

# Biomarkers (NLR, PLR, SII) for Frequent COPD Exacerbations: Diagnostic and Clinical Management Implications in a Retrospective Study

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**Objective:** To evaluate the diagnostic and predictive value of the neutrophil-to-lymphocyte ratio (NLR), platelet-to-lymphocyte ratio (PLR), and systemic immune-inflammation index (SII) for frequent exacerbations of chronic obstructive pulmonary disease (AECOPD), and to develop a risk stratification scoring system to optimize clinical management in resource-limited healthcare settings.

**Patients and Methods:** This retrospective observational study enrolled 16,849 AECOPD patients, categorized into frequent exacerbators ( $\geq 2$  exacerbations/year,  $n=3,488$ ) and non-frequent exacerbators ( $< 2$  exacerbations/year,  $n=13,361$ ). Comparative analyses of clinical characteristics and inflammatory biomarkers (NLR, PLR, SII, CRP, PCT) were conducted. Spearman correlation, receiver operating characteristic (ROC) curve analysis, and binary logistic regression were employed to assess biomarker performance. A risk scoring system was developed using odds ratios (OR) and regression coefficients ( $\beta$ ) of NLR and PLR.

**Results:** The frequent exacerbators group exhibited significantly higher median NLR (6.71 vs 5.10,  $P < 0.001$ ), mean PLR ( $239 \pm 204$  vs  $218 \pm 195$ ,  $P < 0.001$ ), and median SII (1,137.48 vs 847.54,  $P < 0.001$ ). NLR, PLR and SII showed strong positive correlations with CRP and PCT ( $P < 0.001$ ). ROC analysis identified NLR (specificity = 84.1%) and PLR (sensitivity = 55%) as optimal diagnostic indicators. Regression analysis confirmed NLR and PLR as independent risk factors for frequent exacerbations. The risk stratification system categorized patients into low-risk ( $< 290$  points; annual exacerbation rate 17%), intermediate-risk (290–768 points; 19.1%), and high-risk ( $> 768$  points; 23.4%) groups.

**Conclusion:** NLR and PLR serve as cost-effective biomarkers for identifying high-risk frequent exacerbators patients with COPD in primary care settings. The percentile-based scoring system enables management strategies to address clinical needs in resource-constrained healthcare environments.

**Keywords:** COPD, exacerbation, NLR, PLR, biomarkers

## Introduction

Chronic obstructive pulmonary disease (COPD) is a prevalent disease that markedly diminishes the quality of life for those affected, imposing a significant economic strain on families and society. This chronic airway disease is characterized by various pulmonary manifestations and progressive airway obstruction, which arise from conditions such as bronchitis and bronchiolitis, as well as alveolar damage associated with emphysema. Patients commonly present with persistent respiratory symptoms, including dyspnea, cough, sputum production, and episodes of acute exacerbation.<sup>1</sup>

COPD is characterized by a high prevalence and mortality rate, as well as a significant socio-economic impact. The 2018 "the China Pulmonary Health [CPH]study", which included 50,991 adults across 10 provinces and cities, reported a COPD prevalence of 8.6% in individuals over 20 years of age, increasing to 13.7% in those over 40. This corresponds

to an estimated 100 million individuals who are affected by this condition in China.<sup>2</sup> The prevalence of COPD is influenced by several factors, including an aging population, smoking and secondhand smoke exposure, ambient and household air pollution, genetic susceptibility, aberrant inflammatory responses, abnormal lung development, and tuberculosis. These factors contribute to the financial burden of COPD, with acute exacerbations representing the primary source of medical costs associated with the disease. Therefore, the development of straightforward and expeditious diagnostic methods is essential for the timely identification and diagnosis of COPD, potentially enhancing its evaluation and management.

AECOPD is clinically defined as an acute deterioration of respiratory symptoms—dyspnea, cough, and sputum production—within 14 days. These symptoms may be accompanied by tachypnoea and tachycardia. These exacerbations are frequently triggered by respiratory infections or air pollution, which lead to an increase in local or systemic inflammation, they may also arise from other etiologies that compromise airway integrity.<sup>1</sup> Patients experiencing two or more exacerbations in the past year are classified as having frequent exacerbations.<sup>3,4</sup> The impact of these exacerbations on health status, quality of life, and pulmonary function is considerable, while the economic burden they impose is also substantial.<sup>5,6</sup> Nevertheless, the precise inflammatory profiles associated with frequent exacerbations in COPD patients remain poorly understood. Furthermore, the current absence of reliable biomarkers for AECOPD has resulted in a notable deficit in research focused on the identification of predictive biomarkers for frequent exacerbations.

C-reactive protein (CRP), a well-established acute-phase inflammatory biomarker, has limited sensitivity and specificity<sup>7–9</sup> and performs inconsistently across different patient populations. CRP levels can also be influenced by non-infectious factors such as patient age, cardiovascular disease, obesity, dyslipidemia, smoking, and alcohol consumption.<sup>10–15</sup> Procalcitonin (PCT), commonly used to differentiate bacterial from non-bacterial infections,<sup>16–18</sup> can be confounded by underlying chronic inflammatory states and airway colonization with Bacteria.<sup>19,20</sup> PCT detection is costly, may yield false-negative results, and PCT-guided therapy has not been shown to reduce antibiotic use.<sup>21</sup> Metagenomic next-generation sequencing (mNGS) offers a highly sensitive and pragmatic approach, complementing conventional microbiological tests (CMT) by identifying a broad spectrum of pathogens with high sensitivity and specificity.<sup>22–26</sup> However, mNGS is expensive and requires interdisciplinary collaboration involving bioinformatics, microbiology, and clinical medicine. Additionally, miR-155 expression in smokers with COPD correlates positively with disease severity,<sup>27</sup> and miR-21 is positively associated with disease severity and pulmonary function impairment in COPD patients.<sup>28</sup> The expression levels of epithelial–mesenchymal transition markers were detected by Western blot and immunofluorescence.<sup>29</sup> Metabolomic and genomic analyses of plasma have identified sphingomyelins as strongly associated with emphysema and glycosphingolipids as linked to COPD exacerbations.<sup>30,31</sup> Given the heterogeneity of COPD, a single inflammatory biomarker cannot fully capture the complexity of AECOPD, including differentiating between infectious and non-infectious causes, or bacterial versus viral etiologies. Dynamic monitoring of inflammatory biomarker levels before, during, and after acute exacerbations is essential for assessing therapeutic efficacy. However, most novel inflammatory biomarkers are costly to detect and remain largely in the research phase, requiring validation through multicenter cohort studies to establish their clinical value.

In the United States, primary care providers are responsible for the majority of antibiotic prescriptions, with family physicians issuing the highest number of such prescriptions.<sup>32</sup> Recent studies have shown that combining multiple biomarkers enhances diagnostic and predictive accuracy. Previous research has demonstrated that NLR, PLR, and SII are recombinant inflammatory markers that provide greater diagnostic and predictive value than single biomarkers.<sup>33–35</sup> However, the effectiveness of NLR, PLR, and SII in diagnosing patients with frequent COPD exacerbations remains uncertain. Therefore, this study aims to comprehensively assess the diagnostic potential of NLR, PLR, and SII in identifying patients at risk for frequent AECOPD.

## Subject Profile

In this retrospective analysis, we enrolled 16,849 subjects and categorized them into two cohorts: 13,361 subjects with Non-Frequent AECOPD and 3,488 subjects with Frequent AECOPD. The Non-Frequent AECOPD cohort comprised patients who experienced fewer than two exacerbations over the preceding year. In contrast, the Frequent AECOPD cohort included those who had experienced two or more exacerbations during the same period. Complete blood count

data for all participants were extracted from the Third People's Hospital of Chengdu, Sichuan Province, China, and covered the period from January 1, 2013, to May 1, 2023.

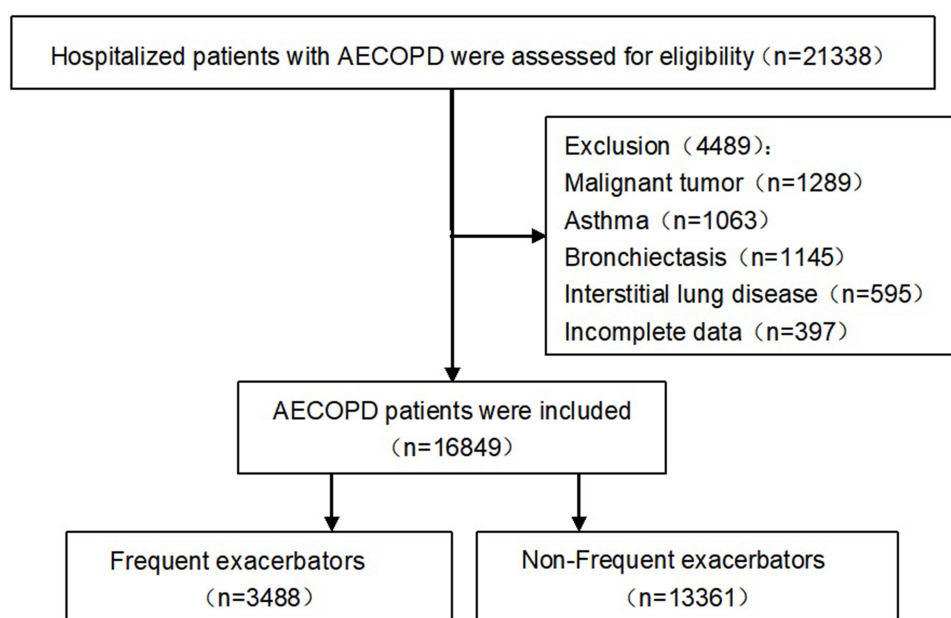
This study was conducted by the Declaration of Helsinki, and the protocol was reviewed and approved by the institutional review board of the Third People's Hospital of Chengdu (Project No. Ethics Committee of Chengdu Third People's Hospital [2024]-S-388).

## Data and Sample Collection

According to the Global Initiative for Chronic Obstructive Lung Disease (GOLD) 2017, patients with two or more exacerbations per year are considered to have frequent exacerbations,<sup>36</sup> patients were classified into two groups: frequent exacerbators, defined as those who had experienced two or more exacerbations in the previous year, and non-frequent exacerbators (Figure 1). Demographic data, clinical features, treatment strategies, blood cell counts, inflammatory markers, and sputum pathogen profiles were obtained from electronic medical records. Blood cell counts and inflammatory markers were assessed upon admission, before the initiation of antibiotic and steroid therapy. We calculated and analyzed the NLR, PLR, and SII using the following formulas:  $NLR = \text{neutrophil count}/\text{lymphocyte count}$ ,  $PLR = \text{platelet count}/\text{lymphocyte count}$ , and  $SII = \text{platelet count} \times \text{neutrophil count}/\text{lymphocyte count}$ .

## Statistical Analysis

All statistical analyses were conducted using R version 4.3.2. Continuous variables were expressed as mean with standard deviation (SD) for normally distributed variables or median with interquartile range (IQR) for skewed variables. Quantity and percentage were employed for categorical variables. Differences in clinical characters between the two groups were evaluated using the *T*-test and Mann–Whitney *U*-test for continuous variables, while the Chi-squared test and Fisher exact probability method were used for categorical variables, as appropriate. Spearman correlation coefficient (*r*) was calculated to identify the existence of correlation. Receiver Operating Characteristic (ROC) curve analysis was applied to assess the diagnostic sensitivity and specificity of NLR, PLR, and SII. Additionally, we examined the sensitivity and specificity of combinations of two or three of these markers. Diagnostic accuracy was determined by the area under the curve (AUC), with higher values indicating superior discriminatory power. Binary logistic regression was utilized to identify individual risk factors. The power calculation using the R *pwr* package resulted in a power of 1 (exceeding the 0.8 threshold), confirming sufficient sample size to detect the hypothesized effect. A risk scoring system



**Figure 1** Flowchart of the study population.

was constructed using odds ratios (OR) and regression coefficients ( $\beta$ ) of NLR and PLR. Statistical significance was established for group differences at  $P < 0.05$ .

## Results

### Clinical Characteristics of Frequent and Non-Frequent Exacerbators Patients

From January 2013 to May 2023, our retrospective analysis enrolled 16,849 individuals with AECOPD. The cohort included 4,723 females and 12,126 males, with a mean age of  $75.8 \pm 10.2$  years. Participants were classified into two groups: 3,488 patients with frequent exacerbators (mean age  $77.3 \pm 9.67$  years; 75.9% male) and 13,361 patients with non-frequent exacerbators (mean age  $75.4 \pm 10.3$  years; 70.9% male). Table 1 outlines the demographic and clinical profiles. Table 2 demonstrates that patients experiencing frequent exacerbations had significantly higher levels of leukocytes, neutrophils, NLR, PLR, SII, and CRP, compared to those with non-frequent exacerbators ( $P < 0.001$ ). However, platelet, lymphocyte, and PCT levels did not differ significantly between the two groups ( $P > 0.05$ ).

**Table 1** The Demographic Characteristics of Frequent and Non-Frequent Exacerbators

Characteristics	Frequent Exacerbators (N=3488)	Non-Frequent Exacerbators (N=13361)	Overall (N=16849)	P-value
<b>Gender</b>				
Female	841 (24.1%)	3882 (29.1%)	4723 (28.0%)	<0.001
Male	2647 (75.9%)	9479 (70.9%)	12126(72.0%)	
<b>Age(years)</b>	$77.3 \pm 9.67$	$75.4 \pm 10.3$	$75.8 \pm 10.2$	<0.001
<b>BMI (kg/m<sup>2</sup>)</b>	$21.2 \pm 4.14$	$22.0 \pm 4.25$	$21.8 \pm 4.23$	<0.001
<b>LOS (days)</b>	$15.9 \pm 10.4$	$13.5 \pm 10.5$	$14.0 \pm 10.5$	<0.001
<b>Location [n (%)]</b>				
Rural	497 (14.2%)	2487 (18.6%)	2984 (17.7%)	<0.001
Urban	2991 (85.8%)	10874 (81.4%)	13865(82.3%)	
<b>Smoking [n (%)]</b>				
Yes	2426 (69.6%)	8423 (63.0%)	10,849 (64.4%)	<0.001
No	1062 (30.4%)	4938 (37.0%)	6000 (35.6%)	
<b>Drinking [n (%)]</b>				
Yes	457 (13.1%)	1759 (13.1%)	2216 (13.1%)	0.902
No	3031 (86.9%)	11602 (86.8%)	14633(86.8%)	
<b>Season [n (%)]</b>				
Spring	715 (20.5%)	3494 (26.2%)	4209 (25.0%)	<0.001
Summer	917 (26.3%)	2709 (20.3%)	3626 (21.5%)	
Autumn	1158 (33.2%)	2838 (21.2%)	3996 (23.7%)	
Winter	698 (20.0%)	4320 (32.3%)	5018 (29.8%)	
<b>Hypertension [n (%)]</b>				
Yes	1849 (53.0%)	6818 (51.0%)	8667 (51.4%)	0.114
No	1639 (47.0%)	6543 (49.0%)	8182 (48.6%)	
<b>Diabetes [n (%)]</b>				
Yes	885 (25.4%)	2905 (21.7%)	3790 (22.5%)	<0.001
No	2603 (74.6%)	10456 (78.3%)	13059(77.5%)	
<b>Hyperlipidemia [n (%)]</b>				
Yes	216 (6.2%)	897 (6.7%)	1113 (6.6%)	0.544
No	3272 (93.8%)	12464 (93.3%)	15736(93.4%)	
<b>Heart Disease [n (%)]</b>				
Yes	2665 (76.4%)	8083 (60.5%)	10748 (63.8%)	<0.001
No	823 (23.6%)	5278 (39.5%)	6101 (36.2%)	
<b>RF [n (%)]</b>				
Yes	1581 (45.3%)	4653 (34.8%)	6234 (37.0%)	<0.001
No	1907 (54.7%)	8708 (65.2%)	10615(63.0%)	

(Continued)

Table 1 (Continued).

Characteristics	Frequent Exacerbators (N=3488)	Non-Frequent Exacerbators (N=13361)	Overall (N=16849)	P-value
<b>Antibiotics [n (%)]</b>				
Yes	2744 (78.7%)	9607 (71.9%)	12351 (73.3%)	<0.001
No	744 (21.3%)	3754 (28.1%)	4498 (26.7%)	
<b>KP [n (%)]</b>				
Yes	258 (7.4%)	863 (6.5%)	1121 (6.7%)	0.141
No	3230 (92.6%)	12498 (93.5%)	15728 (93.3%)	
<b>PA [n (%)]</b>				
Yes	362 (10.4%)	826 (6.2%)	1188 (7.1%)	<0.001
No	3126 (89.6%)	12535 (93.8%)	15661 (92.9%)	
<b>A. baumannii [n (%)]</b>				
Yes	266 (7.6%)	781 (5.8%)	1047 (6.2%)	<0.001
No	3222 (92.4%)	12580 (94.2%)	15802 (93.8%)	
<b>S. maltophilia [n (%)]</b>				
Yes	151 (4.3%)	326 (2.4%)	477 (2.8%)	<0.001
No	3337 (95.7%)	13035 (97.6%)	16372 (97.2%)	
<b>Escherichia Coli [n (%)]</b>				
Yes	116 (3.3%)	279 (2.1%)	395 (2.3%)	<0.001
No	3372 (96.7%)	13082 (97.9%)	16454 (97.7%)	
<b>SCS [n (%)]</b>				
Yes	2928 (83.9%)	10499 (78.6%)	13427 (79.7%)	<0.001
No	560 (16.1%)	2862 (21.4%)	3422 (20.3%)	

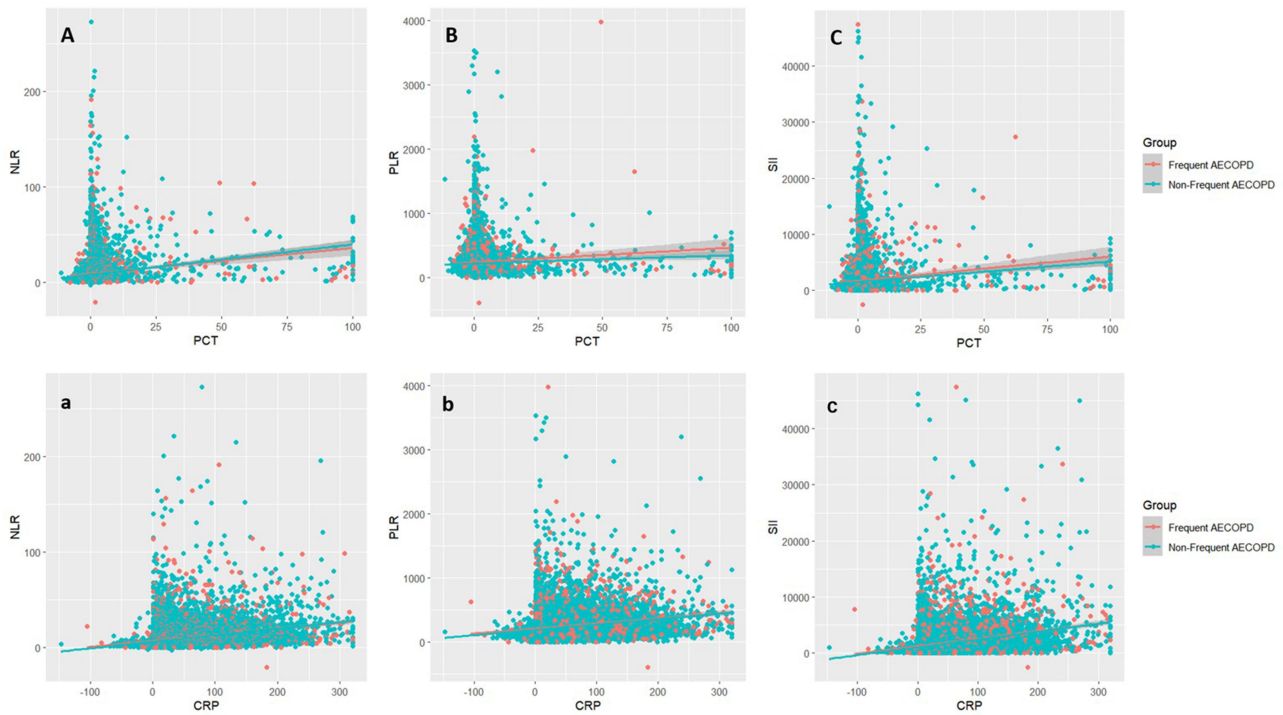
**Abbreviations:** BMI, body mass index; AECOPD, acute exacerbation of chronic obstructive pulmonary disease; LOS, length of stay; RF, Respiratory Failure; KP, Klebsiella pneumoniae; PA, Pseudomonas aeruginosa; SCS, Systemic Corticosteroids.

Table 2 Clinical Complete Blood Count Parameters of the Study Patients

Parameters	Frequent Exacerbators (N=3488)	Non-Frequent Exacerbators (N=13361)	Overall (N=16849)	P-value
<b>Leukocytes (<math>\times 10^9/L</math>)</b>	7.70(5.70,10.37)	7.26(5.54,9.70)	7.33(5.57,9.85)	<0.001
<b>Platelets(<math>\times 10^9/L</math>)</b>	172.50(132,222)	170(129,219)	171(130,220)	0.108
<b>Erythrocytes(<math>\times 10^{12}/L</math>)</b>	3.98 $\pm$ 0.763	4.12 $\pm$ 0.778	4.09 $\pm$ 0.776	<0.001
<b>Neutrophils(<math>\times 10^9/L</math>)</b>	5.81(4.08,8.38)	5.38(3.81,7.77)	5.47(3.86,7.93)	<0.001
<b>Lymphocytes(<math>\times 10^9/L</math>)</b>	0.94(0.62,1.36)	1.02(0.67,1.45)	1.00(0.66,1.43)	0.897
<b>Hemoglobin(g/L)</b>	117 $\pm$ 24.1	122 $\pm$ 25.6	121 $\pm$ 25.4	<0.001
<b>NLR</b>	6.71(3.91,12.19)	5.10(3.08,9.26)	5.43(3.20,9.97)	<0.001
<b>PLR</b>	184(120.22,290.74)	167.41(112.01,259.01)	170.79(113.72,265.29)	<0.001
<b>SII</b>	1137.48(623.69,2199.19)	847.54(482.87,1706.37)	925.96(504.76,1817.14)	<0.001
<b>CRP(mg/L)</b>	18.94(4.74,61.66)	13.14(2.90,51.38)	14.20(3.08,53.64)	<0.001
<b>PCT(ng/L)</b>	0.09(0.04,0.28)	0.07(0.03,0.24)	0.07(0.03,0.25)	0.555
<b>BNP(pg/mL)</b>	115.64(42.40,339.73)	97.70(36,281.75)	101.80(37.20,296)	0.008
<b>D-Dimer(pg/mL)</b>	0.91(0.46,1.98)	0.78(0.37,1.76)	0.80(0.39,1.81)	<0.001
<b>Total Protein(g/L)</b>	62.4 $\pm$ 6.90	63.5 $\pm$ 7.11	63.2 $\pm$ 7.08	<0.001
<b>Albumin(g/L)</b>	34.2 $\pm$ 4.67	35.0 $\pm$ 4.90	34.8 $\pm$ 4.86	<0.001

## The Level of CRP, PCT, NLR, PLR and SII in Two Groups

In our study, median PCT levels were 0.09 ng/mL (IQR 0.04–0.28) in frequent exacerbators and 0.07 ng/mL (IQR 0.03–0.24) in non-frequent exacerbators ( $P=0.555$ ). Median CRP values were 18.94 mg/L (IQR 4.74–61.66) in frequent



**Figure 2** Spearman correlations between PCT and inflammatory biomarkers in all participants: **(A)** NLR,  $r_s = 0.4105$ ,  $P < 0.001$ ; **(B)** PLR,  $r_s = 0.2047$ ,  $P < 0.001$ ; **(C)** SII,  $r_s = 0.3184$ ,  $P < 0.001$ . Correlations between CRP and inflammatory biomarkers: **(a)** NLR,  $r_s = 0.4239$ ,  $P < 0.001$ ; **(b)** PLR,  $r_s = 0.3015$ ,  $P < 0.001$ ; **(c)** SII,  $r_s = 0.4127$ ,  $P < 0.001$ .

exacerbators and 13.14 mg/L (IQR 2.90–51.38) in non-frequent exacerbators ( $P < 0.001$ ). Median NLR values were 6.71 (IQR 3.91–12.19) in frequent exacerbators and 5.10 (IQR 3.08–9.26) in non-frequent exacerbators ( $P < 0.001$ ). PLR medians were 184 (IQR 120.22–290.74) in frequent exacerbators and 167.41 (IQR 112.01–259.01) in non-frequent exacerbators ( $P < 0.001$ ). SII medians were 1137.48 (IQR 623.69–2199.19) in frequent exacerbators and 847.54 (IQR 482.87–1706.37) in non-frequent exacerbators ( $P < 0.001$ ) (Table 2).

### The Correlation of PCT, CRP and NLR, PLR, and SII

Spearman correlation analysis revealed significant associations between PCT and the inflammatory markers: NLR ( $r_s = 0.4105$ ,  $P < 0.001$ ), PLR ( $r_s = 0.2047$ ,  $P < 0.001$ ), and SII ( $r_s = 0.3184$ ,  $P < 0.001$ ). Additionally, CRP showed significant correlations with NLR ( $r_s = 0.4239$ ,  $P < 0.001$ ), PLR ( $r_s = 0.3015$ ,  $P < 0.001$ ), and SII ( $r_s = 0.4127$ ,  $P < 0.001$ ) (Figure 2). All participants were included in the Spearman correlation analysis (Table 3).

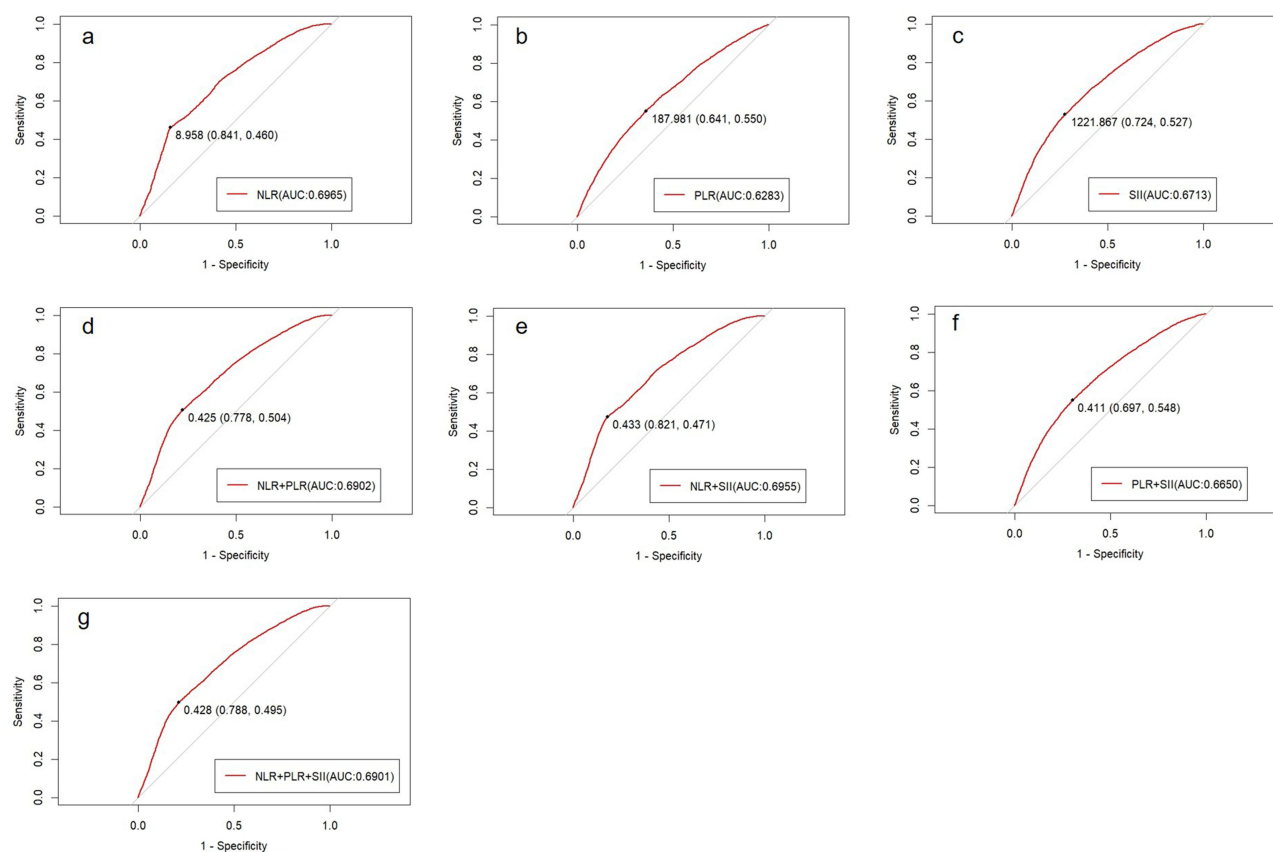
### Diagnostic Evaluation of NLR, PLR and SII

The receiver operating characteristic (ROC) curve analysis for NLR, PLR, and SII is presented in Figure 3. NLR demonstrated a sensitivity of 46.0% and a specificity of 84.1% at a cut-off value of 8.958, with an area under the curve

**Table 3** Correlations Between All Inflammatory Markers

		NLR	PLR	SII
<b>PCT</b>	rs	0.4105	0.2047	0.3184
	P-value	0.000**	0.000**	0.000**
<b>CRP</b>	rs	0.4239	0.3015	0.4127
	P-value	0.000**	0.000**	0.000**

**Notes:** \*\*Correlation is significant at  $P < 0.001$ .



**Figure 3** Receiver Operating Characteristic (ROC) curves for single and combined markers to evaluate diagnostic accuracy: (a) NLR, AUC = 0.6965; (b) PLR, AUC = 0.6283; (c) SII, AUC = 0.6713; (d) NLR + PLR, AUC = 0.6902; (e) NLR + SII, AUC = 0.6955; (f) PLR + SII, AUC = 0.6650; (g) NLR + PLR + SII, AUC = 0.6901.

(AUC) of 0.6965. For PLR, the optimal cut-off was 187.981, yielding an AUC of 0.6283, a sensitivity of 55.0%, and a specificity of 64.1%. SII had a sensitivity of 52.7% and a specificity of 72.4% at a cut-off value of 1221.867, with an AUC of 0.6713. NLR+PLR had a sensitivity of 50.4% and a specificity of 77.8% at a cut-off value of 0.425, with an AUC of 0.6902. NLR+SII had a sensitivity of 47.1% and a specificity of 82.1% at a cut-off value of 0.433, with an AUC of 0.6955. PLR+SII had a sensitivity of 54.8% and a specificity of 69.7% at a cut-off value of 0.411, with an AUC of 0.6650. NLR+PLR+SII had a sensitivity of 49.5% and a specificity of 78.8% at a cut-off value of 0.428, with an AUC of 0.6901 (Figure 3). This study identified NLR as having the highest diagnostic accuracy and specificity for frequent AECOPD. Although we assessed combinations of two or three markers, no improvement in diagnostic accuracy or sensitivity was observed. Given the suboptimal sensitivity of NLR, its results should be interpreted with caution. The diagnostic evaluations for individual markers and their combinations are detailed in Table 4.

**Table 4** Diagnostic Accuracy of the NLR, PLR, and SII

Biomarkers	AUC	95% CI	Specificity	Sensitivity	cutoff
NLR	0.6965	0.6886–0.7045	0.841	0.46	8.958
PLR	0.6283	0.6198–0.6367	0.641	0.55	187.981
SII	0.6713	0.6632–0.6794	0.724	0.527	1221.867
NLR+PLR	0.6902	0.6822–0.6982	0.778	0.504	0.425
NLR+SII	0.6955	0.6875–0.7034	0.821	0.471	0.433
PLR+SII	0.6650	0.6568–0.6732	0.697	0.548	0.411
NLR+PLR+SII	0.6901	0.6821–0.6981	0.788	0.495	0.428

**Abbreviation:** AUC, the area under the curve.

**Table 5** Binary Logistic Regression Analysis of NLR, PLR, and SII

Biomarkers	$\beta$	SE	Wald $\chi^2$	OR (95% CI)	P-value
SII	0	0	2.079	1.000(1.000,1.000)	0.149
PLR	0.001	0	11.635	1.001(1.000,1.001)	0.001*
NLR	0.05	0.004	202.617	1.052(1.044,1.059)	0.000*

**Notes:** \*Regression coefficient is significant at  $P < 0.05$ .

**Abbreviations:** SE, standard error; OR, odds ratio; CI, confidence interval.

**Table 6** Scoring Criteria for NLR and PLR

Variables	$\beta$	OR	OR Change (%)	Score Per Unit	Relative Score
PLR	0.001	1.001	0.1%	1	1
NLR	0.05	1.052	5%	50	50

**Table 7** Risk Stratification and Incidence of Frequent Exacerbations

Risk Category	Percentile	Score Range	Total	Cases	Exacerbation Rate
Low	25%	<290	4212	710	17%
Intermediate	50%	290–768	4212	803	19.10%
High	75%	>768	8425	1975	23.40%

## Risk Factors for the Development of Frequent AECOPD

In a binary logistic regression model, frequent exacerbators were designated as the dependent variable, with NLR, PLR, and SII included as covariates. The analysis revealed that NLR (OR=1.052,  $P < 0.001$ ) and PLR (OR=1.001,  $P = 0.001$ ) were significant risk factors for patients with frequent exacerbators, while SII (OR=1,  $P = 0.14$ ) was not significant (Table 5).

## Scoring System-Guided Clinical Stratification

Based on logistic regression beta coefficients and odds ratios (OR), SII was excluded ( $P > 0.05$ ) with subsequent scoring assigned to NLR and PLR: 1 point per NLR unit increase and 50 points per PLR unit increase (Table 6). Using R software, total scores were calculated for each patient. Risk stratification was performed using percentile thresholds: low-risk (<290 points; annual exacerbation rate 17%), intermediate-risk (290–768 points; 19.1%), and high-risk (>768 points; 23.4%) groups (Table 7).

## Discussion

The primary findings of this study reveal that COPD patients with frequent acute exacerbations exhibit more pronounced inflammatory responses, with significant elevations in inflammatory markers such as the NLR, PLR, and SII. These markers not only identify patients prone to frequent exacerbations but also serve as independent risk factors for predicting such events. A risk scoring system based on these markers facilitates stratified management of COPD patients.

COPD is associated with both enhanced airway and systemic inflammation and, during states of exacerbation, the severity of inflammation is significantly increased.<sup>37</sup> A study has indicated that NLR and PLR were higher in acute exacerbation of COPD and used for predicting hospitalization.<sup>36</sup> NLR is a simple and cost-effective marker that does not require additional laboratory workup.<sup>38</sup> In a study, NLR was identified as a highly-sensitive marker predicting and identifying mortality in patients with community-acquired pneumonia.<sup>39</sup> In another study, NLR was found to be superior to CRP and neutrophil and to leukocyte counts alone, in identifying bacteremia in an emergency setting.<sup>40</sup> Similarly, NLR was seen to increase in patients with advanced lung cancer and was found useful for the evaluation of disease severity and prognosis.<sup>41</sup> A study has identified the optimal NLR cutoff value for acute exacerbations of COPD as 2.84

and the optimal PLR cutoff value as 112.23.<sup>42</sup> In a study, NLR  $\geq 2.91$  and PLR  $\geq 156.53$  have been identified as independent risk factors for lung cancer in COPD patients.<sup>43</sup> Our study found significant correlations between NLR, PLR, and SII with CRP and PCT, with notable elevations in these markers among COPD patients experiencing frequent acute exacerbations. The optimal cutoff values were determined to be 8.958 for NLR and 187.981 for PLR, indicating a more pronounced inflammatory response in patients with frequent exacerbations. We speculate that patients with frequent acute exacerbations of COPD may also have a higher likelihood of developing lung cancer.

Studies have shown that the combination of NLR and PLR can enhance diagnostic value by distinguishing lung cancer patients from healthy individuals.<sup>44</sup> In our study, we evaluated the diagnostic value of NLR, PLR, and SII individually and in combination for frequent acute exacerbations. NLR demonstrated the highest AUC value of 0.6955, with a specificity of 84.1% and sensitivity of 46%. PLR had an AUC value of 0.6283, with the highest sensitivity among all markers at 55%, albeit with lower specificity. The combined use of these markers did not enhance diagnostic value, possibly due to differences in region, sample size, and outcome measures. These results suggest that NLR may hold some diagnostic value for frequent acute exacerbations, but its predictive accuracy should be interpreted with caution due to its suboptimal sensitivity. We suggest that NLR and PLR results be interpreted alongside traditional inflammatory markers such as CRP or PCT to ensure better prognostic accuracy. Future integration with genomics and metabolomics data holds promise for a more comprehensive elucidation of the inflammatory mechanisms underlying AECOPD.

Chronic inflammation and oxidative stress lead to structural and functional damage of lung tissue, rendering the airways more susceptible to invasion by external pathogens. This results in the recruitment and activation of inflammatory cells, exacerbating lung tissue damage and the inflammatory response.<sup>45–47</sup> Our study revealed that patients with frequent acute exacerbations exhibited significantly higher levels of white blood cells, CRP, and neutrophils compared to those with infrequent exacerbations, NLR, PLR and SII reflect the dynamic changes in systemic inflammation. The significant elevation of these indices in COPD patients with frequent acute exacerbations indicates an imbalance in the body's inflammatory levels and immune-inflammatory equilibrium.<sup>48,49</sup> One study demonstrated that NLR and PLR levels are significantly elevated in COPD patients with lung cancer, serving as independent predictors.<sup>43</sup> Additionally, compared to stable patients, NLR, MLR, and PLR levels are markedly increased in AECOPD patients, with elevated NLR and MLR identified as risk factors for AECOPD, whereas PLR is not.<sup>50</sup> Recent research indicates that NLR, PLR, and SII may be potential biomarkers for early gastric cancer.<sup>51</sup> Our study found that, according to binary logistic regression analysis, NLR and PLR are independent predictors of frequent acute exacerbations in COPD, whereas SII is not. Although SII has been identified as a significant predictor of patient outcomes, including prognosis and mortality, in various cardiovascular diseases (CVD) and cancer patient populations.<sup>52,53</sup> This discrepancy may be related to population characteristics and disease heterogeneity, necessitating further validation through multicenter, prospective studies. These indices reflect systemic inflammation, offering a safe, noninvasive, economical, and simple biological indicator that guides clinical assessment of inflammatory status.

Based on the  $\beta$  values and OR values derived from regression analysis, this study has developed a scoring system to stratify the risk of AECOPD patients and thereby estimate the probability of frequent acute exacerbations. The scoring system indicates that the higher the risk stratification, the greater the probability of frequent acute exacerbations. The application of this scoring system will aid in optimizing the clinical management of AECOPD patients and the rational allocation of limited medical resources.

However, our study had several limitations. First, the single-center retrospective design limits the generalizability of the findings to more diverse populations. Future research should include prospective, multicenter studies across different ethnicities with larger sample sizes to confirm the diagnostic accuracy and predictive value of NLR, PLR, and SII in AECOPD patients. Second, future studies should conduct regular CBC tests throughout the treatment process to explore the dynamic relationship between NLR/PLR/SII levels and frequent AE-COPD. Third, further investigation into the role of NLR, PLR, SII, and other inflammatory markers in COPD management is warranted. Additionally, research on the performance of these markers across different COPD phenotypes is needed to optimize personalized treatment strategies. Lastly, future work should assess the ability of NLR, PLR, and SII to differentiate between bacterial and non-bacterial infections in patients with frequent acute exacerbations, which could guide antibiotic therapy.

## Conclusion

Our study shows that NLR, PLR, and SII levels are elevated in frequent AECOPD patients, serving as cost-effective markers for exacerbation frequency and severity. A scoring system based on these markers aids COPD management in primary care.

## Ethics Approval and Consent to Participate

This study was approved by the by the Ethics Committee of Chengdu Third People's Hospital (Project No. Ethics Committee of Chengdu Third People's Hospital [2024]-S-388). The Chengdu Third People's Hospital waived the need for informed consent as the study was retrospective and This study collected data anonymously.

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## Disclosure

The authors report no conflicts of interest in this work.

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