

# Characterization of NDM-5- and CTX-M-55-coproducing *Escherichia coli* GSH8M-2 isolated from the effluent of a wastewater treatment plant in Tokyo Bay

This article was published in the following Dove Press journal:  
*Infection and Drug Resistance*

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**Purpose:** New Delhi metallo- $\beta$ -lactamase (NDM)-5-producing Enterobacteriaceae have been detected in rivers, sewage, and effluents from wastewater treatment plants (WWTPs). Environmental contamination due to discharged effluents is of particular concern as NDM variants may be released into waterways, thereby posing a risk to humans. In this study, we collected effluent samples from a WWTP discharged into a canal in Tokyo Bay, Japan.

**Methods:** Testing included the complete genome sequencing of *Escherichia coli* GSH8M-2 isolated from the effluent as well as a gene network analysis.

**Results:** The complete genome sequencing of GSH8M-2 revealed that it was an NDM-5-producing *E. coli* strain sequence type ST542, which carries multiple antimicrobial resistance genes for  $\beta$ -lactams, quinolone, tetracycline, trimethoprim-sulfamethoxazole, florfenicol/chloramphenicol, kanamycin, and fosfomycin. The *bla*<sub>NDM-5</sub> gene was found in the IncX3 replicon plasmid pGSH8M-2-4. Gene network analysis using 142 IncX3 plasmid sequences suggested that pGSH8M-2-4 is related to both clinical isolates of *E. coli* and *Klebsiella* species in Eastern Asia. GSH8M-2 also carries the *bla*<sub>CTX-M-55</sub> gene in IncX1 plasmid pGSH8M-2-3.

**Conclusion:** This is the first report of environmental NDM-5-producing *E. coli* isolated from a WWTP in Japan. NDM-5 detection is markedly increasing in veterinary and clinical settings, suggesting that dual  $\beta$ -lactamases, such as NDM-5 and CTX-M-55, might be acquired through multiple steps in environment settings. Environmental contamination through WWTP effluents that contain producers of NDM variants could be an emerging potential health hazard. Thus, regular monitoring of WWTP effluents is important for the detection of antimicrobial-resistant bacteria that may be released into the waterways and nearby communities.

**Keywords:** sewage, carbapenemase, NDM-5, IncX3, CTX-M-55, IncX1

## Introduction

Antimicrobial resistance (AMR) is of global concern as the widespread detection of carbapenemase-producing Enterobacteriaceae (CPE) is an emerging issue with potentially serious public health implications.<sup>1</sup> New Delhi metallo- $\beta$ -lactamase (NDM) confers resistance to  $\beta$ -lactams with the exception of aztreonam, and the rapid global spread of NDM-1-producing strains has raised serious concerns that common infections with these organisms may soon be untreatable.<sup>2</sup> Variants of NDM carbapenemase have been assigned to *bla*<sub>NDM-27</sub> under curation by the National Database of Antibiotic Resistant Organisms (NDARO, <https://www.ncbi>.

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[nlm.nih.gov/pathogens/isolates/#/refgene/blaNDM](http://nlm.nih.gov/pathogens/isolates/#/refgene/blaNDM)). NDM-5 was first reported in 2011 in the United Kingdom and was isolated from a multidrug-resistant *Escherichia coli* ST648 clinical isolate from a patient with a recent history of hospitalization in India.<sup>3</sup>

NDM-5 exhibits expanded-spectrum cephalosporins and carbapenems degradation with two amino acid substitutions (Val88Leu and Met154Leu) in the NDM-1 amino acid sequence.<sup>3</sup> NDM-5 has been characterized as exhibiting higher hydrolytic activity than other variants (NDM-1, NDM-4, NDM-6, and NDM-7).<sup>4</sup>

NDM-5-producing Enterobacteriaceae have been identified from many different sources in multiple countries. Thus far, it has been reported that *bla*<sub>NDM-5</sub> appears to be predominant among all potential carbapenemase genes (including other NDM variants and KPC-2) in China.<sup>5</sup> Moreover, diverse dissemination of the *bla*<sub>NDM-5</sub> gene has been detected among non-clonal *E. coli* hosts (ST12, ST167, ST354, ST361, ST410, ST617, ST746, ST6335, and ST6395) via the IncX3 plasmid in China.<sup>6,7</sup> To elucidate the dissemination of CPE including NDM variants, AMR monitoring of wastewater treatment plant (WWTP) effluent contributes to the detection of ongoing AMR bacterial dissemination in environment, and might provide early warning of potential dissemination in clinical settings and communities.

Here, we isolated an NDM-5-producing *E. coli* strain GSH8M-2 from an effluent sampled at a WWTP in Tokyo Bay, Japan. Then, we determined the complete genome sequence of GSH8M-2, and characterized the genetic features of *bla*<sub>NDM-5</sub>- and *bla*<sub>CTX-M-55</sub>-positive plasmids.

## Materials and methods

### Bacterial strains and identification

The upper effluent flow from an urban WWTP was collected on August 6, 2018, in the canal (N35.631783, E139.7448151) at Tokyo Bay, Japan. The facilities of the WWTP process an influent by biological reactors and disinfection with chlorination. Average water qualities of pH, biochemical oxygen demand, and chemical oxygen demand are pH 6.8, 16, and 12 mg/L, respectively. The treated effluent sample in this study was collected within five consecutive days without recent rainfall to exclude the effect of the weather. Then, bacteria within the effluent sample were isolated as described previously for CPE.<sup>8</sup> Briefly, 500 mL of effluent was filtered, and the membrane incubated in 20 mL of LB-broth supplemented with 1 mg/L meropenem

at 37°C for 14 hrs, after which the culture was spread onto CHROMagar extended-spectrum  $\beta$ -lactamase (ESBL) plates (CHROMagar, Paris, France).

### Antimicrobial susceptibility and CPE screening tests

Antimicrobial susceptibility was investigated by E test (bioMérieux, Marcy-l'Étoile, France) according to the European Committee on Antimicrobial Susceptibility Testing guideline v9.0. Carbapenemase production was assessed by performing a Carba NP test, as described previously.<sup>9</sup>

### Whole-genome sequence analysis

Determination of the complete genome sequence was performed as described, previously.<sup>10</sup> Briefly, the complete genome sequence was determined using an Illumina NextSeq 500 (2×150-mer, 350× median coverage) (Illumina, San Diego, CA, USA), followed by a PacBio Sequel sequencer for long-read sequencing (PacBio, Menlo Park, CA, USA). A de novo assembly was performed.<sup>10</sup> Annotation was conducted in DFAST.<sup>11</sup> Multilocus Sequence Typing was characterized at the pubMLST site (<https://pubmlst.org/escherichia/>). Circular representations of complete genome sequences were visualized using the GView server.<sup>12</sup> AMR genes were identified by homology searching against the ResFinder database.<sup>13</sup> Plasmid replicon typing was performed using the curated PlasmidFinder database at the CGE website (<https://cge.cbs.dtu.dk/services/PlasmidFinder/>).<sup>14</sup>

### Comparative plasmid genome network analysis

Complete plasmid sequences were retrieved from NCBI “nt” and “RefSeq” database using a keyword search for the words “complete sequence” and “plasmid”, followed by gene prediction using the Prodigal program for normalization of gene finding. A plasmid network analysis was performed as previously described.<sup>15</sup> In brief, amino acid sequences for the complete plasmids were clustered using the UCLUST program (version 6.0.307)<sup>16</sup> with the following parameters: cluster\_smallmem; id 1.0 (100% identity in amino acid sequence); target\_cov 0.9, query\_cov 0.9 (at least 90% coverage); minsl 0.9, minqt 0.9, maxqt 1.1 (less than 10% difference in length). Each plasmid, ranging from 30 to 60 kb in size, was connected to a network under the sharing threshold with at least 40 homologous

genes, followed by community assignment using the multilevel community method in the R igraph library. In order to visualize the level of similarity for individual plasmid sequences in a dataset, non-metric multidimensional scaling analysis was performed with the distance matrix calculated with the Simpson's index using the metaMDS program from Vegan R package. The Cytoscape version 3.2.0 was used to draw the plasmid network graph.<sup>17</sup>

## Results

### NDM-5-producing *E. coli* strain GSH8M-2

The isolated *E. coli* effluent strain GSH8M-2 was Carba NP test positive. MIC testing suggested that GSH8M-2 was highly resistant to the  $\beta$ -lactams, fluoroquinolone, trimethoprim-sulfamethoxazole, and chloramphenicol, kanamycin, and fosfomycin. However, it was still susceptible to colistin and aminoglycosides (amikacin, gentamicin, streptomycin, and tobramycin) except kanamycin (Table 1).

### Genome features of *E. coli* strain GSH8M-2

The complete genome sequence was determined, as described in the materials and methods. GSH8M-2 carries multiple antimicrobial resistance genes (ARGs) for  $\beta$ -lactams (*bla*<sub>NDM-5</sub>, *bla*<sub>CTX-M-55</sub>, *bla*<sub>EC</sub>, and *bla*<sub>TEM-135</sub>), quinolone (*qnrS1* and *qnrS2*), tetracycline (*tet* (A)), trimethoprim-sulfamethoxazole (*dhfrA14* and *dhfrA17*), florfenicol/chloramphenicol (*floR*), kanamycin (*aph* (3')-Ia), and fosfomycin (*fosA3*).

Importantly, IncX3 plasmid pGSH8M-2-4 (46.1 kb) carries *bla*<sub>NDM-5</sub> (Figure 1A), exhibiting an almost identical gene organization to pNDM-MGR194, which was first identified in IncX3 plasmids carrying *bla*<sub>NDM-5</sub> in *Klebsiella pneumoniae* MGR-194 clinical isolates in India<sup>18</sup> (Figure 1B). These two *bla*<sub>NDM-5</sub>-positive IncX3 plasmids showed partially distinct gene organization around the *bla*<sub>NDM-1</sub> to IncX3 plasmid in p112298-NDM, which was first identified in 2013 in a *Citrobacter freundii* strain 112298 in China.<sup>19</sup>

IncX1 plasmid pGSH8M-2-3 (49.3 kb) carries multiple ARGs including *bla*<sub>CTX-M-55</sub>, *aph* (3')-Ia, *floR*, *fosA3*, and *qnrS2* (Figure 1C). Blast homology search revealed that three publicly complete sequences (two plasmids and one chromosome DNA of *E. coli* strains isolated from a dog and pig) showed homology with >80% region coverage, for all three plasmids sharing the *aph* (3')-Ia, *floR*, and *qnrS2* for three primarily veterinary use antimicrobials

**Table 1** Antimicrobial susceptibility test in *E. coli* GSH8M-2

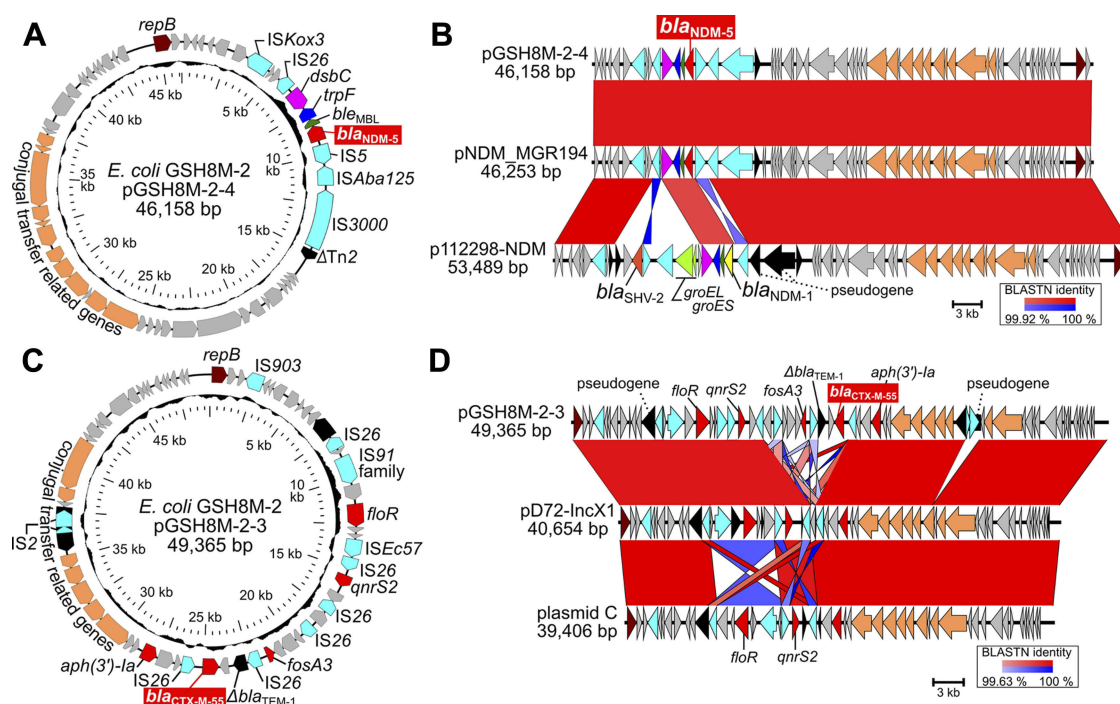
Antimicrobial agents	Minimum inhibitory concentration (mg/L)	SIR (EUCAST ver 9.0)
Ampicillin-sulbactam	>256	R
Cefepime	32	R
Cefotaxime	>32	R
Ceftazidime	>256	R
Imipenem	>32	R
Meropenem	>8	R
Aztreonam	16	R
Amikacin	2	S
Gentamicin	1	S
Tobramycin	1	S
Kanamycin	>256	—
Streptomycin	8	—
Tetracycline	>256	—
Ciprofloxacin	0.5	I
Levofloxacin	4	R
Azithromycin	8	—
Trimethoprim-sulfamethoxazole	>32	R
Chloramphenicol	>256	R
Colistin	0.125	S
Fosfomycin	>1024	R

Note: —, not defined in EUCAST.

(aminoglycosides, florfenicol, and quinolone, respectively) (Figure 1D). However, pGSH8M-2-3 carries additional *bla*<sub>CTX-M-55</sub> and *fosA3* with IS26 mediated acquisition (Figure 1D).

### Plasmid gene network analysis of IncX3 plasmids

To further elucidate the dissemination of *bla*<sub>NDM-5</sub>-positive IncX3 plasmids, gene clustering, and network analysis was performed using the IncX3 plasmids relevant to pGSH8M-2-4, resulting in 141 plasmid entries obtained from a public database (Table S1). Those 142 plasmid sequences were classified into four communities (Figure 2). The pGSH8M-2-4 strain originated from a community (C1) that shares *bla*<sub>NDM-5</sub> with *E. coli* and *Klebsiella* clinical isolates in Eastern Asia. Of note, the community network analysis strongly suggested that *bla*<sub>NDM-5</sub>-positive IncX3 plasmids are of clonal lineage, but horizontally disseminated over multiple bacteria hosts (*Escherichia*, *Klebsiella*, *Enterobacter*, *Citrobacter*, and *Kluyvera*). On the other hand, other  $\beta$ -lactamases [*bla*<sub>NDM-1</sub>, *bla*<sub>KPC</sub>, *bla*<sub>OXA-181</sub>, and ESBL gene-positive IncX3 plasmids can be clustered



**Figure 1** Plasmid analysis of pGSH8M-2-4 and pGSH8M-2-3. **(A)** Circular representation of pGSH8M-2-4. ORFs were painted with color by predicted gene function; *bla*<sub>NDM-5</sub>, red; replication, brown; insertion sequences, light blue; conjugal transfer related genes, orange. **(B)** Structural comparison of the IncX3 plasmids carrying *bla*<sub>NDM-5</sub> positive plasmids. A pairwise nucleotide % identity is shown as red (forward orientation) and blue (complementary orientation) between plasmid sequences. pNDM-MGR194 (GenBank ID: KF220657) was first identified IncX3 plasmids carrying *bla*<sub>NDM-5</sub> in *Klebsiella pneumoniae* in India. p112298-NDM (GenBank ID: KP987216), IncX3 plasmid carrying *bla*<sub>NDM-1</sub> instead of *bla*<sub>NDM-5</sub>, was identified in *Citrobacter freundii* strain I12298 in China in 2013. **(C)** Circular representation of pGSH8M-2-3. **(D)** Structural comparison of the IncX1 plasmids similar to pGSH8M-2-3. *bla*<sub>CTX-M-55</sub> was found in pGSH8M-2-3, not but in pD72-IncX1 (GenBank ID: CP035315) in *E. coli* D72 isolated from pig in China, and plasmid C (GenBank ID: CP010155) in *E. coli* D9 isolated from dog.

into different communities from each other (C2, C3, and C4 in Figure 2), because of horizontal acquisition with extra adjacent genes around the ARGs (Figure 1B). Thus, each  $\beta$ -lactamase-positive IncX3 plasmid appears to have disseminated in an independent manner among Enterobacteriaceae.

## Discussion

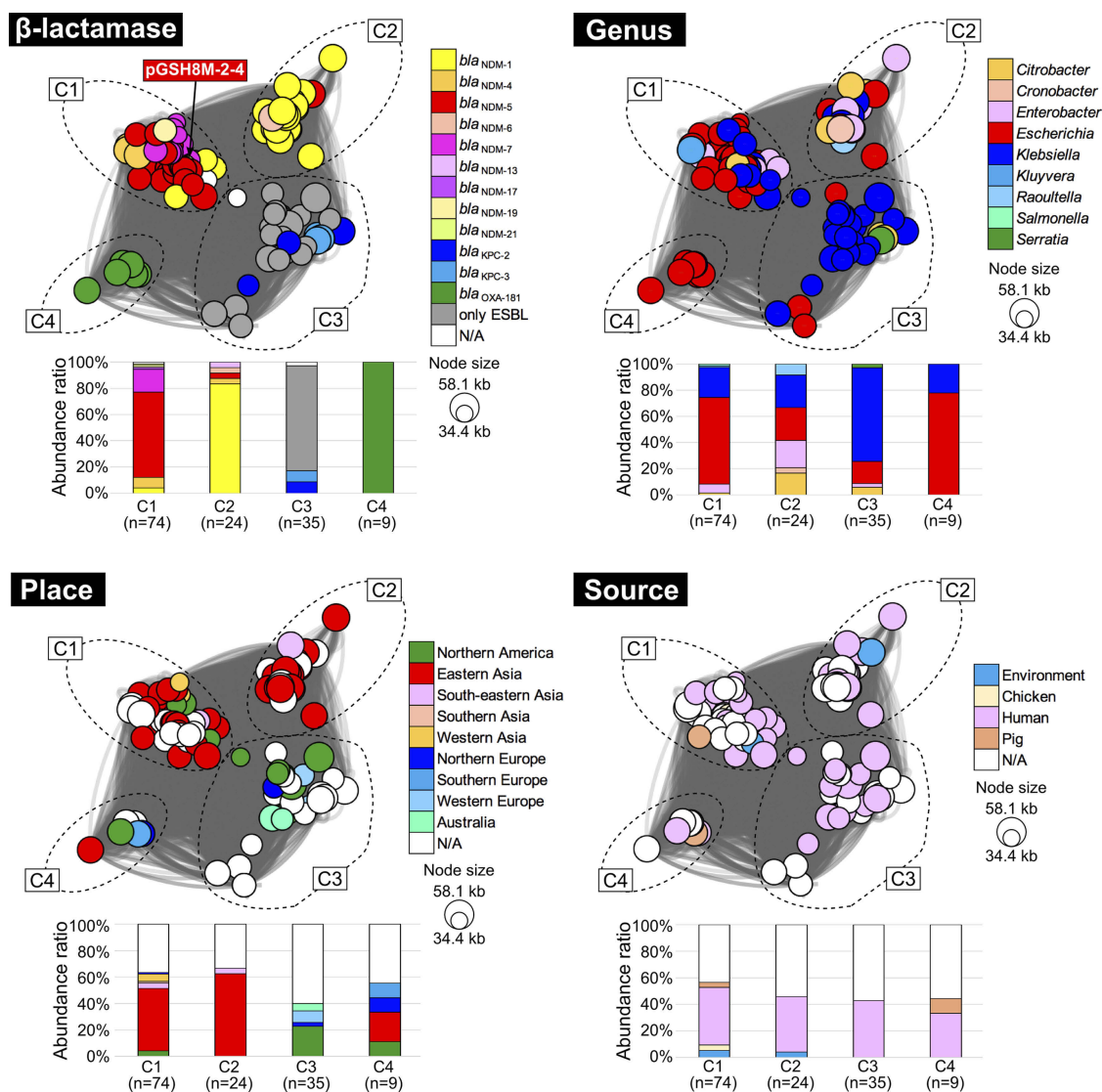
In this study, we isolated the NDM-5-producing *E. coli* strain (GSH8M-2) from effluent of a WWTP in Tokyo Bay, Japan. This is the first report of an environmental NDM-5-producing *E. coli* isolate from a WWTP in Japan. Thus far, the detection of NDM variants in clinical settings is very rare and uncommon in Japan, a few reports suggested that NDM-5-producing *E. coli* and *K. pneumoniae* were identified in a Japanese individual traveling to Bangladesh in 2013 and also in a case in Indonesia in 2014. However, both of these were imported cases to Japan not a local occurrence.<sup>20</sup> Thus, our findings in this study may indicate an emerging public health issue within the Japanese community.

In addition, previous environmental studies have suggested that NDM-5-producing Enterobacteriaceae have

been detected in an urban river in Montpellier, France,<sup>21</sup> hospital sewage water in India<sup>22</sup> and Taiwan,<sup>23</sup> and effluent from a WWTP in Switzerland.<sup>24</sup> Although a few studies revealed dissemination of environmental NDM variants, WWTPs could be a primary source of most AMR bacteria in aquatic environments, because of the partial purification that occurs during the wastewater treatment processes. In particular, ongoing global dissemination could be archived by the rapid spread of the IncX3 replicon-based plasmid transmission among Enterobacteriaceae (Figure 2).

In addition to NDM-5, GSH8M-2 harbors CTX-M-55, which was first reported in 2006 in Thailand<sup>25</sup> and is a variant of CTX-M-15 in the CTX-M-1 cluster, with only one amino acid substitution (Ala<sub>80</sub>Val). Over the past decade, CTX-M-55-producing *E. coli* isolated from animals and in clinical settings has been increasing.<sup>26</sup> Moreover, the *bla*<sub>CTX-M-55</sub>-positive plasmid pGSH8M-2-3 is associated with other ARGs (*aph*(3')-Ia, *floR*, *fosA3*, and *qnrS2*), the co-localization of the florfenicol resistance gene *floR* is of marked concern because *floR* is detected in increasing amounts in both veterinary<sup>27</sup> and clinical isolates<sup>28</sup> within China. Therefore, CTX-M-55-positive





**Figure 2** Plasmid gene network analysis using pGSH8M-2-4 related sequences. Using pGSH8M-2-4 sequence as query, 141 IncX3 plasmid sequences were obtained from the public database. In total, 142 plasmid sequences (see Table S1), each plasmid can be clustered into four communities (C1–C4). A circle indicates the clustering position of each plasmid, highlighted with the indicated color based on the type of  $\beta$ -lactamase, genus, place, and source of isolation.

*E. coli* could disseminate in other settings under highly selective pressure by co-selection of the veterinary antimicrobials (florfenicol) and clinical use (cephalosporin) and has become one of the most important emergent AMR bacteria in environment. These findings suggest that the dissemination of dual  $\beta$ -lactamase (carbapenemase and ESBL)-positive bacteria has become a global issue, and environmental contamination could act as a reservoir of NDM variants-producing AMR Enterobacteriaceae.

## Conclusions

AMR monitoring of WWTP effluent may contribute to the detection of emerging AMR bacterial dissemination in clinical settings and communities. A comprehensive

approach based on the complete determination of the genome sequence including the AMR plasmid will be required in order to fully understand AMR dissemination of these bacterial clones and relevant AMR plasmids within the environment.

## Data availability

The complete, annotated genome sequence of *E. coli* GSH8M-2 was deposited in a public database as shown in Table 2. The short- and long-read sequences for DNA-Seq were deposited in the DNA Data Bank of Japan (BioProject PRJDB6962; BioSample SAMD00168435; DRA accession DRA008278).

**Table 2** Whole genome information of *E. coli* GSH8M-2 from WWTP effluent

Replicon	GC%	Length (bp)	MLST	Inc type	Drug Resistance Gene	GenBank ID
Chromosome	50.9	4,693,292	ST542	–	<i>aadA5</i> , <i>bla<sub>EC</sub></i> , <i>dfpA17</i>	AP019675
pGSH8M-2-1	51.0	145,389	–	IncFIB(AP001918), IncFII, IncFII(pSE11)	<i>bla<sub>TEM-135</sub></i> , <i>dfpA14</i> , <i>floR</i> , <i>qnrS1</i> , <i>tet(A)</i> ×2	AP019676
pGSH8M-2-2	52.4	75,556	–	IncFII(pCoo)	<i>floR</i>	AP019677
pGSH8M-2-3	46.3	49,365	–	IncX1	<i>aph(3)-Ia</i> , <i>bla<sub>CTX-M-55</sub></i> , <i>floR</i> , <i>fosA3</i> , <i>qnrS2</i>	AP019678
pGSH8M-2-4	46.7	46,158	–	IncX3	<i>bla<sub>NDM-5</sub></i>	AP019679
pGSH8M-2-5	43.2	3,274	–	Col440I	–	AP019680
pGSH8M-2-6	46.9	3,067	–	Col440I	–	AP019681

Note: –, not available

**Abbreviations:** AMR, antimicrobial resistance; CPE, carbapenemase-producing Enterobacteriaceae; NDM, New Delhi metallo-β-lactamase; WWTP, wastewater treatment plant; ST, Sequence type; AMS, ampicillin-sulbactam; CEP, cefepime; CTA, cefotaxime; CTZ, ceftazidime; IMI, imipenem; MER, meropenem; AZT, aztreonam; AMI, amikacin; GEN, gentamicin; TOB, tobramycin; CIP, ciprofloxacin; LEV, levofloxacin; AZI, azithromycin; TRS, trimethoprim-sulfamethoxazole; CHL, chloramphenicol; COL, colistin; MLST, Multilocus Sequence Typing.

# Acknowledgments

The authors would like to thank Rina Tanaka for technical assistance with the whole genome sequencing experiment. We would like to thank Editage for English language editing. This work was supported by the Research Program on Emerging and Re-emerging Infectious Diseases from the Japan Agency for Medical Research and Development, AMED (grant number JP19fk0108048). This work was also supported by a grant for Research on Emerging and Re-emerging Infectious Diseases and Immunization (H30 Shinkogyosei-Ippan-002) from the Ministry of Health, Labour, and Welfare, Japan. The funding agencies had no role in the study design, data collection and analysis, decision to publish, or manuscript preparation.

# Author contributions

TS and MK contributed to the isolation of the NDM-5 producing strains. TS, YI, and MK performed the genome sequencing and the comparative genome analysis. TS and MK performed antimicrobial susceptibility testing. MK wrote the manuscript. All authors contributed to data analysis, drafting or revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

# Disclosure

The authors report no conflicts of interest in this work.

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