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#### ORIGINAL RESEARCH

Efficacy and safety of two new formulations of artificial tears in subjects with dry eye disease: a 3-month, multicenter, active-controlled, randomized trial

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Allergan Clinical Research, Allergan, Inc., Irvine, CA, USA **Purpose:** To evaluate and compare the efficacy and safety of two investigational artificial tear formulations (CHO-1 and CHO-2) containing carmellose sodium, hyaluronic acid at different concentrations, and osmoprotectants, with a standard carmellose sodium-containing formulation (Refresh Tears [RT]) in the treatment of dry eye disease.

**Subjects and methods:** In this 3-month, double-masked, multicenter study, subjects (n=305) were randomized 1:1:1 to receive CHO-1, CHO-2, or RT, used as needed but at least twice daily. The primary endpoint was change in ocular surface disease index (OSDI) score from baseline to day 90. Other key outcomes included symptoms evaluated on a visual analog scale, corneal and conjunctival staining, and adverse events.

**Results:** OSDI scores and dry eye symptoms showed a rapid and sustained reduction from baseline in each group. Both CHO-1 and CHO-2 met the primary efficacy endpoint of noninferiority to RT in day 90 OSDI score change from baseline. OSDI ocular symptoms subscale improved more with CHO-1 than CHO-2 (P=0.048). In subjects with clinically relevant baseline ocular surface staining (>14 total score of a maximum of 55), day 90 improvements were greater with CHO-1 and CHO-2 than RT (P≤0.044). Day 90 improvements in OSDI ocular symptoms subscale scores were also greater with CHO-1 than RT (P<0.007) in subjects with clinically relevant ocular staining. All treatments were well tolerated.

**Conclusion:** Both combination artificial tear formulations were efficacious and well tolerated in subjects with dry eye. CHO-1 demonstrated the best performance in improving ocular symptoms and reducing ocular staining in this heterogeneous study population.

Keywords: dry eye syndromes, ophthalmic solutions, carmellose, hyaluronic acid

## Introduction

Dry eye is a highly prevalent, multifactorial, symptomatic disease that results in ocular discomfort and visual disturbance,<sup>1,2</sup> diminishes quality of life, and is associated with limitations in several ordinary activities, including reading, driving, computer use, and professional work.<sup>3</sup> More than 321 million patients worldwide have been estimated to experience severe, moderate, or episodic dry eye symptoms, and the number is expected to keep increasing because of a general increase in life expectancy, improving access to health care in rapidly developing nations, and changes in overall activity patterns that continue to involve more intense visual tasks with computers, smart phones, and other devices.<sup>2,4</sup>

Historically, ocular lubricants (artificial tears) have been widely used as primary therapy in mild dry eye and as an adjunct to more advanced therapies in moderate and

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severe disease.<sup>5</sup> They typically include one or more water soluble polymers, such as carmellose, polyvinyl alcohol, or polyethylene glycol, to improve retention on the ocular surface and increase duration of action, lubrication, and hydration of the ocular surface. Carmellose sodium, the most well-established active ingredient in artificial tear preparations with efficacy in the treatment of dry eye,<sup>6,7</sup> has been shown to bind to ocular surface cells<sup>8,9</sup> and accelerate wound healing in model systems.<sup>10</sup> In the development of current dry eye formulations, the viscosity has been varied to influence ocular surface retention time.<sup>11</sup> Nonetheless, there is a paucity of well-controlled clinical studies evaluating efficacy and safety of these standard therapies in the treatment and management of dry eye.<sup>12</sup>

More recently, the roles of tears, tear film osmolarity, and ocular surface inflammation in dry eye disease is beginning to be elucidated.<sup>13-16</sup> Newer ocular lubricants are being developed following a strategy that focuses on interaction with ocular surface cells, enhancing protection by preventing cell volume loss, cellular stress, and inflammatory reactions, while simultaneously eliminating damaging preservatives. An example is a combination of the polymers, carmellose sodium and hyaluronic acid (HA), for which a widely available artificial tear has not previously been available. The glycosaminoglycan HA, a natural component of the tear film (average molecular weight, 2.3 million Da), represents a distinct class of polymeric agent owing to its intrinsic properties of water retention, viscoelasticity, and promotion of corneal epithelial wound healing.<sup>17</sup> Similar to other soluble polymers used in artificial tears, HA increases viscosity and hydrates and lubricates the ocular surface. It has also been demonstrated to improve corneal and conjunctival staining in patients with dry eye.18-20

In vitro studies have shown potential synergistic effects of combining carmellose and HA polymers in a single formulation. These effects can lead to polymer entanglement and increased viscosity under low shear conditions, which are characteristic of the tear film between blinks. Increased viscosity promotes stabilization of the tear film and retention of the eye drop in the eye, thus, helping to optimize ocular hydration. In contrast, at high shear, such as exists during blinking, the polymer combination produces reduced viscosity (shear thinning), which should improve ocular comfort and reduce stickiness and blur found with some tear products.<sup>21</sup>

The present study evaluates the efficacy, safety, and acceptability of two novel multi-ingredient artificial tear formulations (CHO-1 and CHO-2) in subjects with signs and symptoms of dry eye disease. CHO-1 (recently introduced as Optive Fusion; Allergan, Inc., Irvine, CA, USA) contains 0.5% carmellose sodium and 0.1% HA, and CHO-2 contains 0.5% carmellose sodium and 0.15% HA. The increased HA content of CHO-2 results in a higher viscosity formulation. Both contain salts and osmoprotectants (glycerin and erythritol) and are preserved with stabilized oxychloro complex (SOC) (Purite<sup>TM</sup>; Allergan, Inc., Irvine, CA, USA). Osmoprotectants function as compatible solutes, accumulating in ocular surface cells and protecting them from cell volume loss, cellular stress, and induction of an inflammatory response under hyperosmotic conditions.<sup>13,22,23</sup>

# Subjects and methods Study design

This 3-month, multicenter, randomized, double-masked, parallel-group clinical comparison study evaluated CHO-1 and CHO-2 compared with RT (containing 0.5% carmellose sodium, salts, and SOC) in subjects with dry eye. The study (ClinicalTrials.gov identifier NCT01294384) was conducted from May 2011 to September 2012 at 18 sites in Canada and 4 sites in Australia in compliance with the International Conference on Harmonisation guidelines for Good Clinical Practice. Research ethics committee approval was obtained at each study site and all subjects provided written informed consent.

# Study population

The primary eligibility criteria are listed in Table 1. The participants were adults with mild-to-severe symptoms and mild-to-moderate signs of dry eye, an OSDI score of 18–65, tear breakup time (TBUT) less than 10 seconds, and currently used artificial tears. Key exclusion criteria were a corneal or conjunctival staining score of 5 in any area of either eye, a Schirmer's test result of less than 3 mm/5 min, recent history of anterior segment surgery, contact lens wear, and use of certain medications that could affect dry eye or the interpretation of the study results.

## Treatment

At the baseline/screening (day 1) study visit, subjects were randomized in a 1:1:1 ratio to treatment with CHO-1 (carmellose sodium 0.5%/HA 0.1%), CHO-2 (carmellose sodium 0.5%/HA 0.15%), or RT based on enrollment order at the study site and a computer-generated random allocation scheme. In addition, subjects were stratified into mild/moderate (combined corneal/conjunctival staining score  $\leq 14$  at baseline) and clinically relevant (combined

#### Table I Key eligibility criteria for study participation

Inclusion criteria	Exclusion criteria	
≥18 years of age and in general good health	Schirmer's test score (with anesthesia) of $\leq$ 2 mm/5 min at baseline	
OSDI score between 18 and 65 inclusive	Grade 5 corneal or conjunctival staining in either eye at baseline	
Used artificial tears at least twice daily for $\geq$ 3 months prior to baseline	History of anterior segment surgery that could affect corneal sensitivity within 12 months prior to baseline	
Three consecutive TBUT test results of $\leq 10$ seconds in $\geq 1$ eye Grade I or greater corneal or conjunctival staining related to dry	Use of topical ophthalmic medication during study or within 2 weeks prior to baseline, other than artificial tears	
eye in $\geq$ I eye	Use of topical cyclosporine within 3 months prior to baseline	
Distance and high-contrast near VA of 20/32 or better	Use of systemic medication that could affect vision or dry eye, including essential fatty acids, unless dose constant for $\geq$ 3 months and not expected to change during the study	
	Punctal occlusion with temporary plugs $<$ 30 days prior to baseline or with permanent plugs $<$ 3 months prior to baseline	
	Use of contact lenses within 6 months prior to baseline or anticipated use during the study	
	Uncontrolled systemic disease	

Abbreviations: OSDI, Ocular Surface Disease Index; TBUT, tear breakup time; VA, visual acuity.

corneal/conjunctival staining score >14 or corneal staining  $\geq$ 7 at baseline) based upon prior reports.<sup>19</sup> To duplicate patient management in the clinical setting, there was no washout from previous artificial tear preparations. Treatment kits were dispensed to subjects as directed by an automated response system that paired subject identification numbers with kit numbers. Subjects were instructed to administer the eye drops in both eyes as needed but at least twice daily for 3 months. To maintain subject and investigator masking, the artificial tears were provided in virtually identical 15-mL bottles and cartons; labels were nonbranded and did not list ingredients. Follow-up study visits were scheduled at days 7, 30, 60, and 90.

## Outcome measures

A total of 22 investigators participated in the study and each subject was evaluated by the same investigator throughout the study. In instances when this was not possible, evaluations conducted by 2 investigators overlapped for at least 1 visit to ensure assessment procedures were performed consistently. The primary efficacy measure was the change in ocular surface disease index (OSDI) score from baseline, at day 90. The OSDI score is based on a scale of 0-100, where 100 corresponds to complete disability (a response of "all of the time" to all questions answered).<sup>24</sup> A negative change from baseline indicates improvement. Secondary measures included TBUT, which was assessed during the 2-minute waiting period following the instillation of sodium fluorescein using Amcon Dry Eye Test (DET) Strips (Amcon Laboratories, St Louis, MO, USA)<sup>25</sup> for corneal staining; three consecutive measurements (timed using a stopwatch provided for the study) were performed in each eye by the same examiner. Corneal staining was evaluated using a slit lamp at 16× magnification and a yellow filter to enhance contrast, and rated based on the modified National Eye Institute (NEI) grid, which assesses five areas of the cornea on a scale of 0-5.<sup>26</sup> After corneal staining, conjunctival staining with lissamine green was performed immediately after administration of the dye in each eye (under low-to-moderate white light illumination and without flushing of residual fluorescein), and rated per the modified NEI grid 0-5 scale.

After all other ophthalmic evaluations were completed, standard Schirmer's tests with anesthesia were performed in each eye (in a dimly lit room) while the subject was looking upward. Testing was initiated 4 minutes after instillation of the anesthetic and the amount of wetting of test strips was measured 5 minutes later. Near visual acuity with habitual correction was measured using near low-contrast (10%) and high-contrast logarithmic visual acuity charts for testing at 40 cm. Other key efficacy measures included symptoms of burning/stinging, grittiness/foreign body sensation, dryness, and eye ache/pain graded on a visual analog scale of 0 (none) to 100 (maximum). Product usage and visual disturbance upon product instillation were evaluated with questionnaires, and reading speed was evaluated with the Minnesota Low-Vision Test (MNREAD).<sup>27</sup>

Safety measures included adverse events (AEs), distance visual acuity, and biomicroscopy (without pupil dilation). Eyelid margins were assessed for edema/erythema on a scale of 0 (no edema/erythema) to 3 (severe, diffuse swelling/ reddish color of lid margins, and superior and/or inferior eyelid).

# Data analysis

A sample size of 89 subjects who completed the study and comprised the intent-to-treat (ITT) population for each group (267 total subjects), was estimated to give 80% power to determine noninferiority of CHO to RT in OSDI score change from baseline at day 90.

The primary efficacy analysis used the ITT population of all randomized subjects and last observation carried forward (LOCF) for missing values. Sensitivity analyses used observed values in the per-protocol (PP) population of randomized subjects with no major protocol violations. Safety analyses used all treated subjects based on the treatment received.

Change from baseline in OSDI scores, TBUT, corneal and conjunctival staining scores, Schirmer's test scores, and symptom scores were analyzed with analysis of variance with a fixed effect of treatment. Least-squares differences between groups and 95% confidence intervals (CI) of the differences were obtained from the models. Noninferiority to RT in change from baseline OSDI score was declared if the upper limit of the two-sided 95% CI of the between-group difference (CHO minus RT) was less than 7.3 at day 90.28 Within-group changes from baseline were evaluated with paired t-tests. Wilcoxon rank-sum tests were used in post hoc analysis to evaluate changes in OSDI subscale scores from baseline. Additional post hoc subgroup analyses of combined corneal/conjunctival staining scores were conducted in subjects with mild/moderate and clinically relevant baseline staining (total score  $\leq 14$  and >14, respectively) using LOCF for missing values. Additional analyses of the clinically relevant group for corneal staining alone (score  $\geq$ 7) and changes in OSDI subscale scores were also performed. Nominal variables were analyzed with Pearson chi-square tests. Statistical tests used an alpha level of 0.05.

## Results

# Subjects and disposition

A total of 305 subjects were randomized to treatment and 286 (93.8%) completed the study (Figure 1). There were no significant differences among treatment groups in baseline demographics or signs of dry eye (Table 2). During the week prior to the 90-day follow-up visit, subjects reported that they used CHO-1, CHO-2, and RT a mean of 4.3, 3.9, and 3.8 times/day, respectively (median of three times daily).

## Efficacy evaluation

OSDI scores improved significantly from baseline in each treatment group from day 7 through day 90 ( $P \le 0.001$ ). There were no statistically significant differences between groups in mean OSDI score change from baseline at any follow-up visit (Figure 2). At day 90, the mean change ± standard deviation (SD) from baseline OSDI score was CHO-1,  $-14.7\pm16.3$ ; CHO-2,  $-12.8\pm17.6$ ; and RT,  $-14.5\pm16.4$ , with no statistically significant difference between CHO-1 or CHO-2 versus RT, respectively, or between CHO-1 and CHO-2 (Figure 2). Both CHO-1 and CHO-2 met the primary efficacy endpoint and were noninferior to RT in change in OSDI score from baseline at day 90 (CHO-1/RT difference: -0.22, 95%

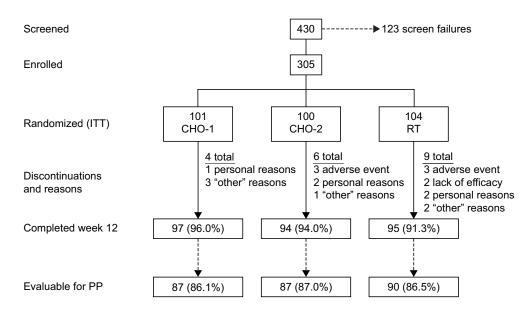


Figure I Subject flow through the study.

Notes: "Other" reasons for discontinuation included: CHO-I, failed screening and randomized in error but not treated; patient withdrawal (n=2); CHO-2, patient withdrawal; and RT, patient withdrawal (n=2).

Abbreviations: ITT, intent-to-treat; PP, per-protocol; RT, Refresh Tears.

Characteristic	CHO-I (n=101)	CHO-2 (n=100)	RT (n=104)
Mean (SD) age, years	59.6 (14.5)	59.2 (16.3)	60.0 (13.3)
Range	19–90	19–85	19–85
<40, n (%)	9 (8.9)	13 (13.0)	7 (6.7)
40–60, n (%)	42 (41.6)	33 (33.0)	43 (41.3)
>60, n (%)	50 (49.5)	54 (54.0)	54 (51.9)
Sex, n (%)			
Female	78 (77.2)	80 (80.0)	90 (86.5)
Male	23 (22.8)	20 (20.0)	14 (13.5)
Race, n (%)			
Caucasian	85 (84.2)	85 (85.0)	89 (85.6)
Asian	10 (9.9)	(  .0)	8 (7.7)
Black	3 (3.0)	l (1.0)	4 (3.8)
Hispanic	0 (0.0)	2 (2.0)	I (I.0)
Other	3 (3.0)	l (1.0)	2 (1.9)
Mean OSDI score (SD)	36.8 (13.4)	38.5 (12.5)	38.9 (11.9)
Mean TBUT (SD), sec	5.2 (2.0)	5.2 (1.9)	5.1 (1.9)
Mean Schirmer's test (SD), mm/5 min	8.7 (7.0)	10.3 (7.2)	8.9 (6.2)
Range	3.0-35.0	3.0-35.0	3.0-29.0
Mean staining score (SD)			
Corneal	6.4 (5.0)	6.1 (4.3)	5.8 (4.3)
Conjunctival	8.0 (5.3)	7.8 (5.5)	8.2 (5.4)
Combined corneal/conjunctival	14.0 (9.1)	13.6 (8.3)	13.6 (8.5)

Abbreviations: RT, Refresh Tears; SD, standard deviation; OSDI, Ocular Surface Disease Index; TBUT, tear breakup time; sec, seconds; min, minutes.

CI: -4.85 to 4.41; CHO-2/RT difference: 1.74, 95% CI: -2.89 to 6.37). Results in the PP population (86.6% of randomized subjects) were similar and confirmed noninferiority of CHO-1 and CHO-2 to RT.

OSDI was further analyzed in the following three subscales: ocular symptoms, vision-related functions, and environmental triggers (Figure 3). Scores on the ocular symptoms subscale for CHO-1 improved significantly more than for CHO-2 (P=0.048), and directionally more than for RT (P=0.057).

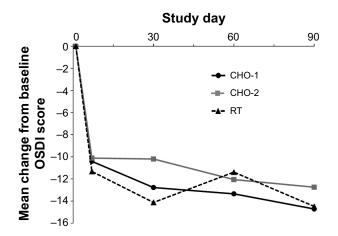


Figure 2 Mean change in Ocular Surface Disease Index (OSDI) score from baseline (intent-to-treat population). Abbreviation: RT, Refresh Tears.

Dry eye symptoms of burning/stinging, grittiness/foreign body sensation, dryness, and eye ache/pain reported by subjects using a visual analog scale also showed significant improvement from baseline in each group at all follow-up time points ( $P \le 0.002$ ), with the exception of eye ache/pain in the CHO-2 group at day 7. Mean symptom scores at each visit were generally similar among groups (Figure 4). A significant between-group difference was observed for dryness at day 90 in the CHO-1 group compared with the CHO-2 group (37.0 vs 44.8, P=0.044).

In the overall study population, corneal staining scores, conjunctival staining scores, and combined corneal/ conjunctival staining scores were significantly decreased from baseline in each treatment group at each follow-up visit ( $P \le 0.003$ ), with the exception of corneal staining scores at day 7 in the RT group (P=0.053) (Figure 5). Overall, the change from baseline corneal staining score was greater in the CHO-1 group than in the RT group at day 7 (-1.6 vs -0.7, P=0.036) and day 90 (-2.3 vs -1.2, P=0.015) (Figure 5A). There were no significant differences between treatment groups in the change in conjunctival staining or combined corneal/conjunctival staining from baseline (Figure 5B).

Subgroup analysis based on baseline stratification into either mild/moderate or clinically relevant staining groups demonstrated no differences between treatment groups for the mild/moderate population, but significant differences

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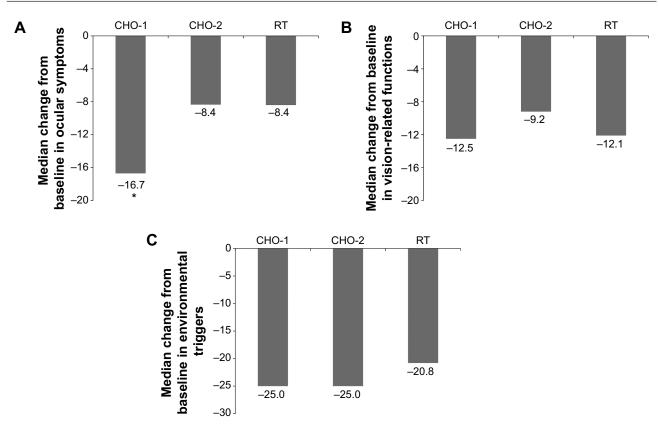


Figure 3 Median change in score from baseline at day 90 for (A) ocular symptoms, (B) vision-related functions, and (C) environmental triggers subscales of the Ocular Surface Disease Index (intent-to-treat population). Note: \*P=0.048 for CHO-1 versus CHO-2 and P=0.057 for CHO-1 versus Refresh Tears (RT).

between CHO-1 and CHO-2 versus RT in the clinically relevant population (mean change  $\pm$  SD at day 90:  $-7.1\pm8.8$  and  $-7.2\pm6.2$  vs  $-3.8\pm6.6$ ,  $P \le 0.044$ ) (Figure 6). Additionally, in the population with clinically relevant combined corneal/conjunctival staining at baseline, CHO-1 demonstrated greater improvements than RT in corneal staining (mean change  $\pm$  SD:  $-4.7\pm3.78$  vs  $-2.6\pm2.96$ , P=0.009) and the ocular symptoms subscale of the OSDI (mean change  $\pm$  SD:  $-20.7\pm3.5$  vs  $-10.2\pm2.9$ , P=0.007) (Figure 7).

On the visual disturbance questionnaire, most subjects in each group were satisfied with the viscosity of their drops and reported no blurring in their vision after drop administration (Table 3). However, responses were less favorable with CHO-2, which caused more blur than RT, especially when first applied.

Improvements in TBUT from baseline were observed in each group at each follow-up visit (P < 0.001) with no statistically significant differences between groups. Schirmer's tests showed significant improvement in tear production only at day 7 in the CHO-1 group and at days 7 and 90 in the CHO-2 group ( $P \le 0.027$ ). At day 7, the increase in tear production was greater in the CHO-2 group than the RT group (1.6 vs 0 mm/5 min, P=0.023). There were no significant between-group differences in change from baseline tear production at days 30, 60, and 90.

There were no significant differences between groups in the frequency distribution of changes from baseline in high-contrast or low-contrast near visual acuity. MNREAD findings at day 90 showed significant improvement from baseline in mean maximum reading speed only in the CHO-1 group (from 169 to 173 words per minute, P=0.049).

#### Safety evaluation

The overall incidence of treatment-related AEs was low in all groups (CHO-1, 3.9%; CHO-2, 7.9%; and RT, 5.8%). The most common ocular AE was eye irritation, which was reported in 9 subjects (incidence of 0% with CHO-1, 5.0% with CHO-2, and 3.9% with RT). Blurred vision was reported in 2 subjects (2.0%) in the CHO-2 group. The rate of discontinuations due to AEs was 0% in the CHO-1 group, 3.0% in the CHO-2 group (corneal staining/eyelid edema; eye irritation/vision blurred; eye irritation/eye pain/eye discharge), and 2.9% in the RT group (blepharitis; instillation site erythema/irritation/lacrimation; conjunctival hyperemia/eye irritation).

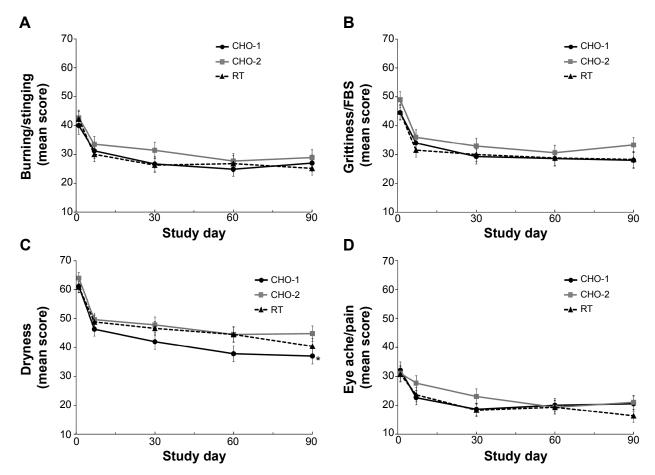


Figure 4 Mean symptom scores on visual analog scales for (A) burning/stinging, (B) grittiness/foreign body sensation, (C) dryness, and (D) eye ache/pain. Notes: Error bars indicate standard error of the mean (intent-to-treat population). \*P=0.044 for CHO-1 versus CHO-2. Abbreviations: FBS, foreign body sensation; RT, Refresh Tears.

At day 90, distance visual acuity was similar to that recorded at baseline, and there were no differences between groups in the distribution of better, no change, or worse visual outcomes ( $P \ge 0.389$  for between-group comparisons). At day 90, greater than 84% of subjects in each group had no change in visual acuity or performed better than at baseline, and only 1 subject (in the CHO-1 group) was observed to have a 2-line (10 letters or more) decrease from baseline. In addition, the incidence and severity of biomicroscopy findings was similar in each group.

# Discussion

In this study, both CHO formulations met the primary efficacy endpoint and were noninferior to RT in OSDI score change from baseline at day 90. A noninferiority margin of 7.3 was used as it represents the minimal clinically important difference in OSDI score for subjects with severe dry eye symptoms.<sup>28</sup> In noninferiority studies, evaluation of both the PP and ITT populations is confirmatory.<sup>29</sup> Results of analysis of the PP population were similar to those in the ITT population, with each CHO formulation demonstrating noninferiority to RT.

TBUT and conjunctival staining scores improved similarly in each group, but the CHO-1 formulation improved corneal staining in all subjects, as well as combined corneal and corneal/conjunctival staining in subjects who had clinically relevant staining at baseline, significantly more than RT. Both CHO formulations were well tolerated and had a safety profile similar to RT. Treatment-related AEs and discontinuations due to AEs were low in all groups and lowest with CHO-1.

Comparing the two formulations (CHO-1 and CHO-2) in this study allowed evaluation of a dose response to HA. Reports of visual disturbance were highest in the CHO-2 group, and responses regarding eye drop thickness and initial blur after application were more favorable with CHO-1 and consistent with its lower viscosity. TBUT was not significantly improved by the higher viscosity of CHO-2 versus CHO-1 or RT. For symptoms and ocular surface staining,

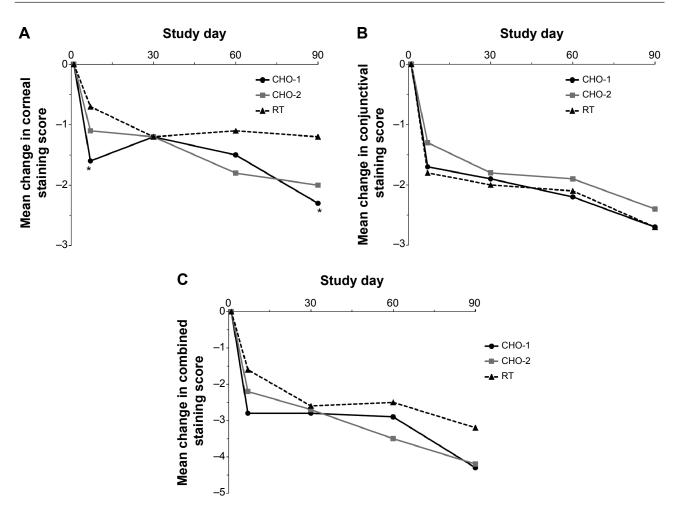


Figure 5 Mean changes in (A) corneal, (B) conjunctival, and (C) combined corneal/conjunctival staining scores from baseline (intent-to-treat population). Notes:  $*P \le 0.036$  for CHO-I versus Refresh Tears (RT).

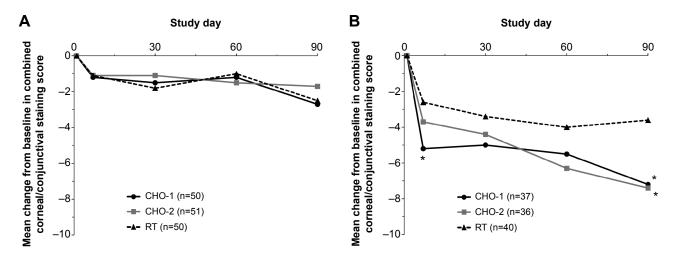


Figure 6 Mean changes in combined corneal/conjunctival staining scores from baseline in subjects stratified by the severity of baseline staining (per-protocol population). (A) Subjects with combined corneal/conjunctival staining score of  $\leq$ 14 at baseline. Baseline mean scores were CHO-1, 7.7; CHO-2, 7.7; and RT, 7.8. (B) Subjects with combined corneal/conjunctival staining score of  $\geq$ 14 at baseline mean scores were CHO-1, 23.4; CHO-2, 21.3; and RT, 28. (B) Subjects with combined corneal/conjunctival staining score of  $\geq$ 14 at baseline mean scores were CHO-1, 23.4; CHO-2, 21.3; and RT, 22.1. Notes: There were no significant differences between study arms in baseline mean staining scores. \*P $\leq$ 0.038 for CHO-1 or CHO-2 versus Refresh Tears (RT).

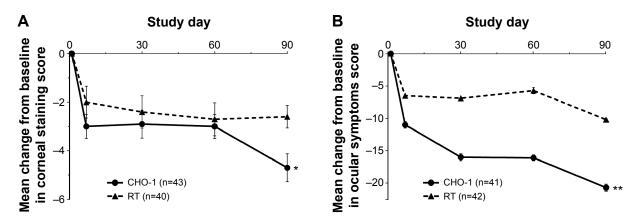


Figure 7 Mean changes in (A) corneal staining scores and (B) ocular symptoms subscale scores of the Ocular Surface Disease Index in subjects with clinically relevant staining at baseline. Notes: Error bars indicate standard error of the mean (intent-to-treat population). \*P=0.009 and \*\*P<0.007 for CHO-1 versus Refresh Tears (RT).

CHO-1 performed as well or better than CHO-2 despite its lower HA concentration.

HA is a naturally occurring linear biopolymer of glycosaminoglycan disaccharides with notable hygroscopic capacity.<sup>30</sup> It provides great resistance to evaporation,<sup>30,31</sup> which can help stabilizing the tear film. HA has been shown to be effective in treating severe dry eye,<sup>32,33</sup> and studies have also demonstrated that artificial tears containing HA may reduce damage to the ocular surface in patients with dry eye disease.<sup>13,18–20,33–35</sup> Carmellose sodium is an anionic cellulose polymer with bioadhesive properties, available in several viscosities corresponding to different molecular weights.<sup>30</sup> It has been shown to bind to the corneal surface (including extracellular matrix proteins), which may increase retention time and promote corneal wound healing.<sup>8–11,36,37</sup> Carmellose sodium has been reported to provide a temporary but significant improvement in visual acuity in patients with symptomatic or asymptomatic dry eye.<sup>38</sup> Inclusion of osmoprotectants in artificial tears may also be potentially valuable for reducing ocular surface damage and visual disturbance.<sup>19,39</sup>

In the present study, a difference in combined corneal/conjunctival staining and ocular symptom OSDI subscale score between the CHO formulations and RT became particularly evident when the analysis was stratified by the severity of staining at baseline. The results suggest that with little surface staining, low-viscosity tears such as RT may have an adequate effect. In more advanced disease when there is pronounced ocular surface damage, carmellose sodium/HA combinations such as CHO-1 may be a superior therapeutic option.

Table 3 Visual disturbance questionnaire r	results
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Item	Study visit	Subjects in agreement, %ª		
		CHO-I (n=101)	CHO-2 (n=100)	RT (n=104)
"The thickness of these eye drops is just right"	Day 7	70	65	61
	Day 30	<b>74</b> <sup>b</sup>	59	70
	Day 60	68	70	65
	Day 90	67	61	72
"No blur when first applied"	Day 7	70	62	<b>79</b> °
	Day 30	<b>70</b> <sup>b</sup>	53	80 <sup>c</sup>
	Day 60	62	50	70 <sup>c</sup>
	Day 90	57	57	<b>76</b> <sup>c,d</sup>
"No blur after a few minutes (<5 min)"	Day 7	85	81	<b>92</b> °
	Day 30	86	81	93°
	Day 60	88	81	87
	Day 90	85	83	89

**Notes:** "Percentage of subjects who responded "strongly agree" or "agree" from among the following choices of response: strongly agree, agree, neither agree nor disagree, disagree, strongly disagree.  $^{b}$ CHO-1 superior to CHO-2 ( $P \le 0.038$ ). "RT superior to CHO-2 ( $P \le 0.017$ )." (RT superior to CHO-1 (P = 0.006). **Abbreviation:** RT, Refresh Tears. This initial evaluation of combination carmellose sodium/HA formulations also included a comparison with a standard carmellose sodium-containing eye drop. Prior reports comparing carmellose sodium-based eye drops to drops containing HA only have shown similarity in overall efficacy and safety<sup>19,40</sup> or superior reduction in conjunctival staining.<sup>41-43</sup> These reports, together with the present data, suggest that the combination of carmellose sodium and HA may provide a superior level of therapeutic benefit than either polymer alone. However, further work involving direct comparison with other HA-only preparations is indicated.

The present study enrolled a heterogeneous population with respect to the etiology of dry eye, reflecting the dry eye population presenting to the various study centers. The role of deficiencies in tear production versus excessive tear evaporation in producing the signs and symptoms of dry eye, and possibly the therapeutic value of various components of artificial tears, could vary greatly among the study participants. Further study in specific subpopulations of patients with dry eye (eg, patients with more severe clinical signs or meibomian gland dysfunction) is warranted to assist eye care practitioners in treatment decisions. Specific studies utilizing new methods to assess clinical signs are also indicated,<sup>44</sup> and the study of the optimum frequency of eye drop instillation is also warranted.

Overall, the results of this study indicate that combining carmellose sodium and HA polymers in an osmoprotective formulation provides a potentially beneficial option for the management of dry eye.

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# **Author contributions**

This study was sponsored by Allergan, Inc., Irvine, CA, USA. The study sponsor was involved in the design of the study, data analysis and interpretation, the development of the manuscript, and the decision to submit for publication. All authors participated in the design of the study or in the analysis and interpretation of the study data, and all were involved in developing the manuscript and approved the final version for submission.

## Disclosure

The authors are employees of Allergan, Inc., Irvine, CA, USA. The formulations used in this study are investigational or marketed products of Allergan, Inc. The authors report no other conflicts of interest.

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