ORIGINAL RESEARCH

Superior rejection profile during the first 24 months after heart transplantation under tacrolimus as baseline immunosuppressive regimen

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Background: The use of tacrolimus (TAC) in patients after heart transplantation (HTX) has increased over the last few years.

Aim: In this retrospective study, we evaluated the effects of a TAC (conventional and extendedrelease TAC)-based immunosuppressive therapy regarding rejection profile in comparison to a cyclosporine A (CSA)-based regimen in patients after HTX.

Methods: The data of 233 patients who underwent HTX at the Heidelberg Heart Transplantation Center from May 1998 until November 2010 were retrospectively analyzed. Primary immunosuppressive therapy was changed from a CSA (n=114) to a TAC (n=119)-based regimen in February 2006 according to center routine. Follow-up period was 2 years post-HTX. Primary endpoint was time to first biopsy-proven rejection requiring therapy. In all patients, routine follow-up at the Heidelberg Heart Transplantation Center was mandatory.

Results: Multivariate risk factor analysis regarding time to first rejection episode showed no statistically significant differences regarding recipient age, donor age, recipient sex, donor sex, sex mismatch, ischemic time, and diagnosis leading to HTX between the two groups (all P= not statistically significant). Time to first biopsy-proven rejection was significantly longer in the TAC group (intention-to-treat analysis, n=233, log-rank test P < 0.0001; per-protocol analysis, n=150, log-rank test P=0.0003). In patients who underwent a change of primary immunosuppression (n=49), a significantly longer time to first biopsy-proven rejection was also found in the primary TAC subgroup (log-rank test P=0.0297). Further subgroup analysis in the TAC subgroups showed no statistically significant differences in time to biopsy-proven rejection under extended-release TAC compared to conventional TAC (intention-to-treat analysis, log-rank test P=0.1736).

Conclusion: Our study demonstrated that a TAC-based primary immunosuppressive therapy is superior to a CSA-based immunosuppressive regimen in patients after HTX regarding time to first biopsy-proven rejection.

Keywords: extended-release tacrolimus, cyclosporine A, renal function

Introduction

After clinical introduction at the end of the 1980s, tacrolimus (TAC) is now used as a primary calcineurin inhibitor (CNI) in most patients after heart transplantation (HTX). 1-6 TAC and cyclosporine A (CSA) have different chemical structures, but the main mechanism of action is similar.7 As TAC needs a 100-times lower concentration, possible favorable effects regarding prevention of allograft rejection might be explained.8 In addition to conventional TAC, extended-release TAC is also available.9

Recently, published data comparing CNI immunosuppressive treatment in patients after HTX showed a superior rejection profile in patients with a TAC-based

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immunosuppressive regimen.^{2,10–12} In particular, analysis of a European cohort, published by Grimm et al detected advantageous effects of a TAC-based immunosuppressive regimen compared to a CSA-based immunosuppression regimen within the first year after HTX.¹³

However, data comparing both CNI regimes, in patients after HTX, remain limited. Current International Society for Heart and Lung Transplantation (ISHLT) registry data show that TAC is applied more often in patients after HTX, but there is still no general recommendation regarding specific CNI treatment after HTX. ¹⁴ For this reason, the present study focused on the rejection profile in patients after HTX regarding time to first biopsy-proven rejection, depending on the primarily applied CNI. Furthermore, renal function 2 years after HTX was analyzed.

Patients and methods Patients

In total, we retrospectively analyzed data of 233 patients who underwent HTX at the Heidelberg Heart Transplantation Center (Heidelberg, Germany) between May 1998 and November 2010. One hundred and fourteen patients received a CSA-based and 119 a TAC-based primary immunosuppressive drug regimen. Primary immunosuppressive therapy was changed from a CSA- to a TAC-based regimen in February 2006 according to center routine.

Exclusion criteria were a primarily non-CNI-based immunosuppressive regimen, external follow-up, and primary graft failure.

All patients received standardized routine follow-up examinations according to center practice, including physical examination, routine laboratory testing including drug level monitoring, endomyocardial biopsies, and echocardiography. Baseline data were collected immediately after HTX. In patients who changed transplantation center during the observation period, only baseline demographic data were analyzed.

Immunosuppressive regimen and drug monitoring

Immunosuppressive therapy was given according to the center's routine protocol. Immunosuppression was monitored by in-house laboratory-measured trough levels. All patients received a dual immunosuppression. Azathioprine was replaced by mycophenolate mofetil (MMF) in 2001 according to the center's practice. Additionally, steroids were routinely given for 6 months after HTX and weaned off these according to the investigator's discretion whenever possible.

Acute rejection (AR)

Endomyocardial biopsies were performed according to the center's routine protocol. Biopsies were performed weekly during the first month after HTX, monthly during months 2 to 6, yearly from month 12, and when clinically indicated. Rejection episodes were graded according to the revised ISHLT classification (ISHLT R).¹⁵

Statistical analysis

Statistical analysis was performed using SAS software (v 9.3; SAS Institute Inc., Cary, NC, USA). Freedom from AR was evaluated using Kaplan–Meier analysis and log-rank test. Numerical data were expressed as mean value ± standard deviation or were listed as absolute numbers (n) or percentage (%). To determine differences in groups and subgroups, Student's *t*-tests for continuous data and chi-square tests for categorical data were used. To determine possible risk factors, a multivariable Cox proportional-hazards model was used, if necessary.

A P-value of <0.05 was considered to be statistically significant. Whenever appropriate, statistical graphics were used to illustrate findings.

Results

Patient baseline characteristics

Two hundred and thirty-three patients were included in the present study, of whom 114 received CSA and 119 TAC as initial immunosuppressive therapy. Included in the TAC group were 64 patients on extended-release TAC. In the CSA group, 55 patients received azathioprine as initial concomitant immunosuppression. In the TAC group, no patient received azathioprine as initial concomitant immunosuppression.

Baseline characteristics are described in Table 1. No differences between groups were found regarding mean recipient age, recipient sex, and sex mismatch (all P= not statistically significant [ns]). Donors for patients primarily on CSA were significantly younger (P<0.0001) and had a significantly shorter ischemic time (P<0.0001) and significantly higher percentage of male donors (P=0.016). Considering reasons for HTX, more patients underwent transplantation due to cardiac amyloidosis in the TAC group (P=0.0110). In the CSA group, three patients changed HTX center during the study period (P= ns).

Survival

In total, 13.3% (31/233) of all included patients died within 2 years after HTX: 9.6% (11/114) in the CSA group and

Table I Baseline clinical and demographic data

Characteristics	CSA group	TAC group	P-value
Number of patients	114	119	
Mean recipient age (years) \pm SD	51.7±10.9	52.15±9.58	ns
Mean donor age (years) \pm SD	37.6±13.2	45.3±12.2	< 0.0001
Recipient sex (m/f)	88/26	88/3 I	ns
Donor sex (m/f)	52/62	36/83	0.016
Sex mismatch (n/%)	43/37.7	58/48.7	ns
Diagnosis leading to HTX (patients)			
DCM	62	64	ns
CAD	40	29	ns
Organic heart defect	1	2	ns
Lung	1	0	ns
Other	3	4	ns
Cardiac amyloidosis	7	20	0.0110
Ischemic time (min) \pm SD	203.2±55.2	247.0±52.2	< 0.0001
Change of HTX center (n)	3	0	ns

Abbreviations: CAD, coronary artery disease; CSA, cyclosporine A; DCM, dilated cardiomyopathy; HTX, heart transplantation; m/f, male/female; ns, not statistically significant; SD, standard deviation; TAC, tacrolimus.

16.8% (20/119) of TAC patients (P= ns). As shown in Table 2, more patients died due to infections in the TAC group (P=0.0123); regarding all other causes of death, statistical significance was not reached (all P= ns).

AR

Freedom from AR in CSA and TAC patients is shown in Figure 1. Kaplan–Meier analysis demonstrated a superior rejection profile in the TAC group (intention-to-treat analysis [ITT], log-rank test P<0.0001). Analysis of patients without changes of their CNI-based immunosuppressive regimen showed similar results (per-protocol analysis, log-rank test P=0.0003).

Subgroup analysis of conventional TAC and extended-release TAC showed no statistically significant differences regarding rejection-free time (ITT, *P*=0.1736).

In order to analyze significant differences or characteristics concerning many patients at baseline, multivariate risk analysis with regard to time to first rejection episode

Table 2 Causes of death

Cause of death	CSA group	TAC group	P-value
Transplant failure	0	0	na
Infection	3	13	0.0123
Acute rejection	I	1	ns
CAD	0	0	na
Lymphoma	I	0	ns
Carcinoma	0	0	na
Other	6	4	ns
Unknown	0	2	ns
Total (n/% of group)	11/9.6	20/16.8	ns

Abbreviations: CAD, coronary artery disease; CSA, cyclosporine A; na, not applicable; ns, not statistically significant; TAC, tacrolimus.

was used. As demonstrated by risk analysis for occurrence of AR, the only independent risk factor for development of an AR episode was a CSA-based immunosuppressive regimen (Table 3). All other considered risk factors did not reach statistical significance (all *P*= ns).

Renal function, laboratory and physical data

Renal function was evaluated by serum creatinine and the Modification of Diet in Renal Disease (MDRD) equation (Figures 2 and 3).16 At baseline, serum creatinine was 1.1±0.5 mg/dL versus 1.5±0.8 mg/dL in the TAC group (P < 0.0001). Three months after transplantation, serum creatinine was 1.2±0.4 mg/dL in the CSA group versus 1.6 \pm 0.8 mg/dL in the TAC group (P<0.0001). Six months after HTX, serum creatinine increased to 1.4±0.6 mg/dL in the CSA group versus 1.7±1.0 mg/dL in the TAC group (P=0.0206). Starting 1 year after HTX, no significant differences regarding serum creatinine between both groups were found. Analysis of renal function by using the MDRD equation showed similar results. In the CSA group, serum creatinine increased significantly and MDRD decreased significantly during follow-up (2-year values versus baseline: both P < 0.0001), whereas, in TAC patients, only MDRD values were significantly different 2 years after HTX (2-year values versus baseline: creatinine P=0.2239, MDRD P=0.0094). Evaluation of blood chemistry results showed a significantly higher serum sodium level at baseline in TAC patients compared to CSA patients (P=0.0428). Regarding serum potassium levels, no significant differences between groups were observed at baseline.

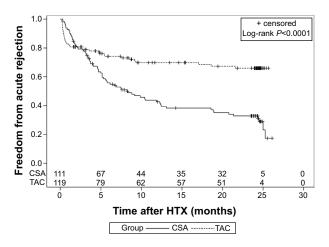


Figure I Freedom from acute rejection (intention-to-treat analysis): rejection profile of CSA- and TAC-based immunosuppressive therapy during the first 2 years after HTX. **Abbreviations:** CSA, cyclosporine A; HTX, heart transplantation; TAC, tacrolimus.

No statistically significant differences between both groups were detected at baseline and 2 years after HTX concerning blood glucose levels (baseline P= ns, 2 years after HTX P= ns); accordingly, no significant decrease of blood glucose trough levels within either group was detectable. Total blood cholesterol levels (baseline P=0.0021, 2 years after HTX P=0.0252) and low-density lipoprotein levels were higher in CSA patients (baseline P=0.0002, 2 years after HTX P=0.0006). During the study period, in both groups, a statistically significant reduction of total blood cholesterol levels was seen (CSA group P=0.0010, TAC group P=0.0003). Regarding serum triglycerides, a significant decrease was detected in TAC patients during the study period (P=0.0031).

Regarding liver function tests, significantly lower aspartate aminotransferase could be observed in CSA patients at baseline and 2 years after HTX (baseline P=0.0007, 2 years after HTX P=0.0014); alanine aminotransferase was

Table 3 Risk factor analysis regarding time to first rejection

Factor	Hazard ratio	95% CI	P-value
CSA-based	2.261	1.415-3.612	0.006
immunosuppressive therap	у		
Recipient age	0.986	0.965-1.008	ns
Donor age	1.000	0.985-1.014	ns
Male recipient	0.729	0.390-1.362	ns
Male donor	0.810	0.429-1.527	ns
Mismatch	1.041	0.556-1.948	ns
Reason for HTX			
DCM	0.542	0.236-1.245	ns
CAD	0.754	0.302-1.881	ns
Cardiac amyloidosis	0.905	0.335-2.446	ns

Abbreviations: CAD, coronary artery disease; CI, confidence interval; CSA, cyclosporine A; DCM, dilated cardiomyopathy; HTX, heart transplantation; ns, not statistically significant.

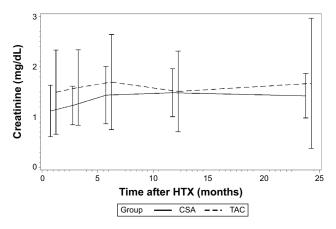


Figure 2 Renal function (serum creatinine) during the first 2 years after HTX in CSA and TAC patients.

Abbreviations: CSA, cyclosporine A; HTX, heart transplantation; TAC, tacrolimus.

significantly higher at baseline in the TAC group (P=0.0048), and decreased significantly during the study period in both groups. Significantly higher gamma-glutamyl transferase was observed in the TAC group, both at baseline and 2 years after HTX. Again, GGT decreased significantly during the study period in both groups.

Systolic blood pressure was significantly higher in CSA patients at baseline and 2 years after HTX (baseline P=0.0317, 2 years after HTX P=0.0405). No significant change of blood pressure was observed within either group during the study period. All laboratory and clinical parameters are described in Table 4.

Immunosuppressive therapy

The immunosuppressive regimen was monitored by measuring drug trough levels. In both groups, drug trough levels

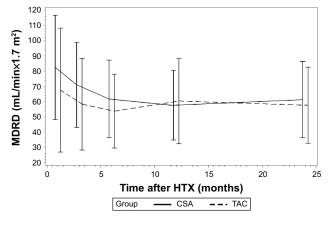


Figure 3 Renal function (MDRD) during the first 2 years after HTX in CSA and TAC patients.

Abbreviations: CSA, cyclosporine A; HTX, heart transplantation; MDRD, Modification of Diet in Renal Disease; TAC, tacrolimus.

Table 4 Laboratory and clinical characteristics

Characteristics (all parameters), mean \pm SD	CSA group	TAC group	P-value
Creatinine (mg/dL)			
Baseline	1.1±0.5	1.5±0.8	< 0.0001
2 years after HTX	1.4±0.4ª	1.7±1.3 ^b	ns
MDRD (mL/min*1.73 m²)			
Baseline	82.4±34.2	67.6±40.7	0.0030
2 years after HTX	61.3±25.0 ^a	57.6±24.9 ^a	ns
Sodium (mmol/L)			
Baseline	136.5±3.8	137.5±3.4	0.0428
2 years after HTX	138.6±3.5 ^a	139.2±2.8 ^a	ns
Potassium (mmol/L)			
Baseline	4.2±0.5	4.3±0.6	ns
2 years after HTX	4.3±0.4 ^b	4.4±0.5 ^b	ns
Serum urea (mg/dL)			
Baseline	69.7±45.3	78.6±41.4	ns
2 years after HTX	56.8±20.1ª	57.3±25.8 ^b	ns
Uric acid (mg/dL)			
Baseline	5.9±2.3	6.8±2.6	0.0075
2 years after HTX	6.6±1.9ª	6.8±2.2 ^b	ns
Blood glucose trough level (mg/dL)			
Baseline	117.2±49.7	127.0±56.4	ns
2 years after HTX	109.2±30.2 ^b	114.0±37.0 ^b	ns
Creatine phosphokinase (U/L)			
Baseline	23.3±23.3	35.9±21.8	< 0.0001
2 years after HTX	141.0±157.3°	150.8±142.9 ^a	ns
ASAT (U/L)			
Baseline	17.3±10.7	24.2±16.7	0.0007
2 years after HTX	16.4±8.3 ^b	29.8±32.8 ^b	0.0014
ALAT (U/L)			0.0040
Baseline	35.7±31.3	49.0±36.7	0.0048
2 years after HTX	17.6±13.6 ^a	24.3±15.2 ^a	0.0061
GGT (U/L)	=		
Baseline	111.7±112.0	306.4±271.1	<0.0001
2 years after HTX	30.6±29.7 ^a	83.3±99.4 ^a	< 0.0001
Total blood cholesterol level (mg/dL)	220 5 4 5 4 4	100 0 150 1	0.0021
Baseline	220.5±56.6	198.0±50.1	0.0021
2 years after HTX	193.7±46.6 ^a	178.1±35.5 ^a	0.0252
HDL (mg/dL)	40.2122.5	(1.4120.4	
Baseline	60.3±22.5	61.4±20.6	ns
2 years after HTX	48.7±15.6 ^a	50.1±16.3 ^a	ns
LDL (mg/dL) Baseline	120.0140.1	10421324	0.0002
	128.9±48.1	104.2±32.4	0.0002
2 years after HTX	114.0±30.5 ^a	95.8±31.4 ^b	0.0006
Triglycerides (mg/dL) Baseline	104 5+05 5	102 1±70 2	ns
2 years after HTX	184.5±95.5 163.2±135.5⁵	183.1±78.2	
Lactate dehydrogenase (U/L)	163.2±133.3	158.8±84.2ª	ns
Baseline	202 0+100 2	297.0±104.9	ns
	282.9±109.3		0.0002
2 years after HTX Alkaline phosphatase (U/L)	196.9±86.8ª	252.3±76.1ª	0.0002
Baseline Baseline	140 3+04 0	145 1+114 4	ns
2 years after HTX	149.3±96.9	145.1±114.4	
Hemoglobin (g/dL)	106.6±58.9 ^b	95.9±54.8 ^a	ns
Baseline	11.4±1.3	11.0±1.2	0.0080
2 years after HTX	11.4±1.3 12.7±1.6 ^a	11.0±1.2 12.8±1.8 ^a	ns
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Table 4 (Continued)

Characteristics (all parameters), mean ± SD	CSA group	TAC group	P-value
Thrombocytes (/nL)			
Baseline	273.3±129.0	314.7±136.1	0.0189
2 years after HTX	244.3±66.5 ^b	215.8±67.3 ^a	0.0109
Leukocytes (/nL)			
Baseline	10.0±5.2	10.1±4.5	ns
2 years after HTX	6.7±2.0 ^a	6.3±2.0 ^a	ns
Systolic blood pressure (mmHg)			
Baseline	125.5±14.3	120.8±17.5	0.0317
2 years after HTX	126.2±15.8 ^b	121.1±14.4 ^b	0.0405
Diastolic blood pressure (mmHg)			
Baseline	77.0±9.6	73.I±II.20	0.0060
2 years after HTX	77.2±9.6 ^b	75.4±10.6⁵	ns

Notes: ^aP<0.05 vs baseline, statistically significant; ^bP>0.05 vs baseline, ns.

Abbreviations: ALAT, alanine aminotransferase; ASAT, aspartate aminotransferase; CSA, cyclosporine A; GGT, gamma-glutamyl transferase; HDL, high-density lipoprotein; HTX, heart transplantation; LDL, low-density lipoprotein; MDRD, Modification of Diet in Renal Disease; ns, not statistically significant; SD, standard deviation; TAC, tacrolimus.

were significantly reduced during the study period. In patients continuously on CSA, trough levels were 266.1±102.0 µg/L at baseline, 174.5±83.7 µg/L 1 year after HTX (P-value versus baseline <0.0001), and $130.8\pm56.7 \mu g/L 2 \text{ years}$ after HTX (P-value versus baseline <0.0001). In patients continuously on TAC, trough levels were 12.6±4.4 µg/L at baseline, 8.89±4.23 µg/L 1 year after HTX (P-value versus baseline <0.0001), and $7.22\pm2.2 \mu g/L$ 2 years after HTX (P-value versus baseline <0.0001). Mycophenolic acid (MPA) trough levels did not differ significantly in patients continuously on CSA or TAC. Baseline MPA trough level was 2.09±1.09 mg/L in the CSA group versus 2.43 ± 1.52 mg/L in the TAC group (P= ns). One year after HTX, MPA trough levels were 2.51±1.71 mg/L in the CSA group versus 2.29 ± 1.24 mg/L in the TAC group (P= ns). Two years after HTX, MPA levels were 2.50±1.53 mg/L (CSA) versus 2.15 ± 1.22 mg/L (TAC) (P= ns). Further analysis of given MMF doses showed statistically significantly lower MMF doses in TAC patients. MMF doses in TAC patients were 2,300.7±902.0 mg at baseline (P-value versus CSA group =0.0116), 1,804.4±739.6 mg 1 year after HTX (P-value versus CSA group <0.0001), and 1,625.0±670.4 mg 2 years after HTX (P-value versus CSA group < 0.0001).

Concomitant medication

Analysis of concomitant medication at end of study period showed significantly more patients on beta-blocker therapy in the TAC group (P<0.0001). Considering concomitant medication with ACE inhibitors, statins, or AT1 inhibitors, no statistically significant differences between groups were detected 2 years after HTX (all P= ns).

Discussion

The primary endpoint of this retrospective study in patients after HTX was to evaluate the rejection profile on CSAand TAC-based immunosuppressive therapies. In line with previously published data, our study confirmed a superior rejection profile, ie, a longer time to first biopsy-proven rejection, in patients on a TAC-based primary immunosuppressive regimen in comparison to those on a CSAbased regimen. 10,12,13,17 This might be partially explained by better patient adherence under extended-release TAC. However, we want to point out that the number of included patients in previously published studies in patients after HTX was comparatively low or time of observation was shorter.^{2,10,13} A significantly longer rejection-free interval under TAC was demonstrated in per-protocol and ITT analysis. Additionally, our study showed no statistically significant differences regarding time to first biopsy-proven rejection in patients on extended-release TAC; the statistically insignificant benefit of extended-release TAC might possibly be explained by advantageous patient adherence on extended-release TAC, which has been demonstrated previously.¹⁸ This has also recently been seen in patients after renal transplantation.9 In contrast to Meiser et al we continually found no differences in measured MPA levels in our study cohort, and a significantly lower MMF dose in TAC patients was observed as well.¹⁹ The different MPA level at month 3 between CSA and TAC patients in the study by Meiser et al might be explained by the lower patient number.

Additional emphasis was put on development of renal function after HTX. Despite statistically significantly better renal function tests in TAC patients at baseline compared to the CSA group, renal function tests 2 years after HTX no longer showed a statistically significant difference. As analysis of concomitant medication showed no significant differences between both groups, except for beta-blocker therapy, the main reason for deterioration of renal function might be immunosuppressive therapy. Regarding the development of chronic rejection, a published study in renal transplant patients showed no effects regarding development of chronic rejection depending on beta-blocker usage.20 The lower percentage of patients on beta-blocker therapy might be attributed to an era effect. Moreover, this indicates advantageous effects of TAC therapy on renal function in line with previously published data.^{2,21} Higher levels of total blood cholesterol in CSA patients and a better blood lipid profile under TAC therapy were also observed in patients after renal transplantation.¹⁷ In contrast to a meta-analysis performed by Penninga et al a higher number of infectionrelated deaths were observed in TAC patients in our study cohort.²² Regarding total mortality, however, no statistically significant differences were observed. It has to be admitted that, regarding mortality, mean donor age and ischemic time were higher in TAC patients, reflecting the shortage of donor organs. A reported higher blood pressure in patients with CSA as primary immunosuppression was seen in our study cohort as well as in other published studies.^{22,23}

Limitations

This study was performed as a single-center study. As all patients were followed-up according to center's specific protocol, results cannot be completely compared with other HTX centers. Therefore, further randomized multicenter studies must be performed. However, possible confounding factors, eg, effects of different induction therapies, were minimized by this study approach. To exclude possible confounders, multivariate risk analysis regarding development of AR was applied. Given the long inclusion period, an era effect cannot be completely excluded.

Conclusion

Our study analyzed the rejection profile of patients on CSA-based therapy and patients on TAC-based therapy after HTX. We detected a superior rejection profile in patients with a primary TAC-based immunosuppressive regimen. Moreover, subgroup analysis showed a trend toward superiority of prolonged-release TAC. Analysis of renal parameters showed a favorable effect on renal function under TAC therapy. We conclude that a TAC-based immunosuppressive regimen is superior in avoidance of ARs after HTX. In conclusion, we

suggest that both extended-release TAC and conventional TAC are effective in protecting from AR.

Disclosure

Andreas O Doesch received a research grant from Astellas Pharma GmbH, Munich, Germany. All human studies have been reviewed by the ethics committee of the University of Heidelberg and have therefore been performed in accordance with the ethical standards laid down in the 2008 Declaration of Helsinki. The authors report no other conflicts of interest in this work.

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