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ORIGINAL RESEARCH

Residual cardiovascular risk in patients who received lipid-lowering treatment in a real-life setting: retrospective study

Valentina Perrone Diego Sangiorgi Stefano Buda Luca Degli Esposti

CliCon S.r.l., Health, Economics & Outcomes Research, Ravenna, Italy Introduction: The objective of this study was twofold: 1) to assess the residual cardiovascular (CV) risk among patients treated with statins according to guidelines and at the recommended dosages; and 2) to assess the difference, if any, in the frequency of CV events when patients were treated with other lipid-lowering agents alongside statins.

Methods: A retrospective observational study including one local health unit was conducted. Administrative databases were linked to laboratory test database in order to collect cholesterol values at baseline. Patients were included if they had filled at least one prescription for statins between January 1, 2009 and December 31, 2011; patients' records were considered for a 12-month time span.

Results: A total of 27,330 patients treated with statins were included (50% male, mean age 68.0±11.5 years). Among them, 770 were treated with statins according to guidelines and at the recommended dosages and had a low density lipoprotein-cholesterol value below the therapeutic target. Nevertheless, the risk of myocardial infarction or stroke remained: incidence rates were 1.3±1.0 per patient per year for moderate CV risk, 4.1±2.6 for high risk, and 12.5±11.0 for very high risk. This incremental risk was confirmed further using the Cox model, by correcting for age, sex, use of antiplatelet and/or antihypertensive therapy, and adherence to treatment. As a second analysis, we compared, after a propensity score matching, patients extracted from the overall sample who were treated with fibrates. Based on the Cox model, patients on fibrates had a risk for myocardial infarction or stroke lower than patients on statins.

Conclusion: Among patients treated with statins according to guidelines and at the recommended dosages, a residual CV risk was observed. We concluded that intervention for managing residual CV risk during statin therapy should be implemented.

Keywords: lipid lowering treatment, real-world data, residual cardiovascular risk

Introduction

Cardiovascular disease (CVD) is an important global public health problem that is associated with adverse health outcomes and high health care costs. CVD is a major cause of mortality and morbidity worldwide; in Europe, it accounts for over 4 million deaths each year.² The guidelines for the prevention of CVD consider this disease as the product of several risk factors, such that when properly managed, CVD mortality can be reduced.3,4

Many international guidelines recognize low-density lipoprotein cholesterol (LDL-C) as a primary target for lipid-lowering therapies.⁵ Statins are the first-line therapy for lowering LDL-C levels in blood;⁵ studies have shown that whereas treatment with statins reduces the rate of cardiovascular (CV) events, it is not fully abated and a considerable

Correspondence: Luca Degli Esposti CliCon S.r.l., Health, Economics & Outcomes Research, Via Salara, 36 - 48100 Ravenna, Italy Tel +39 544 38393 Fax +39 544 212 699 Email luca.degliesposti@clicon.it

residual risk remains even when achieving LDL-C levels at or below recommended targets.^{6,7}

This is not due to failure in adherence to statin treatment. Studies in "real-world" populations and systematic reviews have shown that adherence to medication positively correlated with reduced CV risk, significantly improved health outcomes, and reduced annual costs;^{8,9} even in patients sufficiently compliant with statin treatment, a residual risk of about 69% persisted, yet; this incomplete reduction of risk might also result in ongoing progression of disease.⁷

When patients do not show an adequate response to statin therapy, the guidelines recommend increasing the dosage of statins or to combining statins with another lipid-lowering drug.¹⁰ The evidence for statin combination therapy in improving CV outcomes remains inconclusive.¹¹

The aim of this study was to assess the residual CV risk among patients treated with statins according to guidelines and at the recommended dosages, and to assess the possible improvement in CV risk yielded by addition of another lipid-lowering agent alongside statins.

Methods

Data sources

The study was based on administrative databases of one Italian local health unit (LHU), based in Emilia Romagna, which included ~290,000 health-assisted individuals.

In particular, the following databases were used: the health-assisted subjects' database, containing patients' demographic data; medications prescription databases, providing information for each medication prescription, such as the anatomical-therapeutic-chemical (ATC) code of the drug purchased; hospital discharge database, which includes all hospitalization data with the discharge diagnosis codes classified according to the International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM); the clinical laboratory database, containing cholesterol value and the dates on which these were performed.

The patient code in each database permitted electronic linkage with all other databases. No identifiers related to patients were provided to the researchers. According to the Italian law for confidentiality of data, the study was notified to the local Ethics Committee of the LHU.

Cohort definition

This is a retrospective cohort study that includes all prescription and hospitalization data during the study period for statin users aged ≥ 18 years.

Patients were enrolled if between January 1, 2009 and December 31, 2011 (enrolled period), they received one prescription for any statin drug (simvastatin [ATC code: C10AA01], lovastatin [ATC code: C10AA02], pravastatin [ATC code: C10AA03], fluvastatin [ATC code: C10AA04], atorvastatin [ATC code: C10AA05], rosuvastatin [ATC code: C10AA07], or simvastatin and ezetimibe [ATC code: C10BA02]). The date of first prescription of statin was identified as the "index-date", which represents the enrollment day of each individual patient, who was then followed for 1 year ("follow-up period"). Patients who were transferred to another LHU during the follow-up period (1 year to start enrolled data) were excluded from analysis.

Patient characteristics

The clinical characteristics and all prescription drugs of the patients enrolled in this study were investigated in the 1-year period before the index-date (characterization period).

Patients were classified as at high CV risk if they received treatment or hospitalization for diabetes (defined as at least two prescriptions of antidiabetic drugs [ATC code: A10] or at least one hospitalization with a diagnosis of diabetes [ICD-9-CM code: 250]) or had a traceable record of CV risk (previous hospitalization for acute cardiac ischemia [ICD-9-CM code: 411], angina pectoris [ICD-9-CM code: 413], chronic cardiac ischemia [ICD-9-CM code: 414]; cerebral hemorrhage [ICD-9-CM code: 431]; cerebral artery occlusion [ICD-9-CM code: 434]; transient cerebral ischemia [ICD-9-CM code: 435]; cerebral circulatory disorders [ICD-9-CM code: 436]; atherosclerosis [ICD-9-CM code: 440]; other peripheral vascular diseases [ICD-9-CM code: 443], or were treated with aspirin [ATC code: B01AC06] associated with beta-blockers [ATC code: C07] and/or ACE inhibitors [ATC codes: C09A, C09B]). Patients were classified as at very high CV risk if they had diabetes associated with coronary heart disease (previous hospitalization for acute cardiac ischemia and/or chronic coronary angioplasty [ICD-9-CM code: 0066, 360]) or myocardial infarction (ICD-9-CM code: 410) or old myocardial infarction (ICD-9-CM code: 412). Patients were classified as at low/moderate CV risk on the basis of absence of claims with all ICD-9-CM codes used to define high and very high CV patients.

Patients in the analysis were characterized both for their baseline LDL-C levels, defined as the last value of cholesterol available in the 6 months before the index-date, and for the LDL-C level in the 6 months before the date of end of observation period (CV event or end of follow-up). Such measurements are needed both for classifying each patient

based on the need for reduction of the cholesterol level, and to evaluate the achievement and not the therapeutic target. The distance from target was consequently defined as baseline LDL-C – LDL-C target/LDL-C at baseline \times 100.

The therapeutic target of LDL-C was set at 70 mg/dL for very high-risk patients, 100 mg/dL for high-risk patients, and 130 mg/dL for moderate-risk patients.

Based on current national guidelines for the management of dyslipidemia, patients were characterized on the basis of the prescribed dosage and statin, assessing the ability to achieve the target lipid in relation to the level of LDL at baseline.¹²

In order to evaluate the residual CV risk, we considered only patients with LDL-C levels at baseline and undergoing appropriate pharmacological treatment (statin and dosage adjusted to the initial level of LDL-C and adhering to treatment).

The patients were also characterized based on treatment with fibrates at baseline (ATC code: C10AB), antihypertensive drugs (ATC codes: C02, C03, C07, C08, C09), or antiplatelet agents (ATC code: B01). During the follow-up period, the first hospitalization for CV reasons (ICD-9-CM codes: 410, 411, 413, 414) or stroke (ICD-9-CM codes: 431, 434) was also evaluated.

Assessment of adherence

Adherence to statin therapy was estimated by calculating the proportion of days covered according to the method used by Catalan and LeLorier. A treatment episode was measured as the time span between the starting day of the first statin dispensation until the end day of the supply for the last statin dispensation. The latter included a permissible gap after the

final dispensing within the specific episode. Patients were defined as adherent if $\geq 80\%$ of the follow-up period was covered by drug dispensation.

Statistical analysis

Continuous variables are given as means with standard deviations; categorical variables are shown as percentages and absolute numbers. Student's *t*-test and chi-square tests were used to compare continuous and categorical values.

The determination of the outcomes was based on the incidence rates as events per 100 person/year and their confidence intervals at 95%; we also used a multivariate model to Cox proportional hazards; Schoenfeld residual analysis was used to validate the proportional hazards assumption.

To minimize selection bias, survival analyses of multivariate comparison between patients with or without the addition of fibrates were carried out using a pairing 1:1 through propensity score. The propensity score was calculated using a logistic regression model that takes into account the probability of being treated with fibrates according to the characteristics at baseline for each patient (sex, age, previous treatment with antihypertensive and/or antiplatelet drugs, adherence to statins, and level of CV risk).

The Hosmer–Lemeshow and the C-statistic tests were used to evaluate the calibration and the discriminatory power of the model. The patients were then matched 1:1 within each quintile of propensity score. *P*-values <0.05 were considered statistically significant.

All statistical analyses were performed using the STATA software version 12.0 (Stata Corporation, College Station, TX, USA).

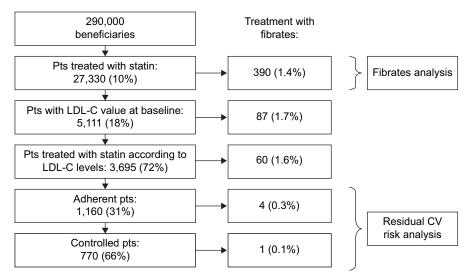


Figure 1 Flowchart of patients in analysis.

Abbreviations: CV, cardiovascular; LDL-C, low-density lipoprotein; Pts, patients.

Results

The patients in analysis are summarized in the flowchart shown in Figure 1. A total of 27,330 patients treated with statin were included (10% of the enrolled patient population), with a mean age of 68.0±11.5 years, of whom 13,690 were males (50%) (Table 1). In all patients included in this study, the CV risk was 55%, 34%, and 11% for levels of moderate, high, and very high risk, respectively.

Among the patients, 5,111 presented a LDL-C measurement at baseline (18% of the total); of whom 3,695 (72% of patients with a LDL-C measurement) were also treated with a statin and dosage adjusted to reach LDL-C in the range accepted as therapeutic target (Figure 1).

A total of 1,160 patients were adherent to statins and reached the target value as recommended in the guidelines in routine clinical practice (Table 2); 55% were males and the mean age was 68.1±10.5 years. The CV risk was found to be moderate in 52% of cases, high in 35%, and very high in 13% (Table 2).

Despite these patients using the drug appropriately, there was an increase in the number of CV events with increasing level of CV risk: incidence rates were 1.0±0.8, 4.3±2.1, and 9.6±5.2 events per 100 person/year for levels of moderate, high, and very high risk, respectively (Figure 2). For descriptive purposes, we considered nonadherent patients treated with statin and at an appropriate dosage; incidence rates were 3.8±0.9, 10.5±2.6, and 69.8±15.9 events per 100 persons/year for levels of moderate, high, and very high risk, respectively (Figure 2).

Table I Characteristics of the study population

	Total	
N	27,330	
Male, n (%)	13,690 (50)	
Age (years)	68.0±11.5	
CV risk, n (%)		
Moderate	15,132 (55)	
High	9,210 (34)	
Very high	2,988 (11)	
Adherent	7,998 (30)	

Abbreviation: CV, cardiovascular.

Table 2 Characteristic of adherent and controlled patients

	Adherent	Adherent and controlled
N	1,160	770
Male, n (%)	648 (55)	428 (55)
Age (years)	68.1±10.5	68.0±10.0
CV risk, n (%)		
Moderate	603 (52)	474 (62)
High	409 (35)	251 (33)
Very high	148 (13)	45 (6)

Abbreviation: CV, cardiovascular.

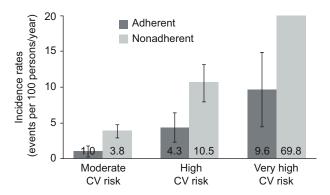


Figure 2 Incidence of CV events according to the level of risk among adherent and nonadherent patients.

Notes: Among adherent patients, the incidence rates were: 1.0±0.8, 4.3±2.1, and 9.6±5.2 events per 100 person/year for levels of moderate, high, and very high risk, respectively. Among nonadherent patients, the incidence rates were 3.8±0.9, 10.5±2.6, and 69.8±15.9 events per 100 persons/year for levels of moderate, high, and very high risk, respectively.

Abbreviation: CV, cardiovascular.

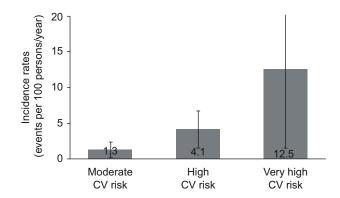


Figure 3 Incidence of CV events according to the level of risk among controlled patients.

Notes: Incidence rates were 1.3±1.0, 4.1±2.6, 12.5±11.0 events, respectively, per 100 persons/year for levels of moderate, high, and very high risk, respectively **Abbreviation:** CV. cardiovascular.

From this adherent and controlled group, 770 patients were extracted who had achieved the lipid target (66% of patients with LDL-C measured at baseline and with an appropriate drug treatment) with a mean age of 68.0±10.0 years, 428 patients (55%) were males (Table 2). The baseline characteristics of adherent patients and adherent patients who achieved the lipid target appeared to be comparable with however a slightly lower CV risk: moderate in 62% of cases, high in 33%, and very high in 6% (Table 2), with incidence rates of 1.3±1.0, 4.1±2.6, 12.5±11.0 events, respectively, per 100 persons/year (Figure 3).

An analysis of both groups using the multivariate Cox model revealed for adherent patients a 2.5-fold increment in risk in high- versus moderate-risk patients and fivefold increment in risk in very high- versus moderate-risk patients. Sex analysis revealed that the risk of CV events or stroke was 2.5-fold higher in males than females. We observed similar results for adherent patients who achieved the lipid

Table 3 Multivariate model regression

	Adherent			Adherent and controlled			
Male	HR 2.643	95% CI 1.126, 6.207	P 0.026	HR 2.516	95% CI		P
					0.813	7.790	0.110
Age (years)	1.017	0.981, 1.054	0.356	1.006	0.961	1.053	0.803
CV risk							
Moderate	REF			REF			
High	2.570	0.933, 7.081	0.068	1.914	0.628	5.831	0.254
Very high	5.135	1.795, 14.692	0.002	4.969	1.358	18.178	0.015
Antihypertensive drugs at baseline	5.639	0.721, 44.084	0.099	_	_	_	_
Antiplatelet drugs at baseline	1.278	0.438, 3.729	0.654	1.215	0.347	4.247	0.761

Note: Adherent and controlled patients.

Abbreviations: CI, confidence interval; CV, cardiovascular; HR, hazard ratio; REF, reference.

Table 4 Characteristics of the study population (statin alone and statin plus fenofibrate)

	No fibrates	Fibrates	P	No fibrates, pairing 1:1	P
N (%)	26,940 (98.6)	390 (1.4)		390	
Male, n (%)	13,491 (50)	199 (51)	0.743	200 (51)	1.000
Age (years)	68.0±11.5	64.6±11.3	<0.001	64.4±11.7	0.808
CV risk, n (%)			0.002		0.446
Moderate	14,885 (55)	247 (63)		243 (62)	
High	9,093 (34)	117 (30)		128 (33)	
Very high	2,962 (11)	26 (7)		19 (5)	
Adherent	7,960 (30)	38 (10)	<0.001	44 (11)	0.559

Abbreviation: CV, cardiovascular.

target, a twofold increment in risk in patients at high versus moderate risk (despite not reaching statistical significance, due to the small number of patients in this risk class) and fivefold increment in risk in patients at very high risk versus moderate risk (Table 3).

Within the overall group of patients receiving statin therapy, 390 patients (1.4%) at baseline took fibrates in addition to statins (Figure 1); of these, the main age was 64.6±11.3 years and 51% of patients were male (Table 4). Among patients treated with fibrates, we observed a slightly lower CV risk, with percentages of 30% and 7% for levels of high and very high risk, respectively. In terms of adherence to the therapy, the group of patients treated with fibrates showed levels lower than those of patients on statins only, with a percentage of adherent patients at 10%, against 30% of the total sample; after pairing via propensity score, these differences were, however no longer observed (Table 4).

An analysis with the multivariable Cox model showed that positively related to the risk of a CV event or stroke were the following covariates: male (with an increase in risk of 3.7 versus females), very high CV risk (with an increase in risk of 3.1 versus moderate CV risk), age (with a risk increase of 4% for each year of life), and use of fibrates with a risk

Table 5 Multivariate model regression

	HR	95% C		P	
Male	3.704	1.638	8.377	0.002	
Age (years)	1.038	1.004	1.072	0.028	
CV risk					
Moderate					
High	1.414	0.600	3.330	0.428	
Very high	3.123	1.009	9.663	0.048	
Fibrate	0.452	0.224	0.912	0.027	
Adherence >80%	0.326	0.077	1.386	0.129	
Antihypertensive drugs at baseline	1.349	0.508	3.584	0.548	
Antiplatelet drugs at baseline	0.943	0.390	2.284	0.897	

Note: Patients in treatment with fenofibrate and statin.

Abbreviations: CI, confidence interval; CV, cardiovascular; HR, hazard ratio.

reduction (hazard ratio =0.452 [confidence interval 95% 0.22, 0.91], P=0.048) (Table 5).

During the follow-up period, 1,774 CV events (6.5–7.2 per 100 persons/year) occurred among the group of patients treated with statins and 12 CV events (1.4–4.9 per 100 persons/year) among the group of patients treated with statins and fibrates, respectively.

Discussion

This retrospective analysis in a "real-world" setting showed that about 10% of all patients in the study were users of statin therapy. The European Society of Cardiology has shown that the use of lipid-lowering drugs has massively increased in patients diagnosed with CV conditions.^{2,14} According to previous studies, statins are used extensively in most European countries, with an average annual increase in the rate of usage of 31%.^{15,16} In Italy, the rate has more than tripled, increasing from 25% of patients in 1995/1996 to 91% of patients in 2006/2007.²

According to guidelines and recommendations for dyslipidemia treatment, statin therapy should be initiated according to an individual's risk of CVD, and based on LDL-C baseline levels and the defined LDL-C target level. Despite the efficacy of the current statin-based therapies, several studies have observed that many patients treated with statins do not reach the therapeutic goal while remaining at risk of future CV events.¹⁷

Our results are in agreement with these previous analyses, as they show that the incremental risk of CV events increased in high-risk patients despite statins having been prescribed in agreement with guidelines and patients adhering to therapy.

Our results also show that, in patients who discontinued treatment, the risk of CV events was higher than in patients adherent to treatment. This is in line with the results of a number of studies that analyzed the effect of adherence to statin treatment and health outcomes. 8,18-20 A recent Italian retrospective analysis estimated that suboptimal adherence to statins occurred in a substantial proportion of newly treated patients, and was associated with increased risk of adverse health outcomes. 21 This suggests that interventions to improve adherence, especially in chronic conditions, need to be broadly applied.

Another important finding in this study is the observation that patients who achieve a target for LDL-C, in the setting of optimal LDL-C reduction, had a risk of CV events lower than patients who did not achieve these goals. Serum lipid goal achievement in patients included in this study was based on the most recent European Society of Cardiology/European Atherosclerosis Society guidelines for the management of dyslipidemias.⁵

The residual CV risk may in part be attributable to low high-density lipoprotein cholesterol, high triglyceride levels, and patients' characteristics such as genetic traits and behaviors. Several studies have shown that increasing high-density lipoprotein cholesterol and reducing the concentration of triglycerides is a valuable strategy to address this residual risk. Indeed, it has been reported that triglycerides were reduced further or even eliminated by approaches that involved the addition of a concomitant lipid-lowering therapy with a mechanism of action additive to that of statins. Combined fibrate/statin therapy is the treatment of choice as it is effective in achieving comprehensive lipid control and may lead to additional CV risk reduction. CV risk reduction.

In our study, the sample of patients receiving a combined fibrate/statin therapy was small. Despite this, a multivariate analysis indicated that these patients had a reduced risk of CV events. The evidence for the combined fibrate/statin therapy in improving CV outcomes in dyslipidemic patients while still inconclusive is encouraging further studies; in particular, the efficacy of therapies combining statins with fibrates, niacin, bile acid sequestrants, and ezetimibe in patients at high CVD risk, as well as potential new

treatments, including new lipid-modifying drugs currently under investigation or recently approved should be investigated, and in a real-life setting.

Our results should be interpreted in the context of the several limitations of this study. First, the primary limitation is the observational nature of the study design. Second, shared by other similar observational studies, the absence of the relevant clinical information in the data setting. The severity of disease or the reasons for no adherence of treatment of the patients are not retrievable from the dataset. In addition, our study did not collect data related to patient lifestyle (as information on smoking), genetic predisposition to CVD, or the presence of other risk factors likely to modulate the risk of a new event; these factors, could act as confounders of the association between statin therapy and CV outcomes. In general, administrative database analyses limit the interpretation of results depending on the information available. Third, the small sample size in fact, the analyses were performed using the databases of one LHU; hence the results of this study have to be interpreted with caution and further larger studies are required to confirm these results. Fourth, the relatively small sample size for patients in treatment with fibrates and the lack of LDL-C levels for these patients. Finally, the out-of-hospital death events are not retrievable from the dataset.

Our findings nevertheless provide evidence for residual CV risk despite statin-induced optimal LDL-C reduction per existing treatment guidelines. In these circumstances, since the origin of residual risk is multifactorial, the adoption of individual patient management should be considered as a serious option to reach therapeutic goals.

Disclosure

The authors report no conflicts of interest in this work.

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