P_{Emax}) were negatively correlated with residual volume/total lung capacity (Spearman's rank correlation coefficient (r): -0.37, -0.40, respectively and p-value (p): <0.01), but not with forced expiratory volume in 1 s. P_{Imax} and P_{Emax} were negatively correlated with gas-trapping lesions recognized by DPM (DPM_{GasTrap}) in the single correlation analysis (r: -0.31, -0.37 and p: <0.05, <0.01, respectively), and the values for DPM_{GasTrap} significantly differed between the high and low P_{Imax} and P_{Emax} groups.

Conclusion: Respiratory muscle strength reflects the degree of gas trapping, not the degree of obstructive airflow limitation in smokers. It proves particularly valuable, especially during the initial phases of COPD, before emphysematous changes manifest.

Keywords: P_{Imax}, P_{Emax}, COPD, disease probability measure, gas trapping, emphysema

Introduction

In chronic obstructive pulmonary disease (COPD), dynamic lung hyperinflation due to airflow limitation leads to reduced exercise tolerance and poor prognosis. Thus, establishing a simple monitoring index that reflects the pathophysiology of dynamic pulmonary hyperinflation is important. Recently, the disease probability measure (DPM),^{1,2} a voxel-wise image analysis using co-registered inspiration and expiration computed tomography (CT) scans, has been developed to quantitatively distinguish functional airway diseases with gas trapping from emphysematous lesions. However, the number of institutions for which DPM measurement is available is limited.

Respiratory muscle strength tests are commonly used in respiratory rehabilitation as an indicator of training;^{3,4} however, the relationship between imaging parameters and respiratory muscle strength tests has not been fully investigated. In patients with COPD, inspiratory muscle strength is significantly lower than expiratory muscle strength, reflecting diaphragm flattening due to lung hyperinflation and reduced inspiratory muscle strength.

Herein, we hypothesized that respiratory muscle weakness and quantitative gas-trapping imaging parameters are related, as they both reflect lung hyperinflation in the pathophysiology. Therefore, this study aimed to determine the usefulness of respiratory muscle strength tests in smokers.

Materials and Methods

Patients with stable COPD and non-COPD smokers who visited the respiratory outpatient clinic of Shiga University of Medical Science (SUMS) Hospital between October 2021 and December 2022 were recruited for this prospective cohort study. The eligibility criteria were as follows: (1) smoking history of > 10 pack-years, (2) no COPD exacerbation within 4 weeks prior to enrollment, and (3) no uncontrolled comorbidities, such as severe heart failure, malignant diseases, or other chronic lung diseases. The recruited patients underwent respiratory muscle strength tests, spirometry, forced oscillation technique (FOT), and inspiratory and expiratory CT.

COPD was diagnosed using a post-bronchodilator ratio of forced expiratory volume in 1 s (FEV₁)/forced vital capacity < 0.70 according to the Global Initiative for Chronic Obstructive Lung Disease (GOLD) recommendations.⁵

This study was approved by the Ethics Committee of SUMS (registration number: R2021-026) and conformed to the tenets of the Declaration of Helsinki, and all participants provided written informed consent prior to their participation.

Respiratory Muscle Strength Tests

Respiratory muscle strength tests were performed using a portable handheld mouth pressure meter, IOP-01 (Kobata, Osaka, Japan). The tests were performed in a sitting position by experienced operators to prevent air leakage around the flanged thermoplastic elastomer mouthpiece. Maximal inspiratory pressure (P_Imax) and maximal expiratory pressure (P_Emax) were measured according to the American Thoracic Society (ATS)/European Respiratory Society (ERS) Statement on Respiratory Muscle Testing⁶ at residual volume (RV) and total lung capacity (TLC), respectively. Predicted values were calculated from age, height, and weight for each sex in accordance with the guidelines of the Japanese Respiratory Society.^{7,8} The median values of P_Imax and P_Emax were used to divide the 58 participants into two groups (high or low P_Imax and P_Emax groups) according to respiratory muscle strength values.

Pulmonary Function Tests and Forced Oscillation Technique

Pulmonary function and FOT parameters were measured after the inhalation of 20 µg procaterol in a sitting position. Spirometry was performed using a FUDAC77 spirometer (Fukuda Denshi, Tokyo, Japan), according to the ATS/ERS guidelines.⁹ The carbon monoxide diffusing capacity was measured using the single-breath washout technique. Predicted spirometry values were calculated according to the Japanese Respiratory Society guidelines.¹⁰ Indices of the FOT were measured after the inhalation of 20 µg procaterol using MostGraph-01 (Chest M.I., Inc., Tokyo, Japan).¹¹ The FOT indices at each oscillatory frequency (4–35 Hz), such as respiratory resistance, respiratory reactance (X_{rs}) at 5 or 20 Hz (R5, R20, and X5), resonant frequency (F_{res}), and low-frequency reactance (ALX), were automatically calculated using fast Fourier transformation methods.

Computed Tomography Imaging Parameters

Volumetric non-contrast chest CT was performed in the supine position using a 320-detector row CT scanner (Aquilion ONE, Canon Medical Systems Corporation, Tochigi, Japan) with either full inspiration or expiration after the inhalation of 20 µg procaterol. The CT images were reconstructed with a 1.0-mm slice thickness at 0.5-mm intervals.

The percentage of low attenuation volume (LAV%), which is a characteristic parameter of emphysematous lesions, were defined as voxels with CT attenuation less than −950 Hounsfield units, and the square root of the wall area of a hypothetical airway with an internal perimeter of 10 mm ($\sqrt{A_{aw}}$ at Pi10),¹² which is an index reflecting airway lesions, were quantitatively measured using the Apollo software (VIDA, Coralville, IA, USA), as previously described.^{13,14}

The inspiratory and expiratory CT images were aligned, and a DPM image analysis¹ was performed. The DPM classifies each voxel as normal (DPM_{Normal}), emphysematous (DPM_{Emph}), or gas trapping (DPM_{GasTrap}), which is referred to as functional small airway disease, by combining the probability of gas trapping and emphysema, as

calculated by the inspiratory-to-expiratory intensity differences. DPM analyses were performed using VIDA, as previously described.¹

Statistical Methods

First, the relationship between respiratory muscle strength and imaging parameters was investigated. Correlations were evaluated using the Spearman rank correlation coefficient. Wilcoxon's rank-sum test was used to detect statistically significant differences between DPM parameters with high or low respiratory muscle strength. Second, the relationship between respiratory muscle strength and functional COPD parameters was investigated using the Spearman rank correlation coefficient. A p-value of < 0.05 was considered significant. All statistical analyses were performed using JMP Pro 17 (SAS Institute, Cary, NC, USA).

Results

During this study, two patients with COPD were excluded because they had missing data on respiratory muscle strength resulting from failure to follow the measurement instructions. Therefore, 48 patients with COPD and 10 non-COPD smokers were included in the final analysis. Table 1 shows the characteristics of participants. Most of the participants were men. Most individuals had relatively mild degrees of airflow obstruction, up to GOLD 2.

The relationship between respiratory muscle strength and imaging parameters is shown in Table 2 and Figure 1. P_Imax and P_Emax were negatively correlated with gas-trapping lesions recognized by the DPM (DPM_{GasTrap}) in the single correlation analysis (Table 2). The values for DPM_{GasTrap} were significantly different between the high and low groups for P_Imax and P_Emax (Figure 1). The quantitative imaging parameters of the emphysematous lesions (LAV% and

Table 1 Characteristics of Participants

Characteristics	n = 58	
Age (years)	77	[70.8–80.1]
Sex (M/F)	56/2	
Height (cm)	165.8	[162.0–169.1]
BMI (kg/m ²)	24.1	[22.7–25.6]
Smoking history (current/former)	11/47	
Pack-years	52.5	[39.2–72.0]
mMRC score	1	[0–1]
Total CAT score	8	[2–15]
GOLD (at risk/1/2/3/4)	10/23/17/7/1	
VC (L)	3.75	[3.38–4.09]
VC (% predicted)	106.8	[97.0–116.0]
RV/TLC (%)	37.2	[32.2–41.2]
FEV ₁ (L)	2.26	[1.65–2.47]
FEV ₁ (% predicted)	82.7	[67.2–94.7]
DL _{co} /V _A (mL/min/mmHg/L)	3.21	[2.48–3.74]
LAV% (%)	7.4	[3.0–14.1]
√Aaw at Pi10 (mm)	3.76	[3.72–3.84]
P _I max (cmH ₂ O)	66.9	[52.4–83.1]
P _I max (% predicted)	94.1	[72.4–117.4]
P _E max (cmH ₂ O)	97.9	[73.3–119.8]
P _E max (% predicted)	85.4	[66.8–112.5]

Note: Data are presented as numbers or medians [interquartile range].

Abbreviations: BMI, body mass index; CAT, COPD assessment test; GOLD, Global Initiative for Chronic Obstructive Lung Disease; M, male; mMRC, modified Medical Research Council dyspnea scale; F, female; VC, vital capacity; RV, residual volume; TLC, total lung capacity; FEV₁, forced expiratory volume in 1s; DL_{co}/V_A, carbon monoxide diffusing capacity divided by the alveolar volume; P_Imax, maximal inspiratory pressure; P_Emax, maximal expiratory pressure.

Table 2 Relationship Between Respiratory Muscle Strength and Imaging Parameters

	PImax (cmH ₂ O)		PImax (% Predicted)		PEmax (cmH ₂ O)		PEmax (% Predicted)	
	r	p	r	p	r	p	r	p
LAV%	0.053	N.S.	0.010	N.S.	0.151	N.S.	0.147	N.S.
√Aaw at Pi10	-0.074	N.S.	-0.078	N.S.	-0.025	N.S.	-0.021	N.S.
DPM _{Normal}	0.310	0.018	0.226	N.S.	0.296	0.024	0.182	N.S.
DPM _{GasTrap}	-0.310	0.018	-0.197	N.S.	-0.366	0.005	-0.264	0.045
DPM _{Emph}	-0.042	N.S.	-0.038	N.S.	0.045	N.S.	0.087	N.S.

Notes: N = 58. Data are presented as Spearman's rank correlation coefficient (r) and p-value (p). Factors in bold indicate p < 0.05. **Abbreviations:** PImax, maximal inspiratory pressure; PEmax, maximal expiratory pressure; LAV%, percentage of low attenuation volume; √Aaw at Pi10, square root of the wall area of a hypothetical airway with an internal perimeter of 10 mm; DPM, disease probability measure; DPM_{Normal}, normal lesion recognized by DPM; DPM_{GasTrap}, gas-trapping lesion recognized by DPM; DPM_{Emph}, emphysematous lesion recognized by DPM. N.S., not significant (p > 0.05).

DPM_{Emph}) did not correlate with any of the respiratory muscle strength parameters. Similarly, there was no correlation between Pi10 and respiratory muscle strength.

Table 3 shows the relationship between respiratory muscle strength and pulmonary function. Both PImax and PEmax negatively correlated with RV/TLC using Spearman's rank correlation test. However, RV/TLC did not correlate with predicted %PImax. The predicted vital capacity was positively correlated with PEmax and %PEmax, but not with PImax or %PImax. The FEV₁ or DL_{co}/V_A did not correlate with any respiratory muscle strength value.

Table 4 shows the relationship between respiratory muscle strength and FOT parameters. Inspiratory respiratory muscle strength (PImax) did not correlate with any FOT parameters. Expiratory muscle strength (PEmax) correlated only with R20, but not with other FOT parameters.

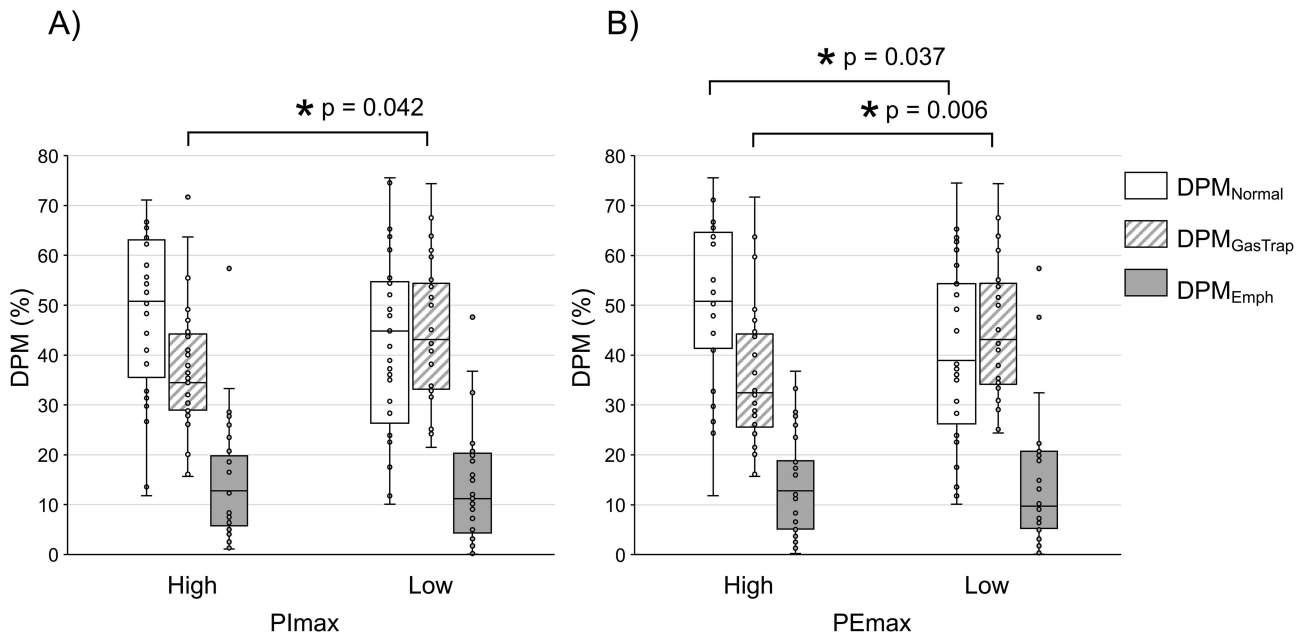


Figure 1 Comparison of DPM parameters among the high or low group in PImax (A) and PEmax (B). Box plots of the percentage of the DPM parameters, DPM_{Normal} (white), DPM_{GasTrap} (shaded), and DPM_{Emph} (grey), between the high and low groups in a) PImax and b) PEmax. There are 29 participants in each group. Small circle points show individual data. The Wilcoxon rank-sum test was used to compare the DPM parameters between the two groups. *p < 0.05.

Abbreviations: PImax, maximal inspiratory pressure; PEmax, maximal expiratory pressure; DPM, disease probability measure; DPM_{Normal}, normal lesion recognized by DPM; DPM_{GasTrap}, gas-trapping lesion recognized by DPM; DPM_{Emph}, emphysematous lesion recognized by DPM.

Table 3 Relationship Between Respiratory Muscle Strength and Pulmonary Function

	PImax (cmH ₂ O)		PImax (% Predicted)		PEmax (cmH ₂ O)		PEmax (% Predicted)	
	r	P	r	p	r	p	r	p
VC (L)	0.19	N.S.	0.04	N.S.	0.25	N.S.	0.07	N.S.
VC (% predicted)	0.22	N.S.	0.24	N.S.	0.33	<0.05	0.30	<0.05
RV/TLC (%)	-0.37	<0.01	-0.26	N.S.	-0.40	<0.01	-0.28	<0.05
FEV ₁ (L)	0.19	N.S.	0.12	N.S.	0.10	N.S.	-0.01	N.S.
FEV ₁ (% predicted)	0.10	N.S.	0.17	N.S.	0.08	N.S.	0.08	N.S.
DL _{co} /V _A (mL/min/mmHg/L)	0.23	N.S.	0.20	N.S.	-0.01	N.S.	-0.07	N.S.

Notes: N = 58. Data are presented as Spearman's rank correlation coefficient (r) and p-value (p). Factors in bold indicate p < 0.05.

Abbreviations: PImax, maximal inspiratory pressure; PEmax, maximal expiratory pressure; VC, vital capacity; RV, residual volume; TLC, total lung capacity; FEV₁, forced expiratory volume in 1 s; DL_{co}/V_A, carbon monoxide diffusing capacity divided by the alveolar volume; N.S., not significant (p > 0.05).

Table 4 Relationship Between Respiratory Muscle Strength and FOT Parameters

	PImax (cmH ₂ O)		PImax (% Predicted)		PEmax (cmH ₂ O)		PEmax (% Predicted)	
	r	P	r	p	r	p	r	p
R5 _{mean}	0.064	N.S.	0.026	N.S.	0.256	N.S.	0.258	N.S.
R20 _{mean}	0.101	N.S.	0.089	N.S.	0.303	0.021	0.335	0.010
R5-R20	0.004	N.S.	-0.071	N.S.	0.163	N.S.	0.130	N.S.
X5 _{mean}	0.080	N.S.	0.075	N.S.	-0.053	N.S.	-0.089	N.S.
ΔX5	0.003	N.S.	-0.089	N.S.	0.087	N.S.	0.090	N.S.
Fres	-0.102	N.S.	-0.098	N.S.	0.023	N.S.	0.068	N.S.
ALX	-0.084	N.S.	-0.092	N.S.	0.044	N.S.	0.075	N.S.

Notes: N = 58. Data are presented as Spearman's rank correlation coefficient (r) and p-value (p). Factors in bold indicate p < 0.05.

Abbreviations: PImax, maximal inspiratory pressure; PEmax, maximal expiratory pressure; FOT, forced oscillation technique; R5, Rrs at 5 Hz; R20, Rrs at 20 Hz; R5-R20, difference from R5 to R20; X5, Xrs at 5 Hz; Fres, resonant frequency; ΔX5, difference in X5 between inspiratory and expiratory phases; ALX, low-frequency reactance area. N.S., not significant (p > 0.05).

Discussion

We found that both inspiratory and expiratory muscle strengths (PImax and PEmax, respectively) reflected the pathophysiology of gas trapping in smokers. Respiratory muscle strength is useful, especially in the early stages of COPD, before emphysematous changes become apparent.

This is the first study to demonstrate that respiratory muscle weakness reflects gas trapping by showing its association with quantitative gas-trapping imaging (Table 2 and Figure 1). Emphysematous changes gradually increase and are delayed by an increase in gas-trapping areas.¹⁵ It may precede the presence of emphysematous lesions on CT in patients with COPD. Therefore, the results of this study do not confirm the relationship between emphysema and respiratory muscle strength (Table 2).

When dealing with predicted values of respiratory muscle strength, it is important to note that no globally standardized calculation method exists. Most predictive equations are corrected for age, height, and weight in each sex.¹⁶ However, as Souto-Miranda et al pointed out, the measurement methods used in the development of these standards might not comply with the ATS/ERS guideline.¹⁶ In Japan, the criteria found by Suzuki et al⁷ and used in this study are generally applied⁸ but have not been standardized, as their description has been removed from the latest Japanese COPD guidelines.¹⁷ In patients with COPD, different phenotypes have different body shapes (ectomorphic or obese), and racial differences exist.^{13,18,19} The traditional “pink puffers” phenotype, which has more emphysema, has a lower body mass index than the “blue bloaters” phenotype, which has mainly bronchitis.²⁰ Racial differences have also been noted; Japanese patients with COPD are thinner, older, and more emphysematous than Western patients.¹⁸ Body weight is influenced by disease and race, and changes over time with disease progression. The inclusion of body weight

in the calculation of reference values for respiratory muscle strength leads to the paradox that the reference values themselves are affected by the disease. Therefore, the predicted values may be considered high or low. We believe it is important to assess the values in absolute terms.

Parameters in pulmonary function tests reflect pathophysiology in smokers (eg, patients with COPD). Expiratory airflow limitation results in an increase in the RV/TLC and pulmonary hyperinflation. Thus, as the RV/TLC increases, the diaphragm becomes flattened, the fiber length of the inspiratory muscles shortens, and P_Imax decreases. In addition, as emphysematous changes progress, lung compliance increases, and P_Imax significantly decreases. Similar to P_Imax, P_Emax also varies significantly with lung volume.²¹ The negative correlation between RV/TLC and P_Imax and P_Emax reflects the pathophysiology mentioned earlier (Table 3). Although FEV₁ sensitively reflects the restriction of expiratory airflow due to small airway obstruction, P_Emax, which measures only the maximal pressure during expiration, does not adequately capture the degree of small airway obstruction. Similarly, there was no correlation between Pi10 and respiratory muscle strength (Table 2). We believe that P_Emax is not an indicator of small airway status in smokers.

The relationship between respiratory muscle strength and lung function in patients with COPD has been reported in several studies, some of which reported results different from ours. Terzano et al reported that FEV₁ was positively correlated with both maximal inspiratory pressure (MIP) and maximal expiratory pressure (MEP), identical to P_Imax and P_Emax, respectively.²² However, they reported that RV and RV/TLC ratio did not correlate with either MIP or MEP. Nishimura et al²³ reported similar results. First, the measurement position differed (standing in Terzano's study vs sitting in our study, with Nishimura et al's study being unclear about the measurement position). P_Emax is lower in the standing position than in the sitting position.²⁴ In fact, in Terzano's study, MEP was approximately 10 cmH₂O higher than MIP, whereas in our study, the difference was approximately 30 cmH₂O (Table 1). Second, the characteristics of COPD severity differed among participants. Nishimura et al's study included patients with severe COPD and weight loss, who also had significantly lower measurements of respiratory muscle strength than those in the present study. In contrast, Terzano et al included healthy participants and those with GOLD 1–4. They predicted that patients with COPD could have a decrease in tension produced by inspiratory muscle shortening. However, they concluded that the lack of correlation with RV/TLC was due to the varying degrees of air trapping among patients. Our study is novel and important for two reasons: first, because the measurements followed the ATS/ERS guidelines, and second, because it measured both quantitative imaging parameters and lung function. As this study was conducted on stable outpatients, a relatively large number of patients with mild COPD were included. This allowed us to establish a relationship with gas trapping and clarify the importance of measuring respiratory muscle strength at an early stage. We believe that respiratory muscle strength is a useful indicator that reflects the degree of air trapping (gas trapping), and not the degree of obstructive airflow limitation.

This is the first study to determine the relationship between respiratory muscle strength and the FOT parameters. The FOT was performed during tidal breathing; thus, the FOT parameters were independent of respiratory muscle strength. In addition, Xrs (X5, Fres, and ALX) were associated with a combination of gas trapping and emphysematous change.¹⁵ P_Imax and P_Emax were affected by gas trapping but were not good indicators of the extent of emphysematous lesions (Table 4). Therefore, they were not considered related to Xrs. In patients with COPD, changes in R5 occur early in the disease, and the gas-trapping area starts to increase slightly.²⁵ Respiratory muscle weakness is possibly more pronounced after gas trapping becomes apparent. Therefore, R5 and respiratory muscle strength should be independently evaluated.

This study has some limitations. First, this is cross-sectional study with a small sample size. Thus, further studies with a large sample size are warranted to definitively determine the differences between patients with and without COPD and sex differences. Second, this study was conducted at a single institution, and all participants were Japanese. Nevertheless, this study demonstrated the relationship between respiratory muscle strength and functional and imaging parameters in smokers.

Conclusion

Both inspiratory and expiratory muscle strength (P_Imax and P_Emax, respectively) could reflect the pathophysiology of gas trapping in smokers. Respiratory muscle strength proves particularly valuable, especially during the initial phases of

COPD, before emphysematous changes manifest. In the future, obtaining long-term results from a large number of cases is expected to reinforce this idea further and lead to highly significant clinical research.

Data Sharing Statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Ethics Approval and Informed Consent

This study was approved by the Ethics Committee of SUMS (registration number: R2021-026) and conformed to the tenets of the Declaration of Helsinki, and all participants provided written informed consent prior to their participation.

Acknowledgments

The authors would like to thank Yoko Naito, Yasutaka Horii, Makoto Yamasaki, and Fuko Kikuchi for their assistance throughout this study.

Author Contributions

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

Funding

This work was supported by JSPS KAKENHI Grant Number JP 22K16189.

Disclosure

Prof. Dr. Yasutaka Nakano reports grants and/or personal fees from AstraZeneca, GSK, Boehringer Ingelheim, Fukuda Lifetech, Clairvo Technologies, Teijin Pharma, and Pulmonx Japan, outside the submitted work. The authors report no other conflicts of interest in this work.

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