# Patients opting for breast reconstruction following mastectomy: an analysis of uptake rates and benefit

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Department of General Surgery, Wake Forest School of Medicine, Winston-Salem, NC, USA Abstract: For women with breast cancer who undergo a mastectomy, breast reconstruction offers improved psychological and cosmetic outcomes. We analyzed the rates of breast reconstruction and potential benefits to these women. The review was based on a PubMed search using the terms "reconstruction," "mastectomy," "rates," "benefits," and "breast cancer." Breast-reconstruction rates have continued to rise in recent years; however, there are definite barriers to widespread use of this procedure. These barriers include age, ethnicity, income, tumor characteristics, and the need for adjuvant radiation therapy. There are notable psychological advantages to women who receive breast reconstruction. These women also express an improved quality of life. Breast reconstruction is an acceptable technique for women undergoing mastectomy. It should be offered to all women in an immediate or delayed fashion, with guidance from their physician about the benefits and risks.

**Keywords:** breast reconstruction, breast cancer, rates, benefits

## Introduction

Surgery is one of the main treatments for breast cancer. Its use is evolving as more management options that improve patient satisfaction are offered. Several trials have demonstrated equivalent rates of survival for the treatment of breast cancer with lumpectomy and mastectomy in women with early stage breast cancer. However, several recent studies have reported an increase in the mastectomy rate. Although partly attributed to the increased use of preoperative breast magnetic resonance imaging, the exact cause of the increasing rates noted in these studies is unclear. Mahmood et al found that women diagnosed in 2008 were more likely to undergo mastectomy than women diagnosed in 2005 (odds ratio 1.17, 95% confidence interval 1.13–1.21; P < 0.0001).

The options for reconstructive surgery include alloplastic material or implant-based versus autologous tissue transfer. Many plastic surgeons prefer performing a two-stage reconstruction or two-stage delayed reconstruction if implants are used. This method is preferred if the patient's skin and chest-wall tissues are tight and flat. An implanted tissue expander is inserted at the time of the mastectomy under the pectoralis muscle group. Through a tiny valve under the skin, the surgeon injects a saltwater solution at regular intervals over a 4- to 6-month period to fill the expander. A second surgery is done to remove the expander and put in the permanent implant. Implants can be placed without using an expander, but this depends on the patient's breast size and status of their skin.

Autologous or tissue-flap reconstruction is the second type of breast reconstructive option. This uses the patient's own skin, subcutaneous tissue, and muscle to create a breast

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mound. The two most common options include transverse rectus abdominis myocutaneous (TRAM) flap, which uses tissue from the lower abdomen, and the latissimus dorsi flap, which uses tissue from the upper back. TRAM flaps are categorized by their blood supply. Pedicled TRAM flaps can be based on branches from the deep superior epigastric artery and vein. The free TRAM flap is a microsurgical procedure in which the deep inferior epigastric artery and vein are used as the axial pedicle. The latissimus dorsi myocutaneous flap uses the skin island and tissue associated with the latissimus dorsi to create a breast mound. Often, implants are used in conjunction with this flap to add fullness and volume to the reconstruction. Another flap procedure, the deep inferior epigastric perforator flap, uses fat and skin from the same area as in the TRAM flap, but does not use the rectus abdominis muscle to form the breast mound. This method uses a free flap with microsurgical techniques to anastomose the vessels. The gluteal free flap or gluteal artery perforator flap is a newer type of surgery that uses tissue from the buttocks, including the gluteal muscle, to create the breast shape. It is an option for women who cannot or do not wish to use the abdominal sites due to thinness, incisions, failed TRAM or DIEP flap, or other reasons. Table 1 outlines the advantages and disadvantages of each reconstructive type.

For those women who choose to have a mastectomy, breast reconstruction offers a cosmetic advantage, and numerous studies have shown potential psychological benefits as well.<sup>8–11</sup> Women who undergo mastectomy without breast reconstruction report a loss of perceived femininity, depression, anxiety, and interpersonal, marital, and sexual dysfunction.<sup>12</sup> Immediate breast reconstruction has also been proven to be oncologically safe.<sup>13–15</sup> Despite concerns about delay in administration of adjuvant chemotherapy in patients who receive immediate breast reconstruction, several trials have reported no delay in the delivery of systemic therapy after immediate breast reconstruction.<sup>16–18</sup>

Despite the option of immediate or delayed breast reconstruction after mastectomy, its utilization remains limited. The purpose of this review is to determine the rates of breast reconstruction after mastectomy and to analyze the benefits and risks of the procedure as reported in the literature.

# **S**earch strategy and selection criteria

Data for this review were identified by a search of PubMed using the search terms "reconstruction," "mastectomy," "rates," "benefits," and "breast cancer." Relevant references from identified articles were also included. Approximately 380 articles were identified, and their titles and abstracts were screened for relevance. Abstracts, meeting reports, case reports, and very small series were excluded. Only Englishlanguage articles published between 1990 and 2012 were included for analysis.

Table I Breast reconstruction options, including advantages and disadvantages

Туре	Specifics	Indications	Contraindications	Advantages	Disadvantages
Implant-based	Tissue expander or implant under the pectoralis muscle	Small breast with minimal ptosis Lack of donor tissue Patient preference	Previous radiation therapy Potential for anticipated radiation therapy	No donor-site morbidity Short operation, admission and recovery	Complications related to implant (eg, capsular contracture, implant migration) Visits for tissue expansion and second surgery to remove expander and place implant
Autologous	Pedicled: TRAM Free: TRAM, DIEP Other: gluteal flaps, thigh flaps	Redundant tissue Patient preference	Severe comorbidities Lack of donor tissue An excess of donor tissue (eg, large pannus)	More natural in appearance and feel Improved symmetry to contralateral native breast Better outcomes in patients requiring radiation than in implant patients	Longer operation, admission, and recovery Increased blood loss in the surgery Donor site complications Potential for partial or total flap loss
Combination	Pedicled latissimus dorsi flap with an implant	Not a candidate for autologous reconstruction alone Previous radiation treatments to the chest Patient preference	Atrophic or absent latissimus dorsi muscle	More natural appearance and feel than implant alone Latissimus dorsi muscle gives increased protection and vascularity against radiation	Morbidity at the donor site More invasive than implant-based surgery

Abbreviations: TRAM, transverse rectus abdominis myocutaneous; DIEP, deep inferior epigastric perforator.

# **Rates**

Low rates for breast reconstruction in the past were primarily due to concerns about compromising surgical resection for reconstruction, and the possibility of decreasing detection of local recurrences.<sup>19</sup> The first large study to look at breast-reconstruction rates used the information from the National Cancer Database to examine patients from 1985 to 1990 and 1994 to 1995 in the United States. The study found that between 1985 and 1990, 3.4% of mastectomy patients had early or immediate reconstruction. This rate increased to 8.3% in 1994–1995.<sup>20</sup> A second study from Connecticut found that breast-reconstruction rates increased from 9% in 1992 to 16% in 1996.<sup>21</sup>

In 1998, the Women's Health and Cancer Rights Act was passed, requiring group health plans and individual health insurance policies to pay for reconstruction after mastectomy.<sup>22</sup> Since this law went into effect, the rate of breast reconstructive surgery has slightly increased. An analysis of data from the Nationwide Inpatient Sample from 1999 to 2003 showed the 5-year average reconstruction rate to be 23.6% during that time frame. 23 Breast-reconstruction rates have continued to rise in recent years. A California study found that postmastectomy reconstruction rose from 24.8% in 2003 to 29.2% in 2007.24 It is important to note, however, that these rates can be variable. Christian et al found the reconstruction rate to be 42% in patients treated at National Comprehensive Cancer Network multidisciplinary hospitals,25 while some single institutions have immediate and delayed reconstruction rates as high as 59%.26

Internationally, breast-reconstruction rates have been low, and Canada has had a particularly low rate of breast reconstruction.<sup>27</sup> Baxter and colleagues found a reconstruction rate of 7.9% in 1995 in Ontario,<sup>28</sup> and in Nova Scotia the rate was found to be 3.8% between 1991 and 2001.<sup>29</sup> There has not been a more recent evaluation in Canada. Australia, Denmark, and England reported national rates of breast reconstruction of 9% (1982–2000), 14% (1999–2006), and 16.5% (2006–2009), respectively.<sup>30–32</sup>

# Factors associated with the use of breast reconstruction

What factors influence the utilization of breast reconstruction? The most common factors cited in the literature relate to age, race, socioeconomic background, stage, and physician practice. Age less than 50 years was a constant and best predictor of having breast reconstruction. <sup>20,21,24,25,31–34</sup> Some physicians fear offering breast reconstruction to older

women, due to their coexisting morbidities and perceived increase in complications. However, breast reconstruction is a safe and feasible option in older patients. We found in our review of 89 women over 60 years of age that there was only an 11% complication rate, equivalent to all ages.<sup>35</sup> The patient's biological age and comorbidities need to be considered, but women of all ages with breast cancer should be offered breast reconstruction.

Income disparity has been associated with decreased use of breast reconstruction. Morrow et al showed that in 2001, women who made more than US\$40,000 were more likely to undergo breast reconstruction.<sup>20</sup> Other studies have shown that women with commercial insurance had a threefoldhigher likelihood of undergoing immediate reconstruction compared to those without insurance.<sup>34</sup> A Southern California study found that patients with private insurance were ten times more likely to undergo reconstruction than patients with Medi-Cal insurance.<sup>24</sup> In contrast, patients who are considered financially indigent and who receive their care at a university-based public hospital are more likely to have breast reconstruction.<sup>36</sup> Internationally in Western Australia and England, studies that used area code or educational level as proxies for socioeconomic status similarly found that women with less income were less likely to have breast reconstruction.30,31

Ethnicity has been shown to be a factor in breast reconstruction. In studies by Tseng et al and Morrow et al, African—American women were one-third as likely to undergo breast reconstruction.<sup>37,38</sup> Rosson et al and Alderman et al found that reconstruction was lower in African—American women, approximately half the rate of whites.<sup>33,39</sup> Kruper et al found that Asian women were one-third as likely to undergo breast reconstruction as compared to white women.<sup>24</sup> Levine et al found that there was a lower rate of breast reconstruction in Asian women (34%) compared with Hispanic women (48%), despite the same access to available services.<sup>36</sup> The ethnic disparity in breast reconstruction is complicated.

Geographic variation has also been observed in breast reconstruction rates. In a Surveillance Epidemiology and End Results database study, Agarwal et al found that the odds ratio of receiving breast reconstruction was 2.8 in the Atlanta metropolitan area to 0.60 in the Seattle area (reference point of San Francisco). <sup>40</sup> They also noted that patients living in a rural area had a lower likelihood of receiving breast reconstruction (P < 0.001). In Ontario, breast reconstruction occurred at twice the rate in Toronto when compared to the rest of the province. <sup>27,28</sup> Regional variation has also been seen in England, where the reconstruction rate varies from 8.4%

to 31.9%, depending on the region.<sup>31</sup> It has been speculated that this regional variation is due to reimbursement policy, education, and access to care and a plastic surgeon.<sup>34,37,40</sup> Finally, it should be emphasized that this is the personal choice of the patient. The patient should be given all the available information and then she can choose if she wants breast reconstruction.

# **Tumor characteristics**

A patient's stage of disease plays a pivotal role in the incidence of breast reconstruction. Patients with ductal carcinoma in situ or stage I disease are more likely to undergo breast reconstruction. 20,27,25,33 For example, Hershman et al found that women with ductal carcinoma in situ were more likely to have breast reconstruction at the time of mastectomy when compared to patients with invasive breast cancers (44% vs 28%).34 However, a Washington University study showed that tumor stage was not related to breast-reconstruction utilization.<sup>26</sup> This result is likely institution-specific. Plastic surgeons have also examined factors associated with local recurrence after skin-sparing mastectomy and immediate breast reconstruction in patients with invasive breast cancer. In their review of 565 women who underwent immediate breast reconstruction, Carlson et al found that factors associated with a longer disease-free survival include tumor grade and administration of adjuvant radiotherapy.<sup>41</sup> Others have found that grade along with tumor size and the extent of axillary lymph-node involvement predict local recurrence.<sup>42</sup>

# **Adjuvant therapies**

The need for adjuvant treatment has affected the management and outcomes of breast reconstruction, and delays the initiation of chemotherapy between 4 to 6 weeks after surgery. However, several authors have found no such delay in initiating chemotherapy. 16,26,43-45 Wilson and colleagues compared patients with immediate reconstruction with those undergoing mastectomy without reconstruction and those undergoing a lumpectomy. 46 They concluded that there were no delays between the three groups. Alderman et al looked at women at National Comprehensive Cancer Network hospitals and found that immediate reconstruction was associated with an increase in the time to chemotherapy initiation compared with all other treatment strategies among women less than 60 years of age. However, for women over 60 years, the time to chemotherapy was shorter among women receiving reconstruction (immediate or delayed) compared with those undergoing lumpectomy or mastectomy alone.<sup>47</sup> Another study showed an increase in the number of wound complications in patients after immediate breast reconstruction compared to patients who did not have reconstruction.<sup>48</sup> However, they found that this did not delay the initiation of chemotherapy, nor did it increase the risk of complications. Infections were the most common reason for early removal of tissue expanders in patients, the majority of whom were receiving chemotherapy.<sup>49</sup>

Neoadjuvant chemotherapy is an established modality in the treatment of breast cancer. It can reduce the tumor burden in the breast and the axilla, thereby offering women less extensive operations. 50 Few studies have addressed the use of neoadjuvant chemotherapy and breast reconstruction. One small study of stage III patients showed no delay in adjuvant therapy in women who had immediate breast reconstruction, but the perioperative morbidity rate was 14%.51 However, Warren Peled et al found no difference in reoperation, skin necrosis, implant loss, or seroma after immediate breast reconstruction when comparing patients who received neoadjuvant and adjuvant chemotherapy.<sup>52</sup> A recent study by Hu et al compared 180 patients who received neoadjuvant chemotherapy and 485 patients who underwent mastectomy before chemotherapy.<sup>53</sup> They found that patients who receive neoadjuvant chemotherapy are less likely to undergo immediate reconstruction and are no more likely to have delayed breast reconstruction than patients who have surgery before they receive chemotherapy. They speculated that their findings could have been due to treatment fatigue.

Radiation therapy offers increased locoregional control in patients with locally advanced disease, but complicates breast reconstruction. Two primary reconstruction options are available to women who undergo mastectomy. One option is an autologous tissue reconstruction utilizing myocutaneous tissue flaps as a breast mound, and the alternative option is immediate tissue-expander placement at the time of mastectomy, followed by expansion and subsequent exchange for a permanent implant later. Radiation therapy has been associated with implant loss and flap complications.<sup>54</sup> Studies have also documented poor cosmetic outcomes<sup>55</sup> and increased complication rates for implant-based reconstruction in the irradiated breast. The Cleveland Clinic reviewed 560 patients undergoing 733 expander-based reconstructions.<sup>56</sup> They found that radiation therapy was associated with increased complications, with multivariate analysis identifying radiation therapy as the most significant factor associated with complications (odds ratio 4.99, P < 0.001). Prospective data from the Michigan Breast Reconstruction Outcome Study confirm the above

findings: radiation therapy was associated with increased rates of complications (68% vs 31%, P = 0.006) and greater rates of reconstruction failures (37% vs 8%, P = 0.07) when patients underwent postmastectomy radiotherapy.<sup>57</sup>

Due to the complication rate with implants, many plastic surgeons feel that autologous reconstruction is superior to implant-based reconstruction in the irradiated chest.58,59 TRAM flap reconstruction is the most common technique used for autologous reconstruction. A study from the University of Pennsylvania evaluated 500 patients and found the overall complication rate when using TRAM flap reconstruction to be approximately 20% with smoking, peripheral vascular disease, and obesity associated with infection and necrosis, whereas radiation therapy was only associated with seroma development. 60 Due to these conflicting data, some centers favor postponing breast reconstruction if postmastectomy radiation therapy is likely,<sup>61</sup> whereas others routinely perform immediate reconstruction despite the need for radiation.<sup>62</sup> The MD Anderson Cancer Center has championed the delayed-immediate reconstruction technique. 63 Patients who desire reconstruction undergo immediate tissue-expander placement followed by either reconstruction within 2 weeks if radiation is not required, or if radiation is required, deflation of the expander before radiation begins, reinflation after delivery of radiation, and subsequently delayed reconstruction.

# **Benefits**

The loss of a breast is psychologically traumatic for many women.64 Breast cancer can have a negative impact on a woman's body image and sexuality.65 Immediate breast reconstruction is potentially psychologically beneficial by restoring a women's body image. 12,66 Al-Ghazal reported improved overall satisfaction, body image, self-esteem, feeling of attractiveness, and decreased anxiety and depression in immediate-reconstruction patients compared with delayed-reconstruction patients.<sup>67</sup> However, reconstructive breast surgery has been shown to negatively impact body image. Janz et al reported body image to be poorest among women who had a mastectomy with reconstruction,68 and Collins et al found that at 6 months postsurgery, women who had undergone reconstruction had worse body image compared with those who only had a mastectomy.<sup>69</sup> In a study by Fobair et al, women who were considering or had already undergone breast reconstruction had the most body image concerns during the first few months following their cancer diagnosis. 70 In contrast, in a study of 419 women with breast cancer under 40, Rosenberg and colleagues found that reconstructive surgery seems to mitigate the concerns over body image in this age-group.<sup>71</sup>

Quality of life tends to improve in women who have undergone reconstructive surgery as time progresses. In a study of women who received implant-based reconstruction, their quality of life was similar to the general population after 1 year of follow-up.64 Another study found that as early as 3 weeks after surgery, satisfaction, psychosocial well-being, and sexual well-being after TRAM or deep inferior epigastric perforator flap reconstruction were statistically significant and clinically meaningful to the patient.72 Heneghan et al found that in immediate breast-reconstruction patients, their quality of life was comparable to a group of age-matched women who underwent breast-conserving surgery. 73 Researchers in Belgium noted that patients who received breast-conserving treatment or mastectomy with reconstruction had similar results of quality of life, but cosmetic outcome was better after skin-sparing mastectomy, as assessed by the clinical team.<sup>74</sup>

## **Conclusion**

In conclusion, breast reconstruction after mastectomy has slowly increased over the past decade. The decision to undergo reconstructive surgery is complex. There are many factors associated with the receipt of breast reconstruction. Women who are younger than 50 years are more likely to receive breast reconstruction; however, breast reconstruction in older patients is considered safe. Women who live in an urban setting are more likely to undergo breast reconstruction. This may be related to the availability of a plastic surgeon. A woman's income is also a factor in receiving breast reconstruction. Finally, minority women are less likely to receive breast reconstruction. This factor is complicated and may be related to socioeconomic factors. When women of all races and classes are given the opportunity for breast reconstruction, more patients choose this option. Tumor-related factors are associated with the stage of disease and the need for radiation therapy. The benefits of breast reconstruction potentially include improved body image, self-esteem, well-being, and a possible survival advantage. Breast cancer surgery is a complex process of decision-making involving the patient, surgeon, and plastic surgeon. In the end, it is the patient's choice how she should proceed. The patient should be well educated about the available options as well as the complications and benefits. All patients who face a mastectomy regardless of age, income status, or race should be offered breast reconstructive surgery.

# **Disclosure**

The author reports no conflicts of interest in this work.

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