

# Short-term adverse effects of the apolipoprotein E $\epsilon$ 4 allele over language function and executive function in healthy older adults

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**Background:** The  $\epsilon$ 4 allele of the apolipoprotein E (*APOE*) gene is known as a risk factor for cognitive impairment. How *APOE*  $\epsilon$  polymorphism affects the language and executive functions of healthy aging subjects remains less clear.

**Purpose:** In this follow-up study, the relationship between *APOE* status and cognitive performance across various cognitive domains in healthy individuals (without dementia or mild cognitive impairment (MCI)) over 60 years old was investigated.

**Patients and methods:** Based on multiplex amplification refractory mutation system polymerase chain reaction (PCR), 228 subjects (n=228; mean age: 70.59±8.07 years old; male %=40.8%) were divided into three groups,  $\epsilon$ 2 ( $\epsilon$ 2/ $\epsilon$ 2 and  $\epsilon$ 2/ $\epsilon$ 3, n=35),  $\epsilon$ 3 ( $\epsilon$ 3/ $\epsilon$ 3, n=152), and  $\epsilon$ 4 ( $\epsilon$ 2/ $\epsilon$ 4,  $\epsilon$ 3/ $\epsilon$ 4, and  $\epsilon$ 4/ $\epsilon$ 4, n=41).

**Results:** There was no statistical difference ( $p>0.05$ ) in the general demographic data and neuropsychological tests among the three groups on the baseline; however,  $\epsilon$ 4 group showed a greater drop rate ( $p<0.05$ ) versus non-carriers on verbal fluency ( $\epsilon$ 2: -0.043±0.221;  $\epsilon$ 3: -0.081±0.239;  $\epsilon$ 4: 0.069±0.329) and Webster picture completion ( $\epsilon$ 2: 0.055±0.281;  $\epsilon$ 3: 0.083±0.428;  $\epsilon$ 4: 0.438±1.280) over the subsequent one year.

**Conclusion:** The findings suggest that possession of the *APOE*  $\epsilon$ 4 allele predicted a higher decline on tasks of language function and executive function in healthy elderly. And further research is required to determine whether strengthening the training of language function and executive function will delay the occurrence of cognitive impairment.

**Keywords:** *APOE*, language function, executive function, healthy elderly

## Introduction

Apolipoprotein E (*APOE*) is a polymorphic protein involved in neurogenesis, repair, and plasticity, which has 3 allelic variants ( $\epsilon$ 2,  $\epsilon$ 3, and  $\epsilon$ 4)<sup>1</sup>. The  $\epsilon$ 4 allele of *apolipoprotein E* (*APOE*) is the most clearly defined genetic risk factor for sporadic Alzheimer's disease (AD). Carriers of the  $\epsilon$ 4 allele are not only at an increased risk of AD but also are prone to early onset.<sup>2</sup> Additionally, the probability of remaining unaffected over time will also decrease in an apoE4 gene dose-dependent manner.<sup>3</sup> However, the prevalence of *APOE*  $\epsilon$ 4 among people with AD varies across geographic regions and is significantly lower in Asia than in North America and Europe.<sup>4</sup> It has been demonstrated that *APOE*  $\epsilon$ 4 contributes to the biological modulation of  $\beta$ -amyloid (A $\beta$ ) clearance.<sup>5</sup> Genome-wide association studies (GWASs) evaluating the cerebral amyloid burden endophenotype have also reinforced *APOE*  $\epsilon$ 4's role in amyloid accumulation.<sup>6</sup> And previous longitudinal

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studies find that there is only a marginal joint effect of AD genes (such as *CLU*, *PICALM*, *BIN1*, *CR1*, *ABCA7*, *MS4A6A*, *MS4A4E*, *CD2AP*, *EPHA1*, and *CD33*) on memory independent from *APOE* in nondemented people.<sup>5,6</sup> What is more, patients with *APOE*  $\epsilon 4$  often show some defects in the repair of nerve injury<sup>7</sup> as well as exhibit an altered lifespan trajectory in the ability of the brain to dynamically modulate function to cognitive challenge.<sup>8</sup>

There is ample evidence that a subset of older individuals who are cognitively normal have Alzheimer's disease (AD) pathology in their brains.<sup>9,10</sup> And such individuals are more likely to develop cognitive decline over time, and at some point during this "preclinical" phase of disease, whose cognitive changes will become evidence.<sup>11</sup> Mounting evidences suggest that the *APOE* genotype will play an important role in affecting the specific cognitive function of normal adults; for example, Reinvang et al find that in normally aging adults, the  $\epsilon 4$  allele adversely affects their cognitive performance, particularly on tests of memory function.<sup>12</sup> Some longitudinal studies also suggest that episodic memory declines more rapidly among cognitive normal older individuals who are  $\epsilon 4$  carriers than non-carriers.<sup>13</sup> What is more, a meta-analysis shows that the  $\epsilon 4$  allele was linked to better verbal fluency scores,<sup>14</sup> and another meta-analysis<sup>15</sup> suggests that ApoE  $\epsilon 4$  exerts broad, but specific, adverse small effects on a range of neurocognitive functions (such as episodic memory, executive functioning, and perceptual speed) in cognitively healthy adults. However, other studies<sup>16,17</sup> do not find evidence for such association.

Various explanations for these conflicting results may be put forward. First, cross-sectional studies are not able to determine definitely whether possession of the  $\epsilon 4$  allele is associated with within-person cognitive changes.<sup>18</sup> Second, genes are not the determinants of cognitive changes, and copies of  $\epsilon 4$  only modulate effects of other features (such as age, illiteracy, and female) over cognitive decline.<sup>19</sup> Third, many studies only focus on general cognitive ability rather than assessing a range of specific cognitive domains.<sup>19</sup> Furthermore, vascular risk factors, such as smoking, drinking wine, hypertension, and diabetes, are associated with cognitive performance as well as *APOE* genotype,<sup>20</sup> but few studies correct for these potential confounding factors. Therefore, in the present study, we attempted to address the above-mentioned limitations accompanying earlier research, by using a longitudinal design, to explore the relationship between *APOE*

genotype and different domains of cognitive function (such as the language function and executive function) among the healthy elderly in China.

## Materials and methods

### Participants

All participants were recruited from urban sites in the North XinJing district of Shanghai, China. And the method of sampling has been described in our previous studies.<sup>21</sup> The inclusion criteria were as follows: 1) 60 years and older; 2) denial of memory impairment and other cognitive impairments; 3) without major medical abnormalities, including unstable, acute, or life-threatening medical illness and central nervous system diseases; 4) was able to complete the entire inspection. Subjects with a history of severe mental problems (eg, schizophrenia, depression, anxiety, mild cognitive impairment (MCI), and dementia) or major medical abnormalities (eg, cancer and infection) that might affect cognitive function were excluded. All participants needed to complete a clinical evaluation and some neuropsychological tests. What is more, we also used a standardized questionnaire to collect their general demography data, including name, sex, profession, educational degree as well as medical history (such as hypertension, diabetes, and hyperlipidemia). Finally, 562 healthy older adults (mean age: 72.21 $\pm$ 8.20; mean years of education: 8.94 $\pm$ 4.72; 232 males) completed the baseline assessment, and 228 healthy subjects (mean age: 70.59 $\pm$ 8.07; mean years of education: 9.62 $\pm$ 4.14; 93males) completed the one-year follow-up assessment as well as the *APOE* allele detection. Reasons for not attending included withdrawal (n=76), inability, or refusal to participate (n=107), having moved away (n=28), exclusion due to memory problems or depression (n=37), and data missing (n=86). Table 1 lists the general demographic data of the 228 people.

This study was conducted in accordance with the principles of Declaration of Helsinki. The Research Ethical Committee of the affiliated mental health center of Shanghai Jiaotong University School of Medicine approved this study, and written informed consent was obtained from all participants.

### Clinical assessment and cognitive assessment

In order to exclude depression, dementia, and other mental diseases, all participants underwent a screening process that included a review of their medical history, physical and neurological examinations (by an experienced psychiatrist),

**Table I** Comparison of main characteristic variables among the 3 groups

| Variables              | APOE e2 (n=35) | APOE e3 (n=152) | APOE e4 (n=41) | p Age |
|------------------------|----------------|-----------------|----------------|-------|
| Age, y                 | 70.97±8.36     | 70.97±8.27      | 68.85±6.95     | 0.315 |
| Education, y           | 8.88±3.73      | 9.42±4.32       | 10.95±3.54     | 0.058 |
| BMI, kg/m <sup>2</sup> | 24.04±3.00     | 23.80±3.25      | 24.20±3.40     | 0.764 |
| Sex                    |                |                 |                |       |
| Male (%)               | 13 (37.1)      | 61 (40.1)       | 19 (46.3)      | 0.690 |
| Female (%)             | 22 (62.9)      | 91 (59.9)       | 22 (53.7)      |       |
| Hypertension           |                |                 |                |       |
| Yes (%)                | 20 (57.1)      | 83 (54.6)       | 21 (51.2)      | 0.871 |
| No (%)                 | 15 (42.9)      | 69 (45.4)       | 20 (48.8)      |       |
| Diabetes               |                |                 |                |       |
| Yes (%)                | 3 (8.6)        | 25 (16.4)       | 4 (9.8)        | 0.329 |
| No (%)                 | 32 (91.4)      | 127 (83.6)      | 37 (90.2)      |       |
| Hyperlipidemia         |                |                 |                |       |
| Yes (%)                | 8 (22.9)       | 29 (19.1)       | 5 (12.2)       | 0.652 |
| No (%)                 | 24 (68.6)      | 103 (67.8)      | 32 (78.0)      |       |
| Unclear (%)            | 3 (8.6)        | 20 (13.2)       | 4 (9.8)        |       |

laboratory tests, and MRI scans. The Mini-Mental State Examination (MMSE) and the Neuropsychological Test Battery (include Wechsler Memory Scale (WMS), Verbal Associates immediate and 30-mins delayed test, Digit span, Picture completion, Rey Auditory Verbal Learning and 30-mins Delayed test, etc.) were used as tools to assess their general cognitive ability and specific cognitive domains, respectively.

MMSE is a short test that assesses cognitive functions like memory, recall, alertness, speech, language reception as well as orientation to time and space.<sup>22</sup> It is widely used in clinical practice and has been proved to be effective in distinguishing between dementia and normal people.<sup>23</sup> However, the main limitation of MMSE is its poor sensitivity in detecting a mild degree of cognitive impairment, namely the ceiling effect, which is becoming a particularly important issue with the recent increased focus of researchers on cognitive function.<sup>24</sup>

Neuropsychological Test Battery (NTB) comprises digit span, auditory verbal learning test (AVLT) (immediate and delayed recall), associative learning, visual identification test, verbal fluency test, picture completion test, and block design, and all the tests are time-limited:<sup>25</sup>

1. Digit span (scores range from 0 to 17) is measured for forward and backward recall of digit sequences. They are presented beginning with a length of two

digits and two trails are presented at each increasing list length. Test will cease when the subjects fail to accurately report either trail at one sequence length or when the maximal list length is reached (9 digit forward, 8 back ward). This test is used to assess attention and working memory.<sup>26</sup>

2. Auditory verbal learning test (AVLT) (scores range from 0 to 75):<sup>27</sup> It is a serial word list learning task presenting 15 words over 5 trials. A distractor list is presented for a single trial, followed by spontaneous recall of the initial 15 words. Following a 30 mins delay, free recall of the original word list is obtained followed by recognition. This test is used to assess learning ability, delayed free recall, and recognition memory.
3. Webster picture completion (scores range from 0 to 22):<sup>28</sup> this test contains 21 incomplete pictures, and each picture lacks the most important part. The subjects need to point out the missing part of each picture. This test is used to evaluate the executive function.
4. Webster block design (scores range from 0 to 48):<sup>29</sup> this test requires construction of abstract designs from colored blocks. The total raw score is recorded to assess visuospatial and executive function.
5. Associative learning and visual identification test (scores range from 0 to 25): This test consists of four tests: functional connection, semantic connection, recognition, visual matching, and reasoning. It

can measure the function of visual attention and processing speed.

6. Verbal fluency (scores range from above 0):<sup>30</sup> the subjects are asked to give words beginning with three different letters, and the total number of correct response is recorded. We use this test to measure language ability related to executive function.

So NTB is able to quantitatively evaluate 5 cognitive domains, including attention, working memory, language, visuospatial function, visual memory and executive function.<sup>31</sup> And it has been considered as the most valid instrument for monitoring cognitive decline in aMCI and dementia associated with AD.<sup>32</sup>

Through a combined application of the above two scales, we were able to assess the cognitive function of those subjects in a more comprehensive way. And the cognitive assessments were performed by psychologists at baseline and 12 months, respectively.

## Genotyping of APOE

Genomic DNA was extracted from peripheral blood (Morning fasting whole blood) by using a Blood Genomic DNA Extraction Kit (Qiagen NV, Venlo, the Netherlands). *APOE* genotype was determined by multiplex amplification refractory mutation system polymerase chain reaction (PCR). And this method (Single nucleotide polymorphisms (SNPs) at nucleotides 112 and 158 of the gene were amplified by PCR using Taq DNA polymerase and a thermal profile were optimized for the locus. By identifying the alleles present at the 112 and 158 polymorphisms, *APOE* genotype was defined) had been described by Donohoe et al.<sup>33</sup> According to the methods previously described,<sup>34</sup> these 228 subjects were divided into

three groups, *e2* ( $\epsilon 2/\epsilon 2$  and  $\epsilon 2/\epsilon 3$ ,  $n=35$ ), *e3* ( $\epsilon 3/\epsilon 3$ ,  $n=152$ ), and *e4* ( $\epsilon 2/\epsilon 4$ ,  $\epsilon 3/\epsilon 4$ , and  $\epsilon 4/\epsilon 4$ ,  $n=41$ ).<sup>35</sup> And Table 2 lists the information about the gene distribution in detail.

## Statistical analysis

Continuous variables were expressed as mean and SD, and categorical variables were expressed as frequencies (%). One-way analysis of variance (ANOVA) was used to compare the differences among the *e2* group, *e3* group, and *e4* group (for both baseline and follow-up study). Then, regression analysis was used to screen for possible cognitive factors. Two-tailed tests were used at a significance level of  $P<0.05$  for all analyses. The data were analyzed using SPSS 22.0 (IBM Corporation, Armonk, NY, USA)

## Results

Table 1 shows the baseline characteristics of the participants according to *APOE*  $\epsilon 4$  carrier status. Allele frequencies in the sample were *e2*=15.4%, *e3*=66.7%, and *e4*=17.9%. And we found no statistical differences in the variable that might be related to cognitive function as shown above. In addition, there was no difference in baseline scores among the three groups on MMSE, digit span, and other cognitive tests (Table 2) (we had controlled certain variables that might be related to cognitive function, such as age, gender and education, and the statistical method was the One-way analysis of variance (ANOVA) analysis). However, one year later, *APOE*  $\epsilon 4$  carriers showed a greater deduction rate [(baseline score-follow-up score)/baseline score] in verbal fluency and Webster picture completion test than *APOE*  $\epsilon 4$  non-carriers (Table 3), but there was no statistical difference ( $p>0.05$ ) in the deduction rate of verbal fluency and Webster picture completion test (Table 4). By using regression

**Table 2** Trend of outcome measurements among the 3 groups

| Variable              | APOE $\epsilon 2$ |                   | APOE $\epsilon 3$ |                  | APOE $\epsilon 4$ |                   | P     |
|-----------------------|-------------------|-------------------|-------------------|------------------|-------------------|-------------------|-------|
|                       | Baseline          | Changed values    | Baseline          | Changed values   | Baseline          | Changed values    |       |
| MMSE                  | 27.26 $\pm$ 3.18  | -0.04 $\pm$ 2.01  | 27.59 $\pm$ 2.53  | 0.09 $\pm$ 2.63  | 27.98 $\pm$ 1.90  | 0.00 $\pm$ 1.62   | 0.466 |
| Digit Span            | 12.77 $\pm$ 4.35  | -1.32 $\pm$ 2.52  | 13.79 $\pm$ 3.92  | -0.81 $\pm$ 2.62 | 14.68 $\pm$ 3.56  | -0.017 $\pm$ 2.30 | 0.109 |
| Instant Recall        | 41.88 $\pm$ 11.92 | -0.63 $\pm$ 10.92 | 40.10 $\pm$ 11.42 | 2.75 $\pm$ 8.80  | 43.78 $\pm$ 11.08 | 7.00 $\pm$ 9.50   | 0.203 |
| Associative           | 6.07 $\pm$ 3.60   | -1.31 $\pm$ 2.97  | 7.47 $\pm$ 4.15   | 0.02 $\pm$ 3.99  | 7.78 $\pm$ 3.61   | -0.26 $\pm$ 3.31  | 0.135 |
| Visual identification | 17.34 $\pm$ 4.26  | -0.58 $\pm$ 3.12  | 16.91 $\pm$ 3.53  | 0.27 $\pm$ 3.49  | 17.26 $\pm$ 2.92  | 0.86 $\pm$ 2.29   | 0.763 |
| Verbal fluency        | 27.06 $\pm$ 8.74  | 1.74 $\pm$ 5.80   | 26.79 $\pm$ 8.59  | 2.49 $\pm$ 6.53  | 29.79 $\pm$ 9.56  | -0.83 $\pm$ 9.25  | 0.900 |
| Delayed recall        | 20.56 $\pm$ 9.32  | -1.33 $\pm$ 8.16  | 19.60 $\pm$ 9.08  | 2.67 $\pm$ 7.30  | 21.07 $\pm$ 9.40  | 3.67 $\pm$ 7.04   | 0.615 |
| Picture completion    | 10.26 $\pm$ 3.83  | -0.41 $\pm$ 2.65  | 10.39 $\pm$ 3.90  | -0.39 $\pm$ 2.68 | 11.42 $\pm$ 4.19  | -1.38 $\pm$ 4.02  | 0.851 |
| Block design          | 26.74 $\pm$ 8.26  | -0.50 $\pm$ 4.15  | 27.76 $\pm$ 8.20  | 1.09 $\pm$ 5.88  | 30.07 $\pm$ 7.87  | 0.92 $\pm$ 4.39   | 0.169 |

**Note:** The *p*-value reflects the results of comparison of the three groups of baseline cognitive scores.

**Abbreviation:** MMSE, Mini-Mental state Examination.

**Table 3** Comparison of cognitive decline rate among the 3 groups

| Variable              | APOE e2      | APOE e3      | APOE e4      | F     | p      |
|-----------------------|--------------|--------------|--------------|-------|--------|
| MMSE                  | 0.008±0.082  | 0.001±0.099  | 0.002±0.060  | 0.062 | 0.940  |
| Digit Span            | 0.163±0.286  | 0.093±0.249  | 0.031±0.211  | 1.797 | 0.170  |
| Instant Recall        | 0.048±0.283  | -0.050±0.246 | -0.122±0.196 | 2.997 | 0.053  |
| Associative learning  | 0.270±0.731  | 0.254±0.985  | 0.165±0.548  | 0.104 | 0.901  |
| Visual identification | 0.063±0.205  | 0.003±0.256  | -0.049±0.137 | 1.321 | 0.271  |
| Verbal fluency        | -0.043±0.221 | -0.081±0.239 | 0.069±0.329  | 3.350 | 0.038* |
| Delayed recall        | 0.404±1.513  | 0.012±0.943  | -0.037±0.601 | 1.679 | 0.190  |
| Picture completion    | 0.055±0.281  | 0.083±0.428  | 0.438±1.280  | 3.272 | 0.041* |
| Webster block         | 0.085±0.420  | -0.023±0.231 | -0.018±0.149 | 1.716 | 0.184  |

Note: \* $p < 0.05$

Abbreviation: MMSE, Mini-Mental state Examination.

**Table 4** Multiple comparison of cognitive decline rates among the 3 groups

| Dependent variable |     | Variable 1 | Variable 2 | Mean difference | Standard error | P      | 95% Confidence interval |             |
|--------------------|-----|------------|------------|-----------------|----------------|--------|-------------------------|-------------|
|                    |     |            |            |                 |                |        | Lower limit             | Upper limit |
| Verbal fluency     | LSD | APOE E2    | APOE E3    | 0.039           | 0.056          | 0.489  | -0.071                  | 0.148       |
|                    |     |            | APOE E4    | -0.112          | 0.071          | 0.118  | -0.252                  | 0.029       |
|                    |     | APOE E3    | APOE E3    | -0.150          | 0.058          | 0.011* | -0.265                  | -0.035      |
| Picture completion | LSD | APOE E2    | APOE E3    | 0.028           | 0.138          | 0.840  | -0.302                  | 0.246       |
|                    |     |            | APOE E4    | -0.383          | 0.178          | 0.033* | -0.734                  | -0.032      |
|                    |     | APOE E3    | APOE E4    | -0.355          | 0.145          | 0.016* | -0.642                  | -0.068      |

Note: \* $p < 0.05$ .

Abbreviation: LSD, least significance difference.

analysis, we found that the reduction rate of verbal fluency was not related to age, education, gender, BMI, hypertension, diabetes, hyperlipidemia, and *APOE* genotype ( $p > 0.05$ ). However, the reduction rate of webster picture completion was associated with *APOE* genotype ( $T=2.151, p=0.033$ ), while it had nothing to do with age, education, gender, BMI, hypertension, diabetes, and hyperlipidemia ( $p > 0.05$ ).

## Discussion

In this study, we explored the effects of *APOE* polymorphisms on cognitive performance in healthy aging adults. Different from other cross-section studies,<sup>15,36</sup> we conducted a longitudinal study to explore the effects of *APOE* genotype on holistic and specific cognitive function (by using MMSE and NTB). Meanwhile, we also controlled variables that might affect cognitive results, such as age, sex, education, physical disease (such as hypertension and diabetes) as well as baseline scores of cognitive function. We finally found that *APOE*  $\epsilon 4$  carriers had a greater cognitive decline rate in language function and executive function; however, there was no statistical difference in other areas of cognition, such as attention, working memory, visuospatial and visual memory. Therefore, we speculate that we

might predict the risk of future development of MCI and dementia by evaluating these subjects' language function and executive function.

Previous cross-sectional studies suggested that *APOE*  $\epsilon 4$  status might differentially affect various cognitive functions, such as episodic memory and executive functioning.<sup>37</sup> A recent longitudinal study indicated that *APOE*  $\epsilon 4$  allele carrier status was associated with an increased rate of cognitive decline on the domains of verbal memory and abstract reasoning in older healthy individuals.<sup>18</sup> And another study suggested that subjects with *APOE*  $\epsilon 4$  might be a deterioration in learning or acquisition performance with age.<sup>38</sup> However, other longitudinal studies found that *APOE*  $\epsilon 4$  was only associated with memory decline in subjects with cognitive impairment, but not in normally functioning subjects.<sup>39</sup> So the results of these studies were not very consistent.

There are several mechanisms to explain why *APOE*  $\epsilon 4$  can affect specific cognitive functions (executive function and language function). First, *APOE*  $\epsilon 4$  impairs neuronal insulin signaling by trapping insulin receptor in the endosomes,<sup>40</sup> which will exert a negative impairment on executive function.<sup>41</sup> Second, internal capsule, external capsule, and superior longitudinal



fasciculus were more closely related with executive function,<sup>42</sup> and the  $\epsilon 4$  allele will produce deleterious effects on white matter.<sup>43</sup> Third, observational studies in older humans indicate that elevation in cortisol is associated with smaller hippocampal volume and is a risk factor for greater decline in global cognition, executive functioning as well as verbal memory, and *APOE*  $\epsilon 4$  can modify the relation between cortisol and cognitive function such that the slopes of the adverse relations are steeper in the presence of the  $\epsilon 4$  allele.<sup>44</sup> Fourth, *APOE*  $\epsilon 4$  might contribute to the psychological symptoms, such anxiety, during AD progression.<sup>45</sup> And elevated anxiety symptoms will result in more rapid decline in several cognitive domains (global cognition, language, and executive function).<sup>46</sup>

Although previous longitudinal studies have explored the relationship between *APOE* and cognitive function, few studies have addressed specific cognitive areas. In our study, we not only explore the relationship between *APOE* and specific cognitive fields, but also take the normal adult elderly as the research object, which is one of the main innovations of this study. However, there are also containing some limitations: our study is a single center study, and the rate of missing or lost to follow-up is high. Moreover, it is only a one-year follow-up study that cannot observe the long-term effects of *APOE* on cognitive function.

## Conclusion

In conclusion, *APOE*  $\epsilon 4$  is associated with poor performance on tasks of language function and executive function in healthy elderly. Further research is required to determine whether strengthening the training of language function and executive function will delay the occurrence of cognitive impairment.

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## Disclosure

The authors report no conflicts of interest in this work.

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