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ORIGINAL RESEARCH

The Diagnostic Value of Blood Next-Generation Sequencing in Early Surgical Site Infection After Spine Surgery

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Objective: To determine the diagnostic value of blood next-generation sequencing (NGS) in early surgical site infection after spine surgery. Because the blood is sterile in healthy individuals, it is expected that blood NGS is both sensitive and specific for the detection of infection.

Methods: A total of 28 patients with definitive spinal surgical site infections and controls (n=30) were retrospectively included. The postoperative results of NGS and culture on different samples, such as blood and drainage fluid, were obtained and compared to evaluate the diagnostic value of blood NGS. The diagnostic value parameters (sensitivity, specificity, etc.) were calculated.

Results: Among the four bacteriological exam methods, blood NGS was both sensitive and specific for the determination of infection after spine surgery. The sensitivities of blood and drainage fluid NGS were similar (0.82 vs 0.89, P=0.617). However, the specificities of the two assessments differed, which were 0.97 for blood NGS and 0.40 for drainage fluid NGS (P<0.001). The sensitivities of bacterial culture were lower than those of NGS (blood: 0.82 vs 0.25, P<0.001; drainage fluid: 0.89 vs 0.61, P<0.001), regardless of the sample type. However, the specificities of bacterial culture were equal to or higher than those of NGS (blood: 0.97 vs 0.97, P=1.000; drainage fluid: 0.40 vs 0.80, P=0.002).

Conclusion: This article emphasizes the superiority of blood NGS in infection detection and bacterial determination in patients undergoing spine surgery. Compared with traditional drainage fluid bacterial culture and NGS, blood NGS was more sensitive and specific, and its extensive application could be expected.

Keywords: next-generation sequencing, diagnostic test, surgical site infection, spine surgery

Introduction

Spinal surgeries are commonly performed in patients with degenerative spine diseases, such as lumbar disc herniation, spinal stenosis, and spondylolisthesis.[1] For these patients, discectomy and vertebral fusion with internal fixation via a posterior approach is the most classic surgical treatment protocol.[2–4] However, Aleem et al[5] reported that the incidence of deep postoperative infection following spine surgery, which is one of the most common complications resulting in hospital readmission and results in an extension of hospital length of stay by approximately 9.7 days, ranges from 1% to 4%. Nasser et al[6] emphasized that over 156,000 spine infections could potentially be averted with appropriate screening. Therefore, the on-time diagnosis of infection and the accurate identification of the nature of the bacterial infection become crucial when managing such a patient who is suspected to be infected postoperatively.[7]

Bacterial culture is the most classic method commonly used for bacterial identification.[8] Other methods, such as 16S RNA sequencing and PCR-hybridization, are also used in some cases.[9–11] The predominant limitation of these methods is their relatively low sensitivity.[12,13] For example, Esteban[9] reported that PCR could identify 71.6% of implant-related infections. Behera[11] found that 16S rRNA could identify the presence of bacterial pathogens in only 54.63% of cases. Yin et al[14] reported that the sensitivity of culture in patients with periprosthetic joint infection was 46.7%. To overcome this

issue, next-generation sequencing (NGS) has recently been introduced and used for the identification of surgical site infections in orthopedic patients.[15] Yin et al[14] reported that the sensitivity of intraoperative NGS was up to 0.933 for the identification of periprosthetic joint infections. However, this does not mean that NGS is a perfect exam method without any limitations. Indeed, for samples from germ-free sites, such as the blood, cerebrospinal fluid, and deep tissues, the sensitivity, specificity and accuracy of NGS are higher than those of other diagnostic methods.[16–18] Therefore, the diagnostic value of intraoperative NGS is reliable.[19] However, not every infected patient undergoes debridement surgery.[20] For these patients, a lack of intraoperative NGS results might increase the occurrence of misdiagnosis. In addition, intraoperative NGS results could not be used before surgery to aid the determination of whether infection is present or to determine the identities of the infected bacteria. Incision drainage fluid, such as purulence, might also contain infectious bacteria.[21] NGS could be used to identify these bacteria from incision drainage samples.[22] However, as an extraordinarily sensitive diagnostic method, the specificity of NGS in these samples could be dramatically decreased due to the existence of resident bacteria on the skin surface.[17] Especially for noninfected individuals, false positive results might be identified, resulting in the misdiagnosis of infection.[22]

Consequently, understanding how to skillfully use the ultrahigh sensitivity of NGS in the determination of early surgical site infection is crucial. Ideally, the infection should be identified immediately after occurrence with high sensitivity and specificity.[23] In this case, blood is considered to be a perfect sample, since the infected bacteria might be identified and cultured from the blood of patients with surgical site infection.[24] The ultrahigh sensitivity enables NGS to be used to correctly identify infectious bacteria even if there are only a small amount of bacteria in the blood.[25,26] Moreover, blood samples are less affected by contamination from resident bacteria.[26] Therefore, NGS is expected to be both sensitive and specific when blood samples are used for examination.[27] In this study, patients undergoing spinal surgery for degenerative diseases via a posterior approach with early surgical site infection were retrospectively analyzed. Blood NGS was performed to assess for infection, and its diagnostic value was compared with those of other traditional bacterial detection methods. The hypothesis was that blood NGS is both sensitive and specific.

Methods
Study Design
This study was designed as a diagnostic test. Patients with spinal surgical site infections and controls were retrospectively involved. For each patient, the final clinical diagnosis, which comprehensively integrated the results of radiological examinations, the antibiotic treatment effects, the bacterial culture results and the NGS results, was considered to be the "gold standard" diagnosis. The diagnostic values (sensitivity, specificity, etc.) obtained using both NGS and bacterial culture of the blood samples and drainage samples were calculated and compared.

Participants
Patients who underwent spinal surgery for degenerative diseases via a posterior approach and were diagnosed with post-operative surgical site infection from Jan 2019 to Dec 2021 were retrospectively involved in this study. This study was approved by the Institutional Review Board of the Third Hospital of Hebei Medical University (No. K2022-005-027) and was conducted in accordance with the Declaration of Helsinki. Written informed consent was obtained from all individuals before they participated in the study. The diagnosis of surgical site infection was based on the guidelines for the prevention of surgical site infection.[28–30] In this study, superficial infection, deep infection and organ/space infection were not distinguished since the deep fascia tissue might not heal in the early stage after surgery.[31] Only patients who underwent surgery via the posterior approach were included because the posterior approach is the classic approach and is commonly used in spinal surgery for lumbar disc herniation, spinal stenosis and spondylolisthesis.[24,32] Therefore, the impact of the results and conclusion of this study should be limited to those surgeries performed via the posterior approach.

The inclusion criteria are described as follows: (1) Patients with degenerative spinal diseases; (2) patients in which spinal surgery was performed via the posterior approach; (3) patients with early surgical site infection (infection occurrence within 30 days after surgery); (4) patients who had undergone debridement surgery and in whom the infection was confirmed by an intraoperative sample, or if the bacterial culture of the intraoperative sample was negative, the

bacterial cultures of the drainage sample were positive at least three times (with the same bacteria being identified). The exclusion criteria were as follows: (1) patients in whom minimally invasive techniques were used without an incision bigger than 5 cm;[31] (2) patients with definitive infectious lesions in other tissues or organs before surgery; (3) patients who were suspected to have infection but not finally diagnosed with infection; and (4) patients with insufficient definitive bacteriological diagnosis.

According to the inclusion and exclusion criteria above, a total of 28 patients were included and analyzed. In addition, 30 healthy volunteers were also involved in this study and were regarded as the control group. These healthy volunteers had undergone spinal surgery, but no surgical site infection was identified. Initial sample size calculations were performed assuming that blood bacterial culture was 40% sensitive for the diagnosis of these patients. Moreover, blood NGS was 80% sensitive.[33] When the test power and significance level were set to 0.80 and 0.05, respectively, the numbers of patients and controls needed were 20 for each. Therefore, the sample size in this study was considered sufficient.

Clinical Evaluation and Assessment of Infection

Demographic information (such as age, sex, etc.) of the patients in both groups was obtained from the medical records. Complete blood count, C-reactive protein and erythrocyte sedimentation rate and procalcitonin levels were routinely examined on the first day and 5th day postoperatively in both groups. If infection was suspected by clinical surgeons, additional laboratory examination was performed. For infected patients, the first-time laboratory examination results after the identification of infection were obtained and analyzed. For the other patients, the results of laboratory examination on the 5th day postoperatively were used for analysis.

Bacterial Culture

For the infected patients, drainage fluid bacterial culture was performed immediately after the suspicion of infection. The bacterial cultures of the drainage fluid were performed at least three times (every 24 hours) before the debridement surgery, including at least one bacterial culture performed before the application of antibiotics. For the healthy volunteers, drainage fluid bacterial cultures were performed on the second day after surgery when fresh dressings were changed. Blood bacterial culture was also performed immediately after the suspicion of infection. The intraoperative samples, including local tissues and fluid, were also collected and cultured (also underwent NGS). For each patient, the results of the first drainage fluid bacterial culture, blood bacterial culture and intraoperative bacterial culture were used for analysis. Both aerobic and anaerobic cultures were performed for at least 7 days.

Next-Generation Sequencing

The samples used for NGS were obtained together with the corresponding bacterial culture samples. Metagenomic NGS was performed in this study according to a previously described method.[34,35] Here, the NGS detection procedures are briefly described. ① Either the blood sample or the drainage fluid sample was transferred to a 2 mL microcentrifuge tube, which was attached to a horizontal platform on a vortex mixer and vigorously agitated at 3000 rpm for 20 min. The centrifugal supernatant was obtained and used for further analysis. ② DNA was extracted by using the QIAamp Micro DNA Kit (TIANGEN BIOTECH) according to the manufacturer's instructions. The extracted DNA was quantified by Qubit 2.0 (Invitrogen, USA), and up to 200 ng was used to generate libraries. ③ A QIAseq™ Ultralow Input Library Kit (Illumina) was then used to generate the DNA libraries. PCR amplification was then performed since the DNA concentration in blood samples was potentially extremely low. ④ The samples were sequenced on a NextSeq 550 platform (Illumina) using a NextSeq 500/550 High Output Kit v2.5 (Illumina) for 75 cycles. A negative and positive control was set for each sequencing run. The raw data were analyzed on PACEseq (Hugobiotech, Beijing). The human DNA was filtered out after alignment to the human reference database (hg38). ④ Microbial Genome Databases (ftp://ftp.ncbi.nlm.nih.gov/genomes/) were downloaded and used for bacterial identification. ⑤ A positive result was defined according to the guidelines within the report from Chen et al.[34]

Only when the bacteria determined by NGS were the same as the clinically diagnosed bacteria was the NGS result finally considered to be positive and correct.

Statistical Analysis

Statistical analyses were performed using SPSS 19.0 statistical software for Windows (IBM, Armonk, NY) and Excel 2016 for Windows (Microsoft Corporation, Seattle, WA). Continuous variables are expressed as the mean ± standard deviation, and categorical variables are expressed as frequencies. Sensitivity, specificity, accuracy, Youden's index, positive predictive value and negative predictive value were calculated to indicate the diagnostic value of the different bacteriological detection methods. Chi-square tests and McNemar tests were used to identify the differences in these parameters between the groups. A P value less than 0.05 was considered to be significant.

Results

General Characteristics of the Patients

A total of 28 patients with surgical site infections were identified. The mean time period from surgery to the identification of infection was 8.46±1.84 days (5 days to 11 days). All patients underwent continuous incision drainage.

No differences were found regarding the clinical characteristics of the patients in the infection group and the control group (Table 1). The C-reactive protein concentration (43.62±6.54 vs 30.35±8.74, P<0.001) and erythrocyte sedimentation rate (51.57±17.91 vs 40.03±18.77, P=0.017) were obviously higher in the infection group than in the control group. However, the procalcitonin concentration showed no difference between the two groups. Intraoperative NGS suggested positive results in all infected patients, which indicated that the correct bacteria were identified. Similarly, in 25/28 patients, the infectious bacteria were also correctly detected by intraoperative bacterial culture. The predominant infectious bacteria identified in this study were gram-positive bacteria (23/28), including Staphylococcus in 12 patients, Streptococcus in 5 patients and Enterococcus in 6 patients. Gram-negative bacteria were identified in the other 5 patients, including Escherichia in 2 patients, Pseudomonas aeruginosa in 2 patients and Salmonella in one patient. The characteristics of the infections are shown in Table 2.

Results of Next-Generation Sequencing and Bacterial Culture

Regarding the blood sample tests (Table 3), 23/28 infected patients showed positive results by blood NGS, which indicates that the correct bacteria could be identified from the blood samples. The other 5/28 infected patients showed

Table 1 Clinical Features of Patients Undergoing Spinal Surgery for Degenerative Diseases via the Posterior Approach

Characteristics		Infection (n=28)	Control (n=30)	Test Statistics	P
Age (years)		51.86±13.35	53.00±12.27	−0.350	0.726
Body mass index		27.71±2.88	27.86±2.93	−0.257	0.797
Sex (n)	Male	19	18	0.387	0.534
	Female	9	12		
Smoking (n)	No	20	21	0.014	0.905
	Yes	8	9		
Alcohol consumption (n)	No	19	23	0.563	0.453
	Yes	9	7		
Immunosuppression (n)	No	26	27	–	0.533*
	Yes	2	3		
Involved segment (n)	Cervical spine	1	2	0.293	0.864
	Thoracic spine	5	5		
	Lumber spine	22	23		
Internal fixation (n)	No	5	4	–	0.454*
	Yes	23	26		
Allogeneic bone grafting (n)	No	25	25	–	0.393*
	Yes	3	5		

Note: *Fisher's exact test.

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Table 2 Infection Features of Patients Undergoing Spinal Surgery for Degenerative Diseases via the Posterior Approach

Parameters		Infection (n=28)	Control (n=30)	Test Statistics	P
C-reactive protein (mg/L)		43.62±6.54	30.35±8.74	−4.987	<0.001
Erythrocyte sedimentation rate (mm/h)		51.57±17.91	40.03±18.77	−2.397	0.017
Procalcitonin (ng/mL)		0.47±0.39	0.34±0.10	−0.070	0.944
Intraoperative sample next-generation sequencing (n)	Positive	28	-		
	Negative	0	-		
Intraoperative sample bacterial culture (n)	Positive	25	-		
	Negative	3	-		
Infected bacteria (n)	Staphylococcus	12	-		
	Streptococcus	5	-		
	Enterococcus	6	-		
	Escherichia	2	-		
	Pseudomonas aeruginosa	2	-		
	Salmonella	1	-		

Table 3 Results of Next-Generation Sequencing and Bacterial Culture in Patients Undergoing Spinal Surgery for Degenerative Diseases via a Posterior Approach

Sample	Diagnostic Methods	Results	Infection (n=28)	Control (n=30)
Blood	Next-generation sequencing (n)	Positive	23 (82.1%)	1 (3.3%)
		Negative	5 (17.9%)	29 (96.7%)
	Bacterial culture (n)	Positive	7 (25.0%)	1 (3.3%)
		Negative	21 (75.0%)	29 (96.7%)
Incision drainage	Next-generation sequencing (n)	Positive	25 (89.3%)	18 (60.0%)
		Negative	3 (10.7%)	12 (40.0%)
	Bacterial culture (n)	Positive	17 (60.7%)	6 (20.0%)
		Negative	11 (39.3%)	24 (80.0%)

negative results by blood NGS. However, only 7/28 infected patients showed positive blood bacterial culture results. In the control group, all patients showed negative blood NGS results and negative blood culture results except one patient with a false positive NGS result and one other patient with a false positive blood culture result.

Regarding the incision drainage sample tests (Table 3), up to 25/28 infected patients showed positive NGS results. Another 3/28 infected patients showed negative NGS results. A total of 17/28 infected patients showed positive incision drainage bacterial culture results. In the control group, 18/30 patients showed false-positive NGS results. In contrast, only 6/30 patients showed false positive results by incision drainage bacterial culture.

To prevent duplication, these results were not statistically compared since the following diagnostic value parameters (sensitivity, specificity, etc.) were calculated based on these results, which were statistically compared.

Diagnostic Value of Blood Next-Generation Sequencing

The comparison of the diagnostic values between the results of NGS and bacterial culture assessments of both blood samples and drainage fluid samples is summarized in Table 4.

Except for the sensitivity, all the diagnostic value parameters obtained using blood NGS (including specificity, accuracy, Youden's index, positive predictive value and negative predictive value) were equal to or higher than those of any other diagnostic methods. Generally, NGS of both blood samples and drainage fluid samples was sensitive for infection identification (sensitivity: 0.82 and 0.89). However, the specificity (0.97 vs 0.40, P<0.05) and positive

Table 4 Infection Diagnostic Value of Blood Next-Generation Sequencing in Patients Undergoing Spinal Surgery for Degenerative Diseases via a Posterior Approach and Who Suffered from Surgical Site Infection

Sample	Diagnostic Methods	Sensitivity	Specificity	Accuracy	Youden's Index	Positive Predictive Value	Negative Predictive Value
Blood sample	Next-generation sequencing	0.82	0.97	0.90	0.79	0.96	0.85
	Bacterial culture	0.25	0.97	0.62	0.22	0.88	0.58
	Test statistics	12.500	<0.001	11.250		-	7.054
	P	<0.001	1.000	<0.001	-	0.444#	0.008
Drainage fluid sample	Next-generation sequencing	0.89	0.40*	0.64*	0.29	0.58*	0.80
	Bacterial culture	0.61*	0.80	0.71	0.41	0.74	0.69
	Test statistics	6.125	8.643	0.409		1.611	0.231
	P	0.008	0.002	0.523	-	0.204	0.630

Notes: *Compared with the corresponding diagnostic method for blood samples, $P<0.05$. #Fisher's exact test.

predictive value (0.96 vs 0.58, $P<0.05$) of blood NGS were significantly higher than those of drainage fluid NGS. The accuracy (0.90 vs 0.64, $P<0.05$) and Youden's index (0.79 vs 0.29) also differed.

The sensitivities of bacterial culture were lower than those of NGS (blood sample: 0.82 vs 0.25, $P<0.001$; drainage fluid sample: 0.89 vs 0.61, $P<0.001$), regardless of the kind of sample. However, the specificities of bacterial culture were equal to or higher than those of NGS (blood sample: 0.97 vs 0.97, $P=1.000$; drainage fluid sample: 0.40 vs 0.80, $P=0.002$). In short, the bacterial culture was relatively specific for the identification of infection in this study, but it was not sensitive.

Discussion

As hypothesized, the results demonstrated that NGS of blood samples was both sensitive and specific for the identification of infection in patients undergoing spine surgery. In addition to determining whether the infection occurred, the assessment of blood NGS could also help in determining the accurate species and genus of the infectious bacteria, since the results obtained using NGS were the same as those obtained during the clinical diagnosis of the infectious bacteria. Considering that peripheral blood is convenient to obtain and blood samples are not vulnerable to bacterial contamination,[36] blood NGS could be recommended when infection is suspected to occur in such a patient undergoing spinal surgery. Moreover, although metastatic abscesses following surgical site infection are rarely observed,[37] the results of this study still suggested that the bacteria could enter the bloodstream, which may be more serious than previously estimated. A previous study demonstrated that the rate of positivity in blood culture might be relatively low in patients with surgical site infections following spine surgery.[38–40]

Although blood NGS is rarely used in the determination of orthopedic infections, it has already been widely used in other fields. For instance, Zhou et al[26] reported that multiorgan sepsis, including lumbar spine sepsis caused by *Klebsiella pneumoniae*, could be diagnosed by blood NGS. Haston et al[41] found that the pathogens underlying encephalitis in children could be identified by NGS from plasma. Chen et al[25] reported that blood NGS could be used in the determination of the pathogens in pneumonia patients. Geng et al[42] also suggested that in critical patients, NGS could help identify pathogens in the blood. Consistent with the results of those previous studies, the results of this study demonstrated that in patients with orthopedic infections, especially the patients in this study who had undergone spinal surgery for degenerative diseases via the posterior approach, the bacteria could be correctly determined by blood NGS. In order to increase the sensitivity of blood NGS, it is necessary to prevent the interference of human DNA.[43] Therefore, in this study, the blood was first centrifuged, and the cellular components were subsequently removed.[44] This might be a reason why the sensitivity of blood NGS was higher than that observed in some similar studies.[26,44] Moreover, in this study, a control group including noninfected patients was set, which could help in accurately determining the specificity of NGS for the diagnosis of infection. In some other studies, due to the lack of a noninfected control group, the specificity might be overestimated.[16,19,36] In this study, the results demonstrated that the specificity for the identification

of infection using drainage fluid NGS was relatively low, which might be a result of bacterial contamination from the skin surface.[45]

The diagnostic values of four different diagnostic methods were compared in this study (Table 4). Drainage fluid NGS and blood NGS had the highest sensitivities among the methods. However, false positive results are commonly identified in drainage fluid NGS. Consequently, the specificity of drainage fluid NGS was the lowest among those of all the diagnostic methods, as was the positive predictive value. This result suggested that for definitively infected patients, drainage fluid NGS could be used to correctly identify infectious bacteria. However, some noninfected patients might be misdiagnosed as infected patients by drainage fluid NGS assessment. Because the blood was sterile in the noninfected patients,[25,38,42] blood NGS could be used to accurately distinguish the infected patients from the noninfected patients in this study. Blood culture was also performed in this study. Despite a low sensitivity, the positive predictive value of blood culture was relatively high. Therefore, for a patient who had a positive blood culture result, he or she was very likely to be infected. Moreover, the results of this study also suggested that the comprehensive diagnostic value of traditional drainage fluid samples was still acceptable, with moderate sensitivity, specificity, accuracy, positive predictive value and negative predictive value. Therefore, if blood NGS cannot be performed in a patient, traditional bacterial culture of incision drainage fluid could be considered an alternative diagnostic method.

There were several limitations of this study. Here, the major two are listed. First, only infected patients who had undergone debridement surgery were included in this study. Although intraoperative samples could be used to accurately confirm the infection status of these patients, some patients with mild or moderate surgical site infections (cured by only antibiotics and the changing of dressing to maintain fresh dressings for wounds) might be excluded. In these patients with "mild or moderate infection", the diagnostic value of NGS, especially when performed in blood samples, might be different from that obtained from those patients who had to undergo debridement surgery. However, this study ignored this difference. Patients with "mild or moderate infection" were ultimately excluded, which ensured that all patients included in the study were definitely infected. Second, for the patients in the control group, the time point of sample collection might be different from that chosen for the patients in the infection group. This approach might affect the estimation of the diagnostic values between the different methods. In reality, however, it is impossible to determine which patients would be infected before the infection occurs. Therefore, except for these volunteers, these diagnostic methods for identifying infection were performed only if the surgeons suspected that the patient was infected. This approach might also increase the possibility of misestimating the diagnostic value. Finally, the sample size of this study is limited. Therefore, the comparisons of false positives might not be accurate because of the limited sample size. A large prospective study is urgently needed to assess the practical use of NSG in infection diagnosis and management. In addition, diagnosing infection relying only on blood NSG can be challenged by sample contamination. The infection should be established based on both clinical manifestations and bacteriological exams.

Conclusions

For patients undergoing spinal surgery for degenerative diseases via a posterior approach with surgical site infection, the current study confirmed that in most circumstances, the infectious bacteria could be correctly identified by blood NGS. Blood NGS could also be used to correctly identify noninfected individuals with a performance better than that obtained from NGS performed in drainage fluid. Therefore, as blood can be collected using a minimally invasive sample acquisition method, blood samples should be recommended for NGS in patients undergoing spinal surgery for degenerative diseases via a posterior approach who are suspected to suffer from a surgical site infection.

Abbreviations

NGS, next-generation sequencing; DNA, deoxyribonucleic acid.

Data Sharing Statement

The data associated with this study are retained at a central repository at the Department of Spine Surgery, the 3rd Hospital of Hebei Medical University. If there are any questions, please contact the corresponding author.

Ethics Approval and Consent to Participate

This study was approved by the Institutional Review Board of the Third Hospital of Hebei Medical University and was conducted in accordance with the Declaration of Helsinki. Written informed consent was obtained from all individuals before they participated in the study.

Consent for Publication

Written informed consent for publication was obtained from all participants.

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Disclosure

All the authors declare that they have no competing interests.

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