



# Etiology of Neonatal Bacterial Meningitis and Their Antibiotic Susceptibility Pattern at the University of Gondar Comprehensive Specialized Hospital, Ethiopia: A Seven-Year Retrospective Study

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**Background:** Meningitis, which is mostly caused by infectious agents, occurs more commonly during the first month of life. Bacterial meningitis is an important source of mortality and morbidity, especially in neonates of resource-limited countries. We aimed to determine the prevalence and etiological agents of bacterial meningitis and their antibiotic susceptibility pattern in neonates at the University of Gondar Comprehensive Specialized Hospital.

**Methods:** We collected retrospective data from bacteriological results of cerebrospinal fluid of meningitis suspected neonates from 2013 to 2019. Sample collection, culture preparation, bacterial identification, and susceptibility testing were performed using standard microbiological techniques. We extracted data on socio-demographic characteristics and culture and antibiotic susceptibility testing results. We inputted the data using Epi-info version 7 and exported it to SPSS version 20 for analysis.

**Results:** In this study, 1101 cerebrospinal fluid samples, 595 (54%) male and 506 (46%) female neonates, were cultured to look for meningitis-causing bacteria. Of 1101 cerebrospinal fluid, 19 (1.73%) were culture positive for meningitis-causing bacteria. The common etiological agents were *Klebsiella pneumoniae* 36.8% (7), non-lactose-fermenter Gram-negative rods 21% (4), and Group B streptococcus 15.8% (3). The overall resistance rate among cephalosporin, cotrimoxazole, penicillin, and aminoglycosides classes were 90%, 88.9%, 77.3%, and 54.54%, respectively. Of all isolates, 58% (11) were multidrug-resistant, including all the non-lactose-fermenter Gram-negative rods and 71.4% of the *Klebsiella pneumonia* isolates.

**Conclusion:** The prevalence of neonatal bacterial meningitis was 1.73%. *Klebsiella pneumonia* and other Gram-negative rods, with a high multidrug-resistant rate, were the leading cause of neonatal bacterial meningitis. Further studies are needed to explore the source of infection, incidence, and risk factors of neonatal bacterial meningitis.

**Keywords:** bacterial meningitis, etiology, antibiotic susceptibility, Ethiopia

## Background

Meningitis is an inflammation of the meninges; it can be caused by infectious or non-infectious agents.<sup>1,2</sup> Of all the different types of meningitis, bacterial meningitis (BM) is responsible for the highest global burden,<sup>1</sup> with several etiologic agents

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Received: 18 February 2021  
Accepted: 10 April 2021  
Published: 10 May 2021

Infection and Drug Resistance 2021:14 1703–1711



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that vary with age groups and geographical areas.<sup>3,4</sup> Meningitis-causing bacteria can spread from one person to another through droplets of respiratory secretions, contaminated food, or from mothers to babies during pregnancy or delivery.<sup>1,5</sup> In the world, the common bacterial etiologic agents of meningitis are *S. pneumoniae*, *N. meningitidis*, *H. influenzae*, and *S. agalactiae*,<sup>6,7</sup> with > 40%, >35%, and >5.5% of BM in Africa is due to *S. pneumoniae*, *N. meningitidis*, and *H. influenzae*, respectively.<sup>3</sup>

In neonates, the etiologies of BM are usually different from other populations. Group B *streptococci* (GBS), Gram-negative rods (GNR), and *L. monocytogenes* are frequently reported.<sup>7–11</sup> Neonates are at greater risk of BM due to immature humoral and cellular immunity, phagocytic function, or alternative complement pathway, which results in ineffective clearance of microorganisms. Several factors in the mother can also contribute to the development of BM among neonates.<sup>4,12</sup> Neonatal bacterial meningitis (NBM) can be classified as early-onset when the  $\leq 72$  hours age neonate CSF is positive for bacteria and late-onset when the >72 hours age neonate CSF is positive for bacteria.<sup>9,13</sup>

Bacterial meningitis can rapidly be fatal or lead to severe disability, with neurologic and systemic complications, including behavioral problems, seizure disorder, or focal neurologic deficits are reported.<sup>14,15</sup> Death and neuropsychological sequelae from BM is higher in developing countries,<sup>16,17</sup> where delayed presentation, poor general condition, low socioeconomic status, and limited access to medical resources are reported.<sup>9,18</sup> In addition to those problems, the non-specific signs and symptoms of meningitis and cardiorespiratory instability in neonates may delay the diagnosis and treatment measures, which results in an increased incidence of morbidity and mortality.<sup>9,19,20</sup>

Currently, the increasing rate of antibiotic resistance among bacterial isolates causing nosocomial infections makes the treatment choice challenging.<sup>21</sup> For long, penicillin, aminoglycosides, cephalosporin, or fluoroquinolones were the choice of antibiotics to treat BM. However, bacterial isolates are becoming resistant to these antibiotics.<sup>22</sup> Moreover, initial treatment of BM in most parts of the developing world, including those in the African meningitis belt, is often empirical. Even though Ethiopia is one of the countries in the African meningitis belt, published data regarding epidemiology of BM and antibiotic susceptibility pattern among neonates is still limited. As a result, studies are valuable to design

appropriate treatment as well as preventive policies in the country and act accordingly.

## Methods

### Study Area, Design and Period

A hospital-based retrospective study was conducted among BM suspected neonates from 1<sup>st</sup> Jan 2013 to 31<sup>st</sup> Dec 2019 at the University of Gondar Comprehensive Specialized Hospital (UoGCSH), Northwest Ethiopia. In Gondar town, there are eight health centers, about twenty private clinics, and one specialized hospital. The hospital is one of the biggest tertiary level referral and teaching hospitals in the Amhara region. An estimated 5 million people from the surrounding zones and nearby regions visit this hospital for different medical services. The data for this study was collected from 15<sup>th</sup> Jan to 22<sup>nd</sup> Feb, 2020.

### Study Population

We identified all neonates (<29 days old) suspected with BM infection cases from the UoGCSH bacteriology culture registers within the specified period. Bacterial meningitis suspected neonates were defined as any neonate with sudden onset of fever (usually > 38.5 °C rectal) and one of the meningeal signs, such as neck stiffness, altered consciousness, or others.<sup>23</sup> We used a pre-coded data sheet, which contains sex, age, isolated organism, and antibiotic susceptibility pattern result, to extract patient information. In this study, we included all BM suspected neonates who had complete laboratory documents.

### Laboratory Method

Cerebrospinal fluid samples from neonates were collected in sterile containers by attending physicians and delivered to the microbiology laboratory within one hour from the time of collection. The samples were processed following the standard microbiological procedures, where a sterile loop was used to inoculate the sample on Blood and Chocolate agar plate (OXOID, UK), then MacConkey agar plate (OXOID, UK) for Gram-negative bacteria isolation. The inoculated culture plates were incubated at 35–37°C overnight in candle extinction jars to provide appropriate carbon dioxide.<sup>24</sup> The grown culture were processed and identified by standard bacteriological techniques, including colony morphology, Gram reaction, and biochemical tests. Catalase, Christie, Atkins, and Munch-Peterson (CAMP), Bile solubility,

Bacitracin and Optochin susceptibility tests were used to differentiate Gram-positive isolates. However, indole production, carbohydrate fermentation, urease, motility, and Methyl Red and Voges-Proskauer tests were used to differentiate Gram-negative isolates.<sup>25</sup> The bacterial suspension was made; its turbidity was adjusted to a 0.5 McFarland turbidity standard before inoculated over the entire surface of Mueller Hinton agar (OXOID, UK), with 5% sheep blood supplemented for fastidious bacteria. Finally, the antibiotic susceptibility profile of isolates was determined by a modified Kirby-Bauer disk diffusion technique and results were interpreted based on the 28<sup>th</sup> Clinical Laboratory Standards Institute guideline.<sup>26,27</sup>

## Data Quality, Analysis and Interpretation

The quality of data was assured using a structured data collection checklist, asking laboratory personnel about how the test was done and registered. Data were entered into EPI-Info version-7 to check data completeness and clearance, then transferred to SPSS version-20 for analysis. Frequency distribution, and percentages were used to describe the results.

## Results

Over the seven-year (2013–2019) period, 1101 CSF samples from BM suspected neonates were analyzed in the microbiology laboratory at the UoGCSH. Of these, 19 (1.73%) of them were bacterial culture positive. The male to female ratio of neonates was 1:0.85, with 595 (54%) of them were male. Based on the onset type, 82.7% (910) suspected cases were late onset, with 1.87% (17) of them were CSF culture positive. About 93% of the

neonates sample were from NICU. There was no significant difference in prevalence of BM between sex, onset type, and admission site (Table 1).

Both Gram-negative and Gram-positive bacteria were isolated, with Gram-negative bacteria were responsible for 73.7% (14) of the NBM. The predominant CSF isolate was *K. pneumoniae* 36.84%, followed by NLF-GNR 21.05%, and GBS 15.8%. Of all isolates, 36.8%, 26.3%, and 26.3% were isolated in 2019, 2018, and 2017, respectively. Of all the isolates, 100% of the NLF-GNR, and 71.4% of the *K. pneumoniae* isolates were MDR, with an overall MDR prevalence of 58% (Table 2). The highest prevalence of NBM was seen in 2019 (3.4%), followed by 2017 (2.66%), and 2018 (1.98%), while the lowest prevalence (0.0) was recorded both in 2014 and 2015. A positive year-to-year linear trend line of the prevalence of NBM was seen (Figure 1).

Between 2013 and 2019, 23 individual antibiotics and 10 antibiotic classes were used to identify the susceptibility pattern of bacterial isolates. The overall resistance rate among Cephalosporin, Cotrimoxazole, Penicillin, and Aminoglycosides class was 90% (18/20), 88.9% (8/9), 77.3% (17/22), and 54.54% (12/22), respectively. All the isolates tested for ceftazidime (7/7), amoxicillin (6/6), piperacillin (4/4), cefazolin (3/3), Augmentin (2/2), and cefotaxime (2/2) were resistant to the antibiotics, while all isolates tested for oxacillin (3/3), amikacin (3/3), and vancomycin (3/3) were sensitive (Table 3).

## Discussion

Although worldwide deaths from BM have been decreased in the last 15–20 years, principally due to immunization, it is still higher in Africa.<sup>6</sup> In 2015, WHO recorded an

**Table 1** Characteristics of Bacterial Meningitis Suspected Neonates Against the CSF Culture Result

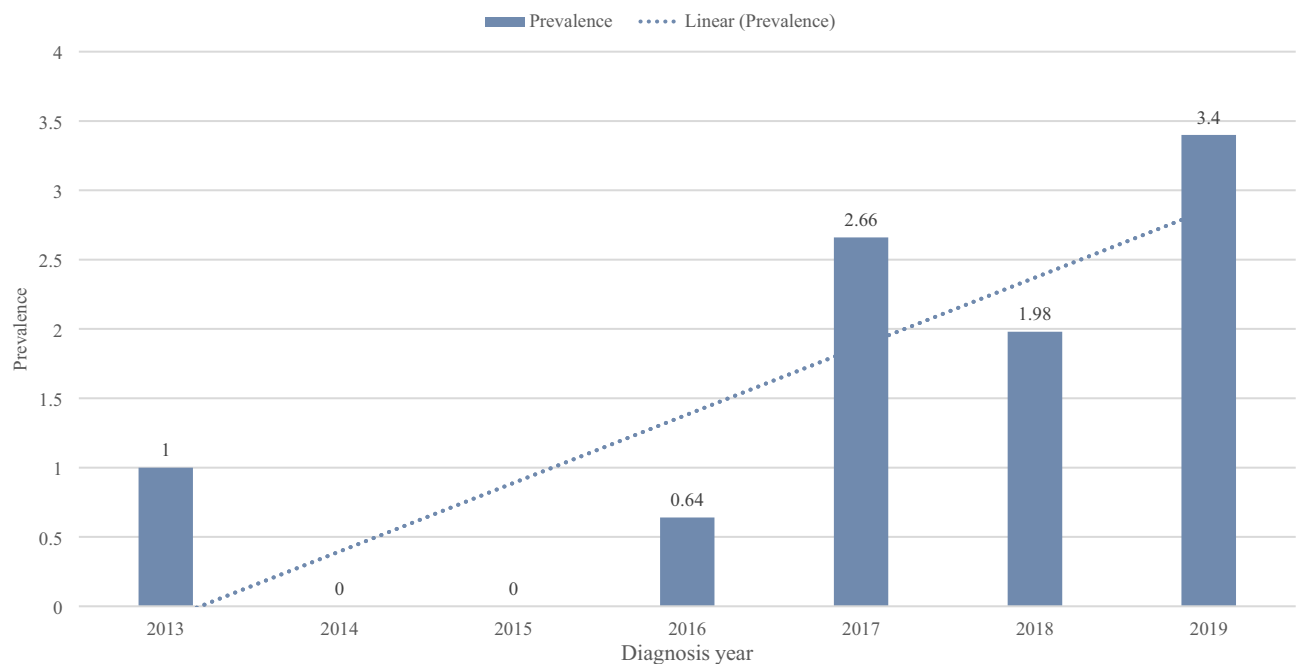
Variables		Frequency No (%)	CSF Culture Result		Chi-Square
			Positive (n=19)	Negative (n=1082)	
Sex	Female	506 (46%)	12 (2.37%)	494 (97.63%)	0.099
	Male	595 (54%)	7 (1.18%)	588 (98.82%)	
Onset type	Early	191 (17.3%)	2 (1.05%)	189 (98.95%)	0.333
	Late	910 (82.7%)	17 (1.87%)	893 (98.13%)	
Admission site	NICU	1025 (93.1)	17 (1.66%)	1008 (98.34%)	0.524
	PEDI	20 (1.8%)	1 (5%)	19 (95%)	
	WARD	56 (5.1%)	1 (1.79%)	55 (98.21%)	
Total		1101 (100%)	19 (1.73%)	1082 (98.27%)	

**Abbreviations:** CSF, cerebrospinal fluid; NICU, neonatal ICU; WARD, neonatal ward; PEDI, pediatrics ward.

**Table 2** Distribution of Meningitis Causing Bacteria from Neonates Within the Sex, Onset Type, Admission Site, and Diagnosis Year

Isolates No (%) 19 (100)	Sex (No)		Onset Type (No)		Admission Site (No)			Diagnosis Year (No)							MDR No (%)
	Female (506)	Male (595)	Early (191)	Late (910)	NICU (1025)	WARD (56)	PEDI (20)	2013 (103)	2014 (112)	2015 (83)	2016 (156)	2017 (188)	2018 (253)	2019 (206)	
GNB 14/19 (73.7%)	4	3	1	6	6	0	1	0	0	0	0	3	0	4	5 (71.4)
	3	1	0	4	4	0	0	0	0	0	0	0	2	2	4 (100)
	1	0	0	1	1	0	0	0	0	0	0	0	1	0	1
	1	0	0	1	1	0	0	0	0	0	0	1	0	0	0
GPB 5/19 (26.3%)	1	2	1	2	3	0	0	1	0	0	0	1	0	1	0 (0.0)
	1	0	0	1	0	1	0	0	0	0	1	0	0	0	0
	0	1	0	1	1	0	0	0	0	0	0	0	1	0	0
Total isolate: No Prevalence (%)	12 (2.37)	7 (1.18)	2 (1.05)	17 (1.87)	17 (1.66)	1 (1.59)	1 (5)	1 (1)	0 (0.0)	0 (0.0)	1 (0.64)	5 (2.66)	5 (1.98)	7 (3.4)	11 (57.9)

**Abbreviations:** GNB, Gram-negative bacteria; GPB, Gram-positive bacteria; GBS, Group B streptococcus; NLF, non-lactose fermenting; LF, lactose fermenting; NICU, neonatal ICU; WARD, neonatal ward; PEDI, pediatrics ward; MDR, multidrug resistant.



**Figure 1** Trend of NBM prevalence at the UoGCSH, from 2013 to 2019.

estimated 193,871 deaths due to BM in Africa, which comprises 67% of the worldwide BM death recorded in the same year, with an estimated 61,905 (39/100,000) 1–59 months aged infant died.<sup>28</sup> Bacterial meningitis epidemics put around 430 million people living in sub-Saharan Africa countries at risk. In this area, between 1995 and 2014, over 900,000 cases of BM were reported, with 10% deaths and another 10–20% neurological sequelae.<sup>29</sup>

The overall prevalence of NBM at the UoGCSH was 1.73% (19/1101). A previous retrospective study (–2003–2013) from the same study area, which focused on the prevalence of BM among the pediatric population, reported an almost similar prevalence of NBM, which was 1.86%.<sup>30</sup> However, our finding is higher than the prevalence, which was 0.48%, reported ten years ago from the same study area (2006–2010).<sup>31</sup> On the other hand, our result is lower than the prevalence, which was 4.7%, from a ten-year retrospective study (2001–2010) in Addis Ababa.<sup>32</sup> Nowadays, with advanced bacterial detection methods are introduced into the world, reporting the epidemiology of BM using culture methods solely underestimates its prevalence. For example, a study from Addis Ababa, Ethiopia, reported that GBS was detected by Polymerase Chain Reaction (PCR) from 64% of culture-negative CSF samples of neonates.<sup>33</sup> Another study

from Mozambique also showed that the prevalence of acute BM among under-five patients was quite different when different methods are used, with 52.3% by quantitative PCR and 7.3% by culture method.<sup>34</sup>

According to the results of our study, the prevalence of NBM was higher among late-onset cases 1.87% (17/910) than early-onset 1.05% (2/191). Moreover, 89.5% of positive CSF cultures were from late-onset neonates. Since late-onset BM is usually due to organisms acquired from the environment or caregivers, it is advisable to strengthen the infection control and prevention practices in the hospital environment.<sup>9,13</sup>

The results of our study showed that both Gram-negative (73.7%) and Gram-positive (26.3%) bacterial isolates were responsible for NBM, with *K. pneumoniae* (36.8%), NLF-GNR (21%), and GBS (15.8%) were the leading bacterial isolates. Similar to our finding, hospital-based studies from Mexico<sup>35</sup> and Iran<sup>36,37</sup> reported *K. pneumoniae* as the leading cause of NBM. Bacteria in the *Enterobacteriaceae* group, including *K. pneumoniae*, are known to cause nosocomial infections in neonates. In 2017, WHO classified carbapenemase and extended-spectrum b-lactamase (ESBL) producing *Enterobacteriaceae* as one of the priority 1, or critical pathogens needing new antibiotics urgently.<sup>38</sup> In contrast to our finding, a retrospective study (2001–2010) from Addis Ababa, Ethiopia, reported *S. pneumoniae* (23%) as the leading cause of NBM, followed by *E. coli* (16%) and

**Table 3** Antimicrobial Susceptibility Profile of Meningitis Causing Bacteria from Neonates at the UoGCSH

Drug	Gram-Negative Bacteria										Gram-Positive Bacteria						Total (Drug Susceptibility Result)	
	K. pneumoniae (n = 7)		NLF-GNR (n = 4)		E. coli (n = 1)		Citrobacter spp. (n = 1)		Other LF-GNB (n = 1)		GBS (n = 3)		S. pneumoniae (n = 1)		S. pyogenes (n = 1)			
	S	R	S	R	S	R	S	R	S	R	S	R	S	R	S	R	S	R
AMC AMX PIP OXA AMP PEN	0	1	-	-	-	-	0	1	-	-	-	-	-	-	-	-	0	2 (100%)
	0	2	0	2	0	1	-	-	0	1	-	-	-	-	-	-	0	6 (100%)
	0	1	0	2	0	1	-	-	-	-	-	-	-	-	-	-	0	4 (100%)
	-	-	1	0	-	-	-	-	-	-	1	0	-	-	1	0	3 (100%)	0
	0	3	1	0	-	-	-	-	-	-	-	-	-	-	-	-	1 (25%)	3 (75%)
TOB GEN AMK	-	-	-	-	-	-	0	1	-	-	0	1	-	-	0	1	1 (33.3%)	2 (66.7%)
	0	3	0	3	0	1	1	0	1	0	-	-	-	-	-	-	2 (22.2%)	7 (76.8%)
	1	2	0	2	1	0	1	0	1	0	-	-	1	0	0	1	5 (50%)	5 (50%)
	1	0	-	-	-	-	1	0	1	0	-	-	-	-	-	-	3 (100%)	0
	1	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	0
NOR CIP	1	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3 (37.5%)	5 (62.5%)
	1	2	0	1	0	1	-	-	0	1	1	0	1	0	-	-	3 (37.5%)	5 (62.5%)
CAZ CFZ CRO CTX	0	4	0	3	-	-	-	-	-	-	-	-	-	-	-	-	0	7 (100%)
	-	-	0	2	0	1	-	-	-	-	-	-	-	-	-	-	0	3 (100%)
	1	2	0	2	0	1	-	-	0	1	1	0	-	-	-	-	2 (25%)	6 (75%)
	0	1	0	1	-	-	-	-	-	-	-	-	-	-	-	-	0	2 (100%)
IMP MEM	2	0	1	0	-	-	-	-	-	-	-	-	-	-	-	-	3 (100%)	0
	1	0	0	2	-	-	-	-	-	-	-	-	-	-	-	-	1 (33.3)	2 (66.7%)
COT  DOX TET	0	2	0	3	0	1	-	-	0	1	-	-	1	0	-	-	1 (11.1%)	8 (88.9%)
	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	0
VAN  CHL	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1 (50%)	1 (50%)
	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3 (100%)	0
CHL  CLI	3	1	0	1	-	-	-	-	-	-	-	2	0	-	-	-	5 (71.4%)	2 (28.6%)
	-	-	-	-	-	-	-	-	-	-	-	1	0	-	-	-	1 (50%)	1 (50%)

**Abbreviations:** AMC, augmentin; AMX, amoxicillin; PIP, piperacillin; OXA, oxacillin; AMP, ampicillin; PEN, penicillin; TOB, tobramycin; GEN, gentamicin; AMK, amikacin; NOR, norfloxacin; CIP, ciprofloxacin; CAZ, ceftazidime; CFZ, cefazolin; CRO, ceftriaxone; CTX, cefotaxime; IMP, imipenem; MEM, meropenem; COT, co-trimoxazole; DOX, doxycycline; TET, tetracycline; VAN, vancomycin; CHL, chloramphenicol; CLI, clindamycin; NLF-GNB, non-lactose fermenter Gram-negative bacteria; LF-GNB, lactose-fermenter Gram-negative bacteria; GBS, Group B streptococcus; S, sensitive; R, resistant.

*Acinetobacter* (13%).<sup>32</sup> A review paper in sub-Saharan Africa reported GBS (25%) as the leading cause of NBM, followed by *S. pneumoniae* (17%) and *S. aureus* (12%).<sup>39</sup> We also reported GBS as one of the leading causes of neonatal meningitis. Neonates may acquire GBS during pregnancy or delivery as 10–30% of pregnant women are already colonized with GBS.<sup>40</sup> Geographical differences may explain the differences in pathogen prevalence or distribution between and within regions.<sup>9</sup>

According to the results of our study, there was a high antimicrobial-resistant rate of neonate CSF bacterial isolates among Cephalosporin 90% (18/20), Cotrimoxazole 89% (8/9), Penicillin 77.3% (17/22), and Aminoglycosides 54.5% (12/22). The antibiotic choices for NBM, including ampicillin, gentamicin, or cefuroxime,<sup>13</sup> are also becoming ineffective. Our paper reported a higher resistance to  $\beta$ -lactam (around 77%) and Aminoglycoside (54.5%) antibiotics than a review paper in the sub-Saharan African countries, with 68% and 27% of bacterial isolates from neonates were resistant to  $\beta$ -lactam and aminoglycoside antibiotics, respectively.<sup>39</sup> In the present study, Meropenem-resistant NLF-GNR were also isolated from meningitis suspected neonates. Findings reported by different scholars support our result.<sup>41,42</sup>

In the present study, the overall prevalence of MDR among neonatal CSF isolates was 58% (11/19). About 78.6% (11/14) of Gram-negative isolates and none of the Gram-positive isolates (0/5) were MDR. Moreover, all of the NLF-GNR (4) and 71.4% (5/7) of the *K. pneumoniae* isolates were MDR. In the world, there is a continuous rise in the incidence of MDR Gram-negative bacteria in the neonatal population, with ESBL and carbapenem-resistant *Enterobacteriaceae* are the leading MDR pathogens.<sup>43</sup> In Ethiopia, drug-resistant *K. pneumoniae* is commonly isolated from different clinical samples, with 61.8% of the isolates were reported as ESBL producers.<sup>44</sup> Recent data from three hospitals in Amhara region, Ethiopia, also showed that 37.2% and 16.7% of *K. pneumoniae* isolates were ESBL and carbapenemase producers, respectively.<sup>45</sup>

## Conclusions and Recommendations

The overall prevalence of culture-proven BM among neonates was 1.78%, with a positive year-to-year linear trend line. *Klebsiella pneumoniae* was the leading cause of neonatal BM, followed by NLF-GNRs. Gram-negative isolates were resistant to common antibiotics used for neonatal BM, and more than two-thirds of them were MDR. Moreover, bacteria resistant to the last-resort antibiotic agent, meropenem, were isolated in the CSF sample of neonates.

Therefore, infection preventive measures,<sup>46,47</sup> including strict hand hygiene by health-care workers, disinfection and sterilization of equipment, and antibiotic prophylaxis of the mother, should strictly be followed in the study area. Further research is needed to explore the epidemiology and risk factors of bacterial meningitis in neonates.

## Abbreviations

BM, Bacterial Meningitis; CSF, Cerebrospinal Fluid; GBS, Group B streptococcus; LF-GNR, Lactose-fermenter Gram-negative rods; MDR, multidrug resistant; NBM, Neonatal Bacterial Meningitis; NICU, Neonatal Intensive Care Unit; NLF-GNR, Non-lactose-fermenter Gram-negative rods; UoGCSH, University of Gondar Comprehensive Specialized Hospital; WHO, World Health Organization.

## Data Sharing Statement

All data generated or analyzed during this study were included in this article. Data that support the findings of this study are also available from the corresponding author upon reasonable request.

## Ethical Approval and Consent to Participate

Before the commencement of the study, we obtained ethical clearance from the University of Gondar, School of Biomedical and Laboratory Sciences ethical review committee, and an official letter of co-operations was provided to UoGCSH. Before data collection, we explained the study objectives to the heads of the hospital director and laboratory personnel who worked in the hospital. Since we used secondary data for this study and didn't require the patient's informed consent. We conducted the study following the Declaration of Helsinki.<sup>48</sup> To ensure confidentiality of information from participant's record, we didn't record any personal identifiers on the data collection sheet, and secured data from participant records were not available to anyone except for the investigators.

## Acknowledgments

We thank all the Medical Microbiology staff working at the University of Gondar, School of Biomedical and Laboratory Science, College of Medicine and Health Sciences for their unreserved support. We like to thank the UoGCSH and its bacteriology laboratory staff for their support during the data collection period.

## Author Contributions

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

## Disclosure

The authors declare that they have no competing interests in this work.

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