#### 8 Open Access Full Text Article

# REVIEW

I.

# Neurodevelopmental delay in pediatric HIV/AIDS: current perspectives

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Abstract: The effects of HIV on the developing nervous system of perinatally HIV (PHIV)infected children are substantial, yet poorly understood. While the introduction of combination antiretroviral therapy (cART) reduced the prevalence of HIV encephalopathy, many cARTtreated PHIV-infected children still present with neurodevelopmental delays and cognitive impairment. The underlying pathogenesis may partially differ from that in adults, as HIV not only causes direct injury to the central nervous system (CNS) but may also impede the development of the pediatric brain. Increasing evidence implies significant roles for ongoing neuroinflammation, vascular dysfunction and hypercoagulability induced by HIV despite adequate viral suppression. Subsequent manifestations of HIV in the pediatric brain include gray-matter volume reduction, white-matter lesions, and basal ganglia calcifications, but even in the absence of such macrostructural changes, the detrimental effects of HIV are clearly revealed in widespread microstructural changes, such as poorer white-matter integrity and alterations in cerebral metabolites and blood flow. Viral suppression on cART evidently does not fully protect against cerebral injury, but knowledge concerning the pharmacokinetics and toxicity of cART in the pediatric CNS is lacking. Investigations combining neuropsychological assessment, multimodal neuroimaging, and laboratory evaluation of inflammatory and neurodegenerative markers could greatly increase our understanding of the pathogenesis of HIV in the developing nervous system and improve treatment and prevention strategies. Longitudinal research will be crucial to observe the long-term consequences of CNS exposure to HIV and cART in PHIVinfected children as they survive into adulthood.

**Keywords:** perinatally HIV-infected children, cognitive functioning, neuroinflammation, cerebral injury, cART

# Introduction

HIV affects an estimated 3.2 million children worldwide, and the majority of the 240,000 newly infected children are perinatally HIV (PHIV)-infected.<sup>1</sup> The virus can enter the central nervous system (CNS) within days after primary infection, posing a major threat to neurodevelopment.<sup>2</sup> Indeed, without combination antiretroviral therapy (cART), 20%-50% of PHIV-infected children developed HIV-related encephalopathy.<sup>3</sup> These children showed severe progressive neurological impairment or arrested neurodevelopment, including failure to attain or loss of developmental milestones, impaired brain growth, and motor deficits.<sup>4</sup> Initiation of cART, which combines multiple antiretroviral agents from at least two drug classes, has been shown to decrease the incidence of HIV encephalopathy to under 2%.<sup>3</sup> Nonetheless, many PHIV-infected children still show neurodevelopmental delay or neurocognitive impairment, which are thought to represent milder forms of HIV encephalopathy.5

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The pathogenesis of neurodevelopmental delay in PHIVinfected children is not yet fully understood. As the nervous system and immune system, which should control viral replication, are still developing in children,<sup>6</sup> and the clinical features of pediatric HIV encephalopathy differ from adult HIV-associated dementia (HAD), the underlying mechanisms of neurological pathogenesis may in part differ from that of adults.<sup>4,7</sup> To identify further the devastating effects of HIV infection in the developing CNS in children, recent investigations have focused on inflammation, coagulation, and vascular dysfunction, as well as microstructural deviations assessed by multimodal neuroimaging. This review seeks to summarize current insights in the pathogenesis and evaluation of neurodevelopmental delay in PHIV-infected children and adolescents in the era of cART.

# **Clinical features**

Neurodevelopmental delay spans motor, language, and cognitive domains, and affects HIV-infected children in both high-resource and low-resource settings. However, reported rates and severity of developmental delay are highly variable, due to inconsistency among tests and outcome measures, as well as population differences in age, immune status, and cART regimens.<sup>8,9</sup> Furthermore, PHIV-infected children are often exposed to multiple other risk factors for neurodevelopmental delay, both physical (eg, prematurity, low birth weight or head circumference, stunting, wasting) and psychosocial (eg, low socioeconomic status, parental or caregiver unemployment, lower education level, or drug abuse), emphasizing the necessity of a well-matched control group for comparisons on HIV-related disease.<sup>8,10</sup>

Impaired motor development is found in up to 67% of PHIV-infected infants stable on cART when compared to either HIV-exposed but uninfected children, HIV-unexposed children, or national norms.<sup>11–14</sup> The severity of these motor inadequacies varies from within normal range to more than two standard deviations below the population mean.<sup>12–14</sup> Loss of motor function is less evident in older children, but subtle strength and fine-motor impairments have been reported in clinically stable school-age PHIV-infected children, both cART-naïve and -experienced.<sup>15,16</sup>

General cognition is significantly affected from an early age onward. PHIV-infected infants, school-age children, and adolescents show poorer cognitive performance when compared to controls or national norms, with rates of severe impairment (IQ <70 or scores more than two standard deviations below population mean) ranging from 16% to 46%.<sup>5,15,17</sup> Additionally, PHIV-infected children display variable deficits in all subdomains of cognitive functioning, ie, processing speed, attention, and working memory, perceptual and visuospatial performance, and executive functioning,<sup>5,16,17</sup> even when general cognition is within the normal range.<sup>18–20</sup> Overall, processing speed, memory, and attention appear to be most severely affected.<sup>21</sup>

Language abatement is also seen in cART-treated PHIVinfected children of all ages. Both expressive and receptive language skills are poorer when compared to controls.<sup>15,22–25</sup> Of note, this specific language disorder is independent of the presence of hearing deficits and lower general cognitive functioning as risk factors for language impairment.<sup>26</sup>

#### Impact

Few studies describe the consequences of impaired neurodevelopment on daily functioning of PHIV-infected children. Generally, a negative impact of HIV infection on school performance is implied by poor school results, failing or repeating grades, and high rates of special education.<sup>5,24,27</sup> However, school performance is strongly influenced by many other factors, such as increased absence from school due to illness, hearing loss, family circumstances, socioeconomic factors, and caregiver education or intelligence, making the direct effect of HIV difficult to determine.<sup>21,27</sup> There is also a strong association between poorer neurocognitive functioning and depression, conduct disorders, and increased risk-taking behavior in PHIV-infected adolescents, which may further negatively affect their health and academic performances.<sup>21,28</sup>

# HIV-related risk factors In utero exposure

Pediatric HIV studies often use HIV-exposed but uninfected children as a control group. However, several studies show that these children also have poorer cognitive performance when compared to HIV-unexposed children, suggesting that in utero exposure to HIV during pregnancy may already negatively affect later neurodevelopment.<sup>23,24,29</sup> It is known that HIV can invade the fetal CNS,<sup>30</sup> although it is unclear how this relates to future HIV serostatus or cerebral injury. PHIVinfected children that developed encephalopathy later in life were found to have a smaller head circumference at birth compared to nonencephalopathic PHIV-infected children, also indicative of a prenatal disease process.<sup>4</sup> Studies evaluating a potential protective effect of in utero exposure to maternal cART use report contrasting outcomes regarding neurodevelopment.<sup>12,31</sup> Research on the consequences of in utero exposure to HIV and cART is further complicated

by the frequent presence of confounders, such as maternal substance abuse, prematurity, and low birth weight.<sup>32,33</sup>

## HIV disease severity

The poorest neurocognitive outcomes are seen in HIVinfected children with a history of higher disease severity, indicating a significant contribution of residual HIV-induced cerebral injury to neurodevelopmental delay at a later age. Indeed, having experienced a Centers for Disease Control and Prevention class C event has been associated with lower scores in general cognition, processing speed, and verbal performance.<sup>5,24,34</sup> This is in line with the finding of poorer outcomes in HIV-infected children with lower nadir CD4+ T-cell measurements and higher zenith viral load, although this association is not consistently found, possibly due to small study groups.<sup>5,34,35</sup> Younger age at HIV diagnosis is also associated with higher rates of neurodevelopmental delay,<sup>36,37</sup> and encephalopathy was found to occur more frequently in PHIV-infected children when compared to adults after similar duration of HIV infection.<sup>4</sup> Overall, these findings strongly suggest that perinatally infected children are more susceptible to CNS damage caused by HIV infection than older children or adults.

In contrast to historical markers of HIV disease severity, HIV load and CD4+ T-cell count show less consistent correlations with neurocognitive performance, 15,26,38 and some recent studies in children stable on cART did not detect this correlation at all.5,24 While HIV load and CD4+ T-cell count are commonly used as indicators of systemic HIV disease severity, to which the CNS may be exposed, they may not be a reliable indicator of HIV impact on the CNS. Systemic HIV load does not always represent cerebrospinal fluid (CSF) HIV load, which may be a better marker for cerebral HIV disease. However, studies have failed to show an association between CSF viral load and neurocognitive functioning in the setting of adequate viral suppression with cART.<sup>39,40</sup> This could be due to insensitivity of commonly used detection tests or CSF viral load being an imperfect proxy for brain-tissue HIV replication, but may also indicate more prominent roles for other pathogenic mechanisms in chronic HIV-induced cerebral injury.40

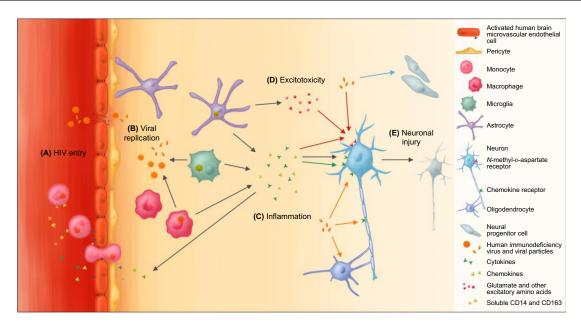
# Neuropathogenesis

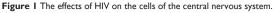
Neurodevelopmental delay in pediatric HIV disease could be considered the mild end of the spectrum of HIV encephalopathy, with similar pathogenic mechanisms, but limited in severity by the improved treatment strategies that have become available over the last few decades.<sup>32</sup> Several hypotheses concerning the neuropathogenesis of HIV exist, albeit largely based on histopathological studies in pre-cART adult populations.

Early HIV infection may lead to a degree of irreversible damage before the initiation of effective cART, which could account for some of the neurological deficits persisting over time.<sup>41</sup> The contribution of this legacy effect is supported by the predictive value of historical HIV disease markers. In a chronic state, HIV infection may continue to injure the CNS even after HIV load suppression is achieved with cART. Firstly, the CNS is an anatomically distinct compartment in which HIV can escape therapy, allowing independent replication, genetic evolution, and continuing injury within the CNS. Detectable intrathecal HIV load despite systemic viral suppression has indeed been reported in up to 10% of both asymptomatic and neurologically symptomatic adults on cART, and discordance between plasma and CSF HIV genetic strains has been detected in adults and children on cART.<sup>39,42,43</sup> Secondly, HIV infection - even with undetectable HIV load - may induce a chronic low-grade inflammatory state, consisting of persistent monocyte and macrophage activation, vascular endothelial activation, and a hypercoagulable state. Markers of inflammation and vascular dysfunction are indeed elevated in cohorts of cARTexperienced children, correlating with poorer neurocognitive functioning.44,45 Furthermore, inflammation appears to be a better predictor for neurocognitive impairment than HIV load or CD4<sup>+</sup> T-cell measurements in adults.<sup>46</sup> Finally, chronic exposure to cART may itself induce neurotoxicity, contrasting with the evidenced protective effects.47

## HIV invasion of the CNS

To invade the CNS, HIV must penetrate the blood-brain barrier (BBB) (Figure 1A), which is composed of a layer of human brain microvascular endothelial cells (HBMECs) linked by tight junctions. These cells work in close communication with pericytes and astrocytes in regulating bidirectional traffic of cells and substances between the systemic circulation and the CNS.48 The most feasible mechanism by which HIV crosses the BBB is by transmigration of infected monocytes and to a lesser degree lymphocytes.49 HIV proteins, such as gp120 and Tat, activate HBMECs, which then release inflammatory mediators, including TNF $\alpha$ and MCP-1, and express specific adhesion molecules, such as ICAMs and VCAMs, facilitating invasion of infected monocytes into the brain.<sup>50,51</sup> The permeability of the BBB is further increased by HIV-induced oxidative injury, functional impairment of astrocytes and pericytes, and damage to





Notes: (A) HIV entry. HIV enters the central nervous system within infected monocytes or as free virions or viral particles. This process is facilitated by HIV-induced monocyte and endothelial activation, astrocyte dysfunction, and structural damage to the blood-brain barrier. (B) Viral replication. HIV infects and activates macrophages and microglia in the perivascular space, the main site for viral replication. (C) Inflammation. Activated microglia, macrophages, and astrocytes contribute to the release of proinflammatory cytokines and chemokines, which cause further influx of immune cells and mediate neuronal injury. Conversely, some inflammatory mediators can also promote neuronal survival (green arrow). (D) Excitotoxicity. HIV induces release of glutamate and other excitatory amino acids from neurons and astrocytes. Together with viral proteins and HIV-induced chemokines, these substances overstimulate N-methyl-D-aspartate receptors, causing excitotoxicity (red arrows). (E) Neuronal injury. Insults by HIV proteins (orange arrows), excitotoxicity, and inflammation lead to axonal injury and neuronal apoptosis. Furthermore, HIV affects neural progenitor cells, impeding repair and brain growth (blue arrow).

tight junctions via upregulation of proteolytic MMPs, consequently allowing free HIV virions and viral proteins to pass through the BBB via transcellular and paracellular pathways.<sup>52–54</sup> Productive infection of HBMECs is minimally evidenced, and thus unlikely to contribute significantly to HIV entry into the CNS.<sup>55</sup>

HIV affects most CNS cell types, but primarily targets microglia and monocyte-derived macrophages in the perivascular space as the primary site of HIV infection and production in the brain (Figure 1B). These infected sites correspond with the highest concentrations of HIV in histopathological studies.<sup>56</sup> HIV-infected and activated microglia and macrophages are major sources for viral products, chemokines, proinflammatory cytokines, and small molecules, such as platelet-activating factor and quinolinic acid or derivatives thereof, that lead to further immune activation and cerebral injury (Figure 1C).<sup>55</sup> Furthermore, fusion of these cells is thought to give rise to multinucleated giant cells, considered a hallmark of HIV encephalopathy.<sup>55</sup>

Astrocytes are highly abundant in the CNS, and regulate the environment of neurons, integrity of the BBB, and response to injury. Similar to microglia, when activated by HIV, they proliferate (termed reactive astrocytosis) and release several inflammatory mediators.<sup>55</sup> While HIV DNA has been detected within astrocytes, this may be the result of nonspecific – thus CD4 receptor-independent – uptake and release of the virus, and sustained infection leading to viral DNA integration and production of viral proteins remains doubtful.<sup>56,57</sup> The same is true for oligodendrocytes, which produce the myelin sheath that insulates neuronal axons.<sup>58</sup> HIV can also affect neural progenitor cells, which reside in select regions of the brain and play a critical role in brain growth and repair after injury, as they are capable of forming new neurons, astrocytes, and oligodendrocytes.<sup>59</sup> Exposure to HIV reduces proliferation of these cells, which may contribute to cognitive impairment, consistent with the finding of fewer hippocampal neural progenitor cells in adults with HAD.<sup>60</sup>

#### HIV-induced neuronal injury

Interestingly, neurons also lack the CD4 receptor necessary for HIV infection, and intraneuronal HIV is not consistently detected in adults or children with HIV-related CNS disease.<sup>56,61</sup> However, the receptor-expression profile of neurons, which includes the HIV coreceptors CCR5 and CXCR4, renders them sensitive to injury caused by viral proteins as well as inflammatory mediators triggered by HIV infection.<sup>62</sup>

Direct exposure to structural viral proteins has been shown to be neurotoxic in vitro, although their exact contributions in vivo remain uncertain. Several viral proteins, including gp120, Tat, and Vpr, can directly induce neuronal apoptosis via chemokine receptors and intracellular pathways.<sup>62–65</sup> Additionally, gp120 increases glutamate (Glu) release from microglia and impairs Glu uptake by astrocytes, leading to overstimulation of *N*-methyl-D-aspartate-receptors (Figure 1D) and subsequent lethal Ca<sup>2+</sup> influx (Figure 1E).<sup>66,67</sup> Both Tat and gp120 can further contribute to this mechanism by direct binding to *N*-methyl-D-aspartate-receptors.<sup>68,69</sup> Local axonal degeneration may also occur through gp120induced caspase activation of CCR5 or CXCR4.<sup>70</sup>

Viral proteins also cause astrocyte dysfunction, which may lead to additional neuronal injury. Tat promotes expression of inducible nitric oxide synthase and production of nitric oxide by infected astrocytes, causing oxidative injury to neurons.<sup>71</sup> Oligodendrocyte injury induced by gp120 or Tat could reduce myelination, which subsequently increases axonal vulnerability.<sup>72,73</sup>

# Neuroinflammation

The CNS has a predominantly innate immune response to injury, depending on activated glia and monocyte-derived cells, whereas in contrast with the periphery, the role of T cells is much less clear.58 Monocyte- and macrophageactivation markers, such as plasma and CSF neopterin, soluble CD14 (sCD14) and plasma sCD163, are elevated in HIV-infected adults, correlating with presence or severity of neurocognitive impairment.<sup>2,46,74,75</sup> In cognitively impaired HIV-infected adults, CSF sCD14 and sCD163 are also associated with CSF NFL, a marker of neurodegeneration, underpinning the role of persistent immune activation in HIV neuropathogenesis.<sup>76</sup> Furthermore, cognitively impaired HIV-infected adults have higher proportions of CD14<sup>+</sup> CD16<sup>+</sup> monocytes in the blood. This subpopulation is associated with chronic inflammation and a higher susceptibility to HIV infection and MCP-1 chemoattraction in comparison to their CD14<sup>+</sup> CD16<sup>-</sup> counterparts.<sup>49,77,78</sup> In PHIV-infected children, only neopterin and sCD14 levels have been evaluated, and were found to be systemically elevated.79,80 Potential associations with other measures of HIV-related cerebral injury have not yet been examined.

The ongoing immune activation leads to sustained release of cytokines and chemokines, consistent with increased levels of inflammatory mediators in the CSF of HIV-infected adults and children despite cART.<sup>46,81,82</sup> Many HIV-induced proinflammatory cytokines, including TNF $\alpha$ , IL-1 $\beta$ , and IL-6, amplify the effects of HIV through the induction of viral replication and further immune activation, as well as being directly neurotoxic.<sup>55</sup> In vitro, TNF $\alpha$  and IL-1 $\beta$  interfere with Glu uptake, contributing to excitotoxicity.<sup>83</sup> Increased TNF $\alpha$  also causes oligodendrocyte death, which subsequently could impair myelination.<sup>83,84</sup> IL-6 causes cytoplasmic vacuoles in neurons, resulting in neuronal dysfunction. Further recruitment of immune cells and cytokine release is enhanced by various chemokines, such as IL-8, IP-10, MIP-1 $\alpha$ , MIP-1 $\beta$ , MCP-1, and RANTES.<sup>85,86</sup>

Contrastingly, the chemokines MCP-1, MIP-1 $\alpha$ , RANTES, SDF-1, and fractalkine can all in vitro protect neurons against apoptosis induced by gp120 or Tat, likely due to activation of pathways promoting neuronal survival.<sup>62,87,88</sup> TNFa also prevents apoptosis of neurons expressing type 2 TNF receptors, indicating that expression of specific receptors is crucial in the distinction between neurotoxic and neurotrophic effects.<sup>89</sup> As the net effect of many inflammatory mediators in vivo is unclear, their presence alone does not predict protection or injury. Indeed, while several inflammatory markers were detectable in the CSF of PHIV-infected children on cART, they did not correlate with systemic inflammation, HIV disease markers, or neurological symptoms.82 However, it does imply sustained brain inflammation in chronic HIV infection, warranting further research to identify inflammatory mechanisms that may serve as predictors and possible therapeutic targets for cerebral injury in PHIV-infected children.

# **Evidence of cerebral injury**

Typical findings of HIV encephalopathy on conventional computed tomography or magnetic resonance imaging (MRI) sequences are cerebral atrophy, basal ganglia calcifications, and white-matter abnormalities. In the cART era, advanced imaging modalities to evaluate white-matter integrity, cerebral metabolites, and cerebral blood flow (CBF) show increasing evidence for microstructural injury in PHIV-infected children, even in the absence of neurological symptoms or macrostructural lesions.<sup>90</sup> These imaging modalities and the type of cerebral injury they capture are detailed in this section.

It is important to interpret neuroimaging findings within the context of a developing brain, as many physiological changes occur throughout childhood and adolescence. The majority of brain growth and myelin deposition occurs in infancy. In childhood and adolescence, cerebral volume continues to increase at a slower rate until adulthood, with a relative increase in white matter due to ongoing myelination and thinning of cortical gray matter as a consequence of synaptic pruning. This maturation occurs in a posterior–anterior direction, corresponding to early development of visuomotor areas, while higher-order cognitive functions utilizing prefrontal and parietal cortical regions mature in late childhood and adolescence.<sup>6,21</sup>

#### Macrostructural injury

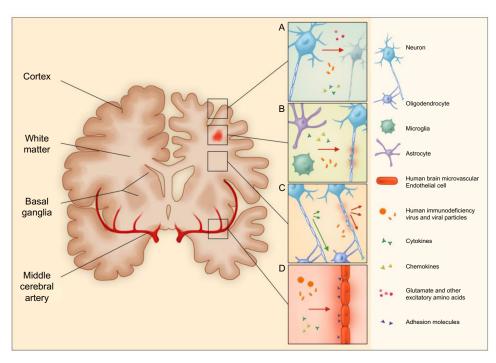
Cerebral atrophy, manifesting as cortical and subcortical volume reduction, sulcal widening, and ventriculomegaly (Figure 2A), occurs in many clinically and immunologically stable PHIV-infected children and correlates with poorer cognitive functioning.<sup>91–93</sup> Gray-matter atrophy is generally considered to represent loss of neuronal cells, but could also be a manifestation of impaired brain growth in HIV-infected children.<sup>90</sup> In a longitudinal study, gray-matter volume increased over time, suggesting that in the setting of adequate treatment, the physiological development of the CNS may at least partially compensate for neuronal loss in these children.<sup>91</sup>

White-matter lesions (WML) are best seen on fluidattenuated inversion-recovery MRI images as hyperintense areas diffusely spread throughout periventricular, deep, and juxtacortical white matter.<sup>91,92,94</sup> WML are thought to represent sites of inflammation with glial proliferation and myelin injury (Figure 2B), while the presence of lesions in the well-perfused juxtacortical regions makes an exclusively vascular origin less likely.<sup>95,96</sup> WML have been detected in PHIV-infected infants initiated on cART within the 1st months of life, and remained stable over time in another study, suggesting a very early onset of pathogenesis.<sup>91,94</sup> However, neither the presence, volume, nor distribution of WML clearly relate to neurodevelopmental outcomes in PHIV-infected children on cART.<sup>91,92,94</sup>

#### Microstructural injury

Even in the absence of structural imaging abnormalities, such as WML, white-matter damage measured by changes in diffusion values with diffusion-tensor imaging is apparent in stable PHIV-infected children.<sup>38,92</sup> These changes are widespread throughout the white matter, indicating profuse myelin and axonal injury (Figure 2C). Reduced white-matter integrity was found to correlate with higher HIV load, lower CD4<sup>+</sup>T-cell nadir, and poorer cognitive functioning, and may therefore serve as a potentially early marker of HIV-related developmental delay.<sup>38,92,97</sup> However, longitudinal studies studying such decay are lacking.

Several CSF biomarkers that are frequently reported in other degenerative and inflammatory CNS diseases, such



#### Figure 2 HIV-induced cerebral injury.

6

**Notes:** (**A**) Volume loss. HIV-infected children have lower brain volume, which may be caused by neuronal loss and impaired brain growth. (**B**) White-matter lesions. Whitematter lesions correspond to sites of glial proliferation and myelin injury. (**C**) Altered white-matter diffusivity. Diffusion along a myelinated axon bundle is anisotropic along the axons (green arrows). Even in normal-appearing white matter of HIV-infected children, reduced axial and increased radial diffusivity (red arrows) may reveal myelin and axonal injury. (**D**) Vascular changes. HIV infection is associated with structural and functional vascular changes, in part mediated by endothelial activation and dysfunction with increased expression of adhesion molecules, as well as low-grade inflammation and a hypercoagulable state. as dementia and multiple sclerosis, may also be useful in the evaluation of HIV-related cerebral injury. Elevated CSF NFL, indicative of damage to myelinated axons in the white matter, has repeatedly been reported in HIV-infected adults, most prominently in association with HAD or low CD4<sup>+</sup> T-cell nadirs.<sup>74,98</sup> Higher total or phosphorylated Tau levels, indicating cortical neurodegeneration, are seen most prominently in HIV-infected adults with HAD.98,99 Altered markers of amyloid processing have also been reported, possibly corresponding with increased intraneuronal amyloid-ß accumulation.<sup>74,98-100</sup> Various other pediatric infectious, inflammatory, and demyelinating CNS disorders show distinctive patterns of biomarkers indicative of neuronal injury, including total Tau and NFL.<sup>101</sup> Evaluation of these markers in PHIV-infected children may thus provide new insights into the underlying mechanisms of cerebral injury, and may help distinguish neurodegeneration from impaired brain growth due to HIV.

Magnetic resonance spectroscopy can examine the metabolic integrity and density of neurons noninvasively through detection of changes in cerebral metabolites, even before structural changes occur or clinical symptoms become manifest.<sup>102</sup> These metabolites are discussed here as a concentration ratio to creatine (Cre). Acute HIV infection in adults is associated with a rise in Glu (Glu:Cre) to potentially excitotoxic levels, accompanied by increases of choline (Cho:Cre) and myo-inositol (MI:Cre), representing increased membrane turnover and glial proliferation, respectively.<sup>2,74,103</sup> Initiation of cART partially reverses some of these changes.<sup>103</sup> Chronically HIV-infected adults and children show persistent elevations in MI:Cre and Cho:Cre, suggestive of ongoing inflammation, while Glu:Cre and N-acetylaspartate (NAA:Cre) decrease, indicating neuronal dysfunction and degeneration.<sup>103-105</sup> Contrastingly, decreased Cho:Cre in basal ganglia and frontal white matter has also been reported in immunologically stable PHIV-infected children.<sup>106</sup> As the developing brain of children has a higher requirement for Cho, which is a component of cell membranes and myelin, this decrease may reflect impaired myelination and brain growth.<sup>107</sup> In PHIV-infected children, an age-related increase in NAA - as normally seen in healthy controls - was lacking, again suggesting impaired brain growth in HIV infection.<sup>106</sup>

Metabolite changes in HIV-infected adults correlate with the presence and severity of cognitive impairment,<sup>108</sup> and although pediatric studies relating cerebral metabolites to neurodevelopmental outcomes are limited, reports include associations between hippocampal Cho:Cre and spatial memory deficits and between overall NAA:Cho ratio and verbal IQ.<sup>104,106</sup> Furthermore, while adult metabolite alterations correlate with several other indicators of HIVrelated cerebral injury, such as cortical atrophy, elevated NFL, and increased systemic or intrathecal inflammation, such relationships have not yet been studied in PHIV-infected children.<sup>2,74,81,109</sup>

#### Cerebral blood flow and vascular disease

A higher risk of cerebrovascular disease is well established in chronic HIV-infected adults, and is increasingly recognized in PHIV-infected children. Neuroimaging studies predating cART displayed calcifications of basal ganglia, the thalamus, and deep white matter in the majority of children with CNS HIV disease.<sup>95</sup> Histopathological studies correspondingly revealed vasculitis with calcifications within cerebral blood vessels.<sup>95,110</sup> Other cerebrovascular abnormalities have been reported in PHIV-infected children, including ischemic stroke, aneurysms, and moyamoya malformations.<sup>111</sup> However, their relation to neurodevelopmental outcomes is unclear.

Even with optimal cART, there are many likely contributors to vasculopathy in PHIV-infected children, including chronic low-grade inflammation, endothelial dysfunction, hypercoagulability, and disturbed glucose and lipid metabolism (Figure 2D). Indeed, HIV infection in children stable on therapy has been associated with higher levels of highsensitivity CRP, soluble adhesion molecules, and fibrinogen, as well as an unfavorable lipid profile.44,45,112 Elevations in P-selectin and fibrinogen were linked to poorer neurocognitive performance.45 Additionally, findings of increased carotid intima-media thickness and decreased flow-mediated distension reveal structural and functional vascular changes, respectively. These measures also correlate with increased inflammation and an unfavorable lipid profile, and are most pronounced in children receiving a protease inhibitor.<sup>113</sup> These findings allude to an early onset of vasculopathy accelerated by HIV-related inflammation and influenced by cART, rendering it a potential source of long-term comorbidity in PHIV-infected children surviving into adulthood. This underlines the relevance of further exploration of vascular health in this population.<sup>114</sup>

CBF has not been extensively researched in PHIV-infected children, as many of the neuroimaging modalities require exposure to radioactivity or contrast agents. A small positronemission tomography study, predating cART, showed cortical hypometabolism in PHIV-infected children with and without encephalopathy, as well as basal ganglia hypermetabolism in those with encephalopathy.<sup>115</sup> In HIV-infected adults, increased flow or metabolism is predominantly found in the basal ganglia and in early stages of infection, whereas reduced CBF is associated with chronic infection and localized in both cortical and subcortical structures.<sup>116,117</sup> Flow alterations are more pronounced in cognitively impaired individuals, but also occur in neuroasymptomatic patients, suggesting CBF may prove useful as an early marker of cerebral injury in HIV.<sup>117,118</sup> In theory, higher flow may reveal areas of compensatory increased usage of brain reserve after HIV injury, as suggested by altered task-based activation patterns on functional MRI.119,120 It may also reflect ongoing inflammation or vasodilatation due to increased release of nitrous oxide.<sup>116</sup> Lower flow may be related to loss of cells, although it is currently unclear whether flow changes are a cause or effect of volume changes.<sup>118</sup> Furthermore, the relation between CBF and the vascular and coagulation effects of HIV is unclear. A promising opportunity to evaluate CBF in children is with the use of arterial spin labeling, a noninvasive MRI technique that yields results comparable to positron-emission tomography in HIV-infected adults,120 and was successfully used to assess resting-state flow and the relation to cognitive performance in healthy children and children with sickle-cell anemia (Table 1).121

# **Treatment effects**

While cART has been shown to reduce HIV load and inflammatory markers effectively in CSF,<sup>39</sup> it evidently does not fully prevent or reverse accrued CNS injury. Long-term effects of cART on cerebral health in HIV-infected children are unclear; most studies evaluating the effect of treatment initiation or optimization report on improvement of neurodevelopmental outcomes, but some do not.<sup>9,15,22</sup> While lack of improvement during treatment may reflect the severity of immunocompromise and injury prior to cART initiation, it also raises concern about cART neurotoxicity.

# Timing of cART initiation

Neurodevelopmental delay may result from accrued CNS involvement, after the virus has already entered and injured the CNS and prior to initiation of cART. Reported effects of early versus deferred initiation of cART – ie, at study inclusion versus when criteria of immunosuppression or symptomatic disease are met - are not consistent. Better neurodevelopmental scores were seen in infants who initiated cART within 12 weeks of life versus deferral to a mean age of 31 weeks,<sup>13</sup> in line with reports of better cognitive performance in school-age children with adequate viral suppression early in life or cART initiation before an AIDS-defining event.122,123 Another study found similar poor outcomes in both early and deferred treatment groups, although the mean age of initiating cART was significantly higher, at 6 years.9 This suggests the window for benefit of early cART initiation in PHIV-infected children lies in infancy, and is in line with the theory that the younger age-group has an increased vulnerability to the neurological sequelae of HIV.

# Challenges of cART in the CNS

While peripheral viral suppression with cART may reduce the amount of HIV entering the CNS, effective treatment within the CNS compartment requires antiretroviral agents to penetrate the BBB. If HIV is exposed to subtherapeutic

Table I Selected laboratory biomarkers in HIV neuropathogenesis

Laboratory markers		HIV-related findings
Monocyte-activation markers	sCD14, sCD163, neopterin	Elevated plasma sCD14 and neopterin have been reported in children. <sup>79,80</sup> In adults, elevations in plasma and CSF are associated with cognitive impairment, MRS neurometabolite alterations and elevated CSF NFL. <sup>246,74,75</sup>
Cerebral injury markers	NFL, tau, amyloid precursors	Elevated in CSF of adults, most prominently in those with HAD; NFL is associated with monocyte-activation markers and MRS metabolite alterations. <sup>74,76,98,99</sup>
Inflammatory cytokines and proteins	TNFα, IL-1β, IL-6, hsCRP	hsCRP and IL-6 predict cardiovascular morbidity and all-cause mortality in adults. hsCRP was reported elevated in children, in association with vascular dysfunction; IL-6 is associated with poor lipid profiles in adolescents. <sup>44,80,112</sup>
Chemokines	MCP-1, MIP-1 $\alpha$ , MIP-1 $\beta$ , IP-10, RANTES, fractalkine	Often elevated in plasma and/or CSF of adults, several in association with MRS neurometabolite alterations. <sup>46,81</sup> In children, CSF chemokine levels were not associated with plasma inflammation or neurological symptoms. <sup>82</sup>
Cardiovascular markers	sVCAM, sICAM, P-selectin, fibrinogen	Elevations have been reported in cART-treated children. Adhesion molecules were associated with inflammation and poor lipid profiles, P-selectin and fibrinogen with poor cognitive performance. <sup>44,45,80,112</sup>

**Abbreviations:** sCD14, soluble CD14; sCD163, soluble CD163; CSF, cerebrospinal fluid; MRS, magnetic resonance spectroscopy; NFL, neurofilament light chain; HAD, HIV-associated dementia; TNF $\alpha$ , tumor necrosis factor-alpha; IL-1 $\beta$ , interleukin I-beta; IL-6, interleukin 6; hsCRP, high sensitivity C-reactive protein; MCP-1, monocyte chemoattractant protein-1; MIP-1 $\alpha$ , macrophage inflammatory protein-1 alpha; MIP-1 $\beta$ , macrophage inflammatory protein-1 beta; IP-10, interferon-inducible protein-10; RANTES, regulated on activation, normal T-cell expressed and secreted; sVCAM, soluble vascular cell adhesion molecule; sICAM, soluble intracellular adhesion molecule; cART, combination antiretroviral therapy.

cART levels in the CNS, viral replication and mutation may occur despite adequate systemic viral suppression, potentially leading to ongoing damage and cART resistance.<sup>39,40</sup> This is generally referred to as compartmentalization, and has been associated with the presence and severity of cognitive impairment in adults.<sup>124</sup> The CNS-penetration effectiveness score is often used to categorize cART regimens based on CSF pharmacokinetics of the different antiretroviral agents, but it has never been validated in children and was indeed not associated with IQ in two recent studies.<sup>122,123</sup> Pediatric studies comparing plasma and CSF levels of antiretroviral agents are lacking. Additionally, it is unknown how well pharmacokinetics of cART in the CSF represent those in brain tissue.

The toxicity of antiretroviral agents has long been speculated to play a part in HIV-related neurocognitive impairments. Particularly concerning are reports of children experiencing significant neurological decline, despite immunological recovery after treatment initiation, and the occurrence of neuropsychiatric symptoms with use of the non-nucleoside reverse-transcriptase inhibitor efavirenz. In these reports, symptoms were reversible by treatment alteration or interruption.<sup>125-127</sup> Little is known about the potential effects of cART on the different nervous system cell types and the exact mechanisms by which cART causes neurotoxicity. Several antiretroviral agents were indeed reported toxic to neurons in vitro, mostly causing dendrite pruning and altered responses to Glu.47 However, minimal cell death occurred, and only a few agents were toxic at concentrations typically achieved in the CSF of cART-treated HIV-infected adults. Additionally, nucleoside reversetranscriptase inhibitors may interfere with mitochondrial DNA  $\gamma$ -polymerase, resulting in mitochondrial dysfunction, although this has not been proven in children.<sup>128</sup> Protease inhibitors have been associated with disturbed lipid and glucose metabolism and increased carotid intima-media thickness in children, all risk factors for vascular disease.<sup>113</sup> Such changes may affect the CNS and thereby influence neurodevelopment, especially with the prolonged exposure to cART that occurs as PHIV-infected children survive into adulthood.

#### Adjuvant treatment options

Considering the increasing evidence for inflammatory influences in HIV neuropathogenesis, adjuvant treatment with anti-inflammatory agents may reduce HIV-related cerebral injury. So far, the drugs studied in adults have failed to elicit an effective response and thus have not been evaluated in children. Various nonpharmaceutical interventions, such as caregiver-training programs, may be effective in improving neurocognitive functioning of PHIV-infected children, and should be explored further.<sup>21</sup>

# Conclusion and future directions

Neurodevelopmental delay affects a large proportion of PHIV-infected children, with deficits spanning multiple motor, language, and cognitive domains. The vulnerability of children with a young age at primary HIV infection or a more severe disease history stresses the importance of prevention of mother-to-child transmission, as well as early diagnosis and intervention. While cART is effective in reducing cerebral injury by suppressing HIV load and subsequent inflammation, it evidently does not fully protect against HIV-induced damage in the brain. Future studies should examine the underlying mechanisms of pediatric neurological HIV disease, focusing on age-related host immune responses, pharmacokinetics, and toxicity of cART in the pediatric CNS. Neuroimaging of white-matter integrity, cerebral metabolites, and CBF are especially promising for the pediatric population, as they can detect subtle abnormalities before major structural damage and clinical symptoms occur or become apparent. When combined with laboratory evaluation of neurodegeneration and inflammation, this could significantly contribute to increasing our understanding of the neuropathogenesis of HIV infection in the pediatric brain, and possibly expand the window for optimal treatment or prevention. As PHIV-infected children on cART now survive into adulthood, longitudinal research is warranted to observe the long-term effects of HIV infection and cART exposure.

# Disclosure

The authors report no conflicts of interest in this work.

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