

# Carrier-Free, Dual-Functional Nanorods Via Self-Assembly Of Pure Drug Molecules For Synergistic Chemo-Photodynamic Therapy

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**Background:** The combination of chemo-photodynamic therapy based on nano-technology has emerged as a preferable and promising measure for synergetic antitumor therapy.

**Purpose:** The aim of this study was expected to overcome most of the safety concerns from nano-carriers and improve the chemo-photodynamic synergistic antitumor efficacy.

**Methods:** Herein, we reported a facile and effective approach based on the self-assembly of chemotherapeutic agent 10-hydroxycamptothecin (HCPT) and photosensitizer chlorin e6 (Ce6) for preparing stably dual-functional nanorods (NRs).

**Results:** The chemical thermodynamic parameters obtained from isothermal titration calorimeter (ITC) and the microcosmic configuration snapshots acquired by molecular dynamics (MD) simulations verified that HCPT and Ce6 molecules tended to assemble with each other through various intermolecular forces. The as-prepared HCPT/Ce6 NRs possessed a relatively uniform size of around 165 nm and zeta potential of about -29 mV, together with good stability in aqueous solution and freeze-dried state. In addition, both the extra- and intracellular reactive oxygen species (ROS) generation capacity of the NRs under laser irradiation was significantly enhanced compared with Ce6 injections. Moreover, the dual-functional HCPT/Ce6 NRs exhibited a substantial in vitro/in vivo synergistic antitumor efficacy under laser irradiation due to the integration of the two therapeutic modalities into one drug delivery system. Besides, no obvious hepatic or renal toxicity was observed in the NRs treatment groups.

**Conclusion:** Taken together, HCPT/Ce6 NRs demonstrated a powerful efficacy in chemo-photodynamic therapy for breast cancer. Therefore, the carrier-free dual-functional NRs prepared in a facile and effective strategy might give inspiration for the development of combined antitumor therapy.

**Keywords:** carrier-free, self-assembly, chemo-photodynamic, dual-functional nanorods, combined antitumor therapy, synergistic antitumor efficacy

## Introduction

According to the GLOBOCAN database, about 9.6 million cancer deaths have occurred in the year 2018, and the number of which will continue to rise and reach an estimated 13.1 million cancer deaths in 2030.<sup>1,2</sup> At present, the various therapies, such as surgery, radiotherapy, chemotherapy, and immunotherapy, have been widely used to improve the outcome and survival in patients with advanced cancer.<sup>3</sup>

Chemotherapeutic drug 10-hydroxycamptothecin (HCPT) has an extensive spectrum of anticancer activity by inhibiting the pathway of nuclear enzyme topoisomerase I and religation of the cleaved DNA strand.<sup>4</sup> Over the last few decades, HCPT has been proven to be very effective in many malignancies, such as breast, colon, lung, hepatocellular, and

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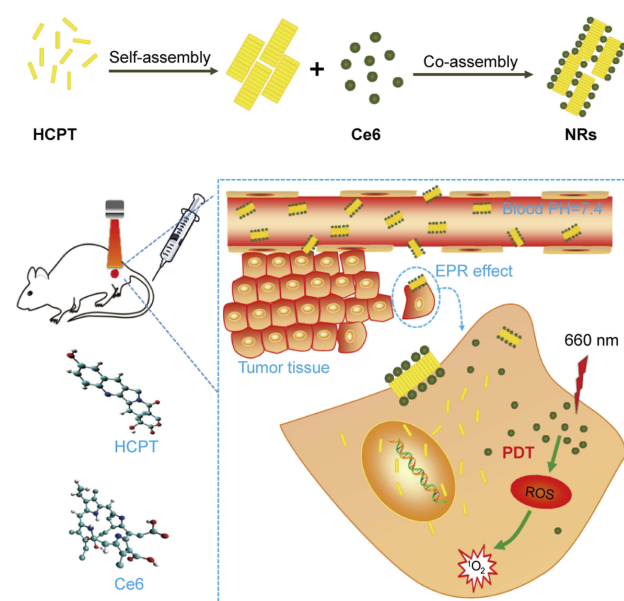
ovarian cancers.<sup>5–8</sup> However, the lactonic ring of HCPT is unstable and can be reversibly hydrolyzed when exposed to alkaline solution, which significantly enhances its solubility but seriously reduces the therapeutic efficacy.<sup>9</sup>

In recent years, photodynamic therapy (PDT) has developed rapidly for the application in various cancer treatments due to its specific merits such as minimal invasiveness, reduced multi-drug resistance, and decreased systemic toxicity.<sup>10–12</sup> The antitumor efficacy of PDT mainly relies on the photosensitizers (PSs), which could be activated by laser with appropriate wavelengths and generate singlet oxygen ( $^1\text{O}_2$ ), one type of reactive oxygen species (ROS) arousing irreversible damage to the tumor cells.<sup>13</sup> Ce6, a promising second-generation PS with efficient ROS generation and strong absorption in the red spectral region (around 660 nm) that allows deep tissue penetration, has been widely used in PDT. However, low selective target accumulation of Ce6 toward tumors and low bioavailability have indeed compromised cancer therapeutic efficacy and thus limited its clinical application.<sup>14</sup>

To date, the combinational therapy based on the nano-sized drug delivery systems (NDDS) has been a prevalent and effective strategy, which can not only improve the solubility of hydrophobic drugs and enhance their targeting efficiency but also exhibit synergetic antitumor effect and minimal side effects compared with respective therapeutic method.<sup>15,16</sup> Additionally, some biodegradable materials sensitive to the specific tumor microenvironment (such as acid, redox, and near-infrared responsiveness) are extensively researched in the field of NDDS area. For instance, Cao's group<sup>17,18</sup> fabricated GNRs/SiO<sub>2</sub>/GO-PEG and Au/SiO<sub>2</sub>/HAP hybrid nanoparticles for multi-responsive drug delivery, all of which exhibited high drug loading efficiency, excellent pH-/NIR-sensitivity as well as remarkable biocompatibility and biodegradability. Despite the remarkable improvement of multifunctional nano-medicine, some concerns are still worried about many other non-biodegradable inorganic polymers and carrier-induced toxicity during the process of degradation, metabolism, and excretion.<sup>19</sup> Recently, carrier-free pure nanodrugs (PNDs) directly consisted of pharmaceutically active molecules, are expected to overcome most of the safety concerns from nano-carriers, as well as possess both nano-scale advantages and high drug payload simultaneously.<sup>20–22</sup> Various PNDs composed of both chemotherapeutic drug and photosensitizer, such as co-assembled Ce6/DOX nano-spheres, UA-LA-ICG NPs, and ICG-EPI NPs, have been reported to exert enhanced synergetic antitumor effect.<sup>11,19,23</sup> It is worth mentioning that Liang's group successfully fabricated a carrier-free

HCPT/Ce6 NRs by precipitation method, which exhibited significantly combined antitumor effect in vivo.<sup>15</sup> However, the final nano-crystalline formulations contained organic solvent (DMSO) and sodium hydroxide, indicating a majority of HCPT probably existed as carboxylic salt form.

In this study, we rationally fabricated a dual-functional nano-drug delivery system by directly co-assembling HCPT with Ce6 via a combined reverse solvent precipitation method with high-pressure homogenization for synergetic chemo-photodynamic antitumor therapy (Scheme 1). The ultimately obtained HCPT/Ce6 NRs avoided the introduction of organic solvent and weak base, ensuring HCPT existing as lactone form. Besides, it was worth mentioning that the isothermal titration calorimeter (ITC) and molecular dynamics (MD) simulations were firstly introduced to analyze the molecular interactions between Ce6 and HCPT and reveal the co-assembly mechanism of the carrier-free nanorods (NRs). Furthermore, the physicochemical properties of the NRs, containing particle diameter, morphology, storage stability, release behavior, along with extra- and intracellular laser triggered ROS generation were evaluated in this work. Finally, both in vitro and in vivo tumor inhibition effects were analyzed. Conclusively, the HCPT/Ce6 NRs could be utilized as a potential nano-drug delivery system for synergetic chemo-photodynamic antitumor therapy.



**Scheme 1** Illustration for the preparation of carrier-free HCPT/Ce6 nanorods by co-assembling HCPT with Ce6 and the application of chemo-photodynamic synergetic antitumor therapy.

**Abbreviations:** HCPT, 10-hydroxycamptothecine; Ce6, Chlorin e6; NRs, nanorods; ROS, reactive oxygen species; PDT, photodynamic therapy; EPR, enhanced permeability and retention.

## Materials And Methods

### Materials

HCPT and Ce6 were purchased from Meilunbio (Dalian, China). Dialysis bags (MW=8000–14,000) were bought from Shanghai Yuanye Bio-Technology Co., Ltd. (Shanghai, China). HPLC grade Acetonitrile (ACN, purity  $\geq 99.9\%$ ) was obtained from Adamas-beta Co., Ltd. (Shanghai, China), and Dimethyl sulfoxide (DMSO) came from Aladdin (Shanghai, China). The purified water was fabricated in laboratory using a Milli-Q A10 water generator (Millipore Co., Billerica, MA, USA). Other chemicals with analytical grade were purchased from a commercial company, which were used without further purification except as explained elsewhere.

4T1 cells (4T1 murine breast cancer cell line) were provided by Cell Culture Center, Institute of Basic Medical Sciences (Beijing, China). Roswell Park Memorial Institute-1640 (RPMI-1640) medium was manufactured by HyClone (Logan, UT, USA) and Fetal bovine serum (FBS) was supplied by Lonsa Science SRL (Montevideo, Uruguay). Penicillin and streptomycin solution (100 U/mL) were offered by Beyotime (Shanghai, China). The 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) was supplied by Sigma-Aldrich (St Louis, MO, USA). Hoechst (HOE) 33258 staining solution was purchased from Sangon Biotech (Shanghai, China), LysoTracker<sup>®</sup> green was purchased from KeyGen Biotech (Nanjing, China), DCFH-DA (2',7'-Dichlorodihydrofluorescein diacetate) was purchased from Meilunbio (Dalian, China) and Singlet Oxygen Sensor Green (SOSG) was purchased from Invitrogen by Thermo Fisher Scientific (Waltham, MA, USA).

Female BALB/c mice (SPF grade, 6 weeks) were afforded by Jinan Pengyue experimental animal breeding co. LTD (Jinan, China). All the mice were at controllable temperature ( $25\pm 2^\circ\text{C}$ ), relative humidity (50–60%) and 12 hr light-dark cycle with standard diet ad libitum for 1 week before experimentation. All the experimental procedures were carried out strictly in accordance with the Guidelines and Policies for Animal Experiments Ethical and Regulatory as approved by the Animal Ethics Committee of Liaocheng University.

### Isothermal Titration Calorimetry Measurement

The thermodynamic parameters of molecular interaction between HCPT and Ce6 were detected by a Micro-Cal ITC<sub>200</sub> isothermal titration calorimeter (GE Healthcare,

Madison, WI, USA). The reference cell of ITC was full of purified water, while the sample cell was added with Ce6 buffer solution (0.4 mM Ce6 in 50 mM tris buffer containing 20% DMF). The titrant syringe was filled with HCPT buffer solution (2 mM HCPT in 50 mM tris buffer containing 20% DMF) and controlled by software. In each titration, 20 injections of 2  $\mu\text{L}$  HCPT buffer solution (note: the initial injection equal to 0.4  $\mu\text{L}$ ) were titrated into the sample cell at 150 s intervals; meanwhile, a stirring speed of 350 rpm and system compensation power of  $5\text{ }\mu\text{cal}\cdot\text{s}^{-1}$  were maintained throughout the experiment so as to ensure enough mixing after each injection. In order to ascertain the heat of dilution of HCPT and Ce6, control experiments were conducted by injecting isometric solvent into Ce6 buffer solution and HCPT buffer solution into solvent, respectively. The obtained correcting injection heat was plotted as a function of HCPT and Ce6 molar ratio and matched to various models in the Windows-based Origin 7.0 software package supplied by the ITC<sub>200</sub> calorimeter, which the peak of first injection was abnegated. From the experimental data by non-linear least-squares fitting, the combination number ( $n$ ), binding constants ( $K$ ), and standard molar enthalpy change ( $\Delta H^\circ$ ) were gained. According to the thermodynamic parameters ( $K$  and  $\Delta H^\circ$ ), the  $\Delta G^\circ$  (standard Gibbs free energy change), and  $\Delta S^\circ$  (standard entropy change) could be calculated on the basis of the following equation:<sup>24</sup>

$$\Delta G^\circ = -RT\ln K = \Delta H^\circ - T\Delta S^\circ \quad (1)$$

### Molecular Dynamics Simulations Between HCPT And Ce6

Molecular dynamics (MD) simulations were conducted to investigate how the HCPT and Ce6 molecules would interact with each other in aqueous solution. Firstly, the model was built by randomly placing Ce6 and HCPT molecules in an  $8\times 8\times 8\text{ nm}^3$  box, and then the water was added into the box, achieving a density of about 1 mM. The MD simulations were performed using the GROMACS 4.6.3 package with the functions of the GROMOS53A6 force field,<sup>25,26</sup> in which the united-atom description was adopted for all the molecules except water. The simple point charge/extend (SPC/E) model was used for water molecules.<sup>27</sup> After minimizing the energies of the initial configurations with steepest descent method, a brief balance under NPT ensemble at 1 atm and 298 K using the V-rescale thermostat algorithm was performed to make the system volume stable.<sup>28</sup> The Lennard–Jones interactions were applied for non-bonded potential truncation at a cut of 0.9 nm and the electrostatic interactions were calculated by the particle mesh Ewald method.<sup>29</sup> Among the MD simulations,

the trajectories were stored every 2 fs and trajectories (molecular interactions and binding sites) were visualized using VMD software.

## Preparation Of HCPT/Ce6 NRs

The HCPT/Ce6 NRs were prepared by combining reverse solvent precipitation method with high-pressure homogenization technique. Primarily, HCPT (7.5 mg) and Ce6 (4.1 mg) were dissolved into 0.5 mL DMF for preparing stock solution, respectively. The HCPT organic solution was added dropwise into 15 mL deionized water with continuous ultrasonic (100 W) in an ice bath. Afterwards, the Ce6 liquor was injected into above solution at the same conditions, followed by ultrasound for 5 mins after injection completed. Then, the mixture was transferred into a preprocessed dialysis bag (MW=8000–14,000) immersed into deionized water (4×1 L) and dialyzed for 2 hrs with continuous stirring to remove DMF and free drugs, and then homogenized (1000 bar) for 4.5 mins using a PhD D-3L homogenizer (PhD Technology LLC, Bloomington, MN, USA). The same method was used to prepare other formulations with different HCPT/Ce6 molar ratios.

The drug loading content (DLC) of HCPT/Ce6 NRs was determined and calculated according to the following formula:

$$DLC(\%) = \frac{\text{weight of drug in nanorods}}{\text{total weight of nanorods}} \times 100\% \quad (2)$$

## Characterization Of HCPT/Ce6 NRs

### The Size And Zeta-Potential Measurement

The particle diameter, size distribution, zeta-potential, and poly-dispersity index (PDI) values of HCPT/Ce6 NRs were tested by dynamic light scattering (DLS) with a Malvern Nano ZSP (Malvern Instruments, Malvern, UK) with standard laser (10 mV,  $\lambda = 633$  nm) at 25°C.

### Transmission Electron Microscope (TEM) And Scanning Electron Microscope (SEM)

The morphology feature of HCPT/Ce6 NRs was performed using a JEM-2100 TEM (JEOL Ltd., Tokyo, Japan) with an accelerating voltage (80 kV). A drop of HCPT/Ce6 NRs was placed on copper grid and dried in the air. Then, the NRs were dyed with uranyl acetate solution (1%, w/v) for 2 min and analyzed by TEM.

To further evaluate the morphology of HCPT/Ce6 NRs, HCPT powder and Ce6 powder, the S-4800 SEM (Hitachi Limited., Tokyo, Japan) was applied. Samples were spread

on a metal stub with double-sided adhesive carbon tap, then sputter-coated with a conductive thin layer of gold-palladium (Au/Pd) alloy in an argon atmosphere for 1 min. An accelerating voltage of 5 kV was used for the observation and analysis.

### Ultraviolet Visible (UV-Vis) And Fluorescence (FL) Spectra

UV absorption spectra of HCPT/Ce6 NRs solution were detected using a Scinco S-3100 UV-vis spectrometer (Scinco, Seoul, Korea) at room temperature. HCPT injection and Ce6 injection were also implemented as the comparison under the same circumstances.

Fluorescence (FL) spectra were examined by a Hitachi F-7000 fluorescence spectrophotometry (Hitachi, Ltd., Tokyo, Japan) with the excitation at 346 nm (for HCPT) and 405 nm (for Ce6). The samples were HCPT injection, Ce6 injection, and HCPT/Ce6 NRs, all of which were diluted to certain concentration (equivalent to 1.8  $\mu\text{g/mL}$  HCPT and 1  $\mu\text{g/mL}$  Ce6) by PBS (pH=7.4) before testing.

### Fourier-Transform Infrared Spectroscopy (FT-IR)

Infrared (IR) spectrum was collected by a Nicolet 6700 FT-IR spectrometer (Thermo Scientific, Waltham, MA, USA) using Potassium Bromide pellets technique in the region 500–4000  $\text{cm}^{-1}$ . The samples were HCPT powder, Ce6 powder, HCPT/Ce6 physical mixture, and HCPT/Ce6 NRs.

### Powder X-Ray Diffraction (XRD)

The XRD patterns of HCPT powder, Ce6 powder, HCPT/Ce6 physical mixture, and HCPT/Ce6 NRs were executed by a D8 Advance X-ray powder diffractometer (Bruker Inc., Rheinstetten, Germany) with Ni-filtered Cu K $\alpha$  (1.54 Å) at radiation 40 kV. The powders were tested over a 2 $\theta$  range from 5° to 50° with electric current of 200 mA.

## Stability Study

The HCPT/Ce6 NRs were stored at 4°C for 21 days in order to study the storage stability. The particle size and zeta-potential of the NRs were detected at 0, 1, 3, 5, 7, 14, and 21 days, and the experiments were performed in triplicates.

The stability study of lyophilized samples was also investigated using a BTP 31 freeze dryer (SP Scientific, Warminster, PA, USA) in ultrahigh vacuum degrees (195–200 ubar) with the condenser temperature (−50 to −55°C). The lyophilized NRs were prepared by adding different cryoprotectants (sucrose, lactose, mannitol, poloxamer, glycine, trehalose, poloxamer, and polyvinyl pyrrolidone) in varying proportions (0.5, 1, 2, 4, 6, 8, and 10%). After lyophilization, HCPT/Ce6 NRs were stored at room



temperature and re-dissolved in 1 mL water under slight ultrasonication and characterized by DLS at predetermine days. All the experiments were performed in triplicates and expressed with the mean plus standard deviation ( $\pm$ SD).

## In Vitro Drug Release Behavior

The drug release behavior of HCPT/Ce6 NRs was studied using a BSD TX270 shaker (Boxun, Shanghai, China). Concisely, 2 mL of HCPT/Ce6 NRs solution with the HCPT concentration of 100  $\mu$ g/mL and Ce6 concentration of 55.5  $\mu$ g/mL was imported into a dialysis bag (MW=8000–14,000), which was then immersed into 100 mL PBS (pH=7.4) containing 0.5% w/v sodium dodecyl sulfate (SDS) at 37°C with the shaking speed of 100 rpm/min. HCPT injection and Ce6 injection were also implemented as the comparison under the same circumstances. A total of 4 mL of menstura was withdrawn at different time points for analysis, and the same volume of fresh media was supplemented. The concentration of released drug was measured by a Dionex Ultimate 3000 HPLC (DIONEX, Sunnyvale, CA, USA) with Variable Wavelength Detector (VWD) for HCPT and a Hitachi F-7000 fluorescence spectrophotometry for Ce6. Standard curves were built-up, respectively, for HCPT and Ce6, and all the correlation coefficients were more than 0.999 (Table S1A). All the release experiments were conducted in triplicates.

## In Vitro Cytotoxic Effect

The in vitro cytotoxicity was investigated by MTT assay against 4T1 cells. Briefly, cells in log phase were seeded into 96-well plates ( $1 \times 10^4$ , per well), and incubated in RPMI-1640 medium containing 10% fetal bovine serum (FBS) and 1% penicillin-streptomycin solution with 5% CO<sub>2</sub> at 37°C for 24 hrs. Then, the 4T1 cells were cultured with HCPT injection, Ce6 injection, and HCPT/Ce6 NRs of predetermined concentrations for 4 hrs. Afterwards, the plate was irradiated by laser (5 mW, 2 mins each well) using a 660 nm laser source for PDT treatment groups. After further incubation for 48 hrs, 20  $\mu$ L of MTT solution (5 mg/mL in PBS) was added per well with incubating for another 4 hrs. Then, the supernatant was removed and DMSO (150  $\mu$ L) was added to each well, followed by shaking the plates a few times. The optical density (OD) of each well was tested by a synergy H1 multifunctional microporous plate detector (BioTek instruments, Winooski, VT, USA) at the wavelength of 570 nm. The viability of cells was calculated using the following equation, while the

half-maximal inhibitory concentration (IC<sub>50</sub>) was then simulated:

$$\text{Cell viability (\%)} = \text{OD}_{\text{Sample}} / \text{OD}_{\text{Control}} \times 100\% \quad (3)$$

The combination index (CI) was also analyzed to evaluate the synergy of HCPT and Ce6 inside NRs according to the formula previously reported.<sup>30,31</sup>

$$CI = [C_{A,x} / IC_{x,A}] + [C_{B,x} / IC_{x,B}] \quad (4)$$

When the drug effect x% was obtained in combination treatment, C<sub>A,x</sub> and C<sub>B,x</sub> represent the concentrations of drugs A and B, respectively. When the same drug effect was acquired in monotherapy treatment, IC<sub>x,A</sub> and IC<sub>x,B</sub> represent the concentrations of drugs A and B, respectively. The assessment method of combination index was additive effects (CI = 1), synergism (CI < 1) and antagonism (CI > 1).

## In Vitro Cell Uptake Experiment

The cellular uptake experiments of HCPT/Ce6 NRs were characterized in 4T1 cells using fluorescence microscope imaging system and high-performance liquid chromatography with fluorescence detection (HPLC-FLD). For the observation by fluorescence microscope, 4T1 cells ( $2 \times 10^4$ , per well) were seeded into 24-well plates and cultured for 24 hrs at a cell incubator. The cells were first incubated with NRs (equivalent to 18  $\mu$ g/mL HCPT and 10  $\mu$ g/mL Ce6) for 4 hrs, and then rinsed by PBS and counterstained with Hoechst 33258 (10 mM) for 10 mins. After washing thrice with PBS, LysoTracker<sup>®</sup> Green (1  $\mu$ M) was added to per well. Followed by incubation at 37°C for 30 mins, the cells were bathed for 3 times, fixed with 4% paraformaldehyde solution for 15 mins at room temperature, and visualized by a Nikon Eclipse Ti-s inverted fluorescence microscope (Nikon Ltd, Tokyo, Japan). The NRs were emerged by the red signals from Ce6, while cytoplasm was presented by green signals from LysoTracker<sup>®</sup> Green and nuclei was checked in blue from Hoechst 33258.

For the quantitative determination by HPLC-FLD, 4T1 cells ( $5 \times 10^4$ , per well) were seeded into 24-well plates and cultured at 37°C for 48 hrs. The NRs at predetermined concentration were joined into 24-well plates. After the plates were incubated at definite time, the supernatant was removed, and the cells were washed three times with PBS. Then, the cells were digested by trypsin, collected after centrifugation, and suspended with 0.2 mL cell lysis buffer to extract the intracellular drugs. The above suspension was mixed with 0.2 mL ACN, followed by centrifuging at 10,000 rpm for 30 mins to collect the supernatant liquor.

The supernatant was filtered using filter (0.22  $\mu\text{m}$ ) and injected (50  $\mu\text{L}$ ) into an HPLC system (UltiMate 3000, Dionex) with a fluorescence detector (Excitation/emission=346 nm/536 nm) and an Agilent C18 column (4.6 mm $\times$ 250 mm, 5 mm) for analysis. Acetonitrile (ACN)/water with 0.1% acetic acid (28/72, v/v) was employed as a mobile phase, and the flow rate was 0.8 mL/min. All the experiments were carried out in triplicate. A standard curve was built-up and the correlation coefficient was more than 0.999 ([Table S1B](#)).

## Determination Of Singlet Oxygen

Singlet oxygen sensor green reagent (SOSG) was used to determine the singlet oxygen ( $^1\text{O}_2$ ) produced by photosensitizer Ce6. A total of 3  $\mu\text{L}$  SOSG solution was added into PBS, Ce6 injection, HCPT injection and HCPT/Ce6 NRs (equivalent to 18  $\mu\text{g/mL}$  HCPT and 10  $\mu\text{g/mL}$  Ce6) with the final concentration of SOSG 1  $\mu\text{M}$ , respectively. After the four mixtures were irradiated severally at different time periods with a laser (660 nm, 5 mW), the fluorescence intensity of internal oxidation product SOSG endoperoxides (SOSG-EP) was measured using a fluorescence spectrophotometry (F-7000, Hitachi) with the excitation at 498 nm and emission at 525.8 nm.

For intracellular reactive oxygen species (ROS) generation detecting, 4T1 cells ( $1 \times 10^4$  per well) were seeded into 96-well plates with volume of 100  $\mu\text{L}$  each well. After 24 hrs of incubation (37°C and 5%  $\text{CO}_2$ ), the supernatant was discarded, and then cells were further incubated with medium containing HCPT injection, Ce6 injection and HCPT/Ce6 NRs at various Ce6 concentrations. After incubation for 4 hrs, the cells were washed with serum-free media and cultured with 10  $\mu\text{M}$  DCFH-DA in RPMI 1640 for 30 mins, followed by exposure to a 660 nm laser (5 mW) for certain time. Then, ROS production was monitored with the microplate reader (synergy H1, BioTek) ( $E_x=480$  nm and  $E_m=530$  nm), followed by fluorescence microscope imaging with an inverted microscope (Eclipse Ti-s, Nikon). All the images were marked at the same condition.

## In Vivo Therapeutic Efficacy

The in vivo tumor suppression effect of HCPT/Ce6 NRs was assessed with the 4T1-tumor-bearing BALB/c mice models. Briefly, 5–6 weeks old female mice (18–22 g) were subcutaneously injected for 0.2 mL cell suspension (around  $5 \times 10^6$  4T1 cells) in the armpit. When tumors were greater than 50  $\text{mm}^3$  (5 days after implantation), the mice were divided into six groups ( $n=6$  per group) at random: (1) normal saline (NS) as

a control, (2) HCPT injection (5.0 mg/kg), (3) Ce6 injection (Ce6 2.77 mg/kg) with irradiation, (4) HCPT+Ce6 injection (equal to 5.0 mg/kg and Ce6 2.77 mg/kg) with irradiation, (5) HCPT/Ce6 NRs (equal to 5.0 mg/kg and Ce6 2.77 mg/kg) without irradiation, and (6) HCPT/Ce6 NRs with irradiation. The treatment lasted for 10 days through tail vein injection with 0.2 mL different formulations (every 2 days for 5 times). For PDT therapy groups, 4 hrs after injection, the mice were irradiated by a 660 nm laser (5 mW) for 30 mins. The tumor size (lengths and widths) and body weight were measured daily using digital caliper and electronic balance, respectively. The tumor volume was calculated on the basis of the following formula:  $V (\text{mm}^3) = \text{length} \times \text{width}^2 / 2$ , and tumor inhibition rate (TIR) was also expression according to the formula:  $\text{TIR} (\%) = (1 - \text{tumor weight of treated group} / \text{tumor weight of control group}) \times 100\%$ . After the experiment, the plasma was separated from the blood sample by centrifugation and then stored at  $-80^\circ\text{C}$  for studying the activity of aspartate aminotransferase (AST) and alanine aminotransferase (ALT) as well as the level of blood urea nitrogen (BUN) and creatinine (CRE).

## In Vivo Study Of Toxicity

For evaluating the toxicity of NRs and injections of HCPT and Ce6, the tumors and main organs (heart, liver, spleen, lung, and kidney) were also collected, washed using 0.9% saline solution, dried with filter paper, and accurately weighed. The intact organs were fixed with 4% paraformaldehyde for hematoxylin–eosin staining (H&E stain) analysis.

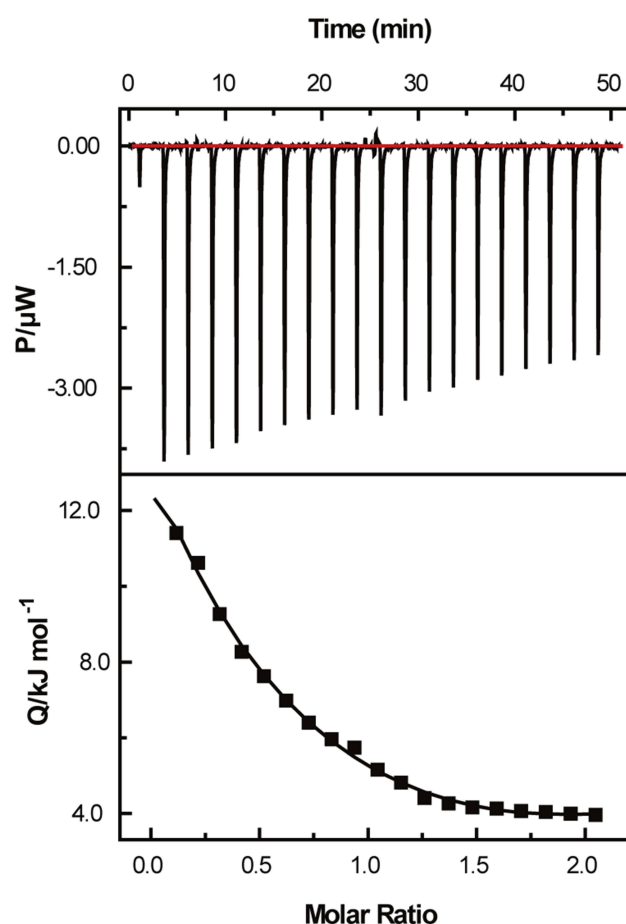
## Statistical Analysis

Statistical analyses were done by SPSS Statistics 17.0 software. Independent-samples Student's *t*-test was used to evaluate the differences between groups, and  $P < 0.05$  was statistically significant. The results were expressed as the mean value  $\pm$  standard deviation (SD).

## Results And Discussion

### Isothermal Titration Calorimetry Measurement

The molecular interaction between HCPT and Ce6 molecules was preliminary investigated by ITC technique, which could directly measure the thermodynamic characteristics (the changes of enthalpy, entropy, and standard free energy) of interactional processes in solution.<sup>32</sup> Figure 1 showed the isothermal titrations calorimetric curves of HCPT into Ce6 in buffer solution (50 mM tris containing 20% DMF) at 298.15 K and two Set of Sites model apparently fitted as



**Figure 1** Isothermal titration calorimetry profiles for the binding of HCPT with Ce6 in buffer (50 mM Tris containing 20% DMF) at 298.15 K. The upper panel represents the raw data for the titration of HCPT into Ce6 solution. The bottom panel represents the integrated heat data obtained from the raw data after deducting the heat of dilution, in which the solid square and solid line panel indicate the experimental data and the best curve fitting to the experimental data, respectively.

**Abbreviations:** HCPT, 10-hydroxycamptothecine; Ce6, Chlorin e6.

the smooth solid line passed through all the experimental points. To further study the mechanism of the affinity between HCPT and Ce6, thermodynamic parameters ( $\Delta H^\circ$ ,  $\Delta S^\circ$ , and  $\Delta G^\circ$ ) were obtained from the ITC data and summarized in Table 1. The values of  $\Delta G^\circ$  were negative

throughout the titration process, demonstrating that the conjugation between HCPT and Ce6 was spontaneous.<sup>33</sup> Moreover, all the entropy changes were positive ( $\Delta S_i > 0$ ), as well, the contribution of  $-T\Delta S^\circ$  to  $\Delta G^\circ$  was larger than that of  $\Delta H^\circ$ , indicating the binding of HCPT to Ce6 was primarily entropically driven. Hydrophobic interactions, hydrogen bonds, electrostatic attraction, and van der Waals force were the main driving forces between drug molecules.<sup>34</sup> Furthermore, combining the enthalpy with entropy changes, interactions between HCPT and Ce6 molecules were mainly hydrophobic interaction ( $\Delta H_1 > 0$ ,  $\Delta S_1 > 0$ ;  $\Delta H_3 > 0$ ,  $\Delta S_3 > 0$ ) and electrostatic attraction ( $\Delta H_2 < 0$ ,  $\Delta S_2 > 0$ ). So it was confirmed that the assembly mechanism of the NRs was mainly the hydrophobic interaction (the  $\pi$ - $\pi$  conjugation of the ring structure) and the electrostatic interaction between HCPT and Ce6 molecules.<sup>35,36</sup> The association constant ( $n=3$ ) indicated that one molecule of Ce6 probably combined with three HCPT molecules. Therefore, when HCPT/Ce6 NRs were prepared, the molar ratios of HCPT and Ce6 would be set as 1:1, 2:1, 3:1, and 4:1.

## Molecular Dynamics (MD) Simulations Between HCPT And Ce6

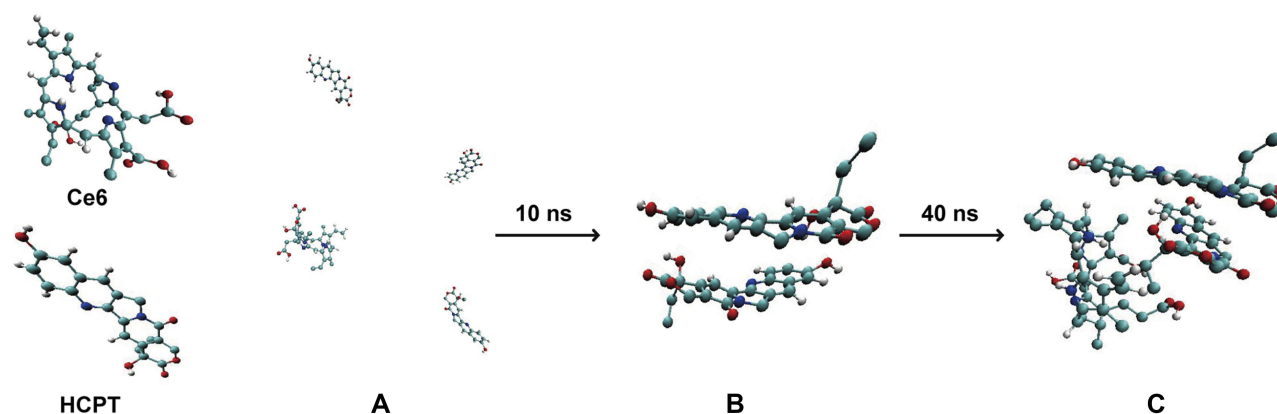
The interaction behavior of HCPT and Ce6 in aqueous solution was further verified by the MD simulations. MD simulations configuration exhibited that, when the two types of molecules initially arranged apart in water (Figure 2A), HCPT was first adsorbed and rapidly self-assembled with each other within 10 ns (Figure 2B), and then the Ce6 molecule was co-assembled with HCPT molecules at a ratio of 2:1 (HCPT/Ce6) after 40 ns (Figure 2C). The result slightly differed from the ITC outcome, which might be due to the MD simulations were dynamic simulating process. As HCPT tended to self-assemble with each other initially and then co-assemble with Ce6, the preparation of the dual-drug NRs was modified by adding HCPT primarily, followed by adding Ce6

**Table 1** The Thermodynamic Parameters And Combination Number For The Binding Of HCPT With Ce6 Obtained From The Analysis Of Isothermal Titration Calorimetry At 298.15 K

$n^a$	Number	$K^b$ ( $\times 10^4 \text{ M}^{-1}$ )	$\Delta H^{\circ,c}$ ( $\text{kJ} \cdot \text{mol}^{-1}$ )	$T\Delta S^{\circ,d}$ ( $\text{kJ} \cdot \text{mol}^{-1}$ )	$\Delta G^{\circ,e}$ ( $\text{kJ} \cdot \text{mol}^{-1}$ )
3	1	$19.40 \pm 3.7$	$12.75 \pm 0.23$	$42.93 \pm 0.52$	$-30.18 \pm 0.47$
	2	$8.34 \pm 2.30$	$-4.29 \pm 0.43$	$23.80 \pm 0.81$	$-28.09 \pm 0.68$
	3	$3.98 \pm 0.92$	$11.40 \pm 0.66$	$37.65 \pm 0.87$	$-26.25 \pm 0.57$

**Notes:** <sup>a</sup>Combination number represented that the molar ratio of HCPT to Ce6 was 3:1. <sup>b</sup>Binding constants. <sup>c</sup>Standard enthalpy changes. <sup>d</sup>Standard entropy changes. <sup>e</sup>Standard free energy changes.

**Abbreviations:** HCPT, 10-hydroxycamptothecine; Ce6, Chlorin e6.



**Figure 2** Molecular dynamics simulations for HCPT and Ce6 molecules.

**Notes:** (A) Two types of molecules initially arranged apart in water. (B) The self-assembly of HCPT molecules in water after 10 ns. (C) The co-assembly of HCPT with Ce6 molecules in water after 40 ns. The software was VMD.

**Abbreviations:** HCPT, 10-hydroxycamptothecine; Ce6, Chlorin e6; ns, nanosecond.

as stabilizer to suppress crystallization and form stable complex.<sup>37</sup>

## Preparation Of HCPT/Ce6 NRs

In this study, different molar ratios (HCPT/Ce6=1:1, 2:1, 3:1 and 4:1) between two pure drugs were applied to obtain the optimal formulation of NRs. Table 2 showed that the NRs with the molar ratio of 3:1 exhibited the smallest particle size of  $165.9 \pm 2.1$  nm (Figure 3A) with a relatively narrow size distribution ( $PDI=0.179 \pm 0.026$ ) compared with other ratios. Moreover, the storage stability results (Table S2) of molar ratios (HCPT/Ce6=1:1, 2:1, 3:1 and 4:1) at 4°C for 14 days showed that there was no significant difference between the molar ratio 3:1 and 4:1, while the relatively small particle size and narrow size distribution were observed compared with other ratios (HCPT/Ce6=2:1 and 1:1). Hence, combined with the results of ITC and storage stability, the NRs with the molar ratio 3:1 of HCPT to Ce6 were selected as the optimum formula for subsequent investigations. According to this optimum formulation, the DLC (%) of two components in NRs was

determined as HCPT 75.18% and Ce6 24.12% (the molar ratio of HCPT to Ce6 was around 3:1).

Referring to previous reports, the suitable particle size of less than 200 nm was beneficial for the nanoparticles to avoid the reticuloendothelial system (RES) uptake and achieve passive tumor targeting based on the enhanced permeability and retention (EPR) effect.<sup>38</sup> Besides, the zeta potential of HCPT/Ce6 NRs (HCPT/Ce6=3:1) was  $-28.9 \pm 1.1$  mV (Figure 3B), which indicated the NRs were apt to show negligible hemolytic and cytotoxic properties, display lower non-specific protein adsorption and exhibit a slow or reduced opsonization by RES.<sup>39</sup>

## Characterization Of HCPT/Ce6 NRs

### TEM And SEM

The morphology of HCPT/Ce6 NRs was observed using TEM, where it could be seen that the dual-drug NRs were mainly regular rod-like nanostructures (Figure 4A). The average size of HCPT/Ce6 NRs was approximately  $348 \pm 27$  nm in length and  $88 \pm 12$  nm in diameter based on the TEM images. The difference between particle size obtained by TEM and dynamic light scattering technique (DLS) should be due to that DLS generally analyzed the equivalent spherical particle size rather than the real size of the NRs. According to the previous reports,<sup>40,41</sup> in general, rod-like nanostructures reveal very good pharmacokinetic characteristics and efficiency in drug delivery owing to their multiple endocytic mechanisms, high internalization rates, valid adhesion to the cell surface, and more rapid penetration to the tumors. Moreover, the typical rod-like nanostructures could enhance their stability in aqueous system due to large specific surface area.<sup>42</sup> Most worthy of

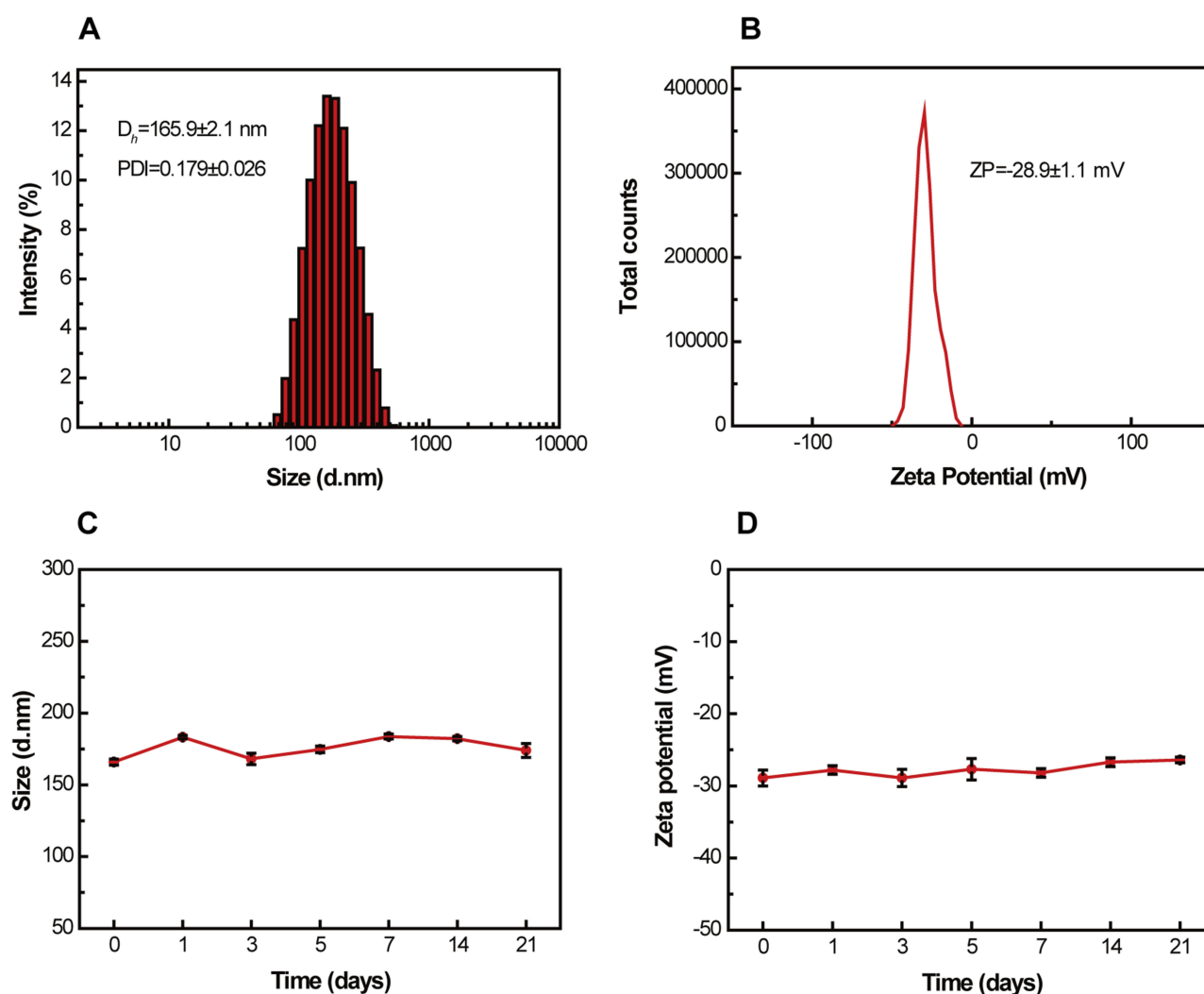
**Table 2** Results Of HCPT/Ce6 NRs Prepared Via Different Drug/Molar Ratio Of HCPT To Ce6

Drug/Molar Ratio	D <sub>h</sub> (nm)	PDI	ZP (mV)
4:1	200.0 $\pm$ 4.3	0.194 $\pm$ 0.024	-30.9 $\pm$ 1.4
3:1	165.9 $\pm$ 2.1	0.179 $\pm$ 0.026	-28.9 $\pm$ 1.1
2:1	170.9 $\pm$ 1.8	0.205 $\pm$ 0.010	-23.8 $\pm$ 0.4
1:1	174.7 $\pm$ 2.6	0.211 $\pm$ 0.017	-23.8 $\pm$ 1.4

**Notes:** All results are presented as mean  $\pm$  SD (n=3). D<sub>h</sub>, mean hydrodynamic diameter.

**Abbreviations:** HCPT, 10-hydroxycamptothecine; Ce6, Chlorin e6; NRs, nanorods; ZP, zeta potential; PDI, poly-dispersity index.





**Figure 3** Characterization of dual-functional HCPT/Ce6 nanorods.

**Notes:** Distribution of (A) particle size and (B) zeta potential; stability of (C) particle size and (D) zeta potential as a function of time (0 to 21 days) in purified water.  $D_h$ , mean hydrodynamic diameter.

**Abbreviations:** ZP, zeta potential; HCPT, 10-hydroxycamptothecin; Ce6, Chlorin e6; PDI, poly-dispersity index.

mention was that, for our functional photodynamic agents, the large surface area of the rod-like outer surface could also raise the amount of light absorption, which would make for a higher absorption coefficient and enhanced photodynamic therapy.<sup>43,44</sup>

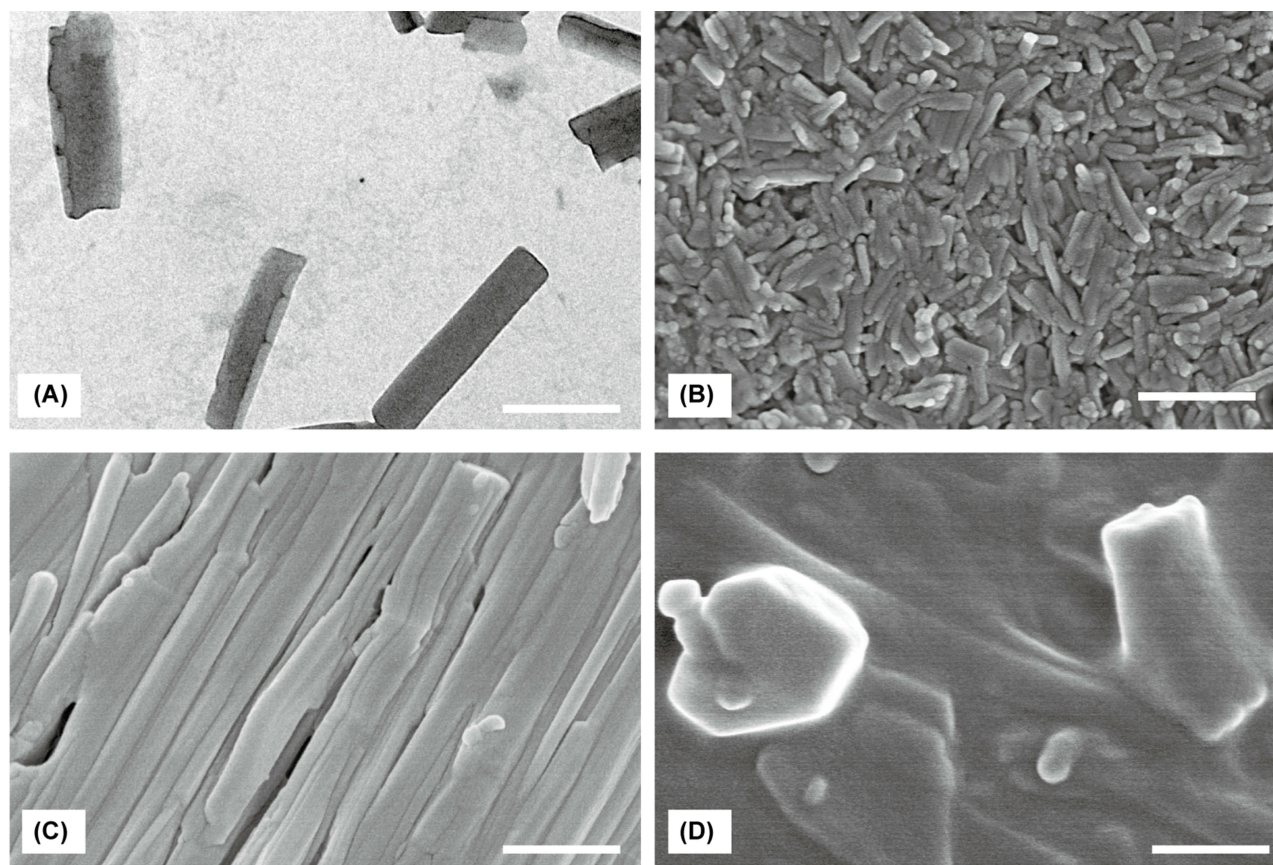
SEM was further utilized to compare the morphology of HCPT/Ce6 NRs with HCPT and Ce6 bulk powder. The SEM micrographs (Figure 4B) showed that the NRs in freeze-dried state were still mainly regular rod-like nanostructures, and the results were consistent with that of TEM. However, HCPT bulk powder presented mostly of agminated nubby drug crystals with larger size ( $>1$   $\mu$ m, Figure 4C), and Ce6 bulk powder exhibited irregular shapes, composed mostly of unformed drug crystals (Figure 4D). These results suggested that the HCPT and

Ce6 molecules indeed co-assembled into regular NRs via molecular interactions between the two drugs.

### UV-Vis And FL Spectra

The UV spectra of the co-assembled HCPT/Ce6 NRs (Figure S1A) revealed the typical UV-vis absorbance peaks from both HCPT (384 nm) and Ce6 (405 and 652 nm), together with an elevated overall baseline. Moreover, the co-assembly of HCPT with Ce6 resulted in a palpably broader and red-shifted Soret band of Ce6, along with an absorbance peak red shift of HCPT, indicating that the porphyrin core of Ce6 had interacted with the aromatic ring of HCPT via  $\pi$ - $\pi$  stacking and hydrophobic interactions.<sup>45</sup>

The fluorescence emission intensity of HCPT and Ce6 inside the HCPT/Ce6 NRs were significantly



**Figure 4** TEM images of (A) HCPT/Ce6 NRs (molar ratio HCPT: Ce6=3:1). SEM images of (B) HCPT/Ce6 NRs, (C) free HCPT powder and (D) free Ce6 powder. Scale bar: 200 nm.

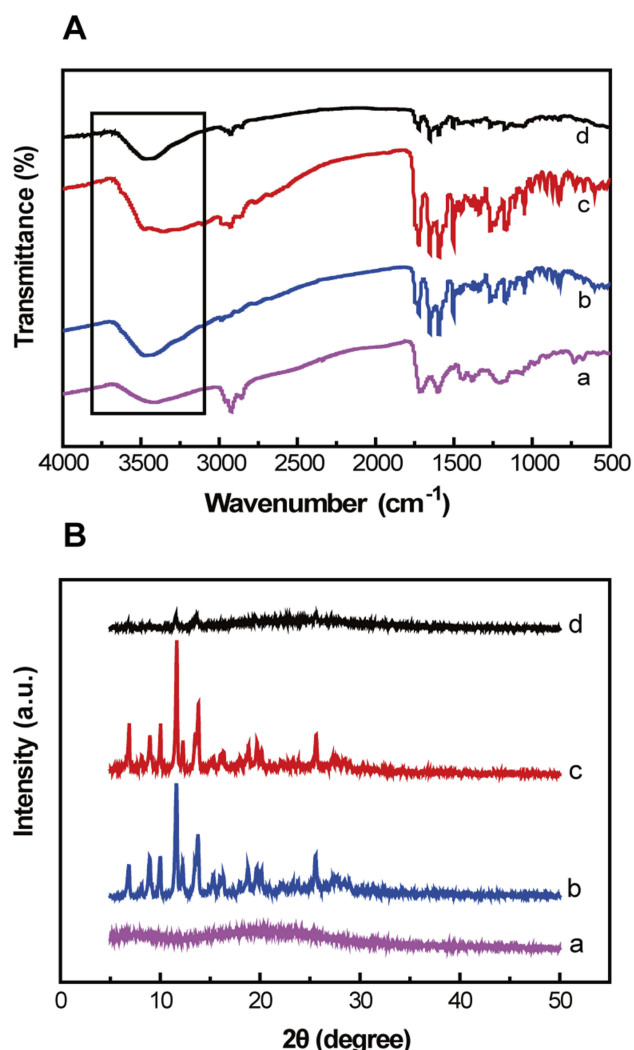
**Abbreviations:** HCPT, 10-hydroxycamptothecine; Ce6, Chlorin e6; NRs, nanorods; TEM, transmission electron microscope; SEM, scanning electron microscope.

reduced compared with monomeric HCPT and Ce6 (Figure S1B). Fluorescence quenching of the NRs might ascribe to the Dexter-type exciton migration between stacked HCPT or Ce6 molecules, which could be explained by the absorbance red shift of their UV spectrum (384→402 nm and 652→670 nm).<sup>46</sup> The results of UV and FL demonstrated that hydrophobic and  $\pi$ - $\pi$  stacking interactions might be one of the major driving forces for the formation of HCPT/Ce6 NRs, which was in accordance with the ITC results.

#### Fourier-Transform Infrared Spectroscopy (FT-IR)

The spectra of raw HCPT, raw Ce6, physical mixture of HCPT and Ce6, and HCPT/Ce6 NRs were shown in Figure 5A. The intensive and broad absorption bands of various samples were focused at 3351–3479  $\text{cm}^{-1}$  due to the stretching of hydroxyl groups with the addition of adsorbed water molecule. In the spectrum of raw HCPT (Figure 5Ab), the characteristic peaks were observed at 1723  $\text{cm}^{-1}$  (C=O in lactone ring), 1654  $\text{cm}^{-1}$  (acylamino

group), 1595  $\text{cm}^{-1}$  (aromatic frame), and 1503  $\text{cm}^{-1}$  (aromatic ring). The spectrum of raw Ce6 (Figure 5Aa) presented characteristic peaks at 2923  $\text{cm}^{-1}$  and 2853  $\text{cm}^{-1}$  (C-H in methyl or methylene group), 1715  $\text{cm}^{-1}$  (C=O in carboxyl), 1601  $\text{cm}^{-1}$  (C=C), 1510  $\text{cm}^{-1}$  (aromatic frame), and 1448  $\text{cm}^{-1}$  (aromatic frame). The characteristic bands in the IR spectra of the physical mixture (Figure 5Ac) almost corresponded to that of HCPT and Ce6 raw materials, while obvious weak peaks in the aromatic ring (1596  $\text{cm}^{-1}$ –1400  $\text{cm}^{-1}$ ) and disappear of some sharp absorption peaks in fingerprint region (1350  $\text{cm}^{-1}$ –500  $\text{cm}^{-1}$ ) were observed in HCPT/Ce6 NRs pattern (Figure 5Ad), indicating possible molecular interactions between the two molecules. Furthermore, the peak of raw HCPT at 1723  $\text{cm}^{-1}$ , assigning to the stretching vibration of C=O in the terminal lactone ring, existed in the HCPT/Ce6 NRs spectrum as well, certifying the reservation of lactone form for HCPT in the NRs.<sup>47</sup>



**Figure 5** (A) FT-IR spectra and (B) XRD patterns of (a) raw Ce6, (b) raw HCPT, (c) physical mixture of HCPT and Ce6, and (d) HCPT/Ce6 nanorods.

**Abbreviations:** HCPT, 10-hydroxycamptothecin; Ce6, Chlorin e6; FT-IR, fourier-transform infrared; XRD, powder X-ray diffraction

### Powder X-Ray Diffraction (XRD)

Figure 5B displayed the XRD patterns of pure HCPT powder, pure Ce6 powder, physical mixture of HCPT and Ce6, and HCPT/Ce6 NRs. The XRD pattern of pure HCPT (Figure 5Bb) exhibited typical high-energy diffraction peaks (2θ scale of 6.76°, 8.85°, 11.54°, 13.46°, 19.59°, and 25.55°), indicating the crystallographic texture of HCPT.<sup>48</sup> Besides, no characteristic intense peaks but a very smooth curve of pure Ce6 (Figure 5Ba) was observed. The pattern of physical mixture (Figure 5Bc) and NRs (Figure 5Bd) was well identified with that of HCPT raw material for the preservation of its characteristic peaks at 2θ from 6° to 30°, suggesting that HCPT existed as crystalline form inside NRs. The obvious weak

peaks indicated the apparent decrease in crystallinity of HCPT in NRs, which might be due to the possible interaction between HCPT and Ce6 as well.

### Stability Study

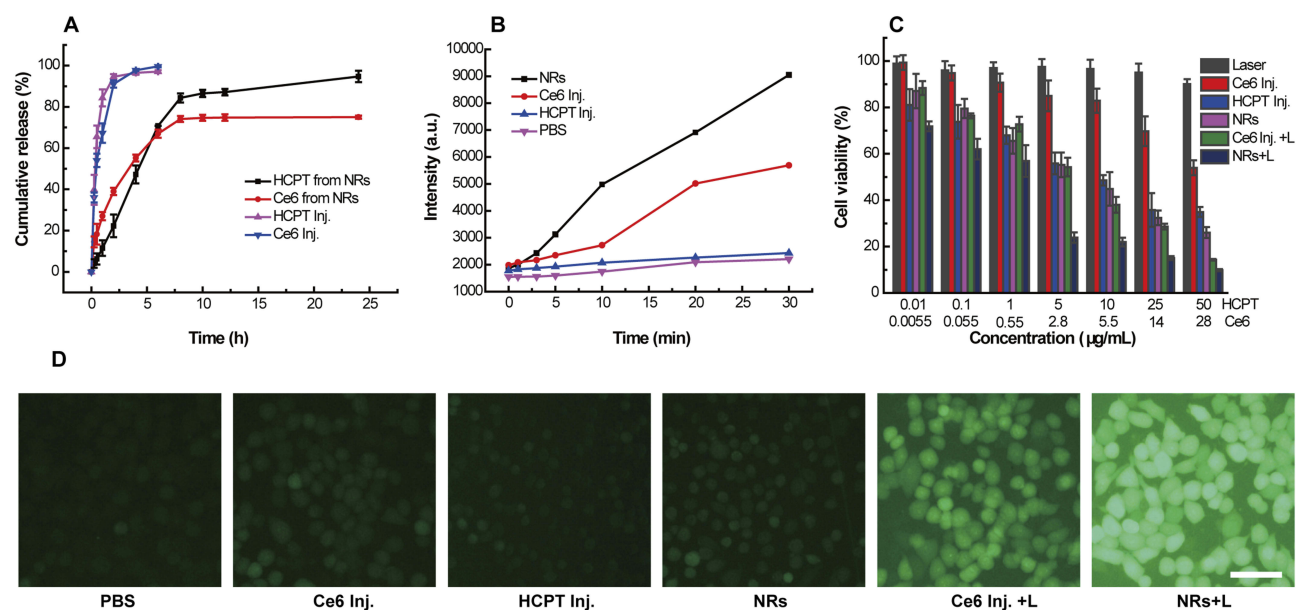
For storage stability, HCPT/Ce6 NRs were stored at 4°C for 21 days, and the particle size as well as zeta potential of the NRs were monitored by DLS. Although the average data fluctuated slightly, no obvious difference was observed in both size (Figure 3C) and zeta potential (Figure 3D) compared with the initial value at 0 day. These results indicated that HCPT/Ce6 NRs had good storage stability in the aqueous state, which was possibly due to the associative forces between the two drugs, including  $\pi$ - $\pi$  stacking, and hydrophobic interactions.<sup>11</sup>

For the lyophilized stability, HCPT/Ce6 NRs were firstly lyophilized with different cryoprotectants in a proportion of 1% (w/v) and reconstructed. The DLS results (Figure S2A) suggested that the NRs with trehalose as cryoprotectant presented the smallest particle size of 174.5±2.0 nm in contrast with other cryoprotectants. As a result, the NRs with various proportions of trehalose were further studied and it was found that the NRs with 2% trehalose presented the smallest particle size of 128.7±1.2 nm compared with other proportions (Figure S2B). Besides, during storage for up to 21 days at room temperature, the lyophilized NRs with 2% trehalose presented similar particle size of approximately 150 nm (Figure S2C) with primal NRs, which revealed favorable stability of the freeze-dried NRs.

### In Vitro Drug Release Behavior

The in vitro release performance of HCPT/Ce6 NRs was studied and compared with HCPT injection and Ce6 injection. As shown in Figure 6A, there was no statistical difference in the release property between HCPT injection and Ce6 injection, and both drugs showed burst release of up to 90% within the initial 2 hrs and almost 100% at 4 hrs, exhibiting a complete passive diffusion release behavior. On the contrary, both drugs (HCPT and Ce6) in the NRs displayed slower release rate compared with the injection. For instance, only small amount of HCPT (around 20%) in dual-drug NRs released at 2 hrs, together with above 80% released at 12 hrs, followed by significantly extended release until 24 hrs. Besides, the release rate of Ce6 from the NRs was a little faster than that of HCPT within the initial 4 hrs and there was almost 80% of Ce6 released within 24 hrs. The relatively sustained-





**Figure 6** (A) The in vitro cumulative drug release curves of HCPT and Ce6 from NRs and injection. (B) Fluorescence intensity variation of SOSG-EP ( $E_{\text{ex}}=498\text{nm}$ ,  $E_{\text{em}}=525.8\text{nm}$ ) via a fluorescence spectrophotometer at the characteristic peak against the irradiation time. (C) In vitro cell viability of 4T1 cells after incubation with various formulations with or without laser irradiation ( $\lambda=660\text{nm}$ , 5 mW, 2 mins). (D) Intracellular ROS level evaluation using DCFH-DA. Fluorescence microscope images of 4T1 cells after incubation with different formulations followed with or without laser irradiation ( $\lambda=660\text{nm}$ , 5 mW, 5 mins). Scale bar: 100  $\mu\text{m}$ .

**Abbreviations:** HCPT, 10-hydroxycamptothecine; Ce6, Chlorin e6; NRs, nanorods; Inj., injection; L, laser; PBS, phosphate buffer saline.

release of HCPT/Ce6 NRs should be attributed to the slow diffusion of HCPT and Ce6 from the NRs rather than simple penetration of drug molecules through the dialysis membrane,<sup>49</sup> and the existing  $\pi$ - $\pi$  interaction and electrostatic interaction between HCPT and Ce6 in the NRs.<sup>46</sup> The release behavior of HCPT and Ce6 from the NRs was almost synchronous, which might be beneficial to exert the synergetic chemotherapy and photodynamic therapy (PDT) efficacy of the NRs.

## In Vitro Cytotoxicity Effect

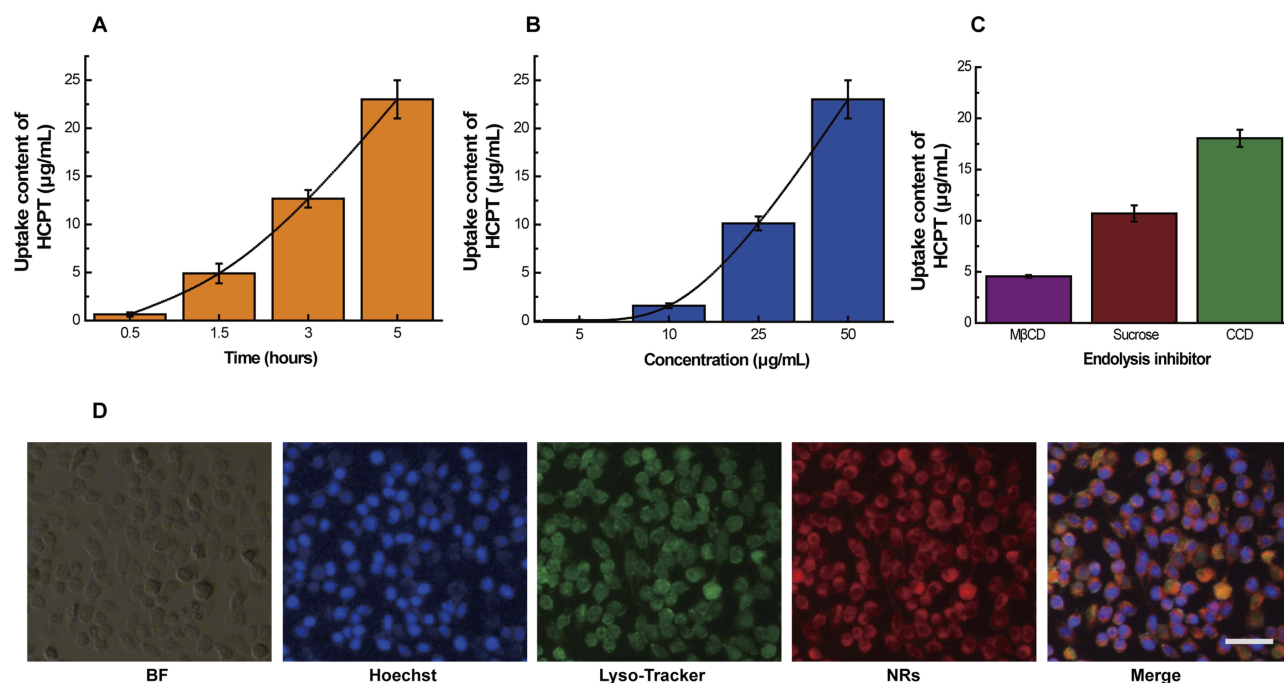
To further examine the in vitro antitumor efficiency of the dual-functional NRs, the cytotoxicity of various formulations (HCPT injection, Ce6 injection, and HCPT/Ce6 NRs) exposure to laser irradiation (or not) against 4T1 breast cancer cell lines was analyzed by MTT assay with concentration of HCPT ranging from 0.01 to 50  $\mu\text{g}/\text{mL}$  and Ce6 ranging from 0.0055 to 28  $\mu\text{g}/\text{mL}$ . As shown in Figure 6C, the mere laser irradiation hardly showed any cytotoxicity against 4T1 cells (inhibition <5%), while the groups treated with HCPT injection and HCPT/Ce6 NRs (without laser) revealed a relatively medium tumor cells growth suppression. It was also obvious that Ce6 injection without laser irradiation exhibited minor toxicity, whereas an obviously reduced viability of cells was observed when treated with Ce6

injection in the presence of laser irradiation. However, the NRs with laser irradiation displayed significantly decreased cell viability against the increasing dosage in comparison with other formulations as expected, demonstrating the effectively combined anticancer efficiency of chemotherapy (HCPT) and PDT (Ce6). In addition, the half-maximal inhibitory concentration ( $\text{IC}_{50}$ ) (Table S3) of HCPT/Ce6 NRs (+Laser) was measured as 0.41  $\mu\text{g}/\text{mL}$ , which was about 16-fold lower than that of free HCPT (6.35  $\mu\text{g}/\text{mL}$ ) and 7-fold lower than that of free Ce6 (2.71  $\mu\text{g}/\text{mL}$ ). Besides, the CI values of the NRs at different concentrations calculated according to their MTT results were all smaller than 1 (Figure S3). All these results certified the synergistic antitumor efficacy of chemotherapy (HCPT) and PDT (Ce6).

## In Vitro Cellular Uptake

The intracellular uptake of Ce6 in dual-functional HCPT/Ce6 NRs against 4T1 cells was surveyed with fluorescence microscope (FM), as Ce6 could exhibit obvious red fluorescence under irradiation. As shown in Figure 7D, strong red fluorescence was observed in the cytoplasm of most cells incubated with HCPT/Ce6 NRs for 4 hrs, verifying well intracellular release behavior and heightened internalization level of the NRs against 4T1 cells. Furthermore, the practical content





**Figure 7** Intracellular uptake of HCPT/Ce6 NRs in 4T1 cells assessed by HPLC-FLD system.

**Notes:** (A) The cellular uptake content of HCPT in 0.5, 1.5, 3, and 5 hrs. (B) The cellular uptake content of HCPT in 5, 10, 25, and 50 µg/mL. (C) The cellular uptake content of HCPT after incubated with diverse endocytosis inhibitor Methyl-β-cyclodextrin (MβCD), Cytochalasin D (CCD) and Sucrose. (D) Fluorescence microscope images of 4T1 cells after incubation with HCPT/Ce6 NRs (equal to 10 µg/mL Ce6) for 4 hrs. Ce6 (red fluorescence), Hoechst (blue fluorescence), and LysoTracker® Green (green fluorescence) were observed and represented NRs, nuclei, and lysosome, respectively. Scale bar: 100 µm.

**Abbreviations:** HCPT, 10-hydroxycamptothecin; Ce6, Chlorin e6; NRs, nanorods; BF, bright field; Hoechst, Hoechst 33258; LysoTracker, LysoTracker® Green.

of HCPT internalized by 4T1 cells was quantified using HPLC-FLD. The uptake of HCPT by 4T1 cells increased from 0.08 to 23.01 µg/mL against the raised dosage of the NRs from 5 to 50 µg/mL (Figure 7B), indicating that the cell uptake of the NRs was a dose-dependent process within certain range. In addition, the uptake of HCPT increased from 0.63 to 23.01 µg/mL against the enhanced incubation time from 0.5 to 5 hrs (Figure 7A), indicating the time-dependent intracellular uptake behavior of the NRs within certain range. The cellular uptake results indicated that the dual-functional HCPT/Ce6 NRs were well uptake by the 4T1 cells and HCPT and Ce6 in the NRs were all successfully released, which might lead to a better therapeutic effect. Moreover, when incubated with diverse endocytosis inhibitor, such as methyl-β-cyclodextrin (MβCD), cytochalasin D (CCD) and sucrose, the cellular uptake results of HCPT/Ce6 NRs by 4T1 cells were significantly different. As seen in Figure 7C, CCD had the least influence on the cell uptake efficiency of the NRs, followed by sucrose and MβCD. According to the report,<sup>50</sup> MβCD inhibited caveolin-mediated endocytosis, sucrose

was a clathrin-mediated endocytosis inhibitor, and CCD was a large-pinocytosis-mediated cellular uptake inhibitor. Therefore, the cellular uptake pathways of HCPT/Ce6 NRs were mainly large-pinocytosis and clathrin-mediated endocytosis.

## Determination Of Singlet Oxygen

The quantum yield of <sup>1</sup>O<sub>2</sub> by the NRs was detected using the fluorescent probe singlet oxygen sensor green (SOSG). Once SOSG reacts chemically with <sup>1</sup>O<sub>2</sub>, it will transfer to endoperoxide (SOSG-EP) with distinct fluorescence characteristics, which can be utilized to detect <sup>1</sup>O<sub>2</sub> production.<sup>51</sup> Figure 6B showed the fluorescence intensity of SOSG with various formulations against the irradiation time. The fluorescence intensity of both PBS and HCPT injection changed slightly after exposure to laser irradiation for 30 mins, demonstrating that PBS or HCPT injection alone could not emerge <sup>1</sup>O<sub>2</sub>. It was rather remarkable that Ce6 injection revealed a relatively slower production quotiety of <sup>1</sup>O<sub>2</sub>, while HCPT/Ce6 NRs preserved an obviously rapid <sup>1</sup>O<sub>2</sub> generation rate. Besides, the fluorescence intensity of SOSG-EP

showed a time-dependent enhancement in the presence of NRs upon specified laser irradiation time (Figure S4B), which could possibly be explained as Ce6 molecules assembled with each other and easily caused fluorescence quenching in the injection, but when aggregated on the surface of the NRs and interacted with HCPT molecules, higher dispersion and stability could be acquired, which heightened the  $^1\text{O}_2$  yield productivity of Ce6.<sup>15</sup>

To evaluate the intracellular ROS generation capacity of HCPT/Ce6 NRs, DCFH-DA was chosen as cellular fluorescent probe. DCFH-DA itself has no fluorescence, but when uptake by the cells, it can be hydrolyzed to DCFH with intracellular esterase. And then, with the existence of ROS, the DCFH is ultimately oxidized to DCF, which can glow highly green fluorescence and be utilized to detect the intracellular ROS level.<sup>52</sup> As shown in Figure S4A, after incubation for 4 hrs and exposure to laser irradiation for 2 mins, the fluorescence intensity of HCPT injection groups changed inapparently against the increased concentration, whereas the Ce6 injection groups revealed a slowly enhanced profile of fluorescence intensity. As expected, the HCPT/Ce6 NRs preserved an obviously raised plot, indicating that the PDT effect of the NRs was superior to that of Ce6 injection. Conclusively, it was speculated that the NRs could be efficiently internalized by tumor cells and the drugs could be well released in tumor microenvironment.<sup>53</sup>

The intracellular ROS production capacity of the NRs was further affirmed by fluorescence micrograph observation. Cells were treated with blank medium, HCPT injection, Ce6 injection, and HCPT/Ce6 NRs, with or without laser irradiation. All the cells exhibited negligible DCFH-DA fluorescence without laser irradiation, whereas cells treated with NRs generated potent fluorescence signal of ROS upon laser exposure compared with that of Ce6 injection (Figure 6D). The pronounced ROS generation capacity of HCPT/Ce6 NRs should be attributed to the stronger cellular uptake together with higher dispersion and stability of Ce6 molecules in the NRs, which induced oxidase activities and, consequently, ROS generation.<sup>54,55</sup> In addition, cells treated with HCPT/Ce6 NRs displayed powerful time and dose-dependent DCFH-DA fluorescence signal at different time intervals (Figure S5A) or various Ce6 concentrations (Figure S5B). Therefore, HCPT/Ce6 NRs

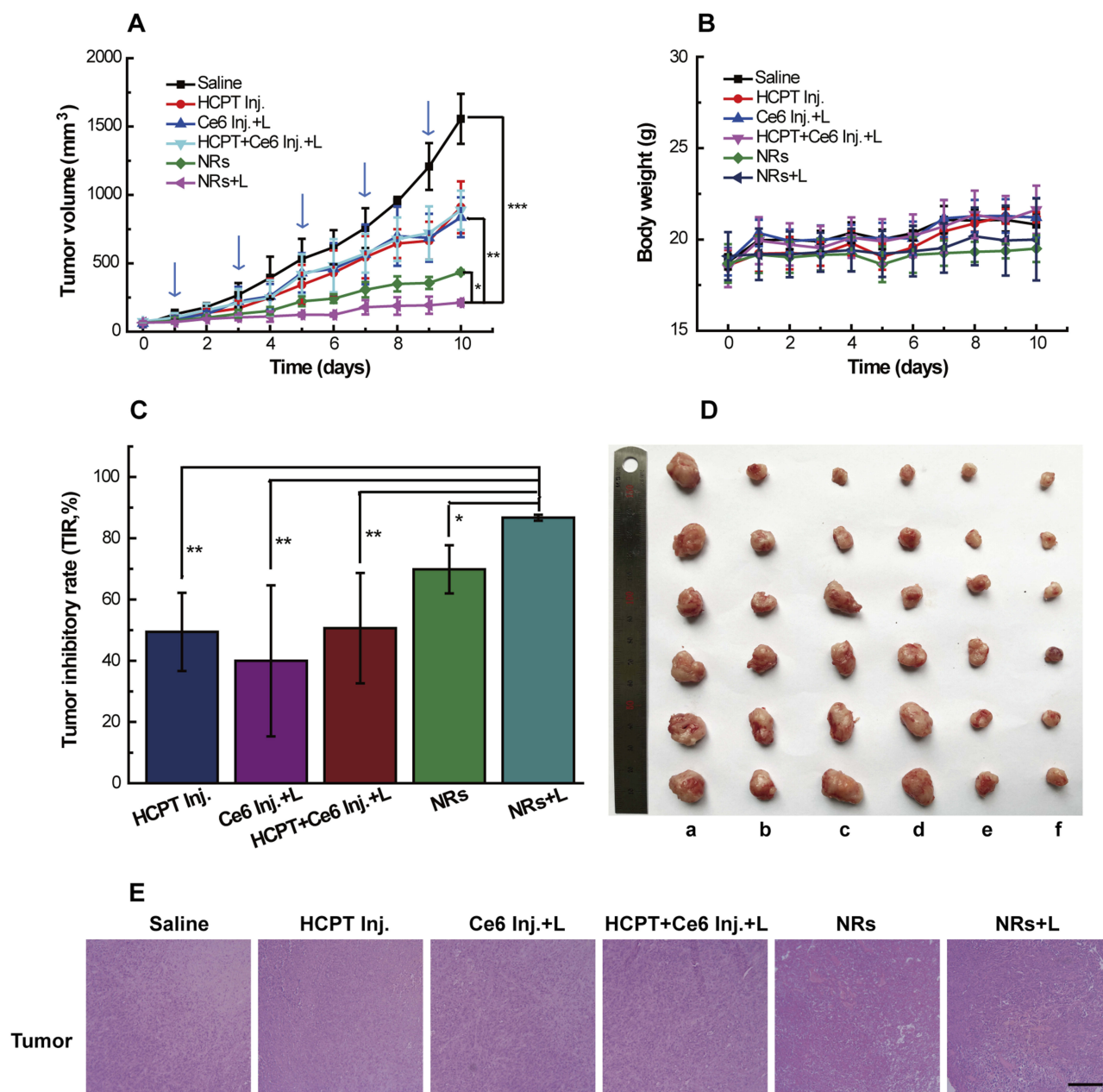
exhibited better prolificacy of  $^1\text{O}_2$  and could be applied as a promising candidate for PDT treatment in vivo.

## In Vivo Therapeutic Efficacy

Inspired by the remarkable in vitro chemo-phototherapy antitumor efficacy and ROS production capacity of the dual-functional NRs, we further measured the in vivo antitumor efficacy of HCPT/Ce6 NRs with 4T1 tumor-bearing mouse models. As shown in Figure 8A, the tumor volume of the control NS group increased rapidly and eventually reached to  $1556.28 \pm 282.97 \text{ mm}^3$  at the end (10 days) of the experiment, while the groups treated with HCPT injection, Ce6 injection (with laser) and the mixture of HCPT and Ce6 injection (with laser) exhibited a relatively medium tumor suppression. As expected, mice treated with HCPT/Ce6 NRs under laser irradiation performed a dramatic tumor suppression than that of HCPT/Ce6 NRs (without laser) and other injection groups, which was further attested by visual observation of the collected tumor tissues for each group (Figure 8D). The excellent inhibition efficacy on tumor growth of the NRs containing photosensitizer and chemotherapeutic drug might be arisen from the synergistic effect of the combined chemo-phototherapy provided by the two drugs.

Tumor inhibition rate (TIR%) was also calculated based on the average tumor weights in each group (Figure 8C). Compared with the saline control, the HCPT/Ce6 NR (with laser) was  $86.72 \pm 0.97\%$ , which was significantly higher than that of HCPT injection ( $49.44 \pm 12.77\%$ ), Ce6 injection under laser irradiation ( $40.00 \pm 24.67\%$ ), HCPT and Ce6 injection mixture with laser ( $50.63 \pm 18.01\%$ ) and NRs without laser ( $69.87 \pm 7.86\%$ ). It was worth noting that the combined chemo-phototherapy of HCPT/Ce6 NRs under laser irradiation was more cytotoxic to tumor cells than the photodynamic therapy or the chemotherapy treatment alone owing to the synergistic effect. The intensive tumor inhibition rate of the NRs might be attributed to the considerably increased cell uptake and higher accumulation in the tumor through EPR effect.<sup>56</sup>

In order to further confirm the therapeutic effects, hematoxylin-eosin staining (H&E) was introduced to observe the morphology of tumor cells after treated with various formulations. As shown in Figure 8E, the tumor cells treated with HCPT/Ce6 NRs under laser irradiation exhibited severe damage and irregular shape with shrinking nucleus, while tumor cells in other groups had no



**Figure 8** (A) Suppression of tumor growth after various treatments: intravenous injection of different formulations with or without laser irradiation (n=6). \* $p < 0.05$ , NRs versus NRs+L; \*\* $p < 0.01$ , Ce6 Inj.+L, HCPT Inj. or HCPT+Ce6 Inj.+L versus NRs+L; \*\*\* $p < 0.001$ , saline versus NRs+L. (B) Body weight changes of tumor-bearing mice during the treatment (n=6). (C) Tumor inhibition rate (TIR%) based on the average tumor weights in each group (n=6). \* $p < 0.05$ , NRs versus NRs+L; \*\* $p < 0.01$ , Ce6 Inj.+L, HCPT Inj. or HCPT+Ce6 Inj.+L versus NRs+L. (D) Images of the excised 4T1 tumor tissues after various treatments at 10 days post implantation. (a) Saline; (b) HCPT Inj.; (c) Ce6 Inj.+L; (d) HCPT+Ce6 Inj.+L; (e) NRs; (f) NRs+L. (E) Hematoxylin and eosin (H&E) stained histological images of tumor tissues from different groups of 4T1 tumor-bearing mice after treated with various formulations. Scale bar: 100  $\mu$ m.

**Abbreviations:** Inj., injection; L, laser; NRs, nanorods; HCPT, 10-hydroxycamptothecine; Ce6, Chlorin e6.

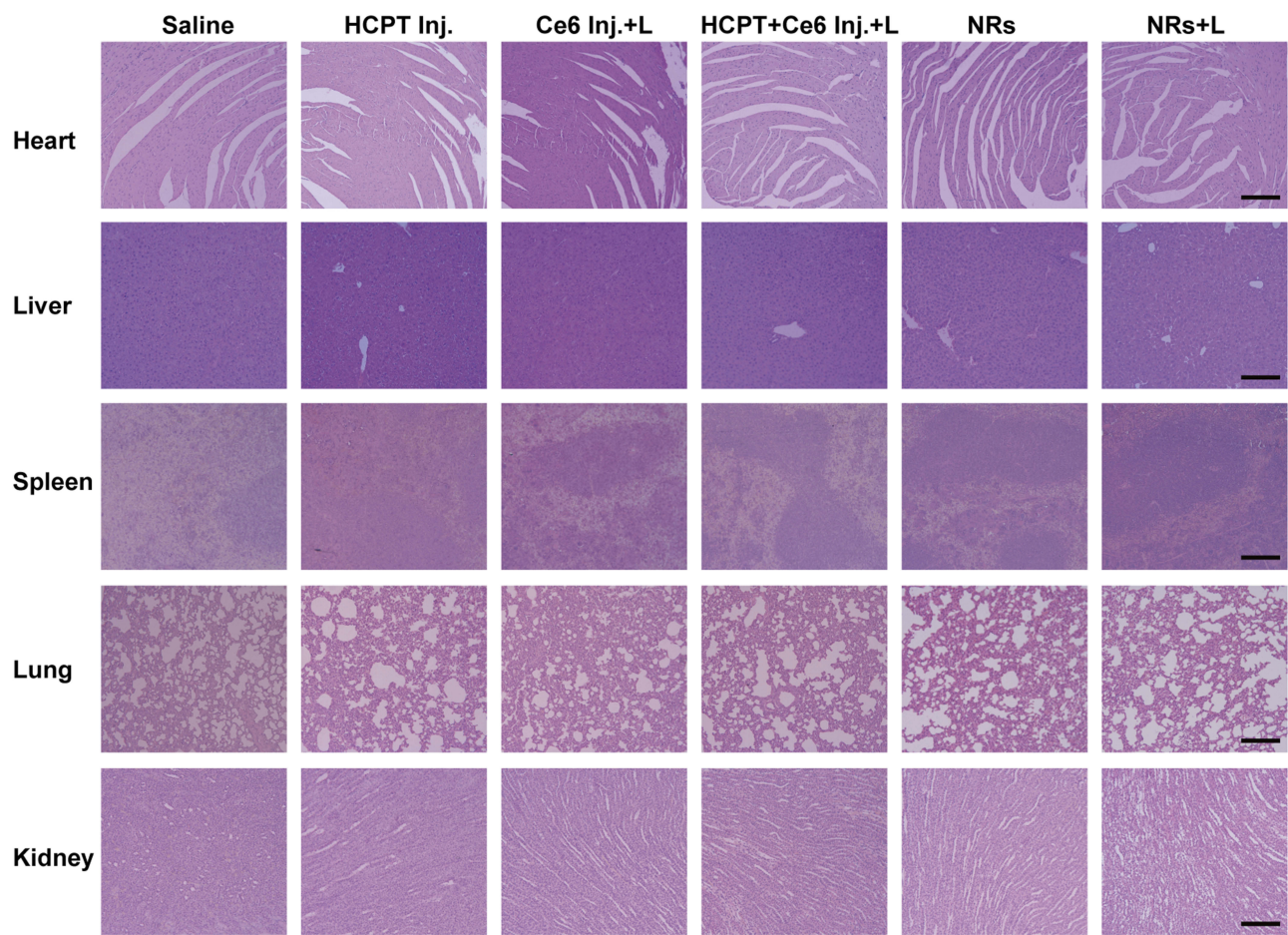
apparent damage or other inflammatory and immune response. These H&E results further demonstrated the synergistic therapeutic effect of the NRs.

## In Vivo Study Of Toxicity

As the in vivo latent toxicity has always been a problem in the development of novel formulations,<sup>57</sup> the in vivo

safety of the NRs was evaluated. Firstly, the body weights of all mice (Figure 8B) were monitored every day and revealed no obvious differences among groups during the whole experimental period. Furthermore, H&E staining analysis of the collected main organs (heart, liver, spleen, kidney, and lung) showed no significant cell damage and morphological changes among groups (Figure 9).





**Figure 9** H&E stained histological images obtained from main organs (heart, liver, spleen, lung, and kidney) of 4T1 tumor-bearing mice after treated with various formulations. Scale bar: 100  $\mu$ m.  
**Abbreviations:** Inj., injection; L, laser; NRs, nanorods; HCPT, 10-hydroxycamptothecine; Ce6, Chlorin e6.

Moreover, the aspartate aminotransferase (AST) and alanine aminotransferase (ALT) as well as blood urea nitrogen (BUN) and creatinine (CRE), which were the most common parameters to evaluate the function of liver and kidney,<sup>58</sup> were analyzed for evaluating the safety of dual-

functional NRs. The results (Table 3) showed that there was no significant difference in AST, ALT, BUN, and CRE levels of the NRs group when compared to the control group ( $p > 0.05$ ), indicating the HCPT/Ce6 NRs had no obvious hepatic or renal toxicity. All the in vivo toxicity

**Table 3** Plasma Biochemical Levels Of 4T1 Bearing BALB/c Mice After Treatment With Different Formulations

Group	ALT (IU/L)	AST (IU/L)	BUN (mmol/L)	CRE ( $\mu$ mol/L)
Saline	110.1 $\pm$ 8.0	112.5 $\pm$ 5.7	7.3 $\pm$ 0.3	47.1 $\pm$ 4.3
HCPT Inj.	106.8 $\pm$ 9.3	105.0 $\pm$ 9.7	7.2 $\pm$ 0.5	43.1 $\pm$ 7.2
Ce6 Inj.+L	104.6 $\pm$ 12.7	107.6 $\pm$ 23.5	7.1 $\pm$ 0.6	43.9 $\pm$ 7.1
HCPT+Ce6 Inj.+L	112.5 $\pm$ 9.8	113.4 $\pm$ 11.2	6.8 $\pm$ 0.6	44.0 $\pm$ 6.8
NRs	108.2 $\pm$ 10.1	115.4 $\pm$ 11.5	7.1 $\pm$ 0.9	48.3 $\pm$ 6.8
NRs+L	107.5 $\pm$ 11.4	113.9 $\pm$ 18.3	7.1 $\pm$ 1.2	45.8 $\pm$ 5.7

**Notes:** There was no significant difference in the parameters (ALT, AST, BUN, and CRE) among different formulations when compared with the saline group ( $P > 0.05$ ). All results are presented as mean  $\pm$  SD (n=6).  
**Abbreviations:** HCPT, 10-hydroxycamptothecine; Ce6, Chlorin e6; ALT, alanine transaminase; AST, aspartate transaminase; BUN, blood urea nitrogen; CRE, creatinine; Inj., injection; L, laser; NRs, nanorods.



results indicated that HCPT/Ce6 NRs were relatively safe without any immune response or inducing inflammation.

## Conclusion

The novel carrier-free dual-functional HCPT/Ce6 NRs were developed with pure drugs (HCPT and Ce6) by a simple self-assembly procedure. HCPT and Ce6 molecules tended to form co-assembled NRs through the hydrophobic interactions (the  $\pi$ - $\pi$  conjugation of the ring structure) and electrostatic interactions. The as-prepared HCPT/Ce6 NRs exhibited uniform morphology with excellent stability both in aqueous solution and freeze-dried state and relatively slower release rate compared with the injections. Furthermore, the obtained HCPT/Ce6 NRs not only showed considerable cell uptake efficiency but also exhibited significant extra- or intracellular ROS producing capacity in the presence of laser irradiation. In addition, the in vitro and in vivo antitumor studies showed that the dual-functional HCPT/Ce6 NRs exhibited a substantially synergistic antitumor efficacy compared with mono-chemotherapy or mono-photodynamic therapy. Therefore, the rationale of this facile and effective strategy for fabricating the carrier-free dual-functional NRs might open an alternative avenue and give inspiration for the development of combinational antitumor therapy in a synergistic way.

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## Disclosure

The authors declare no conflicts of interest in this study.

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