

# Anaplastic lymphoma kinase inhibition in metastatic non-small cell lung cancer: clinical impact of alectinib

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**Abstract:** A subset of non-small cell lung cancer (NSCLC) tumors (5%) harbors an anaplastic lymphoma kinase (ALK) translocation that drives tumorigenesis. The clinically approved first-line treatment crizotinib specifically inhibits ALK and improves progression-free survival (PFS) in treated and untreated patients by 4 months compared to standard chemotherapy. While some patients relapse after crizotinib treatment due to resistance mutations in ALK, second-generation ALK inhibitors effectively induce tumor response and prolong PFS. Alectinib, a second-generation ALK inhibitor, has recently been approved for ALK-rearranged NSCLC after patients progressed on crizotinib. Alectinib is able to inhibit several crizotinib- and ceritinib-resistant ALK mutations in vitro. Furthermore, alectinib is a more potent tyrosine kinase inhibitor (TKI), with favorable safety profile, and has increased penetration into the central nervous system, inhibiting crizotinib-resistant brain metastases. The discovery of effective personalized therapies to combat ALK-rearranged NSCLC such as alectinib is an example of the importance of genomic profiling of NSCLC and provides an excellent template for future discoveries in managing these tumors.

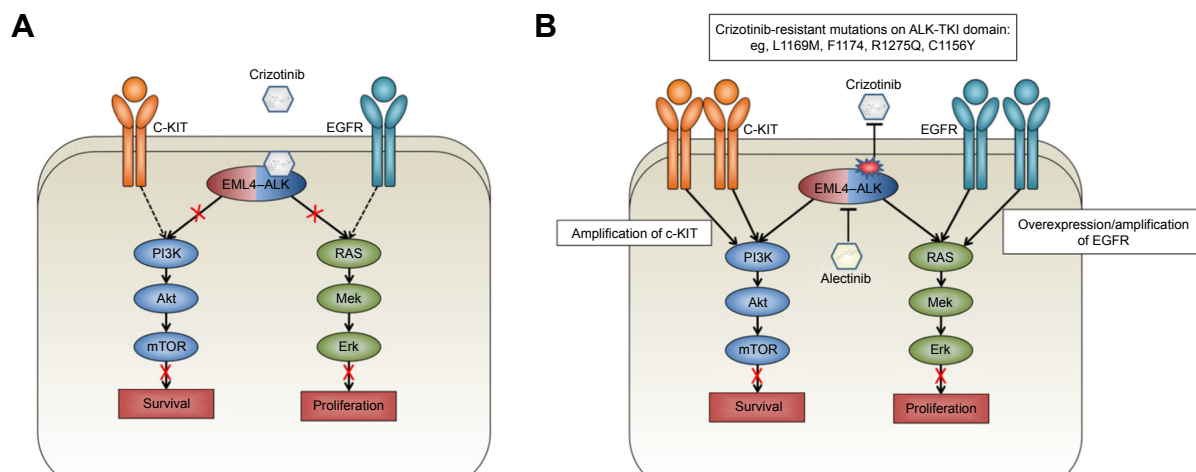
**Keywords:** crizotinib, acquired resistance, alectinib, anaplastic lymphoma kinase, tyrosine kinase inhibitors, non-small cell lung cancer

## Introduction

Lung cancer is the leading cause of cancer-related death worldwide, with ~1.6 million deaths reported globally in 2012.<sup>1</sup> More than 85% of these cases are diagnosed as non-small cell lung cancer (NSCLC) in which a subgroup of tumors, ~5%, exhibits a fusion-type protein kinase called echinoderm microtubule-associated protein-like 4 (EML4)–anaplastic lymphoma kinase (ALK). In these EML4–ALK-positive NSCLC patients, the EML4–ALK fusion protein is the dominant oncogenic driver. This constitutively active fusion protein originates from an inversion within the short arm of human chromosome 2.<sup>2,3</sup>

ALK belongs to the insulin receptor superfamily, and under normal conditions, ligand binding to ALK results in dimerization and subsequent transphosphorylation and downstream kinase signaling. Its structurally related ligands, pleiotrophin and midkine, play a role during the development of the nervous system and together are known as the neurite growth-promoting family.<sup>4</sup> The fusion oncogene EML4–ALK causes ligand-independent dimerization of ALK, resulting in constitutive downstream signaling of canonical ALK pathways. Downstream of ALK, the EML4–ALK fusion oncogene activates several canonical oncogenic pathways, including the PI3K pathway, the JAK/STAT pathway, and the RAS/MEK/ERK pathway (Figure 1).<sup>5</sup>

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**Figure 1** EML4-ALK pathways and the mechanism of resistance to crizotinib.

**Notes:** (A) Crizotinib binds to the ALK-TKI domain of the EML4-ALK fusion protein and inhibits downstream signaling of canonical oncogenic pathways such as the PI3K pathway and RAS pathway. (B) Acquired resistance to crizotinib occurs after crizotinib treatment due to mutations in ALK. In addition, amplification of C-KIT and/or increased EGFR signaling can cause progression, independent of EML4-ALK. Cells remain ALK driven, and alectinib successfully improves PFS by inhibiting several ALK mutations that cause crizotinib resistance.

**Abbreviations:** EML4-ALK, echinoderm microtubule-associated protein-like-anaplastic lymphoma kinase; ALK, anaplastic lymphoma kinase; TKI, tyrosine kinase inhibitor; PI3K, phosphoinositide 3-kinase; RAS, rat sarcoma protein; C-KIT, proto-oncogene C-KIT; EGFR, epidermal growth factor receptor; PFS, progression-free survival; mTOR, mechanistic target of rapamycin; Mek, mitogen-activated protein kinase; Erk, extracellular signal-regulated kinase.

## ALK inhibition in NSCLC and crizotinib resistance

Treatment of ALK-dependent NSCLC typically starts with crizotinib and more recently also with ceritinib. Crizotinib is a potent oral small-molecule tyrosine kinase inhibitor of ALK inhibitor, as well as c-MET and ROS1 kinases, that was first identified in 2010 by Kwak et al.<sup>6</sup> Oral administration of crizotinib resulted in an objective response rate (ORR) of 57% in previously treated NSCLC patients with ALK rearrangements.<sup>6</sup> In treatment-naïve ALK-positive NSCLC, crizotinib outperformed standard first-line chemotherapy, indicating a clear and effective strategy window for targeting ALK in this patient population. However, some patients failed to respond and in others, resistance occurred after an average of 11.3 months.<sup>7</sup>

Acquired resistance to crizotinib can be caused by additional target mutations of ALK, ALK gene amplification, or activation of alternative signaling pathways.<sup>2,3</sup> Mutations in ALK that confer resistance have been identified in up to 22% of crizotinib-resistant patients, of which the most important ones seem to inhibit binding of crizotinib to the ATP-binding pocket of ALK.<sup>8</sup> The lack of long-term benefit from crizotinib due to the acquisition of resistance has prompted the development of increasingly potent, selective, and brain-penetrant ALK inhibitors, with differential spectrum of activity against the most common resistance mutations. Table 1 contains an overview of the different resistance mutations reported in

recent literature and in which an ALK inhibitor is effective against these mutations.

Bypassing ALK signaling through increasing EGFR signaling *in vitro* can also cause crizotinib resistance. Approximately 50% of patients exhibit overexpression of EGFR when crizotinib resistance occurs.<sup>3</sup> A combination therapy of crizotinib and gefitinib can resensitize these cells and inhibit growth,<sup>9</sup> while a combination of erlotinib and crizotinib showed schedule-dependent synergism in several NSCLC cell lines, indicating a potential for combination therapy of ALK inhibitors and EGFR inhibitors.<sup>10</sup>

The most common site of relapse after crizotinib treatment is the central nervous system (CNS).<sup>11</sup> The predisposition toward CNS progression during crizotinib treatment is attributable to poor accumulation of the drug into the CNS since this drug can be readily effluxed from the CNS through P-glycoprotein (P-gp)-mediated transport. The limited penetration of the blood-brain barrier of crizotinib is supported by the low intracranial response rate (7% ORR) in ALK-rearranged NSCLC patients.<sup>12</sup>

## Preclinical efficacy of alectinib

Since resistance to crizotinib arises in all patients, several second-generation ALK inhibitors were developed to combat this resistance. After crizotinib, ceritinib gained approval as the second-line treatment, thus expanding the array of effective therapeutic options for ALK-positive NSCLC. The approval

**Table I** Resistance mutations in ALK

Mutation	Sensitive to			Reference		
	Crizotinib	Ceritinib	Alectinib	Preclinical <sup>a</sup>	Biopsy after progression on	Clinical response on second drug
I151Tins	–	–	+	18,39	NR	NR
L1196M	–	+	+	18,39	Crizotinib/ceritinib/alectinib <sup>19</sup>	NR
G1202R	–	–	–	18,39	Crizotinib/ceritinib/alectinib <sup>19</sup>	NR
G1269A	–	+	+	18,39	Crizotinib <sup>19</sup>	NR
S1206Y	–	+	+	18,39	Crizotinib <sup>19</sup>	NR
L1152R	–	–	+	18	NR	Ceritinib <sup>38</sup>
F1174L	–	NR <sup>b</sup>	+	18	Ceritinib <sup>19</sup>	NR
C1156Y	–	–	+	18	Crizotinib <sup>19</sup>	NR
I1171T <sup>c</sup>	–	+	(+)	18	Alectinib <sup>36</sup>	Ceritinib <sup>36</sup>
F1174C <sup>c</sup>	–	(+)	+	19	Ceritinib <sup>19</sup>	NR
L1152P	+	–	NR	39	NR	NR
V1180L	–	+	–	35	Alectinib <sup>19</sup>	NR
I1171T/N/S	–	+	–	35	Alectinib <sup>19</sup>	NR
F1245C	–	+	NR	NR	NR	Crizotinib <sup>34</sup>
G1123S	NR	–	+	NR	NR	Ceritinib <sup>37</sup>

**Notes:** Biopsy after regression: ALK-positive NSCLC was biopsied after progression. Biopsies were analyzed for ALK mutations. <sup>a</sup>Based on BA/F3 in vitro models. <sup>b</sup>Reported efficacy in neuroblastoma cells.<sup>40</sup> <sup>c</sup>Clinical reports indicate no efficacy of I1171T + alectinib and F1174C + ceritinib (between bracket results for ceritinib).<sup>19</sup>

**Abbreviations:** ALK, anaplastic lymphoma kinase; NR, not reported; +, sensitive; –, not sensitive.

of ceritinib was based on the positive data in the ASCEND-2 and ASCEND-3 studies, in which an increased ORR was found in both crizotinib-naïve and -resistant patients.<sup>13,14</sup> In the ASCEND-4 study, progression-free survival (PFS) was 16.6 months compared to 8.1 months in the platinum-therapy arm.<sup>15</sup> Alectinib (RO5424802/CH5424802) was designed specifically to be a more potent and selective anti-ALK therapy to bypass crizotinib resistance. Alectinib exhibits a threefold increase in in vitro ALK inhibition (53 nM alectinib versus 150.8 nM crizotinib).<sup>16</sup> In 2016, alectinib gained the US Food and Drug Administration (FDA) and European Medicines Agency (EMA) approval as a second-line therapy in ALK-rearranged NSCLC patients treated with crizotinib.<sup>17</sup> Alectinib is effective in treating several crizotinib-resistant mutations in ALK, including L1196M, F1174L, R1275Q, and C1156Y, in vitro. L1196M is considered the gatekeeper mutation in crizotinib-resistant mutants, and alectinib can selectively inhibit growth of L1196M-driven tumors.<sup>16,18</sup> In addition to bypassing crizotinib-resistant mutants in vitro, mouse models of human xenografts with crizotinib-resistant ALK mutations were sensitive to alectinib, which inhibited tumor growth.<sup>16</sup> Alectinib also showed in vitro efficacy against ceritinib-resistant ALK-mutant L1198F and moderate potency against the compound mutation D1203N+F1174C.<sup>19</sup>

Alectinib has increased potency with regard to penetration of the CNS, since it effectively inhibits the growth of ALK-positive CNS lesions in an intracranial tumor implantation model. In addition, alectinib is not transported

by P-gp-mediated transport and thus shows a high CNS-to-plasma ratio in this model.<sup>20</sup> The CNS is considered as a sanctuary site after crizotinib treatment in NSCLC patients, while brain metastases were reported in ~35% of patients at the time of study entry into the PROFILE 1007 trial. Therefore, CNS penetration is crucial in combating resistance after first-line crizotinib treatment.<sup>11,21</sup>

## Clinical efficacy of alectinib

In a single-arm, multicentre, open-label, Japanese Phase I–II study (AF-0001JP), patients were entered when positive for the ALK fusion gene, 24 for the Phase I and 46 for the Phase II part. In the last group, the ORR was 93.4% (complete response in two patients and partial response in 41 patients).<sup>22</sup> After entering the last patient, treatment was continued, and after 3 years, 25/46 patients were still receiving alectinib, reaching a 3-year progression-free survival (PFS) of 62% (95% confidence interval [CI], 45%–75%) and a 3-year overall survival (OS) of 78%. Importantly of the 14 patients with brain metastases at baseline, six remained without progression.<sup>23</sup> This study shows a long-term benefit of alectinib in non-selected ALK inhibitor-naïve patients.

In a single-line multicentre Phase II study (NP28761), alectinib showed an ORR of 48% in ALK-positive, crizotinib-resistant patients. The median PFS and duration of response (DOR) in these patients were 8.1 months (95% CI, 6.2–12.6 months) and 13.5 months (95% CI, 6.7 months to not reached), respectively.<sup>24</sup> A Phase II study (NP28673)

similarly showed efficacy of alectinib in a cohort of crizotinib-resistant, ALK-positive NSCLC patients with an ORR of 50%. The median PFS in this study was 8.9 months (95% CI, 5.6–11.3 months), similar to NP28761.<sup>25</sup>

Alectinib was also effective against human CNS metastasis. In the NP28761 study, alectinib showed an ORR of 75% (12/16) in crizotinib-resistant NSCLC patients with measurable CNS lesions.<sup>24</sup> The NP28673 study reported a CNS ORR of 57% in similar crizotinib-resistant patients.<sup>25</sup>

These studies suggest that alectinib is an effective treatment strategy in crizotinib-resistant NSCLC, in addition to ceritinib. The efficacy of alectinib corroborates the idea that crizotinib-resistant tumors stay dependent on ALK signaling, and this is especially interesting since both ceritinib and alectinib are able to inhibit ALK-positive NSCLC mutants harboring different resistance mutations.<sup>26</sup>

Furthermore, an open-label, randomized Phase III study called the J-ALEX trial compared the efficacy and safety of alectinib versus crizotinib in Japanese ALK-positive advanced or recurrent NSCLC patients with no prior ALK inhibition therapy. The report demonstrates that patients receiving alectinib had not yet reached the median PFS, while patients receiving crizotinib showed a median PFS of 10.2 months. Grade 3–4 toxicities were also less frequent in the alectinib arm (27%) compared to the crizotinib arm (52%).<sup>27</sup>

In addition to the J-ALEX trial, a Phase III randomized clinical trial that compared alectinib with crizotinib in treatment-naïve ALK-positive patients was recently concluded. The authors reported similar results regarding the superiority of alectinib versus crizotinib: lower chance of progression (41% vs 68%), higher 12-month event-free survival rate (68.4% vs 48.7%), lower rate of CNS progression (12% vs 45%), higher response rate (82.9% vs 75.5%), and less adverse events (41% vs 50%).<sup>28</sup> These results suggest a role for alectinib as a first-line treatment instead of (or in addition to) crizotinib. FDA approval for treatment in therapy-naïve ALK-rearranged NSCLC patients is still pending.

Other ALK inhibitors are also in development such as lorlatinib and brigatinib, the latter received regulatory approval recently.<sup>29</sup> A targeted therapeutic approach, aimed at elucidating the specific ALK mutations that cause resistance to crizotinib, could be of vital importance in the future of personalized NSCLC therapy.

## Safety profile of alectinib

The most common adverse events in the NP28761 and NP28673 trials were constipation (34%), fatigue (41%), peripheral edema (30%), and myalgia (29%).<sup>17</sup> Compared

to ceritinib, alectinib seems well tolerated. A much larger percentage of ceritinib-treated patients exhibited adverse events such as diarrhea (86%), nausea (80%), vomiting (60%), and abdominal pain (54%).<sup>30</sup> Alectinib-related deaths were reported in one patient in each study. In NP28761, a patient died of a treatment-related hemorrhage, and in NP28673, one patient died of intestinal perforation related to alectinib treatment. Although most patients tolerated the maximum dose of 600 mg alectinib (mean dose intensity of 97%), dose reductions in NP28761 and NP28673 were necessary in 16% and 21% of patients, respectively.<sup>24,25</sup> In the AF-001JP in which ALK-positive crizotinib-naïve patients received alectinib as first line, the drug was well tolerated with no grade 4 or 5 toxicity; serious adverse events were reported in 24.1% of the patients in the safety population and 21.7% of the patients in the Phase II. Onset was usually in the first 6 months and did not worsen in the following 3-year period. Only all-grade diarrhea onset occurred throughout treatment.<sup>23</sup> This study demonstrated that long-term alectinib was well tolerated.

## Pharmacokinetics of alectinib

Alectinib is metabolized by cytochrome P450 3A4 (CYP3A4), and its major active metabolite is M4. Both alectinib and M4 circulate in the plasma of alectinib-treated patients spiked with radioactive alectinib, constituting 76% of the total radioactivity in plasma from alectinib in the pharmacokinetic study evaluated by the FDA for registration (Table 2).<sup>31</sup> In patients with ALK-rearranged NSCLC treated twice daily with 600 mg alectinib, the geometric mean of maximum steady-state concentration ( $C_{max,ss}$ ) was 665 ng/mL for alectinib and 264 ng/mL for M4 with a coefficient of variation of 44% and 45%, respectively. These data suggest a high exposure of the drug compared to crizotinib (steady-state concentration of 100–135 ng/mL). The time to maximum concentration was 3–5 hours for alectinib and 5–10 hours for M4, while the time to reach steady state was 7 days for both. Similar to crizotinib and ceritinib, the majority of alectinib and M4 were bound to plasma protein (99% for both), and alectinib had a similar volume of distribution as ceritinib (4016 L for alectinib and 4230 L for ceritinib). Overall, the pharmacokinetic profile of alectinib is comparable to its predecessors crizotinib<sup>32</sup> and ceritinib.<sup>26</sup>

## Patient-focused perspectives

Alectinib showed a positive safety profile compared to crizotinib in the J-ALEX trial.<sup>27</sup> The most common adverse event in the alectinib arm was constipation (36%) while patients

**Table 2** Pharmacokinetics of ALK inhibitors

Parameter	Crizotinib <sup>26</sup> (250 mg daily)	Ceritinib <sup>30</sup> (750 mg daily)	Alectinib <sup>31</sup> (600 mg twice daily)	
			Alectinib	M4
$T_{max}$ (hours)	4–6	4–6	3–5	5–10
$T_{ss}$ (days)	15	15	7	7
$C_{ss}$ (ng/mL)	100–135	800	665	246
$AUC_{inf}$ (ng·mL/h)	2,192–2,946	NR	6,430	3,450
$T_{1/2}$ (hours)	42	41	33	31
Cl (L/h) single dose	100	88.5	N/A	N/A
Cl (L/h) steady state	60	33	81.9	217
$F$ (%)	43*	NR	36.9	NR
$f_b$ (%)	91	97	99	99
$V_d/F$ (L)	1,772 (50 mg IV)	4,230 (750 mg orally)	4,016	10,093
$R$	4.5	6.2	6	6
Excretion (unchanged)	NR (53% feces/2.3% urine)	NR (68% feces/1.3% urine)	98% feces/0.5% urine	
			84% feces	6% feces
Metabolization	CYP3A4/5	CYP3A	CYP3A4	CYP3A4

**Notes:**  $T_{max}$ , time to maximum concentration;  $T_{ss}$ , time to steady state;  $C_{ss}$ , steady state concentration (ng/mL;  $\mu$ M);  $AUC_{inf}$ , area under the curve from 0 to infinity;  $T_{1/2}$ , half-life; Cl, clearance;  $F$ , bioavailability;  $f_b$ , fraction bound to plasma protein;  $V_d/F$ , volume of distribution;  $R$ , accumulation ratio. \*Calculated by comparing IV and oral administration with the assumption that hepatic clearance was identical.

**Abbreviations:** NR, not reported; N/A, not applicable; IV, intravenous; CYP3A4/5, cytochrome P450 3A4/5; CYP3A, cytochrome P450 3A; CYP3A4, cytochrome P450 3A4.

receiving crizotinib displayed nausea (74%), diarrhea (73%), vomiting (59%), visual impairments (55%), dysgeusia (52%), and constipation (46%). Results on median PFS in the ALEX trial indicate that alectinib may become a first-line treatment for ALK-positive NSCLC patients.<sup>28</sup> The question then arises is; which ALK inhibitor should be given? It is important to note that different ALK inhibitors differ in their respective ALK resistance mutations after treatment. The importance of repeat biopsies upon progression may be different depending on which drug is given; the first-generation drug crizotinib or one of the second-generation drugs (ceritinib/alectinib). Gainor et al demonstrated that the refractory G1202R mutation is more common after progression on second-generation ALK inhibitors. Therefore, sequential treatment of tumors with ALK inhibitors may elicit unique responses.<sup>19</sup> In order to accurately treat the patient, genotyping of recurrent tumors is crucial. However, repeated biopsies are not always possible.

Developing noninvasive techniques such as genotyping of circulatory DNA (ctDNA) seems to be the way forward. The ability to screen the ctDNA for relevant ALK resistance mutations during progression would allow clinicians to adjust therapy strategy with minimal invasion, improving quality of care and patient outcome.

## Conclusion

It seems evident from the recent success of ceritinib and the fast-track FDA approval of alectinib that genomic profiling of NSCLC tumors is necessary to personalize the treatment

of ALK-positive lung cancer patients. Especially after progression on second-generation ALK inhibitors, different mutations may occur. While initial treatment of crizotinib can grant ALK-positive patients an extra year of PFS, treatment of resistant patients with a second-generation ALK inhibitor such as alectinib afterward can prolong this survival for an extra 8.1 months. In addition, the ideal start and sequence of ALK inhibitors still need to be examined. Each ALK inhibitor (including the recently approved brigatinib) exhibits its own molecular response, and continuous surveillance on resistance mutations is crucial for an effective treatment strategy. Depending on the type of crizotinib-resistant mutations, patients can now be offered the choice between two potent and effective ALK inhibitors, and other even more potent inhibitors are under clinical investigation. If similar drugs such as lorlatinib gain FDA approval, the arsenal to treat patients increases, improving long-term treatment strategies.

Of note, in a recent report, Shaw et al showed a remarkable resensitization of a patient being retreated with crizotinib. The patient exhibited ALK rearrangement and was treated initially with crizotinib. The patient became progressive and was treated with chemotherapy and second-generation ALK inhibitor ceritinib. However, the patient appeared to be resistant to ceritinib and was given lorlatinib, a third-generation ALK inhibitor. After an initial response to lorlatinib, the patient became lorlatinib resistant and developed an L1198F mutation in ALK. Surprisingly, this mutation conferred an increase in sensitivity to crizotinib, and the patient was



subsequently treated again with crizotinib and went back into remission.<sup>33</sup>

Genomic profiling of NSCLC patients could therefore result in a treatment strategy where not only a personalized sequence and combination of drugs are administered but it might also form the basis for transforming ALK-positive NSCLC into a chronic disease instead of a fatal one.

## Disclosure

The authors report no conflicts of interest in this work.

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