

ORIGINAL RESEARCH

Estrone-Conjugated PEGylated Liposome Co-Loaded Paclitaxel and Carboplatin Improve Anti-Tumor Efficacy in Ovarian Cancer and Reduce Acute Toxicity of Chemo-Drugs

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Purpose: Ovarian cancer is the most lethal gynecologic malignancy. The combination of paclitaxel (PTX) and carboplatin (CBP) is the first-line remedy for clinical ovarian cancer. However, due to the limitations of adverse reaction and lacking of targeting ability, the chemotherapy of ovarian cancer is still poorly effective. Here, a novel estrone (ES)-conjugated PEGylated liposome co-loaded PTX and CBP (ES-PEG-Lip-PTX/CBP) was designed for overcoming the above disadvantages.

Methods: ES-PEG-Lip-PTX/CBP was prepared by film hydration method and could recognize estrogen receptor (ER) over-expressing on the surface of SKOV-3 cells. The characterizations, stability and in vitro release of ES-PEG-Lip-PTX/CBP were studied. In vitro cellular uptake and its mechanism were observed by fluorescence microscope. In vivo targeting effect in tumor-bearing mice was determined. Pharmacokinetics and biodistribution were studied in ICR mice. In vitro cytotoxicity and in vivo anti-tumor efficacy were evaluated on SKOV-3 cells and tumor-bearing mice, respectively. Finally, the acute toxicity in ICR mice was explored for assessing the preliminary safety of ES-PEG-Lip-PTX/CBP.

Results: Our results showed that ES-PEG-Lip-PTX/CBP was spherical shape without aggregation. ES-PEG-Lip-PTX/CBP exhibited the optimum targeting effect on uptake in vitro and in vivo. The pharmacokinetics demonstrated ES-PEG-Lip-PTX/CBP had improved the pharmacokinetic behavior. In vitro cytotoxicity showed that ES-PEG-Lip-PTX/CBP maximally inhibited SKOV-3 cell proliferation and its IC₅₀ values was 1.6 times lower than that of non-ES conjugated liposomes at 72 h. The in vivo anti-tumor efficacy study demonstrated that ES-PEG-Lip-PTX/CBP could lead strong SKOV-3 tumor growth suppression with a tumor volume inhibitory rate of 81.8%. Meanwhile, acute toxicity studies confirmed that ES-PEG-Lip-PTX/CBP significantly reduced the toxicity of the chemo drugs. Conclusion: ES-PEG-Lip-PTX/CBP was successfully prepared with an optimal physicochemical and ER targeting property. The data of pharmacokinetics, anti-tumor efficacy and safety study indicated that ES-PEG-Lip-PTX/CBP could become a promising therapeutic formulation for human ovarian cancer in the future clinic.

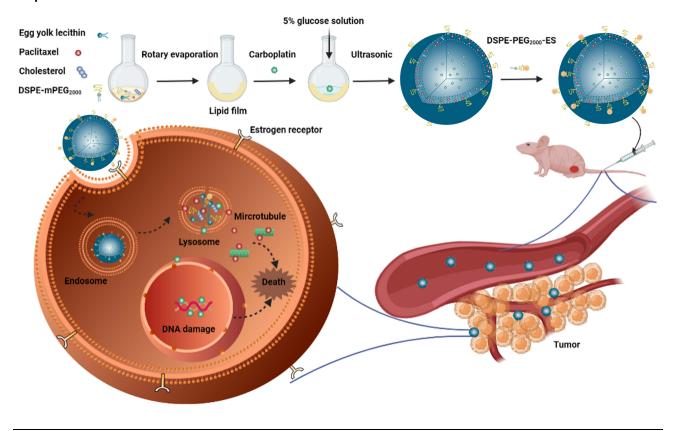
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Introduction

Ovarian cancer is the fifth leading cause of cancer-related mortality in women worldwide with the ratio of 15-20 per 100,000. 1,2 It is the most lethal malignancy in all the gynecological tumors. Less than one-half of patients survive for more than five years after diagnosis.⁴ Current treatment for ovarian cancer remains surgery followed by combined chemotherapy. 5,6 The therapeutic regimen appears to be initially effective in a high number of patients, but nearly 70% of the people suffer a relapse within a few months after initial treatment. ^{7,8}

Combination chemotherapy for the treatment of cancer has been widely applied to clinic, which could increase therapeutic efficacy and reduce the drug resistance over single-drug chemotherapy. 9-12 Paclitaxel (PTX) and carboplatin (CBP) combined chemotherapy is first-line cancer chemotherapy regimen for ovarian cancer. 13,14 PTX induces cell cycle

Graphical Abstract



arrest at the G2/M phase by suppressing dynamic instability of microtubules resulting in cell apoptosis, whereas CBP interferes with DNA transcription by reacting with DNA to form cross-linking DNA, which triggers apoptosis. 15 Both drugs play different mechanisms in the inhibition growth of ovarian cancer, 16,17 thereby producing a synergistic antitumor effect via triggering different apoptosis signaling pathways and the potential to decrease the drug resistance. 18 While like all the chemo-therapy, the PTX and CBP combined chemotherapy also has severe side effects and adverse reactions, 19 such as bone marrow inhibition, neuropathy and kidney toxicity. 20,21 Therefore, a more effective and bettertolerated chemo-therapy is urgently needed in clinical.

Nanoparticle drug delivery system, such as liposomes, ²² micelles, ²³ dendrimers, ²⁴ nanocapsules, ²⁵ nanotubes ²⁶ and artificial cells²⁷ etc, which could passively target the tumor site through the enhanced permeability and retention (EPR) effect, 28 has become the hotspot for many years. 29 Nanomaterials were extensively studied and applied to specific administration routes. Based on the improvement of nanoparticle safety, biopolymers and natural extracts have been widely accepted. For example, animal and plant-derived polymers (such as natural rubber, chitosan and cellulose) were developed for transdermal drug delivery, which were non-toxic and have good compatibility with human skin surface.³⁰ Some plant-derived extracts have also been applied to the preparation of metal nanoparticles to form a non-toxic green synthesis technology. 31 With the development of nanotechnology, nanomaterials were also used as nanosensors for the detection of amino acids, proteins and peptides. Meanwhile, amino acids, peptides and proteins have been studied for targeting drug or gene delivery, with stronger biocompatibility and tumor targeting capabilities, which might help to overcome many shortcomings of traditional delivery systems.³² In addition, RNAbased nanoparticles also showed great potential in improving cancer diagnosis and treatment.³³ At present, drug delivery systems for targeting therapy of ovarian cancer included nanoparticles, liposomes, nano-micelles, branched dendrimers, nanocapsules and nanostructured lipid preparations.³⁴

Among the nanocarriers used for drug delivery, liposomes are the most promising one because of the non-toxic, non-immunogenic, high loading capacity for drugs with different physical and chemical properties. ^{35,36} In addition, the various research groups have focused on combination drug delivery. Among various nanomaterials, lipid materials and amphiphilic polymers composed of both hydrophilic and hydrophobic segments were able to achieve the aim. ³⁷ For example, Cai et al devised three-layered telodendrimer nanocarrier for the effective co-delivery of PTX and cisplatin. ³⁸ Khan et al prepared lipid-polymer hybrid nanoparticles (LPHNP) for co-delivery of curcumin and cisplatin. ³⁹ However, the advantage of liposomes also was that they could load two drugs of distinct properties for achieving simultaneous transport. Therefore, the use of liposomes to achieve the delivery of chemo-drug is an efficient way, which can improve the therapeutic effect and reduce systemic toxicities. ⁴⁰ Unsatisfactorily, conventional liposomes are easy to be taken by the cells of mononuclear phagocyte system (MPS) so that they are rapidly removed from blood. ⁴¹ In order to solve this problem, the surface of conventional liposomes is commonly coated with inert, biocompatible hydrophilic polymers, such as polyethylene glycol (PEG). ⁴² PEG confers steric stabilization to the liposomal surface via formation of a protective layer so as to hinder the adsorption of protein opsonins in plasma and consequently PEGylation could improve the circulation time of the liposomal formulation. ⁴³

In addition, in order to promote the targeting ability to the specific tumor tissues, various targeting ligands, including peptides, ⁴⁴ proteins ⁴⁵ and antibodies, ⁴⁶ are introduced into liposomes to further overcome the non-specific distribution of anti-cancer drugs. ⁴⁷ Targeted drug delivery through receptor-mediated endocytosis is considered to be an important way to improve tumor targeting. ⁴⁸ The estrogen receptor (ER), which is distributed on the nucleus and the cell membrane, ⁴⁹ is expressed at high levels as compared to normal cells in many malignancy tumors, including ovarian cancers. ^{50,51} As a kind of estrogen, estrone (ES) can specifically bind to the ER and has a high affinity to the ER. ⁵² The presence of ER on the tumor cell membrane could be utilized for the treatment of ovarian cancer cells as a potential target for nanoparticle delivery of drugs. Therefore, using ES as a targeting ligand molecule in the liposomal delivery system could have the ability to deliver more chemotherapy drugs to the ovarian cancer tissues.

On the basis of the aforementioned understanding, ES-conjugated and PEGylated functionalized liposomes co-delivery PTX and CBP were designed for ovarian cancer therapy, which mainly overcame the disadvantage of conventional liposomes of lack of tumor targeting ability and reduced the side effects of combination chemotherapy. The size and morphology of nanoparticles were characterized. What is more, the stability, in vitro drug release behavior, in vitro cytotoxicity, in vitro cell uptake, uptake mechanism and in vivo targeting were evaluated. The pharmacokinetics, tissue distribution and the acute toxicity of ES-PEG-Lip-PTX/CBP were investigated in ICR mice. Moreover, the anti-tumor effect of the liposomes was studied in athymic NU/NU mice bearing SKOV-3 tumor. The aim of this work was utilizing ES-PEG-Lip as a nano carrier to co-delivery PTX and CBP to improve their combination therapeutic effect against ovarian cancer and the chemo-drugs' safety profile. This liposomal formulation provides a novel strategy to deliver PTX and CBP for combination chemotherapy.

Materials and Methods

Materials

PTX, egg yolk lecithin and DiR were purchased from Meilun Biotechnology (Dalian, China). CBP was purchased from Shandong Boyuan Pharmaceutical Co., Ltd. (Jinan, China). 1, 2-distearoyl-sn-glycero-3-phosphoethanolamine-N- [amino (polyethylene glycol)-2000] (DSPE-PEG₂₀₀₀-NH₂) and 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N- [methoxy (poly-ethylene glycol) 2000] (DSPE-mPEG₂₀₀₀) were purchased from Changchun Institute of Applied Chemistry, Chinese Academy of Sciences (Changchun, China). Estrone was purchased from TCI Development Co., Ltd. (Shanghai, China). Cholesterol was purchased from Shanghai Macklin Biochemical Co., Ltd. (Shanghai, China). Acetonitrile and methanol were purchased from Fisher Scientific (New York, USA). Chloroform was purchased from Chengdu Chron Chemical Co., Ltd. (Chengdu, China). Sodium chloride, potassium chloride, disodium hydrogen phosphate and potassium dihydrogen phosphate were purchased from Beijing Chemical Factory (Beijing, China). McCoy' 5A medium was purchased from Biological Industries (Israel). Penicillin-Streptomycin and fetal bovine serum (FBS) were purchased from Shanghai ExCell Biological Products Co., Ltd. (Shanghai, China). CCK-8 was purchased from Invigentech (USA). Hoechst 33342 was purchased from Sigma-Aldrich (St. Louis, MO,

USA). Rhodamine B (Rh B) was purchased from Shanghai Yuanye Biotechnology Co., Ltd. (Shanghai, China). All reagents were analytical or chromatographically pure grade unless otherwise stated.

Cell Culture

Human ovarian cancer cell line SKOV-3 was purchased from Procell Life Science (Wuhan, China). Cells were cultured in McCoy' 5A medium supplemented with 1% penicillin-streptomycin (penicillin 100 U/mL and streptomycin 100 μg/mL) and 10% FBS at 37°C under circumstance of 5% CO₂.

Determination of Combination Index (CI)

The optimal synergy ratio of PTX and CBP was analyzed in SKOV-3 cells using CCK-8 method. SKOV-3 cells in the logarithmic growth phase were seeded in a 96-well plate at a density of 5.0×10^3 cells/well, and cultured overnight in an incubator at 37°C. PTX and CBP were mixed in different ratios, and the final concentration of PTX ranges were from 0.2 to 50.0 μ M. The cells were treated with the solutions prepared above for 48 h. After the incubation, the drug-containing medium was replaced with 100.0 μ L of freshly prepared medium containing 10.0 μ L CCK-8 solutions, followed by incubation for 2 h, then a microplate reader (DNM-9602, Pu Lang, China) was used to detect the absorbance value at a wavelength of 450 nm. The CI was calculated to determine the best synergy ratio between the PTX and CBP according to the Chou–Talay equation (Equation 1).

$$CI = (D)_1/(D_m)_1 + (D)_2/(D_m)_2$$
 (1)

In the equation, $(D)_1$ and $(D)_2$ represented the dose of each drug used in combination to achieve an inhibition effect, and $(D_m)_1$ and $(D_m)_2$ represented the dose of each drug used alone to achieve the same inhibition effect.

Preparation of Different Liposomes

Preparation of PTX and CBP Co-Loaded Liposomes

ES-PEG-Lip-PTX/CBP were prepared by thin film hydration method. Briefly, egg yolk lecithin, cholesterol, PTX, DSPE-mPEG₂₀₀₀ were dissolved with 10.0 mL of chloroform at a molar ratio of 15:5:1:1 in a pear-shaped flask. After being fully dissolved, the rotary evaporator slowly vacuumed to remove the chloroform to form a dry lipid film, then 5% glucose solution with a CBP concentration of 2.0 mg/mL was added to hydrate the dry lipid film at 37°C for 1 h, followed by vortex oscillation. The DSPE-PEG₂₀₀₀-ES solution (lipids: DSPE-PEG₂₀₀₀-ES = 200:1 molar ratio) prepared as described previously⁵³ was incubated with the above liposome for 1 h at 37°C in a water bath. The liposomes were sonicated through the probe for 10 min. Finally, the liposomes were sterilized by filtration through 450 nm and 220 nm microporous membranes five times, respectively.

The PEGylated drug-loaded liposomes (PEG-Lip-PTX/CBP) and ES modified drug-loaded liposomes (ES-Lip-PTX/CBP) were prepared as the same procedures as above without DSPE-PEG₂₀₀₀-ES or DSPE-PEG₂₀₀₀, respectively. The unmodified drug-loaded liposomes (Lip-PTX/CBP) were prepared as the same procedures as above but without DSPE-PEG₂₀₀₀ and DSPE-PEG₂₀₀₀-ES.

Preparation of Rh B Liposomes

ES modified and Rh B-loaded PEGylated liposomes (ES-PEG-Lip-Rh B) were prepared by thin film hydration method. Briefly, precision weighing egg yolk lecithin, cholesterol, DSPE-mPEG₂₀₀₀ (molar ratio of 11:9:1) were dissolved in 10.0 mL chloroform. The lipid film was formed by rotary evaporation under 90 rpm 40°C. Rh B (lipids: Rh B = 30:1 molar ratio) was dissolved in 2.4 mL PBS to hydrate lipid film followed by vortex oscillation. The DSPE-PEG₂₀₀₀-ES (lipids: DSPE-PEG₂₀₀₀-ES = 200:1 molar ratio) was added to the liposome solution and incubated at 37°C for 1 h. The following procedures were performed as described in section of preparation of PTX and CBP co-loaded liposomes.

Preparation of DiR Liposomes

ES modified and DiR-loaded PEGylated liposomes (ES-PEG-Lip-DiR) were prepared as follows. Briefly, egg yolk lecithin, cholesterol, DSPE-mPEG₂₀₀₀ (molar ratio of 11:9:1) and 8.8 μL DiR (5.0 mg/mL) were dissolved in chloroform. The organic solvents were removed using a rotary evaporator in a 40°C water bath. The lipid film was hydrated with

a PBS solution, followed by vortex oscillation. The DSPE-PEG₂₀₀₀-ES (lipids: DSPE-PEG₂₀₀₀-ES = 200:1 molar ratio) was added to the liposome solution and incubated at 37° C for 1 h. The following procedures were performed as described in section of preparation of PTX and CBP co-loaded liposomes.

Characterization of Liposomes

Particle size, polydispersity index (PDI), and zeta potential of different liposome formulations were evaluated by dynamic light scattering technique (DLS) (Malvern Instruments, the UK). For the morphology of the ES-PEG-Lip-PTX/CBP, transmission electron microscopy (TEM) (Tecnai Spirit Biotwin, Netherlands) was used to observe. One drop of ES-PEG-Lip-PTX/CBP which was diluted 100 times in ultra-pure water was deposited on copper grids and stained with phosphotungstic acid (3%, w/v) for 1 min. The liquid was absorbed with filter paper, then allowed to dry naturally for viewing. The morphology was recorded by TEM at 80 kV operating voltage.

Encapsulation Efficiency and Drug Loading

In order to determine the encapsulation efficiency (EE) and drug loading (DL) of liposomes, free PTX and CBP were removed by low-speed centrifugation and dialysis, respectively. In short, the liposomes which were diluted with 5% glucose solution were centrifuged at 3000 rpm for 10 min to remove free PTX, and the supernatant was added to 2.0 mL methanol with ultrasonic treatment for 10 min to demulsify. For free CBP, a dialysis bag (MWCO 8.0-14.0~kDa) containing 1.0 mL of liposomes was placed in a beaker with 1000.0~mL of 5% glucose solution and continued stirring. The liposomes in the dialysis bag were taken out and mixed with 3.0~mL of 3% TritonX-100 for ultrasonic treatment for 10~min. The drug concentrations of PTX and CBP were detected by HPLC (LC-20AT, Shimadzu, Kyoto, Japan) using a 5~mm ACE C18 column ($250~\times4.6~\text{mm}$), and the chromatographic method was validated according to the requirement of Chinese Pharmacopoeia, including specificity, limit of detection, limit of quantitation, intra- and inter-day precision, recovery rate, and so on. All the R^2 values were >0.9990 in the standard curves. For PTX, the mobile phase consisted of 60% acetonitrile and 40% deionized water with a flow rate of 1.0~mL/min. For CBP, the mobile phase consisted of 5% acetonitrile and 95% deionized water with a flow rate of 1.0~mL/min. The formulas for calculating the EE (%) and DL (%) were as follows (Equation 2~and 3):

EE (%) = (amount of entrapped drug /amount of total drug)
$$\times$$
 100% (2)

DL (%) = (amount of entrapped drug /amount of drug - loaded liposome)
$$\times$$
 100%

Stability of Liposomes

Physical Stability of ES-PEG-Lip-PTX/CBP

In order to evaluate the long-term stability of liposomes, the ES-PEG-Lip-PTX/CBP was stored at 4°C for 28 days. The particle size, PDI and zeta potential were measured at given time intervals by DLS.

The stability of ES-PEG-Lip-PTX/CBP was evaluated in three different media including PBS, PBS containing 10% FBS and complete medium (10% FBS + 90% McCoy' 5A medium) at 37°C with shaking at 100 rpm. The samples were collected at predetermined time points (0, 2, 4, 8, 12, 24, and 48 h). The particle size, PDI and zeta potential values of the corresponding samples were immediately characterized by DLS.

Leakage Rate Study

In order to study the drug leakage of liposomes under different storage conditions, the liposomes were placed in 4° C and 25° C, respectively. At different time points, a part of the liposomes was taken out and the free drugs were removed according to the method in section of encapsulation efficiency and drug loading. The concentrations of PTX and CBP inside the liposomes were recorded as C_n (n = 0, 1, 2, 4, 8, 24 and 48 h). The drug leakage rate was represented by encapsulation efficiency changes which were calculated by the following equation (Equation 4):

Encapsulation efficiency changes (%)=
$$(C_n/C_0) \times 100\%$$
 (4)

In vitro Drug Release

The in vitro release of PTX and CBP from different liposome formulations was determined using dialysis method. A dialysis bag (MWCO 8.0–14.0 kDa) containing 1.0 mL of PTX/CBP, Lip-PTX/CBP, PEG-Lip-PTX/CBP, ES-Lip-PTX/CBP or ES-PEG-Lip-PTX/CBP solution was immersed in 100 mL of PBS (pH 7.4) containing 0.5% Tween 80 or not at 37°C with stirring at 100 rpm for the detection of released PTX or CBP. At the pre-designed time point of 1, 2, 4, 8, 12, 24 and 48 h, 1.0 mL release medium was collected, and replaced with an equal volume of fresh release medium. The released drugs were quantified by HPLC according to the methods described in section of encapsulation efficiency and drug loading.

In addition, the in vitro release of ES-PEG-Lip-PTX/CBP was also investigated under acidic conditions with pH 5.5 of PBS, and the rest of the steps were the same as described above.

In vitro Cytotoxicity

The CCK-8 assay was used to evaluate the cytotoxicity of blank ES-PEG-Lip, free PTX/CBP and various liposome preparations containing PTX and CBP on SKOV-3 cells. The cells were seeded in a 96-well plate at a density of 5.0×10^3 per well. The cells were allowed to cover the bottom of the well, then exposed to blank ES-PEG-Lip, PTX/CBP, Lip-PTX/CBP, ES-Lip-PTX/CBP, PEG-Lip-PTX/CBP and ES-PEG-Lip-PTX/CBP at concentrations of 0.0625, 0.125, 0.25, 0.5, 1.0, 2.0, and 4.0 μ M at 37° C and 5% CO₂, respectively. After SKOV-3 cell incubation for 24, 48 and 72 h, the medicated medium was replaced by a fresh medium containing 10.0 μ L of CCK-8, and continued to be incubated at 37° C for 2 h. The optical density (OD) was measured by microplate reader at 450 nm. Untreated cells with 100% cell viability were used as a control. All measurements were performed in triplicate. GraphPad Prism 7.0 software was used to calculate the half-maximal inhibitory concentration (IC₅₀). Cell viability was calculated based on the optical density value using the following formula (Equation 5):

Cell viability (%) =
$$(OD \text{ of test } - OD \text{ of blank})/(OD \text{ of control } - OD \text{ of blank}) \times 100\%$$
 (5)

In vitro Cell Uptake

To evaluate the uptake efficiency of different liposomes, Rh B as a fluorescent marker, which could be directly visualized under fluorescence microscope, was encapsulated in the different liposome formulations. 5×10^4 cells/well of SKOV-3 cells were seeded in a 24-well plate. After overnight incubation, the medium was replaced with a medium containing Rh B, Lip-Rh B, ES-Lip-Rh B, PEG-Lip-Rh B or ES-PEG-Lip-Rh B, and the concentration of Rh B in each well was $6.0 \mu g/mL$. The cells continued to be incubated for 1 h, 2 h, 3 h and 4 h, respectively. After incubation, the cells were washed with cold PBS three times to ensure that no fluorescent dye remained outside the cells, and followed by adding 1.0 mL PBS containing $5.0 \mu L$ Hoechst 33342 fluorescent dye (1.0 mg/mL) for 30 min for staining nuclear. The excitation light wavelength of Hoechst 33342 and Rh B was 350 mL nm, respectively. The fluorescence intensity of the cells was observed and photographed through a fluorescence microscope (Ts2-FL, Nikon); then, Image J software was used for the data analysis.

In vitro Uptake Mechanism

The mechanism for the uptake of ES-PEG-Lip-Rh B by the SKOV-3 cells was investigated using endocytosis inhibitors of sucrose, genistein, amiloride and a competitive inhibitor of ES by a fluorescence microscope. Different chemical inhibitors were employed to block specific pathways of endocytosis. In briefly, 5.0×10^4 cells/well of SKOV-3 cells were seeded in a 24 well plate and incubated at 37°C for 24 h before experiment. The cells were pretreated for 30 min with McCoy' 5A containing one of the above inhibitor solutions. The concentration of endocytosis inhibitors in each well was $100.0~\mu\text{g/mL}$. After the incubation, the cells were washed with cold PBS for three times, and incubated with the ES-PEG-Lip-Rh B for 2 h. Subsequently, a fluorescence microscope was used to observe the fluorescence intensity and take the pictures. The Image J software was used for the data analysis.

Animals

SPF female ICR mice (body weight 18–20 g) were purchased from the Liaoning Changsheng Biotechnology Co., Ltd. (Changchun, China). SPF female immunodeficient NU/NU mice (5–6 weeks old) were purchased from the Beijing Vital River Laboratory Animal Technology Co., Ltd. (Beijing, China). All animals were kept under clean breeding conditions with free access to food and water at 25°C under 12-hour dark/light cycle. The animals were acclimatized to the environment for one week before the start of the experiment. All animal procedures were performed in compliance with the China National Institute's Guidelines on the Care and Use of Laboratory and were approved by the Animal Experimental Ethics Committee of Jilin University (Approval No. 20200052).

In vivo Targeting

In vivo targeting studies were performed using fluorescent probe of DiR. Briefly, 1.0×10^7 SKOV-3 cells/100 μ L were inoculated into the right lower abdomen of 5–6 weeks old female NU/NU mice for establishing the tumor model. When the tumor volume reached about 400 mm³, the mice were randomly divided into three groups of DiR, PEG-Lip-DiR or ES-PEG-Lip-DiR, which were intravenously injected at a dose of 0.11 μ g DiR/g body weight via the tail vein. After 1, 2, 6, 12 and 24 h of administration, mice were anesthetized with 4.0% chloral hydrate for visualizing by fluorescence optical imaging using an IVIS Spectrum. Then, mice were sacrificed for ex vivo fluorescence distribution of the tumor, heart, liver, spleen, lungs and kidneys using the IVIS Spectrum. Meanwhile, the fluorescence intensity was quantified by the IVIS Spectrum.

Pharmacokinetics and Tissue Distribution

Plasma and Tissue Sample Collection

Pharmacokinetic and tissue distribution studies were conducted in female ICR mice with body weight of 18–20 g. Eighty-four mice were randomly divided into three groups (n = 3) and intravenously administrated with PTX/CBP, Lip-PTX/CBP, and ES-PEG-Lip-PTX/CBP at equal dose of 18.0 mg/kg PTX and 7.8 mg/kg CBP, respectively. After administration, blood samples were collected from the eye socket at predetermined time points of 0.0833, 0.25, 0.5, 1, 2, 4, 8, 12 h for PTX/CBP, 0.0833, 0.25, 0.5, 1, 2, 4, 8, 12, 24 h for Lip-PTX/CBP and 0.0833, 0.25, 0.5, 1, 2, 4, 8, 12, 24, 36, 48 h for ES-PEG-Lip-PTX/CBP. Blood was centrifuged at 3000 g for 10 min to obtain the plasma, and the plasma was stored at –20°C until HPLC analysis. After taking blood, main organs of heart, liver, spleen, lungs and kidneys were collected and stored at –20°C until HPLC analysis.

HPLC Method for Quantitative PTX and CBP

PTX and CBP in plasma and organs were analyzed by HPLC method, and the method was validated according to the requirement of Technical Guidelines for Non-clinical Pharmacokinetic Research of Drugs by Center for Drug Evaluation of NMPA (National Medical Products Administration) in China, including specificity, limit of detection, limit of quantitation, intra- and inter-day precision, recovery rate, stability, and so on. All the R^2 values were >0.9990 in the standard curves for the drug detection of plasma, heart, liver, spleen, lungs and kidneys. The main organs were homogenized with normal saline (tissue weight:normal saline = 1:3 g/mL) to obtain tissue homogenate. For the detection of PTX, 100.0 μ L of plasma or tissue homogenate sample was mixed with 5.0 μ L of 0.1 mg/mL internal standard of norethindrone solution and vortexed for 1 min. Then, 1.0 mL methyl tertbutyl ether was added to precipitate protein under vigorous vortex for 2 min. The samples were centrifuged at 3000 rpm for 5 min. The supernatant was blown dry by nitrogen and re-dissolved by adding 100.0 μ L of mobile phase of acetonitrile: deionized water at 60:40 for HPLC detection. For detection of CBP, 200.0 μ L of plasma or tissue homogenate was transferred to a new centrifuge tube, and 10.0 μ L of uridine as internal standard was added and vortexed. 50.0 μ L of 28% zinc sulfate and 1.25 mL chloroform were used to precipitate the protein with vortex for 1 min. Then, the sample was centrifuged at 4000 rpm for 8 min. The supernatant was taken for HPLC detection. The mobile phase was 100% deionized water. The data were analyzed by PK Solver. Solver.

Anti-Tumor in vivo

To evaluate the anti-tumor effect in vivo, ovarian cancer xenograft model was established by injecting 1.0×10^7 SKOV-3 cells/mouse subcutaneously at the right abdomen of NU/NU mice. When the tumor reached about 50–100 mm³, the mice were randomly assigned to five groups (n = 5) of normal saline (control), PTX/CBP, Lip-PTX/CBP, PEG-Lip-PTX/CBP and ES-PEG-

Lip-PTX/CBP. The mice received different formulations at a dose of 8.0 mg/kg PTX and 3.5 mg/kg CBP via the tail vein every other day for a total of five administrations. The tumor volume (V) was measured with a vernier caliper twice a week and the body weight was recorded. The tumor volume was calculated according to the following formula (Equation 6):

$$V(mm^3) = (A \times B^2)/2 \tag{6}$$

where A represents the longest dimension of the tumor, and B represents the shortest dimension of the tumor.

At 28 days after the first injection, blood samples were collected for blood routine test and blood biochemical analysis using a blood analyzer (HF-3800, Hai Li Fu, China) and automatic biochemical analyzer (HF-240, Hai Li Fu, China), respectively. Then, the tumors were stripped and photographed, followed by weighing to calculate the tumor inhibition rate (TIR) according to the following formula (Equation 7). The major organs of heart, liver, spleen, lungs, and kidneys were taken out and weighed to calculate the organ coefficient (OC) according to the following formula (Equation 8). Finally, all the tumor and main organs were fixed in 4.0% paraformaldehyde solutions. The samples were then embedded in paraffin and sectioned, and stained with hematoxylin and eosin (H&E) in accordance with standard protocols.

TIR (%) =
$$(1 - W_{drug}/W_{control}) \times 100\%$$
 (7)

where W_{drug} and W_{control} are the tumor weights in drug treated group and control group, respectively.

$$OC (\%) = \text{organ weight/mouse body weight} \times 100\%$$
 (8)

Acute Toxicity in vivo

One hundred and sixty ICR mice were randomly divided into 4 groups, including normal saline group (control group), PTX/CBP, Lip-PTX/CBP, ES-PEG-Lip-PTX/CBP. The dose of PTX/CBP group was set at 20.0, 23.0, 26.0, 29.0, 32.0 mg/kg; the dose of Lip-PTX/CBP group was set at 46.0, 52.0, 58.0, 64.0, 70.0 mg/kg; and the dose of ES-PEG-Lip-PTX/CBP group was set at 49.0, 55.0, 61.0, 67.0, 73.0 mg/kg. The above doses were calculated based on the PTX content. The CBP dose was converted based on the molar ratio. Mice were given different concentrations of the above preparations via tail vein injection (n = 10). The mortality and weight changes were recorded for 14 days to determine median lethal concentration (LD₅₀). LD₅₀ was calculated to evaluate acute toxicity by SPSS software. On the 14th day after the administration, blood was taken into a 1.5 mL heparinized centrifuge tube from the eye socket of the surviving mice in the lowest dose groups administered with PTX/CBP, Lip-PTX/CBP and ES-PEG-Lip-PTX/CBP. Blood analyzer (BC2900, Mindray, China) was used to measure the content of red blood cell (RBC), white blood cell (WBC), platelet (PLT) and hemoglobin (HGB). Part of the blood was collected into the EP tube and the blood samples were centrifuged at 3000 rpm for 10 min to separate the serum after 30 min of standing. An automatic biochemical analyzer (BS850, Mindray, China) was used to determine the levels of alanine aminotransferase (ALT), aspartate aminotransferase (AST), urea nitrogen (BUN) and creatinine (Cre) in serum. Finally, the mice were sacrificed for collection of heart, liver, spleen, lungs and kidneys, then the organs were weighted to calculate OC according to Equation 8.

Statistical Analysis

Data were expressed as mean \pm SD and were evaluated using Student's *t*-test or one-way ANOVA followed by Tukey's multiple comparison post-hoc test (GraphPad Prism version 7.0; GraphPad Software, La Jolla, CA, USA) to determine statistically significant differences. *p < 0.05 was represented statistically significant.

Results and Discussion

Determination of Combination Index (CI)

In order to investigate the optimal combination ratio of PTX and CBP, the molar ratios of 1:4, 1:2, 1:1, 2:1, 4:1 were used for cytotoxicity study. The cell survival rate of single PTX, CBP and the combination of the two drugs is shown in Figure 1A. Compared with the single drug, the cell survival rate of the combination group was lower at the ratios of 1:2 and 1:1, indicating that the combination of the PTX and CBP could enhance the cytotoxic effect on tumor cells. According to the results of cell survival rate, the IC_{50} value and CI of the drug were further calculated. The results were shown in Table S1 and Figure 1B. The IC_{50} values of a single PTX and CBP were 3.89 μ M and 19.2 μ M, respectively,

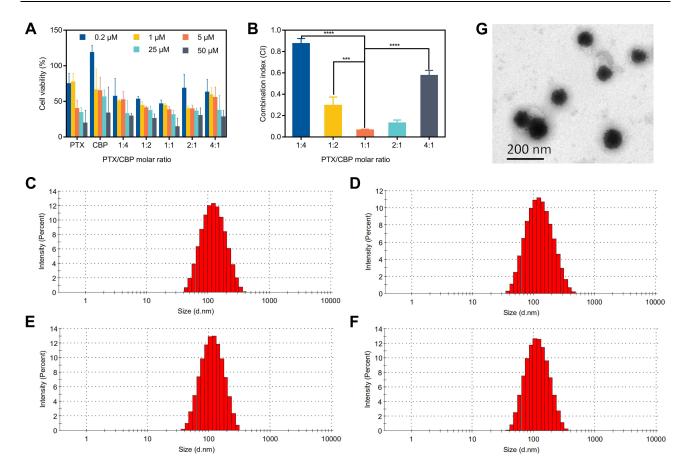


Figure I Combination index of PTX and CBP in SKOV-3 cells and characterization of liposomes. (**A**) Cell viability and (**B**) combination index treated with various ratios of PTX/CBP against SKOV-3 cells for 48 h. The data were presented as the mean \pm SD (n = 3). ***p < 0.001, ****p < 0.0001. Size distribution graph of different liposome formulations, including (**C**) Lip-PTX/CBP, (**D**) ES-Lip-PTX/CBP, (**E**) PEG-Lip-PTX/CBP, and (**F**) ES-PEG-Lip-PTX/CBP. (**G**) Transmission electron microscopy image of ES-PEG-Lip-PTX/CBP. Scale bar: 200 nm.

and the IC₅₀ of combination PTX and CBP at molar ratios of 1:1, 2:1, and 1:2 was significantly lower than that of a single drug with the values of 0.20, 1.04, 0.36 μ M for PTX and 0.20, 0.52, 0.72 μ M for CBP, respectively. As reported, the CI could provide a quantitative measure of how the two drugs interact. The CI value of minors, equal or above one indicates synergism, additive effects, and antagonism, respectively. All the combination ratios of PTX and CBP, the CI values were significantly less than 1, which proved that the PTX and CBP combination had a high synergistic effect. Among them, when the combination ratio was 1:1, the CI value of 0.06 was the lowest, indicating that the PTX and CBP could produce the best synergistic effect under this ratio. Therefore, PTX and CBP at 1:1 molar ratio was chosen as the optimized ratio for subsequent studies of liposome preparations.

Characterization of Liposomes

The particle size, PDI, and zeta potential of the Lip-PTX/CBP, ES-Lip-PTX/CBP, PEG-Lip-PTX/CBP, and ES-PEG-Lip-PTX/CBP were measured. The results are shown in Table 1. The particle size is an important parameter for evaluating nano-formulations. The particle size of PTX/CBP co-loaded liposomes was between 100 nm and 120 nm. The average particle size of PEG-Lip-PTX/CBP and ES-PEG-Lip-PTX/CBP was 103.3 ± 0.5 nm and 105.4 ± 0.2 nm, respectively, which were lower than that of Lip-PTX/CBP and ES-Lip-PTX/CBP with the size of 112.3 ± 0.8 nm and 114.5 ± 1.2 nm, respectively. This could be explained by steric hindrance of strongly hydrated PEG. On the whole, nano-formulations having a particle size of 100-200 nm appeared to be more suitable for intravenous application, which could reduce the absorption of phagocytic cells and enhance drug exposure by prolonging blood circulation time. The PDI of Lip-PTX/

Table I Average Size, PDI and Zeta Potentials of Different Liposome Formulations

| Formulation | rmulation Particle Size (nm) | | Zeta Potential (mV) | |
|--------------------|------------------------------|---------------|---------------------|--|
| Lip-PTX/CBP | 112.3 ± 0.8 | 0.201 ± 0.007 | -32.9 ± 0.7 | |
| ES-Lip-PTX/CBP | 114.5 ± 1.2 | 0.204 ± 0.011 | -33.3 ± 0.4 | |
| PEG-Lip-PTX/CBP | 103.3 ± 0.5 | 0.154 ± 0.009 | -29.6 ± 0.2 | |
| ES-PEG-Lip-PTX/CBP | 105.4 ± 0.2 | 0.156 ± 0.010 | -29.6 ± 0.5 | |

Note: The values were presented as the mean \pm SD (n = 3).

CBP, ES-Lip-PTX/CBP, PEG-Lip-PTX/CBP, and ES-PEG-Lip-PTX/CBP were all less than 0.3 and had a narrow particle size distribution (Figure 1C–F), indicating that the prepared liposomes had a uniform particle size without aggregation.

The surface charge of nano-formulations is another important indicator characterized by nanoparticles, as the surface of nanoparticles is directly interacting with the biological environment. Nano-formulations with negative charge could decrease the interaction with plasma proteins, thereby reducing the possibility of monocyte and macrophages activating immune responses in blood.⁵⁷ The surface potential of Lip-PTX/CBP, ES-Lip-PTX/CBP, PEG-Lip-PTX/CBP, and ES-PEG-Lip-PTX/CBP showed negative potential, which could provide a higher stability in vivo. It was noteworthy that PEG-Lip-PTX/CBP ($-29.6 \pm 0.2 \text{ mV}$) and ES-PEG-Lip-PTX/CBP ($-29.6 \pm 0.5 \text{ mV}$) had the less negative zeta potential, which might be explained by the modification of PEG molecule. PEG-coated liposomes showed low electrophoretic mobility is due to the hydrodynamic resistance given by this polymer.⁵⁸ Besides that, without the hydrophilic polymer on the surface, the predominant negative charge presents in the structural lipids are exposed, leading to the reduction of the zeta potential for Lip-PTX/CBP ($-32.9 \pm 0.7 \text{ mV}$) and ES-Lip-PTX/CBP ($-33.3 \pm 0.4 \text{ mV}$).⁵⁹

The morphology of ES-PEG-Lip-PTX/CBP was observed by TEM (Figure 1G). ES-PEG-Lip-PTX/CBP presented a spherical shape with uniform size and good dispersion. Particle size observed by TEM was basically consistent with that was measured by DLS.

Encapsulation Efficiency and Drug Loading

EE and DL were one of the most important parameters for evaluating liposome preparations. The key to determining the drug EE in liposomes was to separate the unencapsulated free drug. According to the different properties of the drug, free PTX was removed by low-speed centrifugation, and free CBP was removed by dialysis. The EE and DL results of PTX and CBP in Lip-PTX/CBP, ES-Lip-PTX/CBP, PEG-Lip-PTX/CBP, and ES-PEG-Lip-PTX/CBP are shown in Table 2. The EE of PTX and CBP were 78.5% and 47.6% in PEG-Lip-PTX/CBP, respectively. The EE of PTX and CBP were 79.6% and 48.9% in ES-PEG-Lip-PTX/CBP, respectively. Without adding of long-acting fragments, the EE of PTX and CBP could both decreased 3.0–4.0% which was because the liposomes were shielded by the steric effect of DSPE-mPEG₂₀₀₀ so that the stability of the lipid layer increased.⁵⁸ Furthermore, Tunsirikongkon et al proved that the EE of all

Table 2 Encapsulation Efficiency and Drug Loading of Different Liposome Formulations

| Formulation | EE (%) | | DL (%) | | |
|--------------------|------------|------------|-------------|-------------|--|
| | PTX | СВР | PTX | СВР | |
| Lip-PTX/CBP | 75.3 ± 3.0 | 45.8 ± 1.3 | 3.40 ± 0.49 | 0.92 ± 0.31 | |
| ES-Lip-PTX/CBP | 76.9 ± 2.0 | 45.3 ± 3.2 | 3.47 ± 0.47 | 0.90 ± 0.55 | |
| PEG-Lip-PTX/CBP | 78.5 ± 3.5 | 47.6 ± 2.6 | 3.54 ± 0.14 | 0.95 ± 0.08 | |
| ES-PEG-Lip-PTX/CBP | 79.6 ± 3.8 | 48.9 ± 4.3 | 3.60 ± 0.16 | 0.98 ± 0.05 | |

Note: The values were presented as the mean \pm SD (n = 3).

formulations was noticeably increased following the addition of DSPE-PEG.⁵⁸ Our results were in accordance with this report. As a high lipophilicity drug, PTX was able to be delivered by a variety of nanoparticles, and the EE of PTX could reach about 60–80%, such as liposome,⁶⁰ PLGA,⁶¹ bovine serum albumin nanoparticle,⁶² hydroxypropyl-β-cyclodextrin nanoparticles⁶³ and so on. The encapsulation of hydrophilic drugs in nanoparticles was always an arduous task.⁶⁴ Previous reports have shown the EE of CBP in some nanoparticles was about 20–50%, such as liposome,¹⁵ injectable hydrogel,⁶⁴ polycaprolactone (PCL),⁶⁵ amino-functionalized polyphosphazene⁶⁶ and so on.

The DL of PTX in Lip-PTX/CBP, ES-Lip-PTX/CBP, PEG-Lip-PTX/CBP and ES-PEG-Lip-PTX/CBP had a similar rate of 3.40%, 3.47%, 3.54%, and 3.60%, respectively. The DL values of CBP in different formulations were around 1.0%. The DL of the PEGylated liposomes also exhibited slightly higher than that of conventional liposomes. However, the addition of the DSPE-PEG₂₀₀₀-ES fragment had no influence on the EE and DL, which was mainly because the amount of DSPE-PEG₂₀₀₀-ES added was very little which was only 0.5% of the whole lipid components.

Stability of Liposomes

Physical Stability of ES-PEG-Lip-PTX/CBP

ES-PEG-Lip-PTX/CBP was stored at 4°C by detecting its particle size, PDI and zeta potential to determine the storage stability of ES-PEG-Lip-PTX/CBP. As shown in Figure 2A, the particle size of liposome was still less than 120 nm and PDI was below 0.2 without obvious change. There also was no obvious change in zeta potential (Figure 2B), which illustrated ES-PEG-Lip-PTX/CBP could be stably stored at 4°C for at least 28 days.

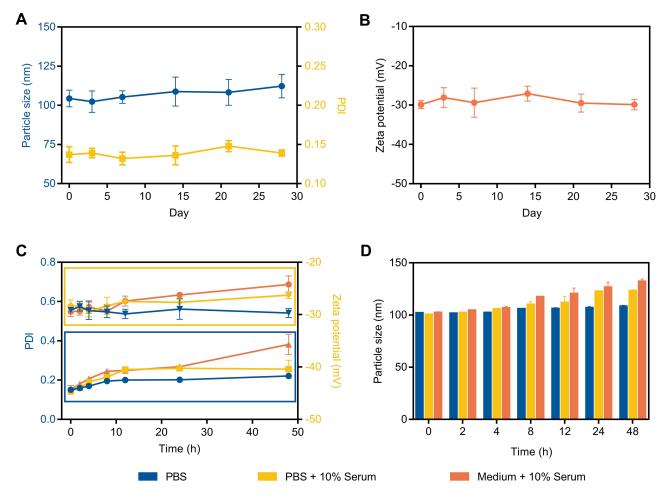


Figure 2 Stability study of different liposome formulations. (A) The particle size, PDI and (B) zeta potential variation of ES-PEG-Lip-PTX/CBP at 4°C for 28 days. The variations of (C) PDI, zeta potential and (D) particle size of ES-PEG-Lip-PTX/CBP in PBS, PBS + 10% serum and medium + 10% serum.

Particle size, PDI and zeta potential were determined to evaluate the stability of ES-PEG-Lip-PTX/CBP in three different media. As shown in Figure 2C and D, liposomes were relatively stable in PBS solution within 48 h, followed by small changes in particle size, PDI value and zeta potential, which met the requirements for intravenous injection. In PBS + 10% serum and medium + 10% serum group, the particle size, PDI value and zeta potential of liposomes increased with time going, and the changes became more obvious after 24 h. This might be due to the aggregation of various proteins in the serum, which had an effect on the particle size and PDI of the liposomes. According to the results of stability, the in vitro release behavior of PTX and CBP was studied using PBS as the release medium.

Leakage Rate Study

As shown in Figure 3A–D, the four liposome formulations of Lip-PTX/CBP, ES-Lip-PTX/CBP, PEG-Lip-PTX/CBP, and ES-PEG-Lip-PTX/CBP had a good stability under storage conditions at 4°C and 25°C. At 25°C, the leakage rates of PTX and CBP in the four liposomes were all less than 25% and the leakage rates of PTX and CBP in the four liposomes were all less than 20% at 4°C. This showed that liposomes were more suitable for storage at 4°C. In addition, compared with the non-long-acting liposomes, including Lip-PTX/CBP and ES-Lip-PTX/CBP, the leakage rate of the long-acting liposome of PEG-Lip-PTX/CBP and ES-PEG-Lip-PTX/CBP was lower at 4°C and 25°C, which might be due to the action of the long-acting fragment of DSPE-mPEG₂₀₀₀. The long-acting fragments were entangled on the surface of liposomes, making the liposome membrane structure more stable so as to reduce the leakage of the drugs.

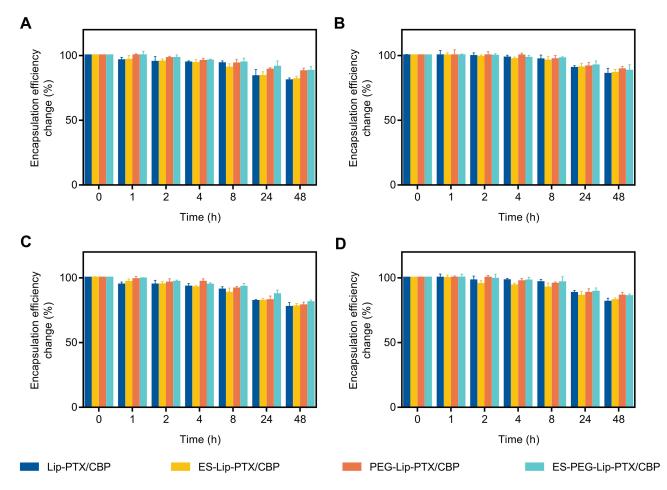


Figure 3 Leakage rate study. At 4°C, encapsulation efficiency changes of (A) PTX and (B) CBP in different liposome formulations. At 25°C, encapsulation efficiency changes of (C) PTX and (D) CBP in different liposome formulations. The data were presented as the mean \pm SD (n = 3).

In vitro Drug Release

PBS was used as the release medium to investigate the in vitro release rates of the PTX and CBP in co-loaded liposomes at 37°C and different pH values of 7.4 and 5.5. The results at pH 7.4 are shown in Figure 4A and B. The cumulative drug release rate was more than 90% at 8 h in PTX/CBP group, presented a fast and burst release. This release behavior was not conducive to the accumulation of drugs in tumor tissues. However, compared with the rapid release of PTX/CBP, all other groups of liposomes exhibited a sustained drug release behavior within 48 h. The release rate of PTX and CBP in the Lip-PTX/CBP and ES-Lip-PTX/CBP only reached 75% and 43%, respectively, within 48 h at pH 7.4, indicating liposomal delivery system could significantly reduce the release rate of drugs. Besides, compared with the Lip-PTX/CBP and ES-Lip-PTX/CBP group, the cumulative release rate of PTX and CBP in PEG-Lip-PTX/CBP and ES-PEG-Lip-PTX/CBP were approximately 58% and 33%, respectively, which exhibited a much lower release rate. This was considered that the sterically hindered DSPE-mPEG₂₀₀₀ had the shielding and protection effects on the liposome membrane structure, which made the release of the loaded drug relatively slow and was consistent with the result of the aforementioned leakage rate in section of leakage rate study. It was reported that most of the nanoparticles as delivery carriers can reduce the drug release rate. In recent decades, long-acting formulations with stable drug release profile were gaining much attention. PEG has been applied in plenty of delivery systems such as micelles, vesicles, nanoparticles and hydrogels.⁶⁷ For example, Chen et al devised biodegradable PEG-poly(ω-pentadecalactone-co-p-dioxanone) nanoparticles which achieved the slow release of hydrophobic therapeutic agents.⁶⁸ Yusuf Haggag et al prepared nanoparticles consisting of PLGA-PEG polymers to exhibit insulin sustainable release behaviors. ⁶⁹ By reducing drug release during systemic circulation, sustained and controlled drug release may be beneficial to anti-cancer treatment and deliver the majority of encapsulated drugs to a target site. 70

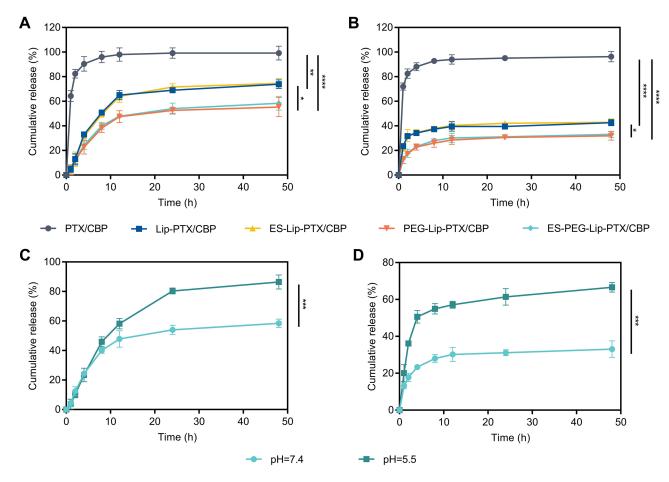


Figure 4 Cumulative release of (**A**) PTX and (**B**) CBP from different liposome formulations at pH 7.4. Cumulative release of (**C**) PTX and (**D**) CBP from ES-PEG-Lip-PTX/CBP at pH 5.5. The data were presented as the mean \pm SD (n = 3). *p < 0.05, **p < 0.01, ***p < 0.001, ****p < 0.0001.

What is more, compared with cumulative release at pH of 7.4. ES-PEG-Lip-PTX/CBP had a higher cumulative release at pH of 5.5 (Figure 4C and D). At pH 5.5 and 37°C, 86.5% of PTX and 66.6% of CBP were released from ES-PEG-Lip-PTX/ CBP during the initial 48 h, and the release of PTX and CBP increased approximately 30% compared with that under the neutral condition, respectively. At all the time points, the release of PTX and CBP had been increased, indicating that ES-PEG-Lip-PTX/CBP exhibited a faster release behavior in an acidic environment. This was due to the presence of ester bonds in egg yolk lecithin leading to an acid-induced drug release because of the hydrolysis of ester bonds under acidic conditions, so that the stability of liposomes was influenced. The results were consistent with the data of Novohradsky et al. 71 As we all know, the internal environment of endosomes/lysosomes is acidic (pH 5.5), therefore the release characteristics of ES-PEG-Lip-PTX/CBP were conducive to release of more drugs after intracellular uptake via the endocytosis.

In vitro Cytotoxicity

The cytotoxicity potential of blank liposome, free drugs and drug-loaded liposomes against SKOV-3 (ER-positive) cells⁷² was assessed by CCK-8 assay. As expected, over 90% of the cells survived with blank liposome during the incubation period, indicating that the blank liposomes had no cytotoxicity against SKOV-3 cells (Figure S1). It could be seen from the Figure 5A-C that all free drugs and drug-loaded liposomes showed dose-dependent and time-dependent inhibition of SKOV-3 cell proliferation. After incubation 24 h, PTX/CBP displayed a stronger lethality than Lip-PTX/CBP and PEG-Lip-PTX/CBP, with an IC₅₀ of 0.905 μM compared to 1.054 μM for Lip-PTX/CBP and 1.314 μM for PEG-Lip-PTX/CBP (Table S2, Figure 5D-F), respectively. This could be attributed to lack of release process and quickly entering into tumor cells by a passive diffusion of free drug. On the contrary, liposomal formulations need time to release the drugs. For further observation, we found that ES modified liposomes, including ES-Lip-PTX/CBP (IC₅₀: 0.717 μM) and ES-PEG-Lip-PTX/CBP (IC₅₀: 0.854 μM), showed a higher toxicity than PTX/CBP, indicated that the addition of DSPE-PEG₂₀₀₀-ES significantly strengthened the cytotoxicity. However, with the extension of incubation time, in contrast to PTX/CBP, the toxicity of all the liposomal formulations to SKOV-3 cells was significantly enhanced at 48 h and 72 h, of which ES-PEG-Lip-PTX/CBP and ES-Lip-PTX/CBP were the most effective with an IC₅₀ of 0.248 μ M and 0.285 μ M at 48 h and IC₅₀ of 0.128 μ M and 0.146 μ M at 72 h, respectively. Furthermore,

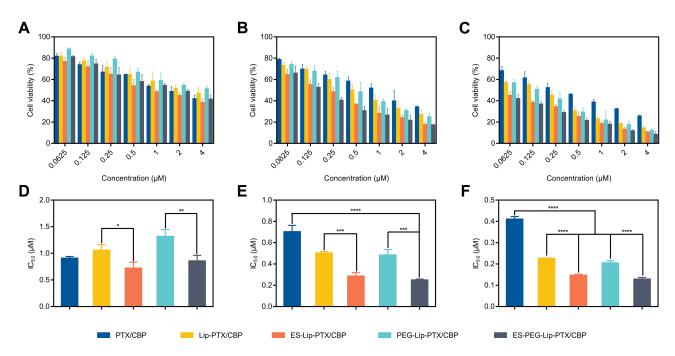


Figure 5 The in vitro cytotoxicity of different liposome formulations on SKOV-3 cells. Cell viability and IC50 values of SKOV-3 cells after treatment with various liposome formulations at (A and D) 24 h, (B and E) 48 h and (C and F) 72 h. The data were presented as the mean ± SD (n = 3). *p < 0.05, **p < 0.01, ***p < 0.001.

ES-Lip-PTX/CBP and ES-PEG-Lip-PTX/CBP showed stronger cytotoxicity than that of Lip-PTX/CBP and PEG-Lip-PTX/CBP at 24, 48 and 72 h. As mentioned above, after sufficient incubation time, liposomes had a stronger anti-proliferative effect on SKOV-3 cells. The introduction of ES targeted fragments could further strengthen the cell toxicity. This could be the result of overexpression of ER, which was the target of the DSPE-PEG₂₀₀₀-ES, on the surface of SKOV-3 cells. Therefore, the ER played a key role in successful internalization of PTX and CBP so as to increase the cytotoxicity by ES modified liposome.

In vitro Cell Uptake

To determine the effect of ES on the targeting of liposome formulations, the intracellular uptake of the formulations in SKOV-3 cells was investigated. Rh B was used as a visual fluorescent dye to label liposomes so that the liposomes exhibited red fluorescence for quantifying the cellular intake. Figure 6A–D represented the qualitative results of SKOV-3 cell uptake of each formulation observed by fluorescence microscope. With the extension of the incubation time (1–4 h), a significant increase in liposome uptake was observed, suggesting that the cellular intake was time-dependent. At each observation time point,

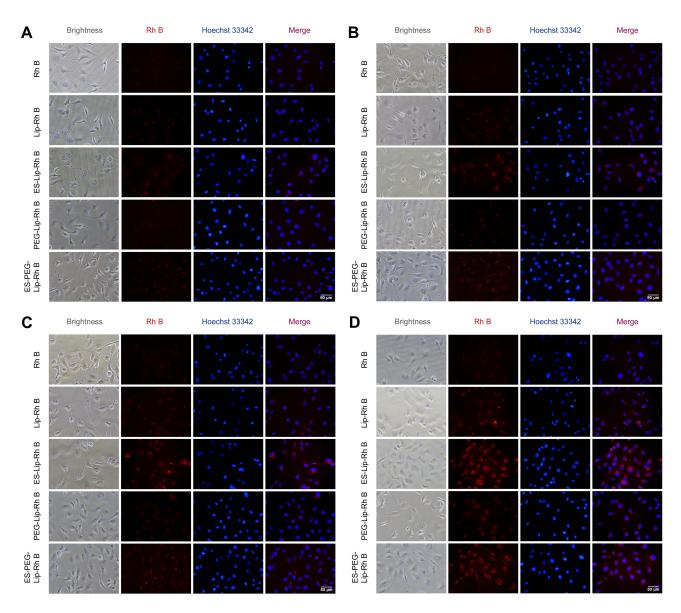


Figure 6 Cellular uptake of Rh B-loaded liposome formulations or free Rh B on SKOV-3 cells after (A) I h, (B) 2 h, (C) 3 h and (D) 4 h of incubation, measured by fluorescence microscope. Scale bar: 50 μm.

compared with Rh B (as a control), the cells treated with liposomes showed a stronger red fluorescence, indicating that the SKOV-3 cells had more cellular uptake of liposomes. Obviously, cells treated with ES-PEG-Lip-Rh B were observed the stronger red fluorescent than that of PEG-Lip-Rh B and Lip-Rh B at 1, 2, 3 and 4 h, which showed that the introduction of DSPE-PEG₂₀₀₀-ES could significantly increase cell uptake and allow more fluorescent dyes to enter cells through specific identification of ER. However, SKOV-3 cells unexpectedly achieved to be observed the strongest intensity of red fluorescence after incubation with ES-Lip-Rh B. As well, this phenomenon was observed in the PEG-Lip-Rh B group whose fluorescence intensity was weaker than the Lip-Rh B group. These results indicated the insertion of the DSPE-mPEG₂₀₀₀ did not increase the fluorescence intensity, but was slightly lower than that of the non-PEGylated liposome group. This might be due to antifouling effect of the PEG brush,⁷³ which made it difficult to be internalized as readily as non-PEGylated liposome. Besides, liposomes can be absorbed via attachment to cell membrane. PEGylated liposomes which were containing 5% DSPE-mPEG₂₀₀₀, did not enhance cellular uptake because of electrostatic repulsive interaction between PEG and cell membrane. ^{74,75} Of note, the fluorescence intensity of the ES-PEG-Lip-Rh B group dramatically increased compared with that of PEG-Lip-Rh B group. This has clearly demonstrated that ES modification could eventually enhance internalization of liposomes in the SKOV-3 cells.

Fluorescence microscope pictures were quantified using Image J (<u>Figure S2</u>). The quantitative results also showed that the fluorescence intensity of ES modified liposomes was significantly higher than that of the unmodified group. Especially at 4 h, the fluorescence intensity of ES-PEG-Lip-Rh B was 1.67 times higher than that of PEG-Lip-Rh B and the fluorescence intensity of ES-Lip-Rh B was 2.20 times higher than that of Lip-Rh B.

In vitro Uptake Mechanism

To explore the mechanism of endocytosis, several common endocytic pathways were blocked by pretreating the cells with the known endocytosis inhibitors, including amiloride (micropinocytosis pathway), genistein (caveolinmediated endocytosis) and sucrose (clathrin-dependent endocytosis), and ES pre-blocked ER receptor (receptormediated endocytosis) in SKOV-3 cells. Cells not treated with endocytosis inhibitors were used as controls, and the results (Figure 7A) showed that the control group presented a strong red fluorescence intensity. After treatment with the three endocytosis inhibitors and ES, the fluorescence intensity decreased to varying degrees. After pretreatment with ES, the fluorescence intensity decreased most significantly, and the uptake of ES-PEG-Lip-Rh B was suppressed by 72.3% (Figure 7B), since ER receptor was blocked by free ES which indicated the particular role of the ER receptor in cellular uptake of the ES-PEG-Lip-Rh B. The result proved that receptor-mediated endocytosis was the major mechanism of ES-PEG-Lip-Rh B internalization into cells. Meanwhile, the result also demonstrated that ES modified liposomes could indeed achieve targeting effect through specific identification with ER. In addition, macropinocytosis and caveolin-mediated endocytosis had equal contributions to cell endocytosis, corresponding to about 63.9% and 55.2% uptake inhibition, respectively. It was worth noting that the internalization of ES-PEG-Lip-Rh B was less dependent on clathrin-dependent endocytosis, which reduced the uptake by 7.8%, and there were no statistical differences with untreated group cells. In general, receptor-mediated endocytosis, macropinocytosis and caveolin-mediated endocytosis were the important endocytic pathways of ES-PEG-Lip-Rh B, but clathrin-dependent endocytosis was not the important pathway.

In vivo Targeting

The effective distribution of ES-PEG-Lip on target tissue is an important factor in playing its drug effects. In order to verify whether ES modified liposomes also had excellent tumor targeting in vivo or not, DiR as a near-infrared fluorescent probe was loaded to PEG-Lip and ES-PEG-Lip and injected into tumor-bearing nude mice. As shown in Figure 8A, free DiR was not enriched in the tumor site, only distributed in the liver and spleen. However, in the PEG-Lip-DiR group, the fluorescence signals remained at steady levels for up to 6 h post-injection, and then slowly decreased from 12 to 24 h. In comparison, the fluorescence intensity of ES-PEG-Lip-DiR in tumor tissues was observed to intensify gradually from 1 h to 6 h after injection, and then it was slightly reduced at 12 and 24 h. At the same time, the fluorescence intensity of ES-PEG-Lip-DiR group in the tumor site was significantly higher than that of PEG-Lip-DiR and free DiR at any time points post-injection. The quantification results (Figure 8B) also

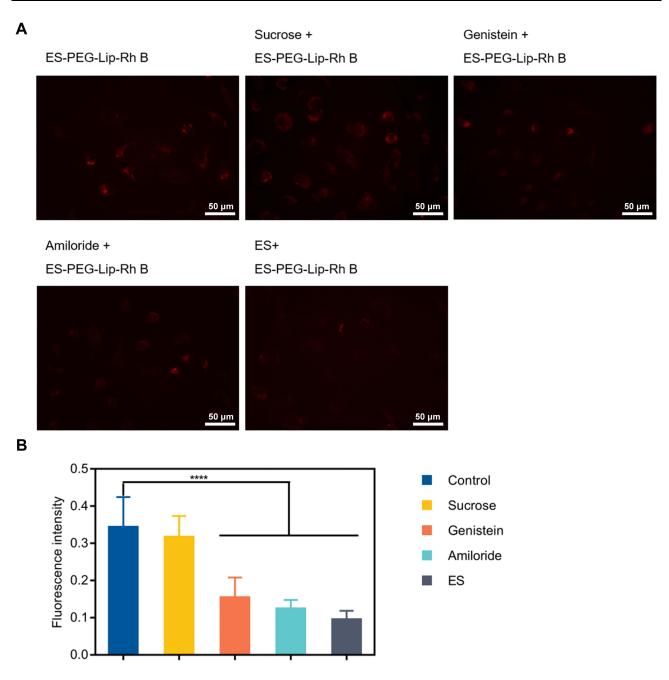


Figure 7 In vitro uptake mechanism. (A) Cellular uptake of ES-PEG-Lip-Rh B in SKOV-3 cells after pre-treated with ES, amiloride, genistein and sucrose. Scale bar: 50 μm. (B) Mean fluorescence intensity quantified by Image J. ****p < 0.0001.

showed that there was a statistical difference between ES-PEG-Lip-DiR and PEG-Lip-DiR at all the time points. When compared to DiR and PEG-Lip-DiR at 6 h, the fluorescence intensity of ES-PEG-Lip-DiR was 9.10 and 1.69 folds higher, respectively. This result indicated that the ES ligand allowed more liposomes to continue to penetrate into the deep area of the tumor to maintain an effective residence time so as to achieve an enhanced targeting and enrichment of drugs at tumor sites in vivo.

Figure 8C shows the results of the ex vivo fluorescence distribution, which more intuitively determined the distribution of each formulation. The distribution of the DiR in the tumor site was indeed very small, basically not

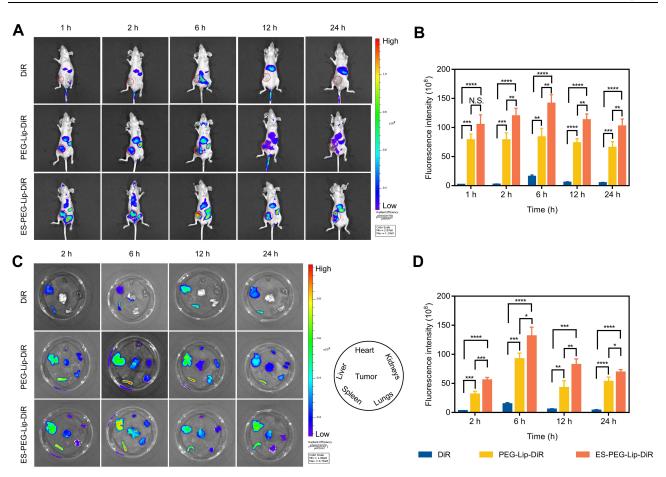


Figure 8 In vivo targeting study. (A) Distribution of DiR-loaded liposome formulations monitored via the IVIS spectrum at different time points. (B) The quantification of DiR fluorescence intensity at tumor sites in vivo. (C) Ex vivo images of the heart, liver, spleen, lungs, kidneys, and tumor. (D) Relative fluorescence intensity of the excised tumors (n = 3). N.S. p > 0.05, *p < 0.05, **p < 0.01, ****p < 0.001, ****p < 0.0001.

observed, except for the liver and spleen. The accumulation of PEG-Lip-DiR in tumors and various organs increased significantly, especially the liver and spleen due to the uptake of the reticuloendothelial system (RES). It was worth noting that ES-PEG-Lip-DiR still presented the highest fluorescence intensity in tumors, which was consistent with the in vivo results. Quantitative results (Figure 8D) confirmed the superior efficiency of ES-PEG-Lip-DiR to accumulate in tumors of mice compared to that of PEG-Lip-DiR. The increased fluorescence intensity indicated that ES-modified liposomes showed the strongest efficacy in tumor targeting, implying a better therapeutic effect to be achieved.

Pharmacokinetics and Tissue Distribution

The drug without eliminated quickly and reaching a higher concentration in the body is an important factor for maximizing the therapeutic effect. The effects of conventional liposomes are relatively limited, but the PEGylated liposomes, which have been extensively studied, make up for this defect. 76 A pharmacokinetic study of ES-PEG-Lip-PTX/CBP in mice was therefore performed to verify the metabolic changes of drugs' concentration. The plasma concentration-time curve (Figure 9A and B) clearly indicated that the liposome groups displayed higher PTX and CBP concentrations in plasma as compared with the quick clearance of PTX/CBP which were both undetectable after 12 h. What is more, ES-PEG-Lip-PTX/CBP modifying with long-acting fragments exhibited much higher drug concentrations and longer circulation time than that of Lip-PTX/CBP within 48 h. The corresponding pharmacokinetic parameters are listed in Tables 3 and 4. The half-life (t_{1/28}) and clearance (CL) for liposomes, especially ES-PEG-Lip-PTX/CBP, significantly increased. In PTX/CBP and Lip-PTX/CBP groups, mean retention time (MRT) was significantly lower than that of the ES-PEG-Lip-PTX/CBP. The area under curve (AUC_(0-t)) of ES-PEG-Lip-PTX/CBP presented the

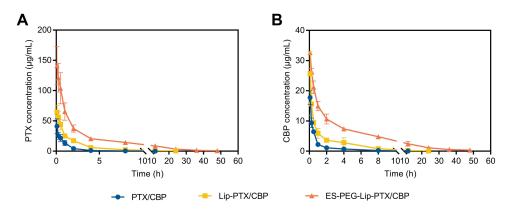


Figure 9 Plasma concentration—time curve of (A) PTX and (B) CBP in ICR mice after intravenous administration of PTX/CBP, Lip-PTX/CBP and ES-PEG-Lip-PTX/CBP at a dose of PTX of 18.0 mg/kg and CBP of 7.8 mg/kg. The data were presented as the mean ± SD (n = 3).

bioavailability of 7.59 and 7.59-fold higher than that of PTX/CBP for PTX and CBP, respectively, of 3.86-fold and 3.47-fold higher than that of Lip-PTX/CBP for PTX and CBP, respectively. The results proved that ES-PEG-Lip-PTX/CBP significantly prolonged blood circulation time and increased plasma concentration, which provided more opportunities for PTX and CBP to reach the targeting tumor site.

Table 3 Pharmacokinetic Parameters of PTX in Mice After Intravenous Administration at a Dose of 18.0 mg/kg

| Parameter | Unit | PTX/CBP | Lip-PTX/CBP | ES-PEG-Lip-PTX/CBP |
|----------------------|----------|---------------|---------------|------------------------------|
| $t_{1/2\alpha}$ | h | 0.038 ± 0.046 | 0.556 ± 0.298 | 0.649 ± 0.105 |
| t _{1/2β} | h | 0.841 ± 0.164 | 3.221 ± 1.243 | 8.698 ± 3.384** [#] |
| AUC _(0-t) | mg/L × h | 56.0 ± 26.9 | 110.1 ± 9.8 | 424.9 ± 91.0*** |
| AUC _(0-∞) | mg/L × h | 56.0 ± 26.9 | 112.1 ± 10.5 | 437.0 ± 104.2****** |
| MRT | h | 0.894 ± 0.409 | 2.952 ± 0.916 | 9.304 ± 3.029** [#] |
| CL | L/h/kg | 0.374 ± 0.168 | 0.162 ± 0.016 | 0.043 ± 0.009* |

Note: The values were presented as the mean \pm SD (n = 3). * p < 0.05, ** p < 0.01 and *** p < 0.001 compared with PTX/CBP, ** p < 0.05 and *** p < 0.01 compared with Lip-PTX/CBP.

Abbreviations: $t_{1/2\alpha}$, distribution half-life; $t_{1/2\beta}$, elimination half-life; AUC, area under the curve; MRT, mean retention time; CL, rate of clearance.

Table 4 Pharmacokinetic Parameters of CBP in Mice After Intravenous Administration at Dose of 7.8 mg/kg

| Parameter | Unit | PTX/CBP | Lip-PTX/CBP | ES-PEG-Lip-PTX/CBP |
|----------------------|----------|---------------|----------------|------------------------------|
| t _{1/2α} | h | 0.024 ± 0.020 | 0.193 ± 0.083 | 0.368 ± 0.133 |
| t _{1/2β} | h | 0.425 ± 0.022 | 2.864 ± 0.989 | 6.170 ± 1.864** [#] |
| AUC _(0-t) | mg/L × h | 15.3 ± 4.3 | 33.5 ± 9.5 | 116.2 ± 19.4*** |
| AUC _(0-∞) | mg/L × h | 15.3 ± 4.3 | 33.7 ± 9.7 | 117.4 ± 21.4*** |
| MRT | h | 0.375 ± 0.144 | 3.359 ± 1.362 | 7.946 ± 2.491** [#] |
| CL | L/h/kg | 0.540 ± 0.169 | 0.247 ± 0.080* | 0.068 ± 0.011** |

Note: The values were presented as the mean \pm SD (n = 3). *p < 0.05, **p < 0.01 and ***p < 0.001 compared with PTX/CBP; *p < 0.05 and ***p < 0.001 compared with Lip-PTX/CBP.

In order to further investigate the tissue distribution of ES-PEG-Lip-PTX/CBP, the concentrations of PTX and CBP in the heart, liver, spleen, lungs and kidneys were determined followed by the pharmacokinetics study. As can be seen from the Figure 10A-F and Figure 11A-F, after injection of PTX/CBP, PTX and CBP presented a quick metabolic speed without accumulating into the liver, spleen or lungs. However, liposomal formulations, including Lip-PTX/CBP and ES-PEG-Lip-PTX/CBP, were mainly distributed in liver, spleen and lungs, in which PTX and CBP showed a higher concentration than that in other organs. This could be due to the liposome formulations unavoidable uptake by the RES of the body. 77,78 Excitingly, we found that the distribution of ES-PEG-Lip-PTX/CBP in the kidneys was less than that of PTX/CBP and Lip-PTX/CBP. Especially for CBP, AUC (0-t) of the ES-PEG-Lip-PTX/CBP in the kidneys was lower than that of PTX/CBP and Lip-PTX/CBP, which had statistical differences with the PTX/CBP. Therefore, we speculated that ES-PEG-Lip-PTX/CBP could reduce toxicity of PTX and CBP to kidneys.

Anti-Tumor in vivo

In further determination of anti-tumor efficacy in vivo, SKOV-3 tumor-bearing athymic mice were randomly divided into five groups (n = 5), each group was administered with various formulations of normal saline, PTX/CBP, Lip-PTX/CBP, PEG-Lip-PTX/CBP or ES-PEG-Lip-PTX/CBP, respectively, via the tail vein for continuously monitoring of twenty-eight days (Figure 12A). As the obtained results showed in Figure 12B and C, the tumors showed a trend of rapid growth starting from the 14th day and the tumor volume reached 1500 mm³ after 28 days in the normal saline group. The PTX/ CBP treatment group showed a certain degree of inhibition in tumor growth, which was not powerful enough. Compared with PTX/CBP group, PEG-Lip-PTX/CBP and ES-PEG-Lip-PTX/CBP obviously inhibited tumor growth, and ES-PEG-Lip-PTX/CBP had minimum tumor weight (Figure 12D). As shown in Figure 12E, the TIR was only 32.6% in PTX/CBP group. As expected, the most effective inhibition was achieved in ES-PEG-Lip-PTX/CBP group, in which the TIR reached about 81.8% which was 1.63 and 1.21 times higher than that of Lip-PTX/CBP and PEG-Lip-PTX/CBP with the inhibition rate of 50.2% and 67.5%, respectively.

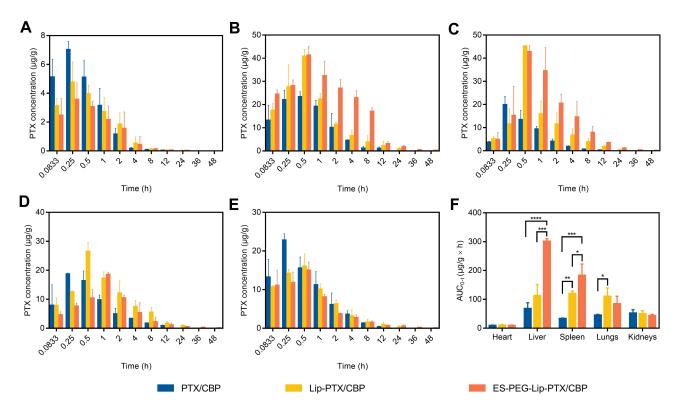


Figure 10 Tissue distribution of PTX in ICR mice, including (A) heart, (B) liver, (C) spleen, (D) lungs and (E) kidneys, after intravenous administration of PTX/CBP, Lip-PTX/CBP, and ES-PEG-Lip-PTX/CBP at a dose of PTX of 18.0 mg/kg and CBP of 7.8 mg/kg. (F) Area under the curve of PTX in different organs in ICR mice. The data were presented as the mean \pm SD (n = 3). *p < 0.05, **p < 0.01, ***p < 0.001, ***p < 0.0001.

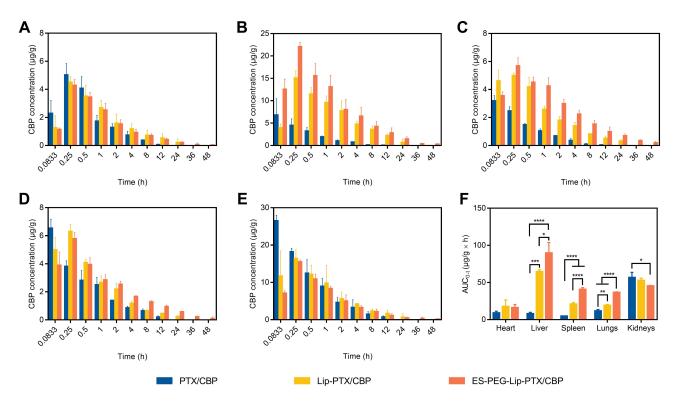


Figure 11 Tissue distribution of CBP in ICR mice, including (A) heart, (B) liver, (C) spleen, (D) lungs, and (E) kidneys, after intravenous administration of PTX/CBP, Lip-PTX/CBP, and ES-PEG-Lip-PTX/CBP at a dose of PTX of 18.0 mg/kg and CBP of 7.8 mg/kg. (F) Area under the curve of CBP in different organs in ICR mice. The data were presented as the mean ± SD (n = 3). *p < 0.05, **p < 0.01, ****p < 0.001.

For further investigation, the paraffin sections of tumors and major organs of heart, liver, spleen, lungs, and kidneys were analyzed using H&E staining. The results in Figure 12F shows that the SKOV-3 tumor cells in the normal saline group were tightly arranged and the cell morphology was normal. On the contrary, all the other tumor tissues treated with PTX/CBP, Lip-PTX/CBP, and PEG-Lip-PTX/CBP showed a certain degree of reduction in tumor cell quantity. Among them, ES-PEG-Lip-PTX/CBP treatment caused larger degrees of reduction of tumor cells, and the tumor tissue structure was significantly destroyed in comparison to other groups.

Consistent with the above results, ES-PEG-Lip-PTX/CBP displayed the strongest therapeutic efficacy which was significantly superior to that of other formulations in SKOV-3 tumor-bearing mice models. This was due to its ability to extend the circulation time in vivo by DSPE-mPEG₂₀₀₀ and improve tumor-specific targeting by DSPE-PEG₂₀₀₀-ES.

Meanwhile, the changes in body weight of mice were monitored during the experimental period. As the results showed in Figure 13A, no significant weight loss was observed in each treatment group, indicating that the ES-PEG-Lip-PTX/CBP had good biocompatibility and safety. At the end of the experiment, the heart, liver, spleen, lungs and kidneys of the mice were taken out and weighed to assess whether the organs had significant toxic changes. The OCs were comparable with those of the normal saline-treated healthy nude mice. The results in Figure 13B shows not much changes of the OCs, indicating the favorable safety of the ES-PEG-Lip-PTX/CBP therapy.

At the same time, there was no obvious organ damage observed in each treatment group by H&E staining (Figure 13C). This result inferred that the ES-PEG-Lip-PTX/CBP formulations had excellent biocompatibility and safety. Furthermore, there was no significant difference between ES-PEG-Lip-PTX/CBP group and normal saline

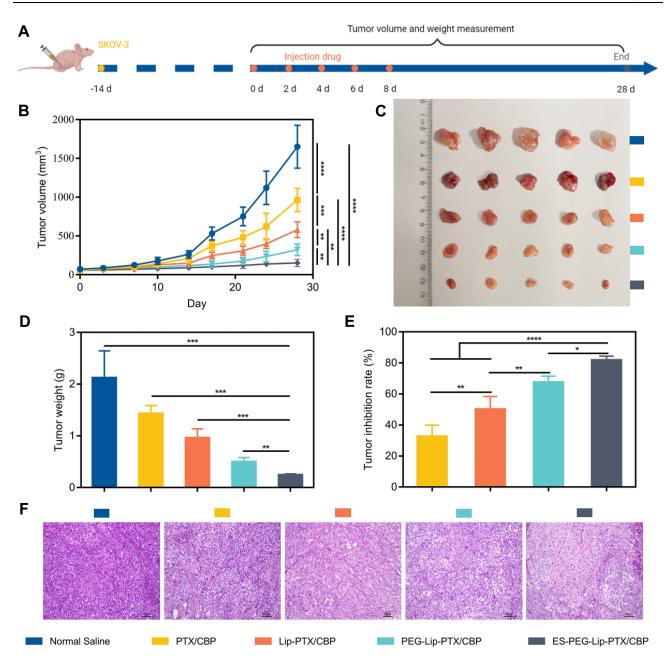


Figure 12 Anti-tumor efficacy study in vivo. (A) SKOV-3 cell inoculation and treatment schedule. (B) Tumor growth curves of different formulations. (C) Photographs of tumors in NU/NU mice treated for twenty-eight days. (D) Tumor weight and (E) tumor inhibition rate at the endpoint of experiment. (F) H&E staining of tumors tissues on the twenty-eight days' treatment. Scale bar: 100 μm . The data were presented as the mean \pm SD (n = 5). *p < 0.05, **p < 0.001, ****p < 0.0001.

group in comparing their levels of WBC, RBC, PLT, HGB, BUN, Cre, ALT, and AST (Figure 13D-K). These results indicated that ES-PEG-Lip-PTX/CBP as a novel formulation could be safe in the process of treatment without generating severe systemic toxicity because of the reducing unspecific normal cellular uptake of ES-PEG-Lip-PTX/CBP.

Acute Toxicity in vivo

The safety is also one of the most important elements for a new nano-delivery systems. The acute toxicity of ES-PEG-Lip-PTX/CBP in ICR mice given a single dose intravenously was investigated to determine whether ES-PEG-Lip-PTX/CBP could decrease the toxicity of PTX and CBP. As shown in Table 5, LD₅₀ of PTX/CBP, Lip-PTX/CBP and ES-PEG-Lip-PTX/CBP was 27.3/11.9 mg/kg, 57.7/25.1 mg/kg and 61.3/26.6 mg/kg, respectively.

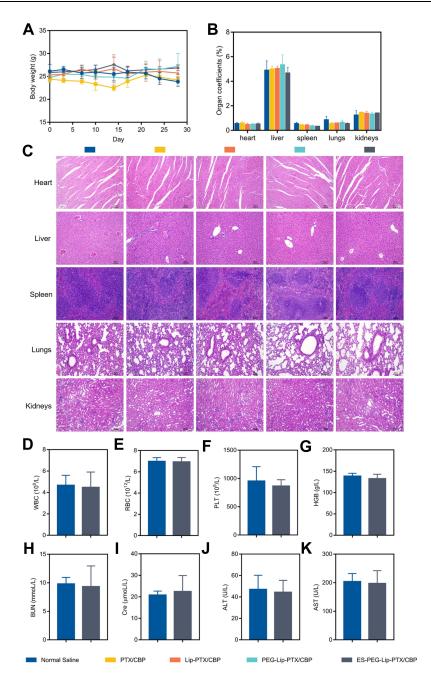


Figure 13 Anti-tumor efficacy study in vivo. (A) Body weight change curves post-injection of different formulations. (B) The organ coefficients of NU/NU mice at the end of the experiment. (C) H&E staining results of main organs after the treatment. Scale bar: 100 μm. Blood routine analysis results of (D) WBC, (E) RBC, (F) PLT and (G) HGB. Biochemical analysis results of (H) BUN, (I) Cre, (J) ALT, and (K) AST. The data were presented as the mean ± SD (n = 5).

The LD₅₀ value of Lip-PTX/CBP and ES-PEG-Lip-PTX/CBP was 2.12-fold and 2.24-fold that of the free PTX/CBP group, demonstrating that liposomal formulations significantly increased the safety of the PTX/CBP. Furthermore, ES-PEG-Lip-PTX/CBP presented the lowest value of LD₅₀ implying its best safety profile. From the results in Figure 14A–C we noted that half of mice deaths in the Lip-PTX/CBP group at dose of 70.0/30.4 and ES-PEG-Lip-PTX/CBP group at the highest dose of 73.0/31.7 mg/kg occurred on the 7th day after the injection.

Table 5 LD₅₀ of PTX/CBP, Lip-PTX/CBP, and ES-PEG-Lip-PTX/CBP in ICR Mice After Intravenous Administration of Different Formulations (n = 10)

| Formulation | Dose (mg/kg) | Number of Mice | Number of Dead Mice | I4-Day Survival Rate (%) | LD ₅₀ (mg/kg) |
|------------------------|-----------------|-------------------|---------------------------|--------------------------------|-----------------------------|
| PTX/CBP | 20.0/8.7 | 10 | 0 | 100 | 27.3/11.9 |
| | 23.0/10.0 | 10 | 1 | 90 | |
| | 26.0/11.3 | 10 | 3 | 70 | |
| | 29.0/12.6 | 10 | 6 | 40 | |
| | 32.0/13.9 | 10 | 10 | 0 | |
| Lip-PTX/CBP | 46.0/20.0 | 10 | 0 | 100 | 57.7/25.1 |
| | 52.0/22.6 | 10 | 2 | 80 | |
| | 58.0/25.2 | 10 | 6 | 40 | |
| | 64.0/27.8 | 10 | 7 | 30 | |
| | 70.0/30.4 | 10 | 10 | 0 | |
| ES-PEG-Lip-PTX/ CBP | 49.0/21.3 | 10 | 0 | 100 | 61.3/26.6 |
| | 55.0/23.9 | 10 | 2 | 80 | |
| | 61.0/26.5 | 10 | 4 | 60 | |
| | 67.0/29.1 | 10 | 8 | 20 | |
| | 73.0/31.7 | 10 | 10 | 0 | |

However, the half of mice death in the PTX/CBP group at a dose of 32.0/13.9 mg/kg occurred only on the third day after the injection. Meanwhile, the body weights of mice in Figure 14D-F were also monitored for 14 days. The body weight of mice in PTX/CBP group dropped by 5.8% on the third day at a dose of 32.0/13.9 mg/ kg, then all the mice were dead on the 4th day. However, the body weight of mice in Lip-PTX/CBP group at a dose of 46.0/20.0 mg/kg and in ES-PEG-Lip-PTX/CBP group at a dose of 49.0/21.3 increased by 21.4% and 24.4% on the third day, respectively. These results demonstrated ES-PEG-Lip-PTX/CBP could significantly decrease the mice mortality and lower the body weight loss compared with that of PTX/CBP group and Lip-PTX/CBP group. This effect was attributed to the ES-PEG-Lip-PTX/CBP which could control the release of PTX and CBP from the formulation into the blood circulation so as to reduce the concentration of the free chemo-drugs in blood.

To further explore the toxicity in mice, after 14 days of observation, the main organs and blood of the surviving mice in the lowest dosage groups were collected. The results in Table 6 shows that the OCs of experimental groups were not significantly different from those of the healthy mice. In addition, the results of whole blood tests in Figure 15A-D showed that PTX/CBP could cause a decrease in the level of WBC and PLT because of the bone marrow suppression. On the contrary, the Lip-PTX/CBP, particularly ES-PEG-Lip-PTX/CBP, could maintain WBC and PLT at normal levels. There were no significant changes of RBC and HGB. This result indicated that ES-PEG-Lip-PTX/CBP could effectively reduce the bone marrow suppression of PTX and CBP and improve the safety of the chemo-drugs. On the other hand, the results of serum biochemical assays in Figure 15E-H revealed that no significant raise of ALT and AST after intravenous administration. It was worth

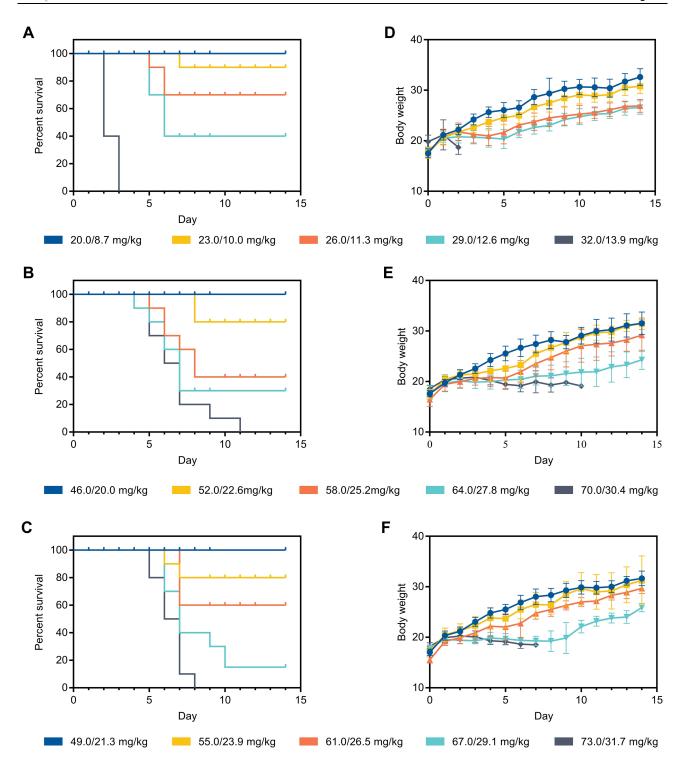


Figure 14 Acute toxicity study in vivo. Survival curve and body weight of ICR mice after intravenous administration at different doses of (A and D) PTX/CBP, (B and E) Lip-PTX/CBP and (C and F) ES-PEG-Lip-PTX/CBP (n = 10).

noting that the levels of BUN and Cre after injection of PTX/CBP were higher than that of the healthy mice which reflected the kidneys of mice damaged. However, BUN and Cre remained in normal level in mice administered with ES-PEG-Lip-PTX/CBP. These results showed that ES-PEG-Lip-PTX/CBP provided the likelihood of reducing the kidney toxicity of PTX/CBP and had better biocompatibility as a safe formulation than Lip-PTX/CBP.

Table 6 Organ Coefficient of Surviving Mice in Low-Dose Group on the 14th Day

| Formulation | Heart | Liver | Spleen | Lungs | Kidneys |
|--------------------|-------------|-------------|-------------|-------------|-------------|
| Normal saline | 0.57 ± 0.14 | 5.69 ± 0.78 | 0.47 ± 0.09 | 0.65 ± 0.06 | 1.31 ± 0.09 |
| PTX/CBP | 0.53 ± 0.15 | 5.38 ± 0.59 | 0.44 ± 0.10 | 0.64 ± 0.12 | 1.30 ± 0.09 |
| Lip-PTX/CBP | 0.58 ± 0.14 | 5.81 ± 0.83 | 0.44 ± 0.10 | 0.67 ± 0.08 | 1.34 ± 0.20 |
| ES-PEG-Lip-PTX/CBP | 0.58 ± 0.08 | 5.56 ± 0.70 | 0.45 ± 0.08 | 0.68 ± 0.07 | 1.34 ± 0.12 |

Note: The values were present as the mean \pm SD (n = 10).

Conclusion

In this study, co-loaded PTX and CBP PEGylated liposome conjugated with estrone (ES-PEG-Lip-PTX/CBP) was prepared for the first time to achieve the specific targeting therapy for ovarian cancer which was highly expressed ER. The characterizations, release behaviors, cytotoxicity, in vitro SKOV-3 cellular uptake and mechanisms, in vivo targeting study, pharmacokinetics and biodistribution, anti-tumor efficacy and acute toxicity of ES-PEG-Lip-PTX/CBP were evaluated. The prepared liposomes displayed a high encapsulation efficacy, small particle size and a negative charge which presented a good stability. ES-PEG-Lip-PTX/CBP could achieve a slow and sustained release in the physiological condition. In vitro and in vivo targeting studies demonstrated that ES-PEG-Lip-PTX/CBP could specifically recognize the ER. When SKOV-3 cells were pre-treated with ES to block the binding of ES modified liposomes with ER, the decreased fluorescence intensity had further validated the involvement of ER in the process of ES-PEG-Lip-PTX/CBP targeting. In vitro cytotoxicity indicated ES-PEG-Lip-PTX/CBP had the highest inhibition rate on SKOV-3 tumor cell growth. The results of pharmacokinetics showed that ES-PEG-Lip-PTX/CBP could improve the pharmacokinetic behavior, confirming addition of PEG could enhance drugs' circulation time in the body. Furthermore, in the in vivo antitumor study, ES-PEG-Lip-PTX/ CBP was demonstrated to have the strongest antitumor efficacy in athymic NU/NU mice bearing SKOV-3 tumor xenografts. Meanwhile, ES-PEG-Lip-PTX/CBP was proved to be a formulation with a reduced toxicity profile. Thus, combination therapy combined with the modification with ES and PEG was a favorable strategy to achieve a better therapeutic efficacy on ovarian cancer. Overall, ES-PEG-Lip-PTX/CBP could be a novel and promising nano-targeting formulation for ovarian cancer therapy in the future clinic.

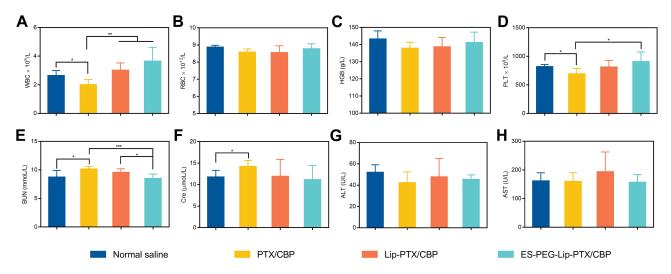


Figure 15 Acute toxicity study in vivo. Blood routine analysis results of (A) WBC, (B) RBC, (C) HGB, and (D) PLT. Biochemical analysis results of (E) BUN, (F) Cre, (G) ALT, and (H) AST. The data were presented as the mean \pm SD (n = 10). *p < 0.05, **p < 0.01, ***p < 0.001.

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Disclosure

The authors report no conflicts of interest in this work.

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